#### RESEARCH ARTICLE



## Delta invariants of smooth cubic surfaces

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#### Abstract

We prove that  $\delta$ -invariants of smooth cubic surfaces are at least  $\frac{6}{5}$ .

**Keywords** Cubic surface  $\cdot$  Fano variety  $\cdot$   $\delta$ -Invariant  $\cdot$  Stability threshold  $\cdot$  K-stability  $\cdot$  Kähler–Einstein metric

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All varieties are assumed to be projective and defined over  $\mathbb{C}$ .

### 1 Introduction

The existence of Kähler–Einstein metrics on Fano manifolds is an important problem in complex geometry. By the Yau–Tian–Donaldson conjecture (confirmed in [4,21]), we know that all *K*-stable Fano manifolds are Kähler–Einstein. Moreover, we also know explicit criteria that can be used to verify *K*-stability in many cases. One such criterion has been found by Tian in [19] and later generalized by Fujita in [10]. It is the following

**Theorem 1.1** ([10,19]) Let X be a Fano manifold of dimension  $n \ge 2$ . If  $\alpha(X) \ge \frac{n}{n+1}$ , then X is K-stable.

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Here,  $\alpha(X)$  is the  $\alpha$ -invariant defined in [19]. By [8, Theorem A.3], one has

$$\alpha(X) = \sup \left\{ \lambda \in \mathbb{Q} \;\middle|\; \text{the log pair } (X, \lambda D) \text{ is log canonical} \\ \text{for every effective } \mathbb{Q}\text{-divisor } D \sim_{\mathbb{Q}} -K_X \right\}.$$

In [5], the first author computed the  $\alpha$ -invariants of two-dimensional Fano manifolds, known as del Pezzo surfaces. Namely, if *S* be a smooth del Pezzo surface, then

Tas del Pezzo surfaces. Namely, if 
$$S$$
 be a smooth del Pezzo surface, if 
$$\frac{1}{3} \quad \text{if } S \cong \mathbb{F}_1 \text{ or } K_S^2 \in \{7, 9\},$$

$$\frac{1}{2} \quad \text{if } S \cong \mathbb{P}^1 \times \mathbb{P}^1 \text{ or } K_S^2 \in \{5, 6\},$$

$$\frac{2}{3} \quad \text{if } K_S^2 = 4,$$

$$\frac{3}{4} \quad \text{if } S \text{ is a cubic surface in } \mathbb{P}^3 \text{ with an Eckardt point,}$$

$$\frac{3}{4} \quad \text{if } K_S^2 = 2 \text{ and } |-K_S| \text{ has a tacnodal curve,}$$

$$\frac{5}{6} \quad \text{if } K_S^2 = 2 \text{ and } |-K_S| \text{ has no tacnodal curve,}$$

$$\frac{5}{6} \quad \text{if } K_S^2 = 1 \text{ and } |-K_S| \text{ has a cuspidal curve,}$$

$$1 \quad \text{if } K_S^2 = 1 \text{ and } |-K_S| \text{ has no cuspidal curves.}$$

In particular, if  $K_S^2 \le 4$ , then S is K-stable by Theorem 1.1, so that it is Kähler–Einstein. If  $K_S^2 = 5$ , then S is unique and  $\operatorname{Aut}(S) \cong \mathfrak{S}_5$ . In this case, we have  $\alpha_{\mathfrak{S}_5}(S) = 2$  by [5], where  $\alpha_{\mathfrak{S}_5}(S)$  is a  $\mathfrak{S}_5$ -invariant  $\alpha$ -invariant, which can be defined similarly to  $\alpha(S)$ . Now using an  $\mathfrak{S}_5$ -equivariant counterpart of Theorem 1.1 in [19], we conclude that the surface S is also Kähler–Einstein. All remaining del Pezzo surfaces are toric, so that they are Kähler–Einstein if and only if their Futaki characters vanish [22]. Together with Matsushima's obstruction, this gives Tian's celebrated theorem:

**Theorem 1.2** ([20]) A smooth del Pezzo surface admits a Kähler–Einstein metric if and only if it is not a blow-up of  $\mathbb{P}^2$  at one or two points.

Note that smooth cubic surfaces form the hardest case in Tian's original proof of this result, which requires Cheeger–Gromov theory, Hörmander  $L^2$  estimates, partial  $C^0$  estimates and the lower semi-continuity of log canonical thresholds. In this paper, we will give another proof of Theorem 1.2 in this case using a new criterion for K-stability, which has been recently discovered by Fujita and Odaka in [12]. They stated it in terms of the so-called  $\delta$ -invariant, which we describe now.

Fix a Fano manifold X. For a sufficiently large and sufficiently divisible integer k, consider a basis  $s_1, \ldots, s_{d_k}$  of the vector space  $H^0(\mathcal{O}_X(-kK_X))$ , where  $d_k = h^0(\mathcal{O}_X(-kK_X))$ . For this basis, consider the  $\mathbb{Q}$ -divisor

$$\frac{1}{kd_k}\sum_{i=1}^{d_k}\left\{s_i=0\right\}\sim_{\mathbb{Q}}-K_X.$$



Any  $\mathbb{Q}$ -divisor obtained in this way is called a k-basis type (anticanonical) divisor. Let

$$\delta_k(X) = \sup \left\{ \lambda \in \mathbb{Q} \; \middle| \; \begin{array}{l} \text{the log pair } (X, \lambda D) \text{ is log canonical} \\ \text{for every $k$-basis type $\mathbb{Q}$-divisor $D \sim_{\mathbb{Q}} -K_X$} \end{array} \right\}.$$

Then let

$$\delta(X) = \limsup_{k \in \mathbb{N}} \delta_k(X).$$

By [2, Theorem A], one has

$$\frac{\dim(X)+1}{\dim(X)}\alpha(X)\leqslant \delta(X)\leqslant (\dim(X)+1)\alpha(X).$$

The number  $\delta(X)$  is also referred to as the *stability threshold* (cf. [2,3]), because of

**Theorem 1.3** ([2, Theorem B]) *The following assertions hold:* 

- X is K-semistable if and only if  $\delta(X) \ge 1$ ;
- *X* is uniformly *K*-stable if and only if  $\delta(X) > 1$ .

How to compute or at least estimate  $\delta(X)$  effectively? In general this is not very easy. In [17], Park and Won estimated the  $\delta$ -invariants of all smooth del Pezzo surfaces, which gave another proof of Tian's Theorem 1.2. But it seems unclear to us how to generalize their approach for higher-dimensional Fano manifolds. Motivated by this, in our recent joint work with Yanir Rubinstein [7], we developed new geometric tools to estimate  $\delta$ -invariants of (log) del Pezzo surfaces, which enabled us to partially prove a conjecture proposed in [6]. In this paper, we will use the same methods to give a sharper estimate for the  $\delta$ -invariants of smooth cubic surfaces. To be precise, we prove

**Theorem 1.4** Let S be a smooth cubic surface in  $\mathbb{P}^3$ . Then  $\delta(S) \geqslant \frac{6}{5}$ .

**Corollary 1.5** ([17,20]) All smooth cubic surfaces in  $\mathbb{P}^3$  are uniformly K-stable, so that they are Kähler–Einstein.

For a smooth cubic surface S, it follows from [17, Theorem 4.9] that

$$\delta(S) \geqslant \frac{36}{31}.$$

Our bound  $\delta(S) \geqslant \frac{6}{5}$  is slightly better. Moreover, the proof of Theorem 1.4 is completely different from the proof of [17, Theorem 4.9]. The essential ingredient in our proof is a *vanishing order estimate* for basis type divisors (see Theorem 2.9). This estimate combined with the techniques from [5] give us the desired lower bound for  $\delta(S)$ .

This paper is organized as follows. In Sect. 2, we present known results about divisors on smooth surfaces, and, as an illustration, we give a new proof of [17,



Theorem 4.7]. In Sect. 3, we give various multiplicity estimates for basis type divisors on smooth cubic surfaces, which will be important to bound their  $\delta$ -invariants in the proof of Theorem 1.4. These estimates also imply that  $\delta$ -invariants of smooth cubic surfaces are at least  $\frac{18}{17}$ . In Sect. 4, we prove Theorem 1.4.

#### 2 Basic tools

In this section, we collect some basic notions and tools that will be used throughout this article. Let S be a smooth surface, and let P be a point in S. Let D be an effective divisor on S. Suppose that f=0 is the local defining equation of D near the point P, then the multiplicity of D at P, is defined to be the vanishing order of f at P, which we denote by  $\operatorname{mult}_P(D)$ . Let  $\pi:\widetilde{S}\to S$  be the blow-up of the point P, and let E be the exceptional curve of  $\pi$ . Denote by  $\widetilde{D}$  the proper transform of D via  $\pi$ . Then we have

$$\pi^*(D) = \widetilde{D} + \text{mult}_P(D) \cdot E.$$

**Definition 2.1** Let  $C_1$  and  $C_2$  be two irreducible curves on a surface S. Suppose that  $C_1$  and  $C_2$  intersect at P. Let  $\mathcal{O}_P$  be the local ring of germs of holomorphic functions defined in some neighborhood of P. Then the local intersection number of  $C_1$  and  $C_2$  at the point P is defined by

$$(C_1 \cdot C_2)_P = \dim_{\mathbb{C}} \mathcal{O}_P / \langle f_1, f_2 \rangle,$$

where  $f_1 = 0$  and  $f_2 = 0$  are local defining functions of  $C_1$  and  $C_2$  around the point P. The global intersection number  $C_1 \cdot C_2$  is defined by

$$C_1 \cdot C_2 = \sum_{P \in C_1 \cap C_2} (C_1 \cdot C_2)_P.$$

This definition and the definition of  $\operatorname{mult}_P(D)$  extend to  $\mathbb{R}$ -divisors by linearity. For instance, say we have a curve C and an  $\mathbb{R}$ -divisor  $\Delta = \sum_i a_i Z_i$ , where  $Z_i$ 's are distinct prime divisors and  $a_i \in \mathbb{R}$ . Then

$$(C \cdot \Delta)_P = \sum_i a_i (C \cdot Z_i)_P,$$

where  $(C.Z_i)_P = 0$  if  $Z_i$  does not pass through the point P.

In the following, let D be an effective  $\mathbb{R}$ -divisor on S. We will investigate how to express the singularity of the log pair (S, D) at the point P in terms of  $\operatorname{mult}_P(\cdot)$  and  $(\cdot)_P$ .

**Lemma 2.2** ([14]) If (S, D) is not log canonical at P, then  $\operatorname{mult}_{P}(D) > 1$ .

Let C be an irreducible curve on S. Write

$$D = aC + \Delta$$
,



where a is a non-negative real number that is also denoted as  $\operatorname{ord}_C(D)$ , and  $\Delta$  is an effective  $\mathbb{R}$ -divisor on S whose support does not contain the curve C.

**Lemma 2.3** ([7, Proposition 3.3]) Suppose that  $a \le 1$ , the curve C is smooth at the point P, and  $\operatorname{mult}_P(\Delta) \le 1$ . If (S, D) is not log canonical at P, then

$$(C \cdot \Delta)_P > 2 - a.$$

**Corollary 2.4** If  $a \le 1$ , the curve C is smooth at P, and the log pair (S, D) is not log canonical at P, then

$$(C \cdot \Delta)_P > 1.$$

Let  $\pi: \widetilde{S} \to S$  be the blow-up of the point P, and let  $E_1$  be the exceptional curve of  $\pi$ . Denote by  $\widetilde{D}$  the proper transform of D via  $\pi$ . Then

$$K_{\widetilde{S}} + \widetilde{D} + (\operatorname{mult}_{P}(D) - 1)E_{1} \sim_{\mathbb{R}} \pi^{*}(K_{S} + D).$$

This implies

**Corollary 2.5** The log pair (S, D) is log canonical at P if and only if the log pair  $(\widetilde{S}, \widetilde{D} + (\text{mult}_P(D) - 1)E_1)$  is log canonical along the curve  $E_1$ .

Thus, using Lemma 2.2 and Corollary 2.5, we obtain the following simple criterion.

Corollary 2.6 Suppose that

$$\operatorname{mult}_{Q}(\pi^{*}(D)) = \operatorname{mult}_{Q}(D) + \operatorname{mult}_{Q}(\widetilde{D}) \leq 2$$

for every point  $Q \in E_1$ . Then (S, D) is log canonical at P.

If D is a Cartier divisor, then its volume is the number

$$\operatorname{vol}(D) = \limsup_{k \in \mathbb{N}} \frac{h^0(\mathcal{O}_{\mathcal{S}}(kD))}{k^2/2!},$$

where the lim sup can be replaced by a limit (see [15, Example 11.4.7]). Likewise, if D is a  $\mathbb{Q}$ -divisor, we can define its volume using the identity

$$vol(D) = \frac{vol(\lambda D)}{\lambda^2}$$

for an appropriate  $\lambda \in \mathbb{Q}_{>0}$ . Then the volume  $\operatorname{vol}(D)$  only depends on the numerical equivalence class of the divisor D. Moreover, the volume function can be extended by continuity to  $\mathbb{R}$ -divisors. Furthermore, it is log-concave:

$$\sqrt{\operatorname{vol}(D_1 + D_2)} \geqslant \sqrt{\operatorname{vol}(D_1)} + \sqrt{\operatorname{vol}(D_2)}.$$
(2.1)



for any pseudoeffective  $\mathbb{R}$ -divisors  $D_1$  and  $D_2$  on the surface S. For more details about volumes of  $\mathbb{R}$ -divisors, we refer the reader to [15,16].

If D is not pseudoeffective, then vol(D) = 0. If the divisor D is nef, then

$$vol(D) = D^2$$
.

This follows from the asymptotic Riemann–Roch theorem [15]. If the divisor D is not nef, its volume can be computed using its Zariski decomposition [13,18]. Namely, if D is pseudoeffective, then there exists a nef  $\mathbb{R}$ -divisor N on the surface S such that

$$D \sim_{\mathbb{R}} N + \sum_{i=1}^{r} a_i C_i,$$

where each  $C_i$  is an irreducible curve on S with  $N \cdot C_i = 0$ , each  $a_i$  is a non-negative real number, and the intersection form of the curves  $C_1, \ldots, C_r$  is negative definite. Such decomposition is unique, and it follows from [1, Corollary 3.2] that

$$vol(D) = vol(N) = N^2$$
.

This immediately gives

**Corollary 2.7** Let  $Z_1, ..., Z_s$  be irreducible curves on S such that  $D \cdot Z_i \leq 0$  for every i, and the intersection form of the curves  $Z_1, ..., Z_s$  is negative definite. Then

$$vol(D) = vol\left(D - \sum_{i=1}^{s} b_i Z_i\right),\,$$

where  $b_1, \ldots, b_s$  are (uniquely defined) non-negative real numbers such that

$$\left(D - \sum_{i=1}^{s} b_i Z_i\right) \cdot Z_j = 0$$

for every j.

**Corollary 2.8** Let Z be an irreducible curve on S such that  $Z^2 < 0$  and  $D \cdot Z \leqslant 0$ . Then

$$\operatorname{vol}(D) = \operatorname{vol}\left(D - \frac{D \cdot Z}{Z^2} Z\right).$$

Let  $\eta: \widehat{S} \to S$  be a birational morphism (possibly an identity) such that  $\widehat{S}$  is smooth. Fix a (not necessarily  $\eta$ -exceptional) irreducible curve F in the surface  $\widehat{S}$ . Let

$$\tau(F) = \sup \left\{ x \in \mathbb{R}_{>0} \mid \eta^*(D) - xF \text{ is numerically equivalent } \right\}.$$

This is called the *pseudo-effective threshold* of F.



**Theorem 2.9** Suppose that S is a smooth del Pezzo surface, and D is a k-basis type divisor with  $k \gg 1$ . Then

$$\operatorname{ord}_{F}(\eta^{*}(D)) \leqslant \frac{1}{(-K_{S})^{2}} \int_{0}^{\tau(F)} \operatorname{vol}(\eta^{*}(-K_{S}) - xF) \, dx + \varepsilon_{k},$$

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ .

**Proof** This is a very special case of [12, Lemma 2.2].

In [2,3], the quantity

$$S(F) = \frac{1}{(-K_S)^2} \int_0^{\tau(F)} \text{vol}(\eta^*(-K_S) - xF) \, dx$$

is also called the *expected vanishing order* of anticanonical sections along the divisor F.

Theorem 2.9 plays a crucial role in the proof of Theorem 1.4. As a warm up, let us show how to use Theorem 2.9 to estimate  $\delta$ -invariants of smooth del Pezzo surfaces of degree 1.

**Theorem 2.10** ([17, Theorem 4.7]) Let S be a smooth del Pezzo surface of degree 1. Then  $\delta(S) \geqslant \frac{3}{2}$ .

**Proof** Fix some rational number  $\lambda < \frac{3}{2}$ . Let D be a k-basis type divisor with  $k \gg 1$ , and let P be a point in S. We have to show that the log pair  $(S, \lambda D)$  is log canonical at P. By Lemma 2.2, it is enough to prove that

$$\operatorname{mult}_P(D) \leqslant \frac{1}{\lambda}$$
.

Applying Theorem 2.9 with  $\widehat{S} = \widetilde{S}$ ,  $\eta = \pi$  and  $F = E_1$ , we see that

$$\operatorname{mult}_{P}(D) \leqslant \int_{0}^{\tau(E_{1})} \operatorname{vol}(\pi^{*}(-K_{S}) - xE_{1}) dx + \varepsilon_{k},$$

where  $\varepsilon_k$  is a constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ .

Let us compute  $\tau(E_1)$ . To do this, take a curve  $C \in |-K_S|$  such that  $P \in C$ . Denote by  $\widetilde{C}$  its proper transform on the surface  $\widetilde{S}$ . If C is smooth at P, then

$$\pi^*(-K_S) \sim_{\mathbb{Q}} \widetilde{C} + E_1$$
 and  $\widetilde{C}^2 = C^2 - 1 = 0$ ,

which implies that  $\tau(E_1) = 1$ . In this case, we have

$$\operatorname{mult}_{P}(D) \leqslant \int_{0}^{1} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) dx + \varepsilon_{k}$$



$$= \int_0^1 (\pi^* (-K_S) - x E_1)^2 dx + \varepsilon_k$$
  
=  $\int_0^1 (1 - x^2)^2 dx + \varepsilon_k = \frac{2}{3} + \varepsilon_k.$ 

Therefore, if C is smooth at P, then the log pair  $(S, \lambda D)$  is log canonical at P for  $k \gg 1$ .

To complete the proof, we may assume that C is singular at P. Then P is either nodal or cuspidial, so we have  $\operatorname{mult}_P(C) = 2$  and

$$\pi^*(-K_S) \sim \widetilde{C} + 2E_1$$

so that  $\tau(E_1) = 2$ , since  $\widetilde{C}^2 = -3$ . Using Corollary 2.8, we see that

$$vol(\pi^*(-K_S) - xE_1) = \begin{cases} 1 - x^2, & 0 \le x \le \frac{1}{2}, \\ \frac{(x - 2)^2}{3}, & \frac{1}{2} \le x \le 2, \end{cases}$$

so that  $\operatorname{mult}_P(D) \leqslant \frac{5}{6} + \varepsilon_k$ . This gives  $\delta(S) \geqslant \frac{6}{5}$ . To get  $\delta(S) \geqslant \frac{3}{2}$ , we must work harder.

Fix a point  $Q \in E_1$ . By Corollary 2.6, to prove that  $(S, \lambda D)$  is log canonical at P, it is enough to show that

$$\operatorname{mult}_{Q}(\pi^{*}(D)) = \operatorname{mult}_{P}(D) + \operatorname{mult}_{Q}(\widetilde{D}) \leqslant \frac{2}{\lambda}.$$

Let  $\sigma: \widehat{S} \to \widetilde{S}$  be the blow-up of the point Q. Denote by  $E_2$  the exceptional curve of  $\sigma$ . Let  $\eta = \pi \circ \sigma$ . Applying Theorem 2.9 with  $F = E_1$ , we see that

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \int_{0}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) dx + \varepsilon_{k}.$$

Here, as above, the term  $\varepsilon_k$  is a constant that depends on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Let  $\widehat{C}$  and  $\widehat{E}_1$  be the proper transforms on  $\widehat{S}$  of the curves C and  $E_1$ , respectively. Then the intersection form of the curves  $\widehat{C}$  and  $\widehat{E}_1$  is negative definite. If  $Q \in \widetilde{C}$ , then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{C} + 2\widehat{E}_1 + 3E_2$$

so that  $\tau(E_2) = 3$ . In this case, using Corollary 2.8, we see that

$$vol(\eta^*(-K_S) - xE_2) = vol\left(\eta^*(-K_S) - xE_2 - \frac{x}{2}\widehat{E}_1\right)$$
$$= \left(\eta^*(-K_S) - xE_2 - \frac{x}{2}\widehat{E}_1\right)^2 = 1 - \frac{x^2}{2}$$



provided that  $0 \le x \le \frac{2}{3}$ . Likewise, if  $\frac{2}{3} \le x \le 3$ , then Corollary 2.7 gives

$$\operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2})$$

$$= \operatorname{vol}\left(\eta^{*}(-K_{S}) - xE_{2} - \frac{5x - 1}{7}\widehat{E}_{1} - \frac{3x - 2}{7}\widehat{C}\right)$$

$$= \left(\eta^{*}(-K_{S}) - xE_{2} - \frac{5x - 1}{7}, \widehat{E}_{1} - \frac{3x - 2}{7}\widehat{C}\right)^{2}$$

$$= (\eta^{*}(-K_{S}) - xE_{2})\left(\eta^{*}(-K_{S}) - xE_{2} - \frac{5x - 1}{7}\widehat{E}_{1} - \frac{3x - 2}{7}\widehat{C}\right)$$

$$= \frac{(3 - x)^{2}}{7}.$$

Thus, if  $Q \in \widetilde{C}$ , then

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 1 - \frac{x^2}{2}, & 0 \le x \le \frac{2}{3}, \\ \frac{(3-x)^2}{7}, & \frac{2}{3} \le x \le 3, \end{cases}$$

so that  $\operatorname{mult}_Q(\pi^*(D)) \leqslant \frac{2}{\lambda}$  for  $k \gg 1$ , because

$$\int_0^3 \text{vol}(\eta^*(-K_S) - xE_2) \, dx = \frac{11}{9} < \frac{2}{\lambda}.$$

Likewise, if  $Q \notin \widetilde{C}$ , then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{C} + 2\widehat{E}_1 + 2E_2$$

so that  $\tau(E_2) = 2$ . In this case, using Corollary 2.7, we deduce that

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 1 - \frac{x^2}{2}, & 0 \le x \le 1, \\ \frac{(2-x)^2}{2}, & 1 \le x \le 2, \end{cases}$$

which implies that

$$\int_{0}^{2} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) dx = 1,$$

so that  $\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{2}{\lambda}$  for  $k \gg 1$ .

**Remark 2.11** In the proof of Theorem 2.10, there is another way to treat the case when the curve C is singular at P, which relies on Lemma 2.3. Indeed, let S be a smooth



del Pezzo surface of degree 1, let P be a point in S, and let C be a curve in  $|-K_S|$  that passes trough P. Suppose that

$$\operatorname{mult}_{P}(C) = 2.$$

Let *D* be any *k*-basis type divisor such that  $D \sim -K_S$  with  $k \gg 1$ , and let  $\lambda$  be a positive real number such that  $\lambda < \frac{3}{2}$ . Let us show that  $(S, \lambda D)$  is log canonical at *P*. We argue by contradiction. Suppose that  $(S, \lambda D)$  is not log canonical at *P*. Write

$$D = aC + \Delta$$
.

where  $a \geqslant 0$  and  $\Delta$  is an effective  $\mathbb{Q}$ -divisor whose support does not contain C. Note that

$$a \leq \int_0^\infty \operatorname{vol}(-K_S - xC) dx + \varepsilon_k = \frac{1}{3} + \varepsilon_k,$$

where  $\varepsilon_k$  is a constant that depends on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Let  $m = \operatorname{mult}_P(\Delta)$ . Then

$$1 = D \cdot C = (aC + \Delta) \cdot C \geqslant a + 2m,$$

so that  $m\leqslant \frac{1-a}{2}$ . Let  $\pi:\widetilde{S}\to S$  be the blow-up of the point P. Let E be the exceptional curve of  $\pi$ , and let  $\widetilde{C}$  and  $\widetilde{\Delta}$  be the proper transforms of C and  $\Delta$  on  $\widetilde{S}$ , respectively. Then the log pair

$$(\widetilde{S}, \lambda a\widetilde{C} + \lambda \widetilde{\Delta} + (\lambda(2a+m) - 1)E)$$

is not log canonical at some point  $Q \in E$ . Note that  $\lambda(2a+m)-1 < 1$ . But

$$E \cdot (\lambda \Delta) = \lambda m \leqslant \lambda \frac{1-a}{2} < \frac{3}{2} \cdot \frac{1}{2} < 1.$$

Thus, we have  $Q \in E \cap \widetilde{C}$  by Corollary 2.4. On the other hand, for  $k \gg 1$ , we have

$$\begin{split} \operatorname{mult}_{Q}\left(\lambda\widetilde{\Delta} + (\lambda(2a+m)-1)E\right) &\leqslant 2\lambda(a+m)-1 \\ &\leqslant \lambda \cdot \left(1 + \frac{1}{3} + \varepsilon_{k}\right) - 1 \leqslant 1, \end{split}$$

so that we can apply Lemma 2.3 to our pair at Q. This gives

$$\lambda C \cdot \Delta - 2m\lambda + 2\lambda(2a+m) - 2 = \widetilde{C} \cdot \left(\lambda \widetilde{\Delta} + (\lambda(2a+m) - 1)E\right) > 2 - \lambda a,$$

so that  $\lambda(1+4a) > 4$ , and hence

$$\frac{3}{2}\left(1+4\cdot\frac{1}{3}+\varepsilon_k\right)>4,$$



which is absurd for  $\varepsilon_k \ll 1$ . This proves the desired log canonicity of our pair  $(S, \lambda D)$ .

The following (simple) result can be very handy.

**Lemma 2.12** *Under the assumptions and notations of Theorem* 2.9, *one has* 

$$\int_{\mu}^{\tau(F)} \text{vol}(\eta^*(-K_S) - xF) \, dx \leqslant (\tau(F) - \mu) \, \text{vol}(\eta^*(-K_S) - \mu F)$$

for any  $\mu \in [0, \tau(F)]$ .

**Proof** The assertion follows from the fact that  $vol(\eta^*(-K_S) - xF)$  is a non-increasing function on  $x \in [0, \tau(F)]$ .

Using (2.1), this result can be improved as follows:

**Lemma 2.13** *Under the assumptions and notations of Theorem* **2.9**, *one has* 

$$\int_{\mu}^{\tau(F)} \text{vol}(\eta^*(-K_S) - xF) \, dx \leqslant \frac{2}{3} \, (\tau(F) - \mu) \, \text{vol}(\eta^*(-K_S) - \mu F)$$

for any  $\mu \in [0, \tau(F)]$ .

**Proof** The required assertion follows from the proof of [11, Proposition 2.1].

We will apply both Lemmas 2.12 and 2.13 to estimate the integral in Theorem 2.9 in the cases when it is not easy to compute.

# 3 Multiplicity estimates

Let *S* be a smooth cubic surface in  $\mathbb{P}^3$ , and let *D* be a *k*-basis type divisor with  $k \gg 1$ . The goal of this section is to bound multiplicities of the divisor *D* using Theorem 2.9. As in Theorem 2.9, we denote by  $\varepsilon_k$  a small number such that  $\varepsilon_k \to 0$  as  $k \to \infty$ .

Lemma 3.1 Let L be a line on S. Then

$$\operatorname{ord}_L(D) \leqslant \frac{5}{9} + \varepsilon_k.$$

**Proof** Let us use assumptions and notations of Theorem 2.9 with  $\eta = \text{Id}_S$  and F = L. Let H be a general hyperplane section of the surface S that contains L. Then H = L + C, where C is an irreducible conic. Since  $C^2 = 0$ , we have  $\tau(F) = 1$ , so that

$$\operatorname{ord}_{L}(D) \leqslant \frac{1}{3} \int_{0}^{1} \operatorname{vol}(-K_{S} - xL) \, dx + \varepsilon_{k} = \frac{1}{3} \int_{0}^{1} (-K_{S} - xL)^{2} \, dx + \varepsilon_{k} = \frac{5}{9} + \varepsilon_{k}$$

by Theorem 2.9.



Fix a point  $P \in S$ . Let  $\pi : \widetilde{S} \to S$  be the blow-up of this point. Denote by  $E_1$  the exceptional divisor of  $\pi$ . Fix a point  $Q \in E_1$ . Let  $\sigma : \widehat{S} \to \widetilde{S}$  be the blow-up of this point. Denote by  $E_2$  the exceptional curve of  $\sigma$ . Let  $\eta = \pi \circ \sigma$ , then

$$\tau(E_2) = \sup \left\{ x \in \mathbb{R}_{>0} \mid \begin{array}{l} \eta^*(-K_S) - xE_2 \text{ is numerically equivalent} \\ \text{to an effective divisor} \end{array} \right\}.$$

Applying Theorem 2.9, we get

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{1}{3} \int_{0}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) dx + \varepsilon_{k}.$$
 (3.1)

Let  $T_P$  be the unique hyperplane section of the surface S that is singular at the point P. Then we have the following four possibilities:

- $T_P = L_1 + L_2 + L_3$ , where  $L_1, L_2$  and  $L_3$  are lines such that  $P = L_1 \cap L_2 \cap L_3$ ;
- $T_P = L_1 + L_2 + L_3$ , where  $L_1, L_2$  and  $L_3$  are lines such that  $L_3 \not\ni P = L_1 \cap L_2$ ;
- $T_P = L + C$ , where L is a line and C is a conic such that  $P \in C \cap L$ ;
- $T_P$  is an irreducible cubic curve.

We plan to bound the integral in (3.1) depending on the type of the curve  $T_P$  and on the position of the point  $Q \in E_1$ . First, we deal with the cases when Q is contained in the proper transform of the curve  $T_P$ . We start with

**Lemma 3.2** Suppose that  $T_P = L_1 + L_2 + L_3$ , where  $L_1$ ,  $L_2$  and  $L_3$  are lines passing through P. Let  $\widetilde{L}_1$ ,  $\widetilde{L}_2$  and  $\widetilde{L}_3$  be the proper transforms on  $\widetilde{S}$  of the lines  $L_1$ ,  $L_2$  and  $L_3$ , respectively. Suppose that  $Q \in \widetilde{L}_1 \cap \widetilde{L}_2 \cap \widetilde{L}_3$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{17}{9} + \varepsilon_{k}.$$

**Proof** We may assume that  $Q = \widetilde{L}_1 \cap E_1$ . Denote by  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves  $\widetilde{L}_1$ ,  $\widetilde{L}_2$ ,  $\widetilde{L}_3$  and  $E_1$ , respectively. Then the intersection form of the curves  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  is negative definite. Moreover, we have

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L}_1 + \widehat{L}_2 + \widehat{L}_3 + 3\widehat{E}_1 + 4E_2.$$

Thus, we conclude that  $\tau(E_2) = 4$ . Now, using Corollary 2.7, we compute

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 1, \\ \frac{20 - 4x - x^2}{6}, & 1 \le x \le 2, \\ \frac{(4 - x)^2}{3}, & 2 \le x \le 4. \end{cases}$$

Then the required result follows from (3.1).



**Lemma 3.3** Suppose that  $T_P = L_1 + L_2 + L_3$ , where  $L_1$ ,  $L_2$  and  $L_3$  are lines such that  $P = L_1 \cap L_2$  and  $P \notin L_3$ . Let  $\widetilde{L}_1$  and  $\widetilde{L}_2$  be the proper transforms on  $\widetilde{S}$  of the lines  $L_1$  and  $L_2$ , respectively. Suppose that  $Q = \widetilde{L}_1 \cap E_1$  or  $\widetilde{L}_2 \cap E_1$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{49}{27} + \varepsilon_{k}.$$

**Proof** Denote by  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves  $L_1$ ,  $L_2$ ,  $L_3$  and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L}_1 + \widehat{L}_2 + \widehat{L}_3 + 2\widehat{E}_1 + 3E_2.$$

Since the intersection form of the curves  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  is semi-negative definite, we conclude that  $\tau(E_2) = 3$ . Then, using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 1, \\ \frac{20 - 4x - x^2}{6}, & 1 \le x \le 2, \\ \frac{12 - 4x}{3}, & 2 \le x \le 3. \end{cases}$$

Then the required result follows from (3.1).

**Lemma 3.4** Suppose that  $T_P = L + C$ , where L is a line, and C is an irreducible conic. Suppose that L and C meet transversally at P. Denote by  $\widetilde{L}$  and  $\widetilde{C}$  the proper transforms on  $\widetilde{S}$  of the curves L and C, respectively. Suppose that  $Q = \widetilde{L} \cap E_1$ . Then

$$\operatorname{mult}_Q(\pi^*(D)) \leqslant \frac{9}{5} + \varepsilon_k.$$

**Proof** Denote by  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves L, C and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L} + \widehat{C} + 2\widehat{E}_1 + 3E_2.$$

Since the intersection form of the curves  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{E}_1$  is negative definite, we conclude that  $\tau(E_2) = 3$ . Moreover, using Corollary 2.7, we get

$$\operatorname{vol}(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \leqslant x \leqslant 1, \\ \frac{20 - 4x - x^2}{6}, & 1 \leqslant x \leqslant \frac{14}{5}, \\ 4(3 - x)^2, & \frac{14}{5} \leqslant x \leqslant 3. \end{cases}$$

Now the required assertion follows from (3.1).



**Lemma 3.5** Suppose that  $T_P = L + C$ , where L is a line, and C is an irreducible conic. Suppose that L and C meet transversally at P. Denote by  $\widetilde{L}$  and  $\widetilde{C}$  the proper transforms on  $\widetilde{S}$  of the curves L and C, respectively. Suppose that  $Q = \widetilde{C} \cap E_1$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{5}{3} + \varepsilon_{k}.$$

**Proof** Denote by  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves L, C and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L} + \widehat{C} + 2\widehat{E}_1 + 3E_2.$$

Since the intersection form of the curves  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{E}_1$  is negative definite, we conclude that  $\tau(E_2) = 3$ . Moreover, using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ (3 - x)^2, & 2 \le x \le 3. \end{cases}$$

Now the required assertion follows from (3.1).

**Lemma 3.6** Suppose that  $T_P = L + C$ , where L is a line and C is an irreducible conic. Suppose that L and C meet tangentially at P. Denote by  $\widetilde{L}$  and  $\widetilde{C}$  the proper transforms on  $\widetilde{S}$  of the curves L and C, respectively. Suppose that  $Q = E_1 \cap \widetilde{L} \cap \widetilde{C}$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{17}{9} + \varepsilon_{k}.$$

**Proof** Denote by  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves  $\widetilde{L}$ ,  $\widetilde{L}$  and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L} + \widehat{C} + 2\widehat{E}_1 + 4E_2.$$

Since the intersection form of the curves  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{E}_1$  is negative definite, we conclude that  $\tau(E_2) = 4$ . Moreover, using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 1, \\ \frac{20 - 4x - x^2}{6}, & 1 \le x \le 2, \\ \frac{(4 - x)^2}{3}, & 2 \le x \le 4. \end{cases}$$

Then the required result follows from (3.1).



**Lemma 3.7** Suppose that  $T_P$  is an irreducible cubic. Let  $\widetilde{C}$  be the proper transform of the curve C on the surface  $\widetilde{S}$ . Suppose that  $Q \in \widetilde{C}$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{5}{3} + \varepsilon_{k}.$$

**Proof** Denote by  $\widehat{C}$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves  $\widetilde{C}$  and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{C} + 2\widehat{E}_1 + 3E_2.$$

This gives  $\tau(E_2) = 3$ , because the intersection form of the curves  $\widehat{C}$  and  $\widehat{E}_1$  is negative definite. Using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ (3 - x)^2, & 2 \le x \le 3. \end{cases}$$

Then the required result follows from (3.1).

Now we consider the cases when Q is not contained in the proper transform of the singular curve  $T_P$  on the surface  $\widetilde{S}$ . We start with

**Lemma 3.8** Suppose that  $T_P = L_1 + L_2 + L_3$ , where  $L_1$ ,  $L_2$  and  $L_3$  are lines passing through P. Let  $\widetilde{L}_1$ ,  $\widetilde{L}_2$  and  $\widetilde{L}_3$  be the proper transforms on  $\widetilde{S}$  of the lines  $L_1$ ,  $L_2$  and  $L_3$ , respectively. Suppose that  $Q \notin \widetilde{L}_1 \cup \widetilde{L}_2 \cup \widetilde{L}_3$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{5}{3} + \varepsilon_{k}.$$

**Proof** Denote by  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves  $\widetilde{L}_1$ ,  $\widetilde{L}_2$ ,  $\widetilde{L}_3$  and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L}_1 + \widehat{L}_2 + \widehat{L}_3 + 3\widehat{E}_1 + 3E_2.$$

This gives  $\tau(E_2) = 3$ , because the intersection form of the curves  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  is negative definite. Using Corollary 2.7, we get

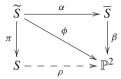
$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ (3 - x)^2, & 2 \le x \le 3. \end{cases}$$

Then the required result follows from (3.1).

In the remaining cases, the pseudoeffective threshold  $\tau(E_2)$  is not (always) easy to compute. There is a (birational) reason for this. To explain it, recall from [9] that

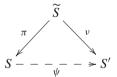


the linear system  $|-K_{\widetilde{S}}|$  is free from base points and gives a morphism  $\phi \colon \widetilde{S} \to \mathbb{P}^2$ . Taking its Stein factorization, we obtain a commutative diagram



where  $\alpha$  is a birational morphism,  $\beta$  is a double cover branched over a (possibly singular) quartic curve, and  $\rho$  is a linear projection from the point P. Here, the surface  $\overline{S}$  is a (possibly singular) del Pezzo surface of degree 2. Note that the morphism  $\alpha$  is biregular if and only if the curve  $T_P$  is irreducible. Moreover, if  $T_P$  is reducible, then  $\alpha$ -exceptional curves are proper transforms of the lines on S that pass through P.

Let  $\iota$  be the Galois involution of the double cover  $\beta$ . Then its action lifts to S. On the other hand, this action does not always descent to a (biregular) action of the surface S. Nevertheless, we can always consider  $\iota$  as a birational involution of the surface S. This involution is known as Geiser involution (see [9]). It is biregular if and only if P is an Eckardt point of the surface. In this case, the curve  $E_1$  is  $\iota$ -invariant. However, if P is not an Eckardt point, then  $\iota(E_1)$  is the proper transform of the (unique) irreducible component of the curve  $T_P$  that is not a line passing through P. In both cases, there exists a commutative diagram



where S' is a smooth cubic surface in  $\mathbb{P}^3$ , which is isomorphic to the surface S via the involution  $\tau$ , the morphism  $\nu$  is the contraction of the curve  $\iota(E_1)$ , and  $\psi$  is a birational map given by the linear subsystem in  $|-2K_S|$  consisting of all curves having multiplicity at least 3 at the point P.

Let  $Q' = \nu(Q)$  and  $P' = \nu(\iota(E_1))$ . Denote by  $T'_Q$  the unique hyperplane section of the cubic surface S' that is singular at Q'. If P is not an Eckardt point and Q is not contained in the proper transform of the curve  $T_P$ , then  $Q' \neq P'$ . In this case, the number  $\tau(E_2)$  can be computed using  $T'_Q$ . This explains why the remaining cases are (slightly) more complicated.

**Lemma 3.9** Suppose that  $T_P = L_1 + L_2 + L_3$ , where  $L_1$ ,  $L_2$  and  $L_3$  are lines such that  $P = L_1 \cap L_2$  and  $P \notin L_3$ . Let  $\widetilde{L}_1$ ,  $\widetilde{L}_2$  and  $\widetilde{L}_3$  be the proper transforms on  $\widetilde{S}$  of the lines  $L_1$ ,  $L_2$  and  $L_3$ , respectively. Suppose that  $Q \notin \widetilde{L}_1 \cup \widetilde{L}_2$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{5}{3} + \varepsilon_{k}.$$



**Proof** Denote by  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves  $L_1$ ,  $L_2$ ,  $L_3$  and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L}_1 + \widehat{L}_2 + \widehat{L}_3 + 2\widehat{E}_1 + 2E_2,$$

which implies that  $\tau(E_2) \leq 2$ . Using Corollary 2.8, we see that

$$vol(\eta^*(-K_S) - xE_2) = 3 - \frac{x^2}{2}$$

provided that  $0 \le x \le 2$ . However, we have  $\tau(E_2) > 2$ , because the intersection form of the curves  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{L}_3$  and  $\widehat{E}_1$  is not semi-negative definite. This also follows from the fact that  $\operatorname{vol}(\eta^*(-K_S) - 2E_2) > 0$ .

Recall that  $\nu \colon \widetilde{S} \to S'$  is the contraction of the curve  $\widetilde{L}_3$ . We let  $L'_1 = \nu(\widetilde{L}_1)$ ,  $L'_2 = \nu(\widetilde{L}_2)$  and  $E'_1 = \nu(E_1)$ . Then  $L'_1$ ,  $L'_2$  and  $E'_1$  are coplanar lines on S'. Since  $Q' \in E'_1$ , the line  $E'_1$  is an irreducible component of the curve  $T'_Q$ . Thus,

Since  $Q' \in E'_1$ , the line  $E'_1$  is an irreducible component of the curve  $T'_Q$ . Thus, either  $T'_Q$  consists of three lines, or  $T'_Q$  is a union of the line  $E'_1$  and an irreducible conic.

Suppose that  $T_Q' = E_1' + Z'$ , where Z' is an irreducible conic on S'. Then  $Q' \in E_1' \cap Z'$  and  $Z' \sim L_1' + L_2'$ , which implies that the conic Z' does not meet the lines  $L_1'$  and  $L_2'$ . Denote by  $\widehat{Z}$  the proper transform of the conic Z' on the surface  $\widehat{S}$ . We have

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \left(\widehat{Z} + \widehat{L}_1 + \widehat{L}_2\right) + 2\widehat{E}_1 + \frac{5}{2} \, E_2.$$

This implies that  $\tau(E_2) = \frac{5}{2}$ , because the intersection form of the curves  $\widehat{Z}$ ,  $\widehat{L}_1$ ,  $\widehat{L}_2$  and  $\widehat{E}_1$  is semi-negative definite. Using this  $\mathbb{Q}$ -rational equivalence and Corollary 2.7, we compute

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ 5 - 2x, & 2 \le x \le \frac{5}{2}. \end{cases}$$

Thus, a direct computation and (3.1) give

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{59}{36} + \varepsilon_{k} < \frac{5}{3} + \varepsilon_{k},$$

which gives the required assertion.

To complete the proof, we may assume that  $T_Q' = E_1' + M' + N'$ , where M' and N' are two lines on S' such that  $Q' = E_1' \cap M'$ . Then  $M' + N' \sim L_1' + L_2'$ , which implies that the lines M' and N' do not meet the lines  $L_1'$  and  $L_2'$ . Denote by  $\widehat{M}$  and  $\widehat{N}$  the proper transforms on the surface  $\widehat{S}$  of the lines M' and N', respectively.



Suppose that Q' is also contained in the line N'. This simply means that Q' is an Eckardt point of the surface S'. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \left( \widehat{M} + \widehat{N} + \widehat{L}_1 + \widehat{L}_2 \right) + 2\widehat{E}_1 + 3E_2.$$

This gives  $\tau(E_2) \geqslant 3$ . In fact, we have  $\tau(E_2) = 3$  here, because the intersection form of the curves  $\widehat{M}$ ,  $\widehat{N}$ ,  $\widehat{L}_1$ ,  $\widehat{L}_2$ ,  $\widehat{E}_1$  is negative definite. Using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ (3 - x)^2, & 2 \le x \le 3. \end{cases}$$

Now, direct computations and (3.1) give the required inequality.

To complete the proof the lemma, we have to consider the case  $Q' \notin N'$ . Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \left( \widehat{M} + \widehat{N} + \widehat{L}_1 + \widehat{L}_2 \right) + 2\widehat{E}_1 + \frac{5}{2} \, E_2.$$

In particular, we see that  $\tau(E_2) \geqslant \frac{5}{2}$ . Using this  $\mathbb{Q}$ -rational equivalence and Corollary 2.7, we compute

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ 7 - 4x + \frac{x^2}{2}, & 2 \le x \le \frac{5}{2}. \end{cases}$$

Thus, in particular, we have  $\tau(E_2) > \frac{5}{2}$ , since

$$\operatorname{vol}\left(\eta^*(-K_S) - \frac{5}{2}E_2\right) = \frac{1}{8}.$$

As in the previous cases, we can find  $\tau(E_2)$  and compute  $\operatorname{vol}(\eta^*(-K_S) - xE_2)$  for  $x > \frac{5}{2}$ . However, we can avoid doing this. Namely, note that the divisor  $\widehat{E}_1 + 2\widehat{N} + \widehat{M}$  is nef and

$$(\widehat{E}_1 + 2\widehat{N} + \widehat{M}) \cdot (\eta^*(-K_S) - xE_2) = 6 - 2x,$$

so that  $\tau(E_2) \leq 3$ . Therefore, using (3.1) and Lemma 2.12, we see that

$$\begin{split} \operatorname{mult}_{Q}(\pi^{*}(D)) & \leq \frac{1}{3} \int_{0}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx + \varepsilon_{k} \\ & = \frac{1}{3} \int_{0}^{5/2} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx \\ & + \frac{1}{3} \int_{5/2}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx + \varepsilon_{k} \end{split}$$



$$= \frac{79}{48} + \frac{1}{3} \int_{5/2}^{\tau(E_2)} \text{vol}(\eta^*(-K_S) - xE_2) dx + \varepsilon_k$$

$$\leq \frac{79}{48} + \frac{\tau(E_2) - 5/2}{3} \text{vol}\left(\eta^*(-K_S) - \frac{5}{2}E_2\right) + \varepsilon_k$$

$$= \frac{79}{48} + \frac{\tau(E_2) - 5/2}{24} + \varepsilon_k \leq \frac{79}{48} + \frac{1}{48} + \varepsilon_k = \frac{5}{3} + \varepsilon_k.$$

This finishes the proof of the lemma.

**Lemma 3.10** Suppose that  $T_P = L + C$ , where L is a line and C is an irreducible conic. Denote by  $\widetilde{L}$  and  $\widetilde{C}$  the proper transforms on  $\widetilde{S}$  of the curves L and C, respectively. Suppose that  $Q \notin \widetilde{L} \cup \widetilde{C}$ . Then

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{5}{3} + \varepsilon_{k}.$$

**Proof** Denote by  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves L,  $\widetilde{C}$  and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{L} + \widehat{C} + 2\widehat{E}_1 + 2E_2,$$

so that  $\tau(E_2) \ge 2$ . Using Corollary 2.8, we see that

$$vol(\eta^*(-K_S) - xE_2) = 3 - \frac{x^2}{2}$$

provided that  $0 \le x \le 2$ . Since  $\operatorname{vol}(\eta^*(-K_S) - 2E_2) > 0$ , we see that  $\tau(E_2) > 2$ . Recall that  $\nu \colon \widetilde{S} \to S'$  is the contraction of the curve  $\widetilde{C}$ . Let  $L' = \nu(\widetilde{L})$  and  $E'_1 = \nu(E_1)$ . Then L' is a line and  $E'_1$  is a conic on S' such that  $P' \in L' \cap E'_1$ .

First, we suppose that  $T_Q'$  is irreducible. Denote by  $\widehat{T}_Q$  the proper transform of the cubic  $T_Q'$  on the surface  $\widehat{S}$ . Then  $\widehat{T}_Q \cdot \widehat{E}_1 = 0$  and

$$\widehat{T}_Q \cdot \widehat{L} = \widehat{E}_1 \cdot \widehat{L} = 1.$$

Since  $\widehat{L}^2 = \widehat{E}_1^2 = -2$  and  $\widehat{T}_Q^2 = -1$ , we see that the intersection form of the curves  $\widehat{L}$ ,  $\widehat{T}_Q$  and  $\widehat{E}_1$  is negative definite. On the other hand, we have

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \, (\widehat{T}_Q + \widehat{L}) + \frac{3}{2} \, \widehat{E}_1 + \frac{5}{2} \, E_2.$$



This shows that  $\tau(E_2) = \frac{5}{2}$ . Hence, using Corollary 2.7, we get

$$\operatorname{vol}(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \leqslant x \leqslant 2, \\ \frac{44 - 8x - 4x^2}{12}, & 2 \leqslant x \leqslant \frac{17}{7}, \\ 4(5 - 2x)^2, & \frac{17}{7} \leqslant x \leqslant \frac{5}{2}. \end{cases}$$

Then a direct calculation and (3.1) give

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{103}{63} + \varepsilon_{k} < \frac{5}{3} + \varepsilon_{k}.$$

Now we suppose that  $T_Q' = \ell' + Z'$ , where  $\ell'$  is a line, and Z' is an irreducible conic. Denote by  $\widehat{\ell}$  and  $\widehat{Z}$  the proper transforms on  $\widehat{S}$  of the curves  $\ell'$  and Z', respectively. We get

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \left( \widehat{\ell} + \widehat{Z} + \widehat{L} \right) + \frac{3}{2} \, \widehat{E}_1 + \frac{5}{2} \, E_2$$

which implies that  $\tau(E_2) \geqslant \frac{5}{2}$ . Using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ \frac{34 - 16x + x^2}{6}, & 2 \le x \le \frac{5}{2}. \end{cases}$$

In particular, we have

$$vol\left(\eta^*(-K_S) - \frac{5}{2} E_2\right) = \frac{1}{24},$$

which implies that  $\tau(E_2) > \frac{5}{2}$ . Observe that the divisor  $\hat{\ell} + 2\hat{Z} + \hat{L}$  is nef and

$$(\widehat{\ell} + 2\widehat{Z} + \widehat{L}) \cdot (\eta^*(-K_S) - xE_2) = 9 - 3x,$$

which implies that  $\tau(E_2) \leq 3$ . Thus, using (3.1) and Lemma 2.12, we get

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leq \frac{1}{3} \int_{0}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) dx + \varepsilon_{k}$$

$$= \frac{1}{3} \int_{0}^{5/2} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) dx$$

$$+ \frac{1}{3} \int_{5/2}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) dx + \varepsilon_{k}$$



$$= \frac{709}{432} + \frac{1}{3} \int_{5/2}^{\tau(E_2)} \text{vol}(\eta^*(-K_S) - xE_2) dx + \varepsilon_k$$

$$\leq \frac{709}{432} + \frac{\tau(E_2) - 5/2}{3} \text{vol}\left(\eta^*(-K_S) - \frac{5}{2}E_2\right) + \varepsilon_k$$

$$= \frac{709}{432} + \frac{\tau(E_2) - 5/2}{48} + \varepsilon_k \leq \frac{709}{432} + \frac{1}{96} + \varepsilon_k$$

$$= \frac{89}{54} + \varepsilon_k < \frac{5}{3} + \varepsilon_k.$$

To complete the proof of the lemma, we may assume that  $T_Q' = \ell' + M' + N'$ , where  $\ell'$ , M' and N' are lines such that  $Q' \in M' \cap N'$ . Since  $E_1'$  is a conic passing through Q', we conclude that Q' is not contained in the line  $\ell'$ . Note that  $\ell' \neq L'$ , and the lines  $\ell'$ , M' and N' do not pass through P'.

Denote by  $\widehat{\ell}$ ,  $\widehat{M}$  and  $\widehat{N}$  the proper transforms on  $\widehat{S}$  of the lines  $\ell'$ , M' and N', respectively. We get

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \left( \widehat{\ell} + \widehat{M} + \widehat{N} + \widehat{L} \right) + \frac{3}{2} \widehat{E}_1 + \frac{5}{2} E_2,$$

which implies that  $\tau(E_2) \geqslant \frac{5}{2}$ . In fact, we have  $\tau(E_2) > \frac{5}{2}$ , because the intersection form of the curves  $\widehat{\ell}$ ,  $\widehat{M}$ ,  $\widehat{N}$ ,  $\widehat{L}$  and  $\widehat{E}_1$  is not semi-negative definite. Nevertheless, we can use Corollary 2.7 to compute

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ \frac{92 - 56x + 8x^2}{12}, & 2 \le x \le \frac{5}{2}, \end{cases}$$

so that, in particular, we have

$$\operatorname{vol}\left(\eta^*(-K_S) - \frac{5}{2}E_2\right) = \frac{1}{6}.$$

Observe that the divisor  $2\widehat{\ell} + \widehat{M} + \widehat{N}$  is nef and

$$(2\widehat{\ell} + \widehat{M} + \widehat{N}) \cdot (\eta^*(-K_S) - xE_2) = 6 - 2x,$$

which implies that  $\tau(E_2) \leq 3$ . Thus, using (3.1) and Lemma 2.13, we get

$$\begin{split} \operatorname{mult}_{Q}(\pi^{*}(D)) & \leq \frac{1}{3} \int_{0}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx + \varepsilon_{k} \\ & = \frac{1}{3} \int_{0}^{5/2} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx \\ & + \frac{1}{3} \int_{5/2}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx + \varepsilon_{k} \end{split}$$



$$= \frac{89}{54} + \frac{1}{3} \int_{5/2}^{\tau(E_2)} \operatorname{vol}(\eta^*(-K_S) - xE_2) \, dx + \varepsilon_k$$

$$\leq \frac{89}{54} + \frac{2}{9} \left( \tau(E_2) - \frac{5}{2} \right) \operatorname{vol}\left( \eta^*(-K_S) - \frac{5}{2} E_2 \right) + \varepsilon_k$$

$$= \frac{89}{54} + \frac{2}{54} \left( \tau(E_2) - \frac{5}{2} \right) + \varepsilon_k \leq \frac{89}{54} + \frac{1}{54} + \varepsilon_k = \frac{5}{3} + \varepsilon_k.$$

The proof is complete.

**Lemma 3.11** Suppose that  $T_P$  is an irreducible cubic curve. Let  $\widetilde{C}$  be its proper transform on the surface  $\widetilde{S}$ . Suppose that  $Q \notin \widetilde{C}$ . Then

$$\operatorname{mult}_Q(\pi^*(D)) \leqslant \frac{5}{3} + \varepsilon_k.$$

**Proof** Denote by  $\widehat{C}$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the curves  $\widetilde{C}$  and  $E_1$ , respectively. Then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \widehat{C} + 2\widehat{E}_1 + 2E_2.$$

Thus, using Corollary 2.8, we get  $vol(\eta^*(-K_S) - xE_2) = 3 - \frac{x^2}{2}$  provided that  $0 \le x \le 2$ .

Recall that  $\nu \colon \widetilde{S} \to S'$  is the contraction of the curve  $\widetilde{C}$ . Let  $E' = \nu(E_1)$ . Then  $E'_1$  is an irreducible curve that is singular at P'. Thus, the curve  $E'_1$  is smooth at the point Q', so that  $T'_Q \neq E'_1$ . One can easily check that  $T'_Q$  does not contain P'.

Suppose that  $T_Q'$  is an irreducible cubic. Denote by  $\widehat{T}_Q$  the proper transform of the curve  $T_Q'$  on the surface  $\widehat{S}$ . We get  $\widehat{E}_1^2 = -2$ ,  $\widehat{T}_Q^2 = -1$ ,  $\widehat{E}_1 \cdot \widehat{T}_Q = 1$  and

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \widehat{T}_Q + \frac{3}{2} \widehat{E}_1 + \frac{5}{2} E_2,$$

which implies that  $\tau(E_2) = \frac{5}{2}$ . Using Corollary 2.7, we get

$$\operatorname{vol}(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \leqslant x \leqslant \frac{12}{5}, \\ 3(5 - 2x)^2, & \frac{12}{5} \leqslant x \leqslant \frac{5}{2}. \end{cases}$$

Then (3.1) and direct calculations give

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{49}{30} + \varepsilon_{k} < \frac{5}{3} + \varepsilon_{k}.$$

Now we suppose that  $T_Q' = \ell' + Z'$ , where  $\ell'$  is a line and Z' is an irreducible conic. Denote by  $\widehat{\ell}$  and  $\widehat{Z}$  the proper transforms on  $\widehat{S}$  of the curves  $\ell'_Q$  and Z', respectively.



We get

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} (\widehat{\ell} + \widehat{Z}) + \frac{3}{2} \widehat{E}_1 + \frac{5}{2} E_2.$$

Since the intersection form of the curves  $\hat{\ell}$ ,  $\hat{Z}$  and  $\hat{E}_1$  is semi-negative definite, we conclude that  $\tau(E_2) = \frac{5}{2}$ . Using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ 5 - 2x, & 2 \le x \le \frac{5}{2}. \end{cases}$$

Hence, using (3.1), we see that

$$\operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \frac{59}{36} + \varepsilon_{k} < \frac{5}{3} + \varepsilon_{k}.$$

To complete the proof, we may assume that  $T_Q' = \ell' + M' + N'$ , where  $\ell'$ , M' and N' are lines such that  $Q' \in M' \cap N'$ . Denote by  $\widehat{\ell}$ ,  $\widehat{M}$  and  $\widehat{N}$  the proper transforms on  $\widehat{S}$  of the lines  $\ell'$ , M' and N', respectively. If Q' is contained in the line  $\ell'$ , then

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} (\widehat{\ell} + \widehat{M} + \widehat{N}) + \frac{3}{2} \widehat{E}_1 + 3E_2,$$

and the intersection form of the curves  $\widehat{\ell}$ ,  $\widehat{M}$ ,  $\widehat{N}$  and  $\widehat{E}_1$  is negative definite, which implies that  $\tau(E_2)=3$ . In this case, Corollary 2.7 gives

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ (3 - x)^2, & 2 \le x \le 3, \end{cases}$$

which implies the required inequality by (3.1).

To complete the proof, we may assume that Q' is not contained in  $\ell'$ . Then the intersection form of the curves  $\widehat{\ell}$ ,  $\widehat{M}$ ,  $\widehat{N}$  and  $\widehat{E}_1$  is not semi-negative definite. Since

$$\eta^*(-K_S) \sim_{\mathbb{Q}} \frac{1}{2} \left( \widehat{\ell} + \widehat{M} + \widehat{N} \right) + \frac{3}{2} \widehat{E}_1 + \frac{5}{2} E_2,$$

we conclude that  $\tau(E_2) > \frac{5}{2}$ . Moreover, using Corollary 2.7, we get

$$vol(\eta^*(-K_S) - xE_2) = \begin{cases} 3 - \frac{x^2}{2}, & 0 \le x \le 2, \\ \frac{x^2 - 8x + 14}{2}, & 2 \le x \le \frac{5}{2}. \end{cases}$$



In particular, we have

$$vol\left(\eta^*(-K_S) - \frac{5}{2} E_2\right) = \frac{1}{8}.$$

Observe that the divisor  $2\widehat{\ell} + \widehat{M} + \widehat{N}$  is nef and

$$(2\widehat{\ell} + \widehat{M} + \widehat{N}) \cdot (\eta^*(-K_S) - xE_2) = 6 - 2x,$$

which implies that  $\tau(E_2) \leq 3$ . Thus, using (3.1) and Lemma 2.12, we get

$$\begin{aligned} \operatorname{mult}_{Q}(\pi^{*}(D)) & \leq \frac{1}{3} \int_{0}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx + \varepsilon_{k} \\ & = \frac{1}{3} \int_{0}^{5/2} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx \\ & + \frac{1}{3} \int_{5/2}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx + \varepsilon_{k} \\ & = \frac{79}{48} + \frac{1}{3} \int_{5/2}^{\tau(E_{2})} \operatorname{vol}(\eta^{*}(-K_{S}) - xE_{2}) \, dx + \varepsilon_{k} \\ & \leq \frac{79}{48} + \frac{\tau(E_{2}) - 5/2}{3} \operatorname{vol}\left(\eta^{*}(-K_{S}) - \frac{5}{2} E_{2}\right) + \varepsilon_{k} \\ & = \frac{79}{48} + \frac{\tau(E_{2}) - 5/2}{24} + \varepsilon_{k} \leq \frac{79}{48} + \frac{1}{48} + \varepsilon_{k} = \frac{5}{3} + \varepsilon_{k}. \end{aligned}$$

This completes the proof of the lemma.

Using Corollary 2.6 and Lemmas 3.2–3.11, we immediately get

Corollary 3.12 We have  $\delta(S) \geqslant \frac{18}{17}$ .

#### 4 Proof of the main result

In this section, we prove Theorem 1.4. Let S be a smooth cubic surface. We have to prove that  $\delta(S) \geqslant \frac{6}{5}$ . Fix a positive rational number  $\lambda < \frac{6}{5}$ . Let D be a k-basis type divisor. To prove Theorem 1.4, it is enough to show that, the log pair  $(S, \lambda D)$  is log canonical for  $k \gg 1$ . Suppose that this is not the case. Then there exists a point  $P \in S$  such that  $(S, \lambda D)$  is not log canonical at P for  $k \gg 1$ . Let us seek for a contradiction using results obtained in Sect. 3.

Let  $\pi: \widetilde{S} \to S$  be the blow-up of the point P, and let  $E_1$  be the exceptional divisor of the blow-up  $\pi$ . Denote by  $\widetilde{D}$  the proper transform of D via  $\pi$ . Then

$$K_{\widetilde{S}} + \lambda \widetilde{D} + (\lambda \operatorname{mult}_{P}(D) - 1)E_{1} \sim_{\mathbb{Q}} \pi^{*}(K_{S} + \lambda D).$$



By Corollary 2.5, the log pair  $(\widetilde{S}, \lambda \widetilde{D} + (\lambda \operatorname{mult}_P(D) - 1)E_1)$  is not log canonical at some point  $Q \in E_1$ . Thus, using Lemma 2.2, we see that

$$\operatorname{mult}_{Q}(\pi^{*}(D)) = \operatorname{mult}_{P}(D) + \operatorname{mult}_{Q}(\widetilde{D}) > \frac{2}{\lambda} > \frac{5}{3}.$$
 (4.1)

Let  $\sigma: \widehat{S} \to \widetilde{S}$  be the blow-up of the point Q, and let  $E_2$  be the exceptional curve of  $\sigma$ . Denote by  $\widehat{D}$  and  $\widehat{E}_1$  the proper transforms on  $\widehat{S}$  of the divisors  $\widetilde{D}$  and  $E_1$ , respectively. By Corollary 2.5, the log pair

$$(\widehat{S}, \lambda \widehat{D} + (\lambda \operatorname{mult}_{P}(D) - 1)\widehat{E}_{1} + (\lambda \operatorname{mult}_{P}(D) + \lambda \operatorname{mult}_{Q}(\widetilde{D}) - 2)E_{2})$$

is not log canonical at some point  $O \in E_2$ .

Let  $T_P$  be the hyperplane section of the surface S that is singular at P. Then  $T_P$  must be reducible. This follows from (4.1) and Lemmas 3.7 and 3.11.

Denote by  $\widetilde{T}_P$  the proper transform of the curve  $T_P$  on the surface  $\widetilde{S}$ . Then  $Q \in \widetilde{T}_P$ . This follows from (4.1) and Lemmas 3.9 and 3.10.

In the remaining part of this section, we will deal with the following four cases:

- 1.  $T_P$  is a union of three lines passing through P;
- 2.  $T_P$  is a union of three lines and only two of them pass through P;
- 3.  $T_P$  is a union of a line and a conic that intersect transversally at P;
- 4.  $T_P$  is a union of a line and a conic that intersect tangentially at P.

We will treat each of them in a separate subsection. We start with

## 4.1 Case 1

We have  $T_P = L_1 + L_2 + L_3$ , where  $L_1$ ,  $L_2$  and  $L_3$  are lines passing through the point P. We write

$$\lambda D = a_1 L_1 + a_2 L_2 + a_3 L_3 + \Omega$$

where  $a_1, a_2$  and  $a_3$  are non-negative rational numbers, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor whose support does not contain  $L_1, L_2$  or  $L_3$ . Then

$$L_1 \cdot \Omega = \lambda + a_1 - a_2 - a_3. \tag{4.2}$$

Denote by  $\widetilde{L}_1$ ,  $\widetilde{L}_2$  and  $\widetilde{L}_3$  the proper transforms on  $\widetilde{S}$  of the lines  $L_1$ ,  $L_2$  and  $L_3$ , respectively. We know that  $Q \in \widetilde{L}_1 \cup \widetilde{L}_2 \cup \widetilde{L}_3$ , so that we may assume that  $Q = \widetilde{L}_1 \cap E_1$ . Let  $\widetilde{\Omega}$  be the proper transform of the divisor  $\Omega$  on the surface  $\widetilde{S}$ , and let  $m = \operatorname{mult}_P(\Omega)$ . Then the log pair

$$(\widetilde{S}, a_1\widetilde{L}_1 + \widetilde{\Omega} + (a_1 + a_2 + a_3 + m - 1)E_1)$$

is not log canonical at the point Q.



By Lemma 3.1, we have

$$a_1 \leqslant \left(\frac{5}{9} + \varepsilon_k\right) \lambda < 1,$$
 (4.3)

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Thus, applying Corollary 2.4, we see that

$$L_1 \cdot \Omega + a_1 + a_2 + a_3 - 1 = \widetilde{L}_1 \cdot (\widetilde{\Omega} + (a_1 + a_2 + a_3 + m - 1)E_1) > 1,$$

which gives  $L_1 \cdot \Omega > 2 - a_1 - a_2 - a_3$ . Combining this with (4.2), we get

$$a_1 > \frac{2-\lambda}{2}.\tag{4.4}$$

Let  $\widetilde{m} = \operatorname{mult}_{\mathcal{O}}(\widetilde{\Omega})$ . Then by Lemma 3.2, we have

$$2a_1 + a_2 + a_3 + m + \widetilde{m} \leqslant \left(\frac{17}{9} + \varepsilon_k\right)\lambda,\tag{4.5}$$

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Then using (4.4) and  $m \ge \widetilde{m}$ , we deduce that

$$\widetilde{m} < \left(\frac{13}{9} + \frac{\varepsilon_k}{2}\right)\lambda - 1 < 1.$$
 (4.6)

Denote by  $\widehat{L}_1$  and  $\widehat{\Omega}$  the proper transforms on  $\widehat{S}$  of the divisors  $\widetilde{L}_1$  and  $\widetilde{\Omega}$ , respectively. Then the log pair

$$(\widehat{S}, a_1\widehat{L}_1 + \widehat{\Omega} + (a_1 + a_2 + a_3 + m - 1)\widehat{E}_1 + (2a_1 + a_2 + a_3 + m + \widetilde{m} - 2)E_2)$$

is not log canonical at the point O.

We claim that  $O \in \widehat{L}_1 \cup \widehat{E}_1$ . Indeed, we have  $(2a_1 + a_2 + a_3 + m + \widetilde{m} - 2) < 1$  by (4.5). Thus, if  $O \notin \widehat{L}_1 \cup \widehat{E}_1$ , then Corollary 2.4 gives

$$\widetilde{m} = \widehat{\Omega} \cdot E_2 \geqslant (\widehat{\Omega} \cdot E_2)_O > 1,$$

which is impossible by (4.6). Thus, we have  $O \in \widehat{L}_1 \cup \widehat{E}_1$ .

If  $O \in \widehat{E}_1$ , then the log pair

$$(\widehat{S}, \widehat{\Omega} + (a_1 + a_2 + a_3 + m - 1)\widehat{E_1} + (2a_1 + a_2 + a_3 + m + \widetilde{m} - 2)E_2)$$

is not log canonical at the point O. Then Corollary 2.4 gives  $a_1 + a_2 + a_3 + m + \widetilde{m} > 2$ , so that (4.4) and (4.5) give

$$\left(\frac{17}{9}+\varepsilon_k\right)\lambda\geqslant 2a_1+a_2+a_3+m+\widetilde{m}>2+a_1>3-\frac{\lambda}{2},$$



which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

Thus, we see that  $O \in \widehat{L}_1$ . Then the log pair

$$(\widehat{S}, a_1\widehat{L}_1 + \widehat{\Omega} + (2a_1 + a_2 + a_3 + m + \widetilde{m} - 2)E_2)$$

is not log canonical at the point O. Now, using (4.5) and (4.6), we have

$$\mathrm{mult}_{O}(\widehat{\Omega} + (2a_{1} + a_{2} + a_{3} + m + \widetilde{m} - 2)E_{2}) = 2a_{1} + a_{2} + a_{3} + m + 2\widetilde{m} - 2$$

$$< \left(\frac{10}{3} + \frac{3\varepsilon_{k}}{2}\right)\lambda - 3 < 1,$$

since  $\lambda < \frac{6}{5}$  and  $k \gg 1$ . Thus, Lemma 2.3 gives

$$L_1 \cdot \Omega + 2a_1 + a_2 + a_3 - 2 = \widehat{L}_1 \cdot (\widehat{\Omega} + (2a_1 + a_2 + a_3 + m + \widetilde{m} - 2)E_2)$$
  
> 2 - a\_1,

so that  $L_1 \cdot \Omega + 3a_1 + a_2 + a_3 > 4$ . Using (4.2) we get  $\lambda + 4a_1 > 4$ . Using (4.3), we get

$$\left(\frac{29}{9} - \varepsilon_k\right) \lambda > 4,$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

## 4.2 Case 2

We have  $T_P = L_1 + L_2 + L_3$ , where  $L_1$ ,  $L_2$  and  $L_3$  are coplanar lines such that  $P = L_1 \cap L_2$  and  $P \notin L_3$ . As in the previous case, we write

$$\lambda D = a_1 L_1 + a_2 L_2 + \Omega,$$

where  $a_1$  and  $a_2$  are non-negative rational numbers, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor whose support does not contain the lines  $L_1$  and  $L_2$ . Then

$$L_1 \cdot \Omega = \lambda + a_1 - a_2. \tag{4.7}$$

Denote by  $\widetilde{L}_1$  and  $\widetilde{L}_2$  the proper transforms on  $\widetilde{S}$  of the lines  $L_1$  and  $L_2$ , respectively. We know that  $Q \in \widetilde{L}_1 \cup \widetilde{L}_2$ , so that we may assume that  $Q = \widetilde{L}_1 \cap E_1$ . Let  $\widetilde{\Omega}$  be the proper transform of the divisor  $\Omega$  on the surface  $\widetilde{S}$ , and let  $m = \operatorname{mult}_P(\Omega)$ . Then the log pair

$$(\widetilde{S}, a_1\widetilde{L}_1 + \widetilde{\Omega} + (a_1 + a_2 + a_3 + m - 1)E_1)$$

is not log canonical at the point Q.



By Lemma 3.1, we have

$$a_1 \leqslant \left(\frac{5}{9} + \varepsilon_k\right) \lambda < 1,$$
 (4.8)

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Thus, using Corollary 2.4, we obtain  $L_1 \cdot \Omega > 2 - a_1 - a_2$ . Then, using (4.7), we deduce

$$a_1 > \frac{2 - \lambda}{2}.\tag{4.9}$$

Let  $\widetilde{m} = \operatorname{mult}_{O}(\widetilde{\Omega})$ . By Lemma 3.3, we have

$$2a_1 + a_2 + m + \widetilde{m} \leqslant \left(\frac{49}{27} + \varepsilon_k\right)\lambda,\tag{4.10}$$

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Thus, using (4.9) and  $\widetilde{m} \leqslant m$ , we deduce

$$\widetilde{m} < \left(\frac{38}{27} + \frac{\varepsilon_k}{2}\right)\lambda - 1 < 1. \tag{4.11}$$

Denote by  $\widehat{L}_1$  and  $\widehat{\Omega}$  the proper transforms on  $\widehat{S}$  of the divisors  $\widetilde{L}_1$  and  $\widetilde{\Omega}$ , respectively. Then the log pair

$$(\widehat{S}, a_1\widehat{L}_1 + \widehat{\Omega} + (a_1 + a_2 + m - 1)\widehat{E}_1 + (2a_1 + a_2 + m + \widetilde{m} - 2)E_2)$$

is not log canonical at the point O. Then  $2a_1 + a_2 + m + \widetilde{m} - 2 < 1$  by (4.10). Thus, using (4.11) and arguing as in Sect. 4.1, we see that  $O \in \widehat{L}_1 \cup \widehat{E}_1$ .

If  $O \in \widehat{E}_1$ , then the log pair

$$\left(\widehat{S},\widehat{\Omega}+(a_1+a_2+m-1)\widehat{E}_1+(2a_1+a_2+m+\widetilde{m}-2)E_2\right)$$

is not log canonical at the point O, so that  $a_1 + a_2 + m + \widetilde{m} > 2$  by Corollary 2.4. Hence, using (4.9) and (4.10), we get

$$\left(\frac{49}{27} + \varepsilon_k\right)\lambda \geqslant 2a_1 + a_2 + m + \widetilde{m} > 2 + a_1 > 3 - \frac{\lambda}{2},$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

We see that  $O \in \widehat{L}_1$ . Then the log pair

$$(\widehat{S}, a_1\widehat{L}_1 + \widehat{\Omega} + (2a_1 + a_2 + m + \widetilde{m} - 2)E_2)$$



is not log canonical at the point O. Now, using (4.10) and (4.11), we deduce

$$\begin{split} \operatorname{mult}_{O} \left( \widehat{\Omega} + (2a_{1} + a_{2} + m + \widetilde{m} - 2) E_{2} \right) &= 2a_{1} + a_{2} + m + 2\widetilde{m} - 2 \\ &< \left( \frac{29}{9} + \frac{3\varepsilon_{k}}{2} \right) \lambda - 3 < 1, \end{split}$$

because  $\lambda < \frac{6}{5}$  and  $k \gg 1$ . Then we may apply Lemma 2.3 to get

$$L_1 \cdot \Omega + 2a_1 + a_2 - 2 = \widehat{L}_1 \cdot (\widehat{\Omega} + (2a_1 + a_2 + m + \widetilde{m} - 2)E_2) > 2 - a_1,$$

so that  $L_1 \cdot \Omega + 3a_1 + a_2 > 4$ . Using (4.7) we get  $\lambda + 4a_1 > 4$ . Then, by (4.8), we have

$$\left(\frac{29}{9} - \varepsilon_k\right) \lambda > 4,$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

#### 4.3 Case 3

We have  $T_P = L + C$ , where L is a line and C is an irreducible conic such that they intersect transversally at P. As in the previous cases, we write

$$\lambda D = aL + bC + \Omega,$$

where a and b are non-negative rational numbers, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor whose support does not contain the curves L and C. Then Lemma 3.1 gives us

$$a \leqslant \left(\frac{5}{9} + \varepsilon_k\right)\lambda < 1,\tag{4.12}$$

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . And also, we have

$$L \cdot \Omega = \lambda + a - 2b. \tag{4.13}$$

Denote by  $\widetilde{L}$  and  $\widetilde{C}$  the proper transforms on  $\widetilde{S}$  of the curves L and C, respectively. We know that  $Q \in \widetilde{L} \cup \widetilde{C}$ . Moreover, using (4.1) and Lemma 3.5, we see that  $Q = \widetilde{L} \cap E_1$ .

Denote by  $\widetilde{\Omega}$  the proper transforms on  $\widetilde{S}$  of the divisor  $\Omega$ . Let  $m = \operatorname{mult}_{P}(\Omega)$ . Then the log pair

$$(\widetilde{S}, a\widetilde{L} + \widetilde{\Omega} + (a+b+m-1)E_1)$$

is not log canonical at Q. Since a < 1, we can apply Corollary 2.4 to this log pair and the curve  $\widetilde{L}$ . This gives  $L \cdot \Omega > 2 - a - b$ . Combining this with (4.13), we have  $\lambda + 2a - b > 2$ , so that

$$a > \frac{2+b-\lambda}{2} \geqslant \frac{2-\lambda}{2}.\tag{4.14}$$

Let  $\widetilde{m} = \operatorname{mult}_{O}(\widetilde{\Omega})$ . Then Lemma 3.4 gives

$$2a + b + m + \widetilde{m} \leqslant \left(\frac{9}{5} + \varepsilon_k\right)\lambda,\tag{4.15}$$

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Thus, using (4.14) and  $\widetilde{m} \leqslant m$ , we deduce that

$$\widetilde{m} < \left(\frac{7}{5} + \frac{\varepsilon_k}{2}\right)\lambda - 1 < 1. \tag{4.16}$$

Denote by  $\widehat{L}$  and  $\widehat{\Omega}$  the proper transforms on  $\widehat{S}$  of the divisors  $\widetilde{L}$  and  $\widetilde{\Omega}$ , respectively. Then the log pair

$$(\widehat{S}, a\widehat{L} + \widehat{\Omega} + (a+b+m-1)\widehat{E}_1 + (2a+b+m+\widetilde{m}-2)E_2)$$

is not log canonical at the point O. Note that  $2a + b + m + \widetilde{m} - 2 < 1$  by (4.15). Thus, using (4.16) and arguing as in Sect. 4.1, we see that  $O \in \widehat{L} \cup \widehat{E}_1$ .

If  $O \in \widehat{E_1}$ , then the log pair

$$(\widehat{S}, \widehat{\Omega} + (a+b+m-1)\widehat{E}_1 + (2a+b+m+\widetilde{m}-2)E_2)$$

is not log canonical at O. Applying Corollary 2.4 again, we obtain  $a+b+m+\widetilde{m}>2$ , so that (4.14) and (4.15) give

$$\left(\frac{9}{5} + \varepsilon_k\right)\lambda \geqslant 2a + b + m + \widetilde{m} > 2 + a > 3 - \frac{\lambda}{2},$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

We see that  $O \in \widehat{L}$ . Then the log pair

$$(\widehat{S}, a\widehat{L} + \widehat{\Omega} + (2a + b + m + \widetilde{m} - 2)E_2)$$

is not log canonical at the point O. Now using (4.15) and (4.16), we obtain

$$\begin{split} \operatorname{mult}_O\left(\widehat{\Omega} + (2a+b+m+\widetilde{m}-2)E_2\right) &= 2a+b+m+2\widetilde{m}-2 \\ &< \left(\frac{12}{5} + \frac{3\varepsilon_k}{2}\right)\lambda - 3 < 1, \end{split}$$

because  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ . Thus, applying Lemma 2.3, we get

$$L \cdot \Omega + 2a + b - 1 = \widehat{L} \cdot \left(\widehat{\Omega} + (2a + b + m + \widetilde{m} - 2)E_2\right) > 2 - a,$$



which gives  $L \cdot \Omega + 3a + b > 4$ . Using (4.13), we get  $\lambda + 4a > 4 + b \ge 4$ , so that (4.12) implies

$$\left(\frac{29}{9} - \varepsilon_k\right)\lambda > 4,$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

## 4.4 Case 4

We have  $T_P = L + C$ , where L is a line, and C is an irreducible conic that tangents L at the point P. We write

$$\lambda D = aL + bC + \Omega,$$

where a and b are non-negative rational numbers, and  $\Omega$  is an effective  $\mathbb{Q}$ -divisor whose support does not contain L and C. Let  $m = \operatorname{mult}_P(\Omega)$ . Then

$$a + b + m > 1 (4.17)$$

by Lemma 2.2. Meanwhile, it follows from Lemma 3.1 that

$$a \leqslant \left(\frac{5}{9} + \varepsilon_k\right)\lambda < 1,\tag{4.18}$$

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . And also, we have

$$L \cdot \Omega = \lambda + a - 2b. \tag{4.19}$$

Denote by  $\widetilde{L}$  and  $\widetilde{C}$  the proper transforms on  $\widetilde{S}$  of the curves L and C, respectively. We know that  $Q = \widetilde{L} \cap \widetilde{C}$ . Denote by  $\widetilde{\Omega}$  the proper transforms on  $\widetilde{S}$  of the divisor  $\Omega$ . Then the log pair

$$(\widetilde{S}, a\widetilde{L} + b\widetilde{C} + \widetilde{\Omega} + (a+b+m-1)E_1)$$

is not log canonical at the point Q. Since a < 1 by (4.18), we may apply Corollary 2.4 to this log pair at Q with respect to the curve  $\widetilde{L}$ . This gives

$$L \cdot \Omega > 2 - a - 2b$$
.

Combining this with (4.19), we get  $\lambda + 2a > 2$ , so that

$$a > \frac{2-\lambda}{2}.\tag{4.20}$$

Let  $\widetilde{m} = \operatorname{mult}_{Q}(\widetilde{\Omega})$ . Then Lemma 3.6 gives

$$2a + 2b + m + \widetilde{m} = \lambda \cdot \operatorname{mult}_{Q}(\pi^{*}(D)) \leqslant \left(\frac{17}{9} + \varepsilon_{k}\right)\lambda, \tag{4.21}$$

where  $\varepsilon_k$  is a small constant depending on k such that  $\varepsilon_k \to 0$  as  $k \to \infty$ . Thus, using (4.20) and  $\widetilde{m} \leq m$ , we deduce that

$$\widetilde{m} < \left(\frac{13}{9} + \frac{\varepsilon_k}{2}\right)\lambda - 1 < 1. \tag{4.22}$$

Denote by  $\widehat{L}$ ,  $\widehat{C}$  and  $\widehat{\Omega}$  the proper transforms on  $\widehat{S}$  of the divisors  $\widetilde{L}$ ,  $\widetilde{C}$  and  $\widetilde{\Omega}$ , respectively. Then the log pair

$$(\widehat{S}, a\widehat{L} + b\widehat{C} + \widehat{\Omega} + (a+b+m-1)\widehat{E}_1 + (2a+2b+m+\widetilde{m}-2)E_2)$$

is not log canonical at O. Moreover, it follows from (4.21) that  $2a+2b+m+\widetilde{m}-2<1$ . Thus, using (4.22) and arguing as in Sect. 4.1, we see that  $O \in \widehat{L} \cup \widehat{C} \cup \widehat{E}_1$ .

If  $O \in \widehat{E_1}$ , then the log pair

$$(\widehat{S}, \widehat{\Omega} + (a+b+m-1)\widehat{E}_1 + (2a+2b+m+\widetilde{m}-2)E_2)$$

is not log canonical at O. In this case, Corollary 2.4 applied to this log pair (and the curve  $E_2$ ) gives  $a + b + m + \widetilde{m} > 2$ , so that (4.20) and (4.15) give

$$\left(\frac{17}{9} + \varepsilon_k\right)\lambda \geqslant 2a + 2b + m + \widetilde{m} > 2 + a + b > 3 - \frac{\lambda}{2},$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

If  $O \in \widehat{C}$ , then the log pair

$$(\widehat{S}, b\widehat{C} + \widehat{\Omega} + (2a + 2b + m + \widetilde{m} - 2)E_2)$$

is not log canonical at O. In this case, if we apply Corollary 2.4 to this log pair with respect to  $E_2$ , we get  $b + \tilde{m} > 1$ , so that (4.21) gives

$$2a+b+m+1<\left(\frac{17}{9}+\varepsilon_k\right)\lambda-1.$$

Combining this with (4.17), we see that  $a < (\frac{17}{9} + \varepsilon_k)\lambda - 2$ , so that (4.20) gives

$$\left(\frac{43}{18} + \varepsilon_k\right)\lambda > 3,$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .



We see that  $O \in \widehat{L}$ . Then the log pair

$$(\widehat{S}, a\widehat{L} + \widehat{\Omega} + (2a + 2b + m + \widetilde{m} - 2)E_2)$$

is not log canonical at the point O. Now using (4.21), (4.22) and  $\lambda < \frac{6}{5}$ , we deduce that

$$\begin{split} \operatorname{mult}_O\left(\widehat{\Omega} + (2a + 2b + m + \widetilde{m} - 2)E_2\right) &= 2a + 2b + m + 2\widetilde{m} - 2 \\ &< \left(\frac{10}{3} + \frac{3\varepsilon_k}{2}\right)\lambda - 3 < 1, \end{split}$$

since  $\lambda < \frac{6}{5}$  and  $k \to \infty$ . Then we may apply Lemma 2.3 to get

$$L \cdot \Omega + 2a + 2b - 2 = \widehat{L} \cdot \left(\widehat{\Omega} + (2a + 2b + m + \widetilde{m} - 2)E_2\right) > 2 - a,$$

which gives  $L \cdot \Omega + 3a + 2b > 4$ . Using (4.19), we see that  $\lambda + 4a > 4$ , so that (4.18) gives

$$\left(\frac{29}{9} - \varepsilon_k\right)\lambda > 4,$$

which is impossible, since  $\lambda < \frac{6}{5}$  and  $\varepsilon_k \to 0$  as  $k \to \infty$ .

The proof of Theorem 1.4 is complete.

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