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## Homotopy Equivalences of Almost Smooth Manifolds

G. BRUMFIEL

§ 1. *Introduction.* Let  $M^k$ ,  $k \geq 6$ , be a simply connected, oriented, closed combinatorial manifold with a differentiable structure in the complement of a point. Let  $M_0^k = M^k - \text{interior}(D^k)$ , where  $D^k \subset M^k$  is a combinatorially embedded disc.  $M_0^k$  inherits a differentiable structure from  $M^k - (p)$ , hence  $\partial M_0^k$  belongs to  $\Gamma_{k-1}$ , the group of oriented differentiable structures on  $S^{k-1}$ . In general,  $\partial M_0^k \in \Gamma_{k-1}$  is not a homotopy invariant of  $M^k$ . In this paper we study this non-invariance.

Specifically, let  $B_h(M_0) \subset \Gamma_{k-1}$  be the set of boundaries of homotopy smoothings of  $M_0$  [18]. That is,  $\Sigma^{k-1} \in B_h(M_0)$  if and only if there is a smooth manifold  $M'_0$ , with  $\partial M'_0 = \Sigma^{k-1}$ , and a homotopy equivalence of pairs  $h: M'_0, \partial M'_0 \rightarrow M_0, \partial M_0$ . Then  $B_h(M'_0) = B_h(M_0)$ , and  $M^k$  is homotopy equivalent to a smooth manifold if and only if  $0 \in B_h(M_0)$ . We will give a homotopy theoretic description of the set of differences  $\Delta_h(M_0) = \{\Sigma^{k-1} - \partial M_0 \mid \Sigma^{k-1} \in B_h(M_0)\} \subset \Gamma_{k-1}$ , for certain classes of manifolds. If  $\partial M_0 \in \Gamma_{k-1}$  is known, for example if  $\partial M_0 = 0$ , this determines  $B_h(M_0)$ . In any case,  $B_h(M_0)$  and  $\Delta_h(M_0)$  have the same number of elements.

Following Sullivan, two homotopy smoothings,  $h: M'_0, \partial M'_0 \rightarrow M_0, \partial M_0$  and  $g: M''_0, \partial M''_0 \rightarrow M_0, \partial M_0$ , are called equivalent if there is a diffeomorphism  $f: M'_0 \xrightarrow{\sim} M''_0$  such that  $h$  is homotopic to  $gf$ . The set of equivalence classes is denoted  $hS(M_0)$ . In [18], Sullivan constructs a bijection  $\theta: hS(M_0) \xrightarrow{\sim} [M_0, F/0]$ , where  $F/0$  is the fibre of the map  $BSO \rightarrow BSF$ . Thus, if  $h: M'_0 \rightarrow M_0$  represents an element of  $hS(M_0)$ , the formula  $d\theta(M'_0, h) = \partial M'_0 - \partial M_0 \in \Gamma_{k-1}$  defines a map  $d: [M_0, F/0] \rightarrow \Gamma_{k-1}$ , and  $\Delta_h(M_0) = \text{image}(d) \subset \Gamma_{k-1}$ .

The group  $\Gamma_{k-1}$  can be described as follows. If  $k \neq 2^j - 1$  or  $2^j - 2$  then  $\Gamma_{k-1} \cong \simeq bP_k \oplus (\pi_{k-1}^s / \text{im}(J))$ , where  $bP_k \subset \Gamma_{k-1}$  is the cyclic subgroup of homotopy spheres that bound  $\pi$ -manifolds [9], [11], [15].

$\Gamma_{2^j-2} \simeq \text{kernel}(\pi_{2^j-2}^s \xrightarrow{\psi} Z_2)$ , where  $\psi$  is the Arf invariant.  $\psi \neq 0$  if and only if the element  $h_{j-1}^2 \in \text{Ext}_A(Z_2, Z_2)$  is an infinite cycle in the Adams spectral sequence [6]. Mahowald has shown that  $h_{j-1}^2$  is an infinite cycle if  $j \leq 6$ . Also, if  $\psi \neq 1$ ,  $\Gamma_{2^j-3} = \pi_{2^j-3}^s / \text{im}(J)$  ( $= \pi_{2^j-3}^s$  if  $j > 2$ ).

If  $k$  is odd then  $bP_k = 0$ . If  $k$  is even, the direct sum decomposition of  $\Gamma_{k-1}$  follows from properties of two homomorphisms, namely, the Kervaire-Milnor map  $\varrho: \Gamma_{k-1} \rightarrow \pi_{k-1}^s / \text{im}(J)$ , with kernel  $(\varrho) = bP_k$  [15], and an invariant  $f_R: \Gamma_{k-1} \rightarrow Z_2$  if  $k = 4n + 2 \neq 2^j - 2$  [11], or  $f_R: \Gamma_{k-1} \rightarrow Z_{\theta_n}$  if  $k = 4n$ , where  $\theta_n = a_n \cdot 2^{2n-2} \cdot (2^{2n-1} - 1) \text{ num}(B_n/4n)$ ,  $a_n = 2$  if  $n$  is odd,  $a_n = 1$  if  $n$  is even, and  $B_n$  is the Bernoulli number [9]. The restriction of  $f_R$  to  $bP_k \subset \Gamma_{k-1}$  is an isomorphism. Thus a homotopy sphere  $\Sigma^{k-1} \in \Gamma_{k-1}$  is determined by  $\varrho(\Sigma^{k-1}) \in \pi_{k-1}^s / \text{im}(J)$  and  $f_R(\Sigma^{k-1}) \in bP_k$ .

The invariants  $f_R: \Gamma_{4n-1} \rightarrow Z_{\theta_n}$  and  $f_R: b\text{spin}_{8n+2} \rightarrow Z_2$  are natural, and can be computed where  $b\text{spin}_{8n+2} \subset \Gamma_{8n+1}$  is the subgroup (of index 2) of homotopy spheres that bound spin manifolds. However,  $f_R: \Gamma_{8n+5} \rightarrow Z_2$  and the extension  $f_R: \Gamma_{8n+1} \rightarrow Z_2$  depend on choices, and can not be effectively computed. Thus our results on  $A_h(M_0^k)$  are complete only if  $k \not\equiv 6 \pmod{8}$  and if, when  $k \equiv 2 \pmod{8}$ ,  $M_0^k$  is a spin manifold.

The paper is arranged as follows. In §§ 2 and 3, we discuss Sullivan's work on homotopy smoothings and describe the composition  $qd: [M_0^k, F/0] \rightarrow \Gamma_{k-1} \rightarrow \pi_{k-1}^s/\text{im}(J)$ . In § 4, we give some homotopy theoretic results on  $F/0$ . Many of the results in these three sections are well-known. In § 5, we compute the composition  $f_R d: [M_0^{4n}, F/0] \rightarrow \Gamma_{4n-1} \rightarrow Z_{\theta_n}$ . In § 6, we compute the composition  $f_R d: [M_0^{8n+2}, F/0] \rightarrow \Gamma_{8n+1} \rightarrow Z_2$  for spin manifolds,  $M_0^{8n+2}$ . The main results of the paper are Propositions 4.4, 4.5, 5.1, 5.2 and 6.5.

In two appendixes, we give applications of the results of § 2 through § 6. In Appendix I, we set  $M^{2k} = CP(k)$  and characterize those homotopy  $(2k-1)$ -spheres which admit differentiable, fixed point free,  $S^1$  actions. In Appendix II, we set  $M^{k+1} = S^1 \times N^k$  and compute certain canonical subgroups of the inertia group,  $I(N^k) \subset \Gamma_k$ , of a smooth manifold  $N^k$ .

Many of the ideas in this paper are due to D. Sullivan. I am very grateful to him for many conversations.

**§2. Homotopy Smoothings.** We first sketch a definition of the bijection  $\theta: hS(M_0) \simeq [M_0, F/0]$ . Let  $h: M'_0 \rightarrow M_0$  be a homotopy smoothing of  $M_0^k$ , and let  $\bar{h}$  be a homotopy inverse of  $h$ . Homotope the map  $h$  to a smooth embedding of  $M'_0$  in the total space,  $E(\xi_0)$ , of the (stable) vector bundle  $\xi_0 = \xi_0(h) = \bar{h}^*(\tau_{M_0}) - \tau_{M'_0}$  over  $M_0$  where  $\tau_{M_0}$  is the tangent bundle. Then the normal bundle of  $M'_0$  in  $E(\xi_0)$  is trivial and choosing a framing of  $M'_0$  in  $E(\xi_0)$  determines a fibre homotopy trivialization of  $\xi_0$ . (In fact, it follows from the  $h$ -cobordism theorem that there is a diffeomorphism  $H: M'_0 \times \mathbf{R}^q \simeq E(\xi_0^q)$ ,  $q$  large, homotopic to  $h$ .) This defines an element  $\theta(h) \in [M_0, F/0]$ , which depends only on the class of  $(M'_0, h)$  in  $hS(M_0)$ . By construction, the composition  $M_0 \rightarrow F/O \rightarrow BS0$  represents  $\xi_0(h) \in KO^0(M_0)$ .

Now,  $h$  induces a bijection  $h_*: hS(M'_0) \simeq hS(M_0)$ , defined by  $h_*(M''_0, g) = (M''_0, hg)$  where  $g: M''_0 \rightarrow M'_0$ . Also, there is the bijection  $h^*: [M_0, F/0] \simeq [M'_0, F/0]$  induced by the homotopy equivalence  $h: M'_0 \rightarrow M_0$ . Since  $F/0$  is an  $H$ -space,  $h^*$  is an isomorphism of groups. Consider the diagram

$$\begin{array}{ccc} hS(M_0) \simeq [M_0, F/0] & \xrightarrow{\theta} & \Gamma_{k-1} \\ \uparrow h_* & \searrow \downarrow h^* & \uparrow d \\ hS(M'_0) \simeq [M'_0, F/0] & \xrightarrow{\theta} & \Gamma_{k-1} \end{array} \quad (2.1)$$

This diagram is very non-commutative. In fact, if  $g: M''_0 \rightarrow M'_0$  is a homotopy smoothing

of  $M'_0$  then  $d\theta(h_*(g)) = \partial M''_0 - \partial M_0 = (\partial M''_0 - \partial M'_0) + (\partial M'_0 - \partial M_0) = d\theta(g) + d\theta(h)$ . We also have

**PROPOSITION 2.2.** *If  $g \in hS(M'_0)$  then*

$$h^*\theta h_*(g) - \theta(g) = h^*\theta(h) \in [M'_0, F/0].$$

This can be equivalently stated as follows. Suppose

$$\begin{array}{ccc} M''_0 & \xrightarrow{f} & M_0 \\ & \searrow g & \nearrow h \\ & M'_0 & \end{array}$$

is a homotopy commutative diagram and  $f, g, h$  are all homotopy equivalences. Then  $f = h_*(g)$  and applying the isomorphism  $h^*$  to the equation in 2.2 gives

$$\theta(f) = \theta(h) + h^*(\theta(g)) \in [M_0, F/0] \quad (2.3)$$

We will prove 2.3. In §§ 5 and § 6 we give formulas for the difference  $d - dh^*$  and for the deviation of  $d$  from linearity (that is, in general  $d$  is not a homomorphism of groups).

*Proof of 2.3.* Choose a diffeomorphism  $H: M'_0 \times \mathbf{R}^q \simeq E(\xi^q(\theta(h)))$  homotopic to  $h$ , and, in the diagram below, let  $E(\bar{H})$  be the obvious bundle map covering  $\bar{H} = H^{-1}$ .

$$\begin{array}{ccc} E(\bar{H}^* \pi_1^*(\xi^q(\theta(g)))) & \xrightarrow{E(\bar{H})} & E(\pi_1^*(\xi^q(\theta(g)))) \\ \downarrow & & \downarrow \\ E(\xi^q(\theta(h))) & \xrightarrow{H} & M'_0 \times \mathbf{R}^q \\ \downarrow \pi & & \downarrow \pi_1 \\ M_0 & \xrightarrow{\bar{h}} & M'_0 \end{array}$$

Since  $\pi_1 \bar{H} \simeq \bar{h} \pi$ , it follows from the bundle covering homotopy theorem that there is a bundle isomorphism,  $B$ , covering the identity on  $E(\xi^q(\theta(h)))$ , and a bundle homotopy commutative diagram

$$\begin{array}{ccc} E(\bar{h}^*(\xi^q(\theta(g))) + \xi^q(\theta(h))) & = & E(\pi^* \bar{h}^*(\xi^q(\theta(g)))) \xrightarrow{E(\bar{h} \pi)} E(\xi^q(\theta(g))) \\ & \downarrow B & \uparrow E(\pi_1) \\ E(\bar{H}^* \pi_1^*(\xi^q(\theta(g)))) & \xrightarrow{E(\bar{H})} & E(\pi_1^*(\xi^q(\theta(g)))) \\ & & = E(\xi^q(\theta(g))) \times \mathbf{R}^q. \end{array}$$

Let  $G: M''_0 \times \mathbf{R}^q \simeq E(\xi^q(\theta(g)))$  be a diffeomorphism homotopic to  $g$ . Then  $F = (\bar{G} \times 1) E(\bar{H}) B: E(\bar{h}^*(\xi^q(\theta(g))) + \xi^q(\theta(h))) \simeq M''_0 \times \mathbf{R}^q \times \mathbf{R}^q$  is a diffeomorphism homotopic to  $f = \bar{g} \bar{h}$  where  $\bar{G} = G^{-1}$ . Thus the fibre homotopy trivialization

$$(\pi_2 \times \pi_3) F: E(\bar{h}^*(\xi^q(\theta(g))) + \xi^q(\theta(h))) \rightarrow \mathbf{R}^q \times \mathbf{R}^q$$

represents  $\theta(f)$ . On the other hand, bundle homotopy commutativity of the diagram above implies that  $(\pi_2 \times \pi_3)F$  is properly homotopic to  $(\pi_2 \tilde{G}E(\tilde{h}) \times \pi_2 \tilde{H})\Delta$  where

$$\Delta: E(\tilde{h}^*(\xi^q(\theta(g))) + \xi^q(\theta(h))) \rightarrow E(\tilde{h}^*(\xi^q(\theta(g)))) \times E(\xi^q(\theta(h)))$$

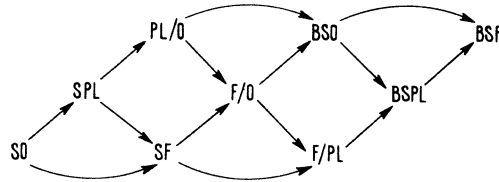
is the diagonal. Since  $(\pi_2 \tilde{G}E(\tilde{h}) \times \pi_2 \tilde{H})\Delta$  represents  $\tilde{h}^*(\theta(g)) + \theta(h)$ , we have shown that  $\theta(f) = \tilde{h}^*(\theta(g)) + \theta(h)$ , as desired.

The tangential homotopy equivalence, that is,  $h: M'_0 \rightarrow M_0$  with  $h^*(\tau_{M_0}) = \tau_{M'_0}$ , are particularly important. Let  $B_{th}(M_0) \subset \Gamma_{k-1}$  be the set of boundaries of manifolds  $M'_0$  tangentially homotopy equivalent to  $M_0$ , and let  $\Delta_{th}(M_0) = \{\Sigma^{k-1} - \partial M_0 \mid \Sigma^{k-1} \in B_{th}(M_0)\} \subset \Gamma_{k-1}$ .

There is a fibration  $SF \xrightarrow{j} F/0 \xrightarrow{i} BSO$ , where  $SF = \lim_{\leftarrow} SF_q$  and  $SF_q$  is the space of base point preserving maps of degree one of  $S^{q-1}$  to itself. Thus, given  $h: M'_0 \rightarrow M_0$ , we have  $h^*(\tau_{M_0}) = \tau_{M'_0}$  if and only if  $\xi_0(h) = \tilde{h}^*(\tau_{M'_0}) - \tau_{M_0} = 0 \in K0^0(M_0)$  or, equivalently, if and only if  $\theta(h) \in \text{image}([M_0, SF] \xrightarrow{j^*} [M_0, F/0])$ . Thus  $\Delta_{th}(M_0) = d(\text{image}([M_0, SF] \rightarrow [M_0, F/0]))$ .

Two other subsets of  $B_h(M_0)$  are of geometric interest. Let  $B_c(M_0) \subset \Gamma_{k-1}$  be the set of boundaries of smooth manifolds  $M'_0$  combinatorially equivalent to  $M_0$ , and let  $B_{tc}(M_0) \subset B_c(M_0)$  be the subset of boundaries of those  $M'_0$  such that some combinatorial equivalence  $h: M'_0 \rightarrow M_0$  preserves the (smooth) tangent bundles, that is,  $h^*(\tau_{M_0}) = \tau_{M'_0}$  as vector bundles. Let  $\Delta_c(M_0) = \{\Sigma^{k-1} - \partial M_0 \mid \Sigma^{k-1} \in B_c(M_0)\}$  and let  $\Delta_{tc}(M_0) = \{\Sigma^{k-1} - \partial M_0 \mid \Sigma^{k-1} \in B_{tc}(M_0)\}$ .

There are spaces  $SPL$  and  $PL/0$ , and a braid of fibrations



From smoothing theory [14], it follows that  $\Delta_c(M_0) = d(\text{image}([M_0, PL/0] \rightarrow [M_0, F/0]))$  and that  $\Delta_{tc}(M_0) = d(\text{image}([M_0, SPL] \rightarrow [M_0, F/0]))$ . Also, if  $v \in [M_0^k, PL/0]$  then  $dv = \partial^*(v) \in \pi_{k-1}(PL/0) = \Gamma_{k-1}$ , where  $\partial: S^{k-1} \rightarrow M_0^k$  represents the homotopy class of the inclusion of the boundary,  $\partial M_0 \rightarrow M_0$ .

In particular,  $d: [M_0^k, PL/0] \rightarrow \Gamma_{k-1}$  and  $d: [M_0^k, SPL] \rightarrow \Gamma_{k-1}$  are group homomorphisms. Also,  $\Delta_c(M_0^k)$  and  $\Delta_{tc}(M_0^k)$  are homotopy invariants of  $M_0^k$ .

Recall that for a simply connected, closed manifold,  $M^k$ , there is the surgery obstruction  $s: [M^k, F/0] \rightarrow P_k$ , where  $P_k = \mathbb{Z}$ , 0,  $\mathbb{Z}_2$ , 0 if  $k \equiv 0, 1, 2, 3 \pmod{4}$ , respectively, defined as follows [18]. If  $u \in [M^k, F/0]$ , represent  $u$  by a framing  $f: M' \times \mathbb{R}^q \rightarrow E(\xi^q(u))$  of some manifold  $M'$  in the total space of the bundle  $\xi^q(u) = i_*(u)$  over  $M$ .

Then  $s(u) \in P_k$  is the obstruction to constructing a homotopy equivalence  $M' \times \mathbf{R}^q \rightarrow E(\xi^q(u))$ , framed cobordant to  $M' \times \mathbf{R}^q$  in  $E(\xi^q(u)) = E(\xi^q)$ .

**PROPOSITION 2.4** (Sullivan). *Suppose  $u: M_0^k \rightarrow F/0$  extends to a map  $\bar{u}: M^k \rightarrow F/0$ . Then  $du \in bP_k$ . In fact,  $du = bs(\bar{u})$  where  $b: P_k \rightarrow bP_k$  is the natural projection.*

**PROOF.** Represent  $\bar{u}$  by a framing of a connected sum  $M' \# W$  in the vector bundle  $E(\xi(\bar{u}))$  over  $M$  where the projection  $M'_0 \rightarrow M_0$  is a homotopy equivalence and where  $W$  is an almost parallelizable manifold. Then  $s(\bar{u}) = -[W] \in P_n$  where  $P_n$  is regarded as the group of cobordism classes of almost parallelizable  $PL$  manifolds. By smoothing theory, in the complement of a point,  $M' \# W$  inherits a smooth structure from  $E(\xi(\bar{u}))$  and  $\partial(M' \# W)_0 = \partial M_0$ . Then  $du = \partial M'_0 - \partial M_0 = -\partial W_0 = bs(\bar{u}) \in bP_k$ .

**REMARK 2.5.** If  $k = 4n$  and  $u \in [M^{4n}, F/0]$  is represented by  $f: M' \times \mathbf{R}^q \rightarrow E(\xi^q)$ , then

$$s(u) = \left(\frac{1}{8}\right) (\text{index}(M) - \text{index}(M')) = \left(\frac{1}{8}\right) \langle L(M) (1 - L(\xi)), [M^{4n}] \rangle \in \mathbf{Z}$$

since  $\tau_{M'} = f^*(\tau_M + \xi)$ .

If  $k = 4n + 2$  and  $u \in [M^{4n+2}, F/0]$ , there is also a cohomology formula for  $s(u)$ ; namely,

$$s(u) = \langle v^2(M) \cdot u^*(K), [M]_2 \rangle \in \mathbf{Z}_2$$

where  $v(M) = 1 + v_1(M) + v_2(M) + \dots \in H^*(M, \mathbf{Z}_2)$  is the total Wu class, and  $K = k_2 + k_6 + k_{10} + \dots \in H^{4n+2}(F/0, \mathbf{Z}_2)$  is a suitable class [18].

§ 3. *The composition  $qd: [M_0^k, F/0] \rightarrow \Gamma_{k-1} \rightarrow \pi_{k-1}^s / \text{im}(J)$*

Let  $\partial: S^{k-1} \rightarrow M_0^k$  represent the homotopy class of the inclusion of the boundary,  $\partial M_0^k \rightarrow M_0^k$ . Then  $\partial$  induces  $\partial^*: [M_0^k, F/0] \rightarrow [S^{k-1}, F/0] = \pi_{k-1}(F/0)$ . Further, image  $(\partial^*)$  is contained in the torsion subgroup of  $\pi_{k-1}(F/0)$ , which is isomorphic to  $\pi_{k-1}^s / \text{im}(J)$ .

**PROPOSITION 3.1.** *Let  $u \in [M_0^k, F/0]$ . Then*

$$q(du) = \partial^*(u) \in \pi_{k-1}^s / \text{im}(J) \subset \pi_{k-1}(F/0).$$

*Proof.* Let  $u = \theta(h)$ , where  $h: M'_0 \rightarrow M_0$ . Then  $u$  is represented by a fibre homotopy trivialization of  $\xi_0(h) = \xi_0$ , defined by a framing  $H: M'_0 \times \mathbf{R}^q \rightarrow E(\xi_0^q)$ . The restriction of  $\xi_0$  to  $\partial M_0^k$  is trivial. For, if  $k-1 \equiv 0$  or  $4 \pmod{8}$ , the Pontrjagin class of  $\xi_0|_{\partial M_0^k}$  is zero, and if  $k-1 \equiv 1$  or  $2 \pmod{8}$   $\xi_0|_{\partial M_0^k}$  is fibre homotopically trivial. Thus,  $H$  induces a framing  $\partial H: \partial M'_0 \times \mathbf{R}^q \rightarrow \partial M_0 \times \mathbf{R}^q$ , which represents  $\partial^*(u) \in \pi_{k-1}(F/0)$ . It now

follows from the definition of the Kervaire-Milnor map,  $\varrho$ , and a little smoothing theory, that  $\partial^*(u) = \varrho(\partial M'_0 - \partial M_0) = \varrho(du)$ .

**COROLLARY 3.2.** *The composition  $\varrho d: [M_0^k, F/0] \rightarrow \pi_{k-1}^s / \text{im}(J)$  is a homomorphism of groups. Thus, if  $u, v \in [M_0^k, F/0]$  then  $du + dv - d(u+v) \in bP_k \subset \Gamma_{k-1}$ .*

**COROLLARY 3.3.** *Let  $h: M'_0 \rightarrow M_0$  be any degree one map (not necessarily a homotopy equivalence). Then  $\varrho(dh^*(u)) = \varrho(du)$ , where  $u \in [M_0, F/0]$  and  $h^*: [M_0, F/0] \rightarrow [M'_0, F/0]$ . Thus  $dh^*(u) - du \in bP_k \subset \Gamma_{k-1}$ .*

**§ 4. Discussion of  $F/0$ .** If we are to apply the results of § 2 and § 3 (and those in § 5 and § 6 below), we must be able to compute  $[M_0^k, F/0]$ . In general, this is difficult. The following discussion relates the group  $[M_0^k, F/0]$  to more familiar homotopy invariants of  $M_0^k$ .

There are fibrations  $S^0 \xrightarrow{\Omega J} SF \xrightarrow{j} F/0 \xrightarrow{i} BS^0 \xrightarrow{J} BSF$ . These induce an exact sequence of groups

$$K0^{-1}(X) \rightarrow [X, SF] \xrightarrow{j_*} [X, F/0] \xrightarrow{i_*} K0^0(X) \rightarrow J(X) \rightarrow 0$$

for any finite complex  $X$ . Further, since  $SF_{q+1}$  is a component of  $\Omega^q S^q$ ,  $[X, SF] = \lim_{\leftarrow} [S^q \wedge X, S^q] = \pi_0^s(X)$ , as sets, where  $\pi_0^s(X)$  is the 0<sup>th</sup> stable cohomotopy group of  $X$ . Actually,  $\pi_0^s(X)$  is a ring, and, as groups,  $[X, SF] \simeq 1 + \pi_0^s(X)$  where the addition on the right is given by  $(1 + \alpha)(1 + \beta) = 1 + \alpha + \beta + \alpha\beta$  [13].

The Adams conjecture on  $J: K0^0(X) \rightarrow J(X)$  can be stated as follows ([1]):

**4.1** Let  $\xi \in K0^0(X)$ . Then there is an integer,  $e(k, \xi)$ , such that  $J(k^{e(k, \xi)}(\psi^k - 1)(\xi)) = 0$  where  $\psi^k$  is the Adams operation.

Since  $K0^0(X)$  is finitely generated, we may choose  $e(k, \xi) = e(k)$  independent of  $\xi$ . For any function  $e(k)$ , Adams has proved that  $\text{kernel}(J) = i_*([X, F/0])$  is contained in the subgroup of  $K0^0(X)$  generated by the elements  $k^{e(k)}(\psi^k - 1)(\xi)$ ,  $\xi \in K0^0(X)$ . The Adams conjecture 4.1 has recently been proved by Sullivan and Quillen.

**PROPOSITION 4.2.** *If  $K0^0(M^k) \rightarrow K0^0(M_0^k)$  is surjective (e.g., if  $k-1 \not\equiv 1$  or 2 (mod 8) or if  $M^k$  is a spin manifold), then each element  $w \in [M_0^k, F/0]$  can be written as a sum,  $w = u + v$ , where  $u \in \text{image}([M^k, F/0])$  and  $v \in \text{image}([M_0, SF])$ .*

*Proof.*  $J(\xi_0(w)) = J(i_*(w)) = 0$ . It follows that there is an element  $\xi \in K0^0(M^k)$  such that  $J(\xi) = 0$  and  $\xi|_{M_0} = \xi_0(w) = \xi_0$ . Then  $\xi = i_*(\bar{u})$  for some  $\bar{u} \in [M^k, F/0]$ . Let  $u = \bar{u}|_{M_0}$ . Then  $w - u \in \text{kernel}(i_*) = \text{image}(j_*)$ , and 4.2 is proved.

**Remark 4.3.** It is a consequence of the Adams conjecture that for each prime  $p$ , there is a homotopy equivalence  $(F/0)_{(p)} \sim BS0_{(p)} \times \text{Cok}(J)_{(p)}$  where  $X_{(p)}$  denotes the

localization of  $X$  at  $p$ . Moreover,  $SJ_{(p)} \sim \text{im}(J)_{(p)} \times \text{Cok}(J)_{(p)}$ , and the map  $j_{(p)}: SF_{(p)} \rightarrow (F/0)_{(p)}$  is a product map  $j_{(p)} \times \text{Id}: \text{im}(J)_{(p)} \times \text{Cok}(J)_{(p)} \rightarrow BS0_{(p)} \times \text{Cok}(J)_{(p)}$ . This factoring of  $(F/0)_{(p)}$  enables one to also establish the conclusion of 4.2 in the case  $(k-1) \equiv 2 \pmod{8}$ .

**PROPOSITION 4.4.** *If  $u, v \in [M_0^k, F/0]$ , with  $u \in \text{image}([M_0^k, F/0])$  and  $v \in \text{image}([M_0, SF])$ , then  $d(u+v) = du + dv \in \Gamma_{k-1}$ .*

*Proof.* Let  $v = \theta(h)$ , and let  $h^*(u) = \theta(g)$  where  $h: M'_0 \rightarrow M_0$  and  $g: M''_0 \rightarrow M'_0$  are homotopy equivalences. By 2.3,  $\theta(f) = u + v$  where  $f = hg: M''_0 \rightarrow M_0$ . Thus,  $d(u+v) = \partial M''_0 - \partial M_0 = (\partial M''_0 - \partial M'_0) + (\partial M'_0 - \partial M_0) = dh^*(u) + dv$ .

By the hypothesis,  $h: M'_0 \rightarrow M_0$  is a tangential homotopy equivalence. Also, the maps  $M'_0 \xrightarrow{h} M_0 \xrightarrow{u} F/0$  extend to maps  $M' \xrightarrow{h} M \xrightarrow{u} F/0$ . By Proposition 2.4,  $du$  and  $dh^*(u)$  belong to  $bP_k \in \Gamma_{k-1}$ . Since  $h^*(L(M)) = L(M')$  and  $h^*(v^2(M)) = v^2(M')$ , it follows from the formulas in Remark 2.5 that  $du = dh^*(u)$ . Thus  $d(u+v) = dh^*(u) + dv = du + dv$ .

The following is an immediate consequence of Propositions 2.4, 4.2, 4.4, and Remark 4.3, and is one of our main results.

**PROPOSITION 4.5.** *Assume that  $k \not\equiv 2 \pmod{8}$  or that  $M_0^k$  is a spin manifold. Then*

$$\Delta_h(M_0^k) = (\Delta_h(M_0^k) \cap bP_k) + \Delta_{th}(M_0^k) \subset \Gamma_{k-1}.$$

Here, by the sum of the two subsets, we mean all elements  $\Sigma + \Sigma'$  where  $\Sigma \in \Delta_h(M_0^k) \cap bP_k$  and  $\Sigma' \in \Delta_{th}(M_0^k)$ .

*Remark 4.6.* Note that the map  $\partial^*: [M_0^k, SF] \rightarrow \pi_{k-1}(SF) = \pi_{k-1}^s$  is an invariant of the stable homotopy of  $M_0^k$  and can be computed as

$$\partial^*: [S^q \wedge M_0^k, S^q] \rightarrow \pi_{q+k-1}(S^q) = \pi_{k-1}^s, q \text{ large}.$$

We will need the following familiar invariant. Consider the subgroup of elements  $(\xi, \alpha) \in K0^0(X) \otimes \pi_{4k-1}(X)$  such that  $ph_k(\xi) = 0 \in H^{4k}(X, Q)$  and  $\alpha^* = 0: H^{4k-1}(X) \rightarrow H^{4k-1}(S^{4k-1})$ . Let  $\bar{X} = X \bigcup_{\alpha} e^{4k}$ , and let  $\bar{\xi} \in K0^0(\bar{X})$  restrict to  $\xi \in K0^0(X)$ . Then  $ph_k(\bar{\xi}) \in p^*(H^{4k}(S^{4k}, Q)) = Q$ , where  $p: \bar{X} \rightarrow S^{4k}$  is the projection. Further, since  $\bar{\xi}$  is well-defined modulo  $p^*(K0^0(S^{4k}))$ ,  $ph_k(\bar{\xi})$  is well-defined modulo  $p^*(H^{4k}(S^{4k}, a_k Z))$ . It follows that  $e_R(\xi, \alpha) = (1/a_k) ph_k(\bar{\xi}) \in Q/Z$  is a well-defined homomorphism. Moreover, the diagram

$$\begin{array}{ccc} K0^0(X) \otimes \pi_{4k-1}(X) & & \\ \downarrow \mathcal{P} \otimes s & \searrow e_R & \\ K0^0(S^8 \wedge X) \otimes \pi_{4k+7}(S^8 \wedge X) & \nearrow e_R & Q/Z \end{array} \quad (4.7)$$



commutes (when  $e_R$  is defined), where  $\mathcal{P}$  is the periodicity isomorphism and  $s$  is suspension.  $e_R$  can be interpreted as a functional operation from  $K0$ -theory to cohomology. If  $X = S^{8n}$  and  $\xi \in K0^0(S^{8n})$  is a generator, we recover the Adams homomorphism  $e_R: \pi_{8n+4k-1}(S^{8n}) \rightarrow Q/Z$  [2]. If  $X = M_0^{4n}$  and  $\alpha \in \pi_{4n-1}(M_0^{4n})$  represents the inclusion of the boundary, we get a homomorphism  $e_R: K0^0(M_0^{4n}) \rightarrow Q/Z$ .

The following  $K0$ -theory invariant of  $F/0$  bundles will also be essential.

**PROPOSITION 4.8.** *There is an element  $\gamma \in 1 + K0^0(F/0)$  such that  $ph(\gamma) = \hat{A} \in H^{**}(F/0, Q) \simeq H^{**}(BSO, Q)$ . Further, if  $u, v \in [X, F/0]$  then  $\gamma(u+v) = \gamma(u) \cdot \gamma(v) \in 1 + K0^0(X)$ , where by  $\gamma(u)$  we mean  $u^*(\gamma) \in 1 + K0^0(X)$ .*

*Proof.* The universal bundle over  $F/0$  admits a unique spin structure. Thus, the Thom space  $M(F/0)$  has two canonical  $K0$ -theory orientations, namely, an orientation  $U_1 \in K0^0(M(F/0))$  induced from  $M$  Spin, with  $ph(U_1) = \Phi(\hat{A}^{-1}) \in H^{**}(M(F/0), Q)$ , and an orientation,  $U_2$ , with  $ph(U_2) = \Phi(1)$ , induced from the sphere spectrum via a fibre homotopy trivialization. Define  $\gamma \in 1 + K0^0(F/0)$  by the equation  $\gamma \cdot U_1 = U_2 \in K0^0(M(F/0))$ . Then  $\Phi(1) = ph(U_2) = ph(\gamma)ph(U_1) = \Phi(ph(\gamma) \cdot \hat{A}^{-1})$ , hence  $ph(\gamma) = \hat{A}$ .

The second statement follows from universal multiplicative properties of the orientations  $U_1$  and  $U_2$ .

The final three results in this section are technical results about the invariants  $e_R$  and  $\gamma$  which we will need in §5.

Let  $u \in [M_0^k, F/0]$  correspond to a homotopy equivalence  $h: M'_0 \rightarrow M_0$ . Homotope  $h$  to an embedding  $h: M'_0 \rightarrow M_0 \times \mathbb{R}^{8q}$ . The normal bundle of  $M'_0$  in  $M_0 \times \mathbb{R}^{8q}$  is  $h^*(-\xi_0(u))$ , and we have the "collapsing map"  $c: T(e_{M_0}^{8q}) \rightarrow T(h^*(-\xi_0)_{M_0}^{8q})$ . Since  $\xi_0$  is a spin vector bundle there are Thom isomorphisms  $\Phi_{K0}: K0(M'_0) \simeq K0^0(T(h^*(-\xi_0)_{M_0}^{8q}))$  and  $\Phi_{K0} = \mathcal{P}: K0(M_0) \simeq K0^0(T(e_{M_0}^{8q}))$ , and a Gysin homomorphism  $h_*: K0(M'_0) \rightarrow K0(M_0)$  defined by  $h_*(x) = \mathcal{P}^{-1}c^*\Phi_{K0}(x)$ .

**PROPOSITION 4.9.** *If  $u \in [M_0, F/0]$  corresponds to  $h: M'_0 \rightarrow M_0$  then  $h_*(1) = \gamma(u) \in K0(M_0)$ .*

*Proof.* This follows from the definition of  $\gamma(u)$  and the observation that the fibre homotopy trivialization

$$T(\xi_0^{8q} + e_{M_0}^{8q}) \xrightarrow{\bar{c}} T(h^*(\xi_0^{8q}) + h^*(-\xi_0^{8q})) = T(e_{M'_0}^{16q}) \xrightarrow{\pi} S^{16q}$$

represents  $u \in [M_0, F/0]$ , where  $\bar{c}$  is defined by embedding  $M_0 \times \mathbb{R}^{8q} \subset E(\xi_0^{8q}) \times \mathbb{R}^{8q}$  and extending  $c$ , and  $\pi$  is the projection.

**PROPOSITION 4.10(i)** *Let  $u, v \in [M_0^{4n}, F/0]$ . If  $v \in [M_0, PL/0]$  or  $v \in [M_0, SF]$ , then  $e_R(\gamma(u+v)) = e_R(\gamma(u)) + e_R(\gamma(v)) \in Q/Z$ .*

(ii) Suppose  $M_0^{4n}$  is a spin manifold. If  $u \in [M_0^{4n}, SF]$  or  $u \in [M_0^{4n}, PL/0]$ , then  $e_R(\gamma(u)) = e_R(\xi_0(u)) = 0$ .

*Proof.* Let  $\overline{\gamma(u)}, \overline{\gamma(v)} \in K0(M^{4n})$  extend  $\gamma(u), \gamma(v) \in K0(M_0^{4n})$ . By 4.8,  $\gamma(u+v) = \gamma(u) \cdot \gamma(v)$ , so  $\overline{\gamma(v)} \cdot \overline{\gamma(v)} \in K0(M^{4n})$  is an extension of  $\gamma(u+v)$ . Then

$$\begin{aligned} e_R(\gamma(u+v)) &= (1/a_n) \langle ph(\overline{\gamma(u)} \cdot \overline{\gamma(v)}), [M^{4n}] \rangle \\ &= (1/a_n) \langle ph(\overline{\gamma(u)}) ph(\overline{\gamma(v)}), [M^{4n}] \rangle \in Q/Z. \end{aligned}$$

From the assumption, it follows that  $ph(\overline{\gamma(v)}) = 1 + ph_n(\overline{\gamma(v)})$ ; hence

$$\begin{aligned} &(1/a_n) \langle ph(\overline{\gamma(u)}) ph(\overline{\gamma(v)}), [M^{4n}] \rangle \\ &= (1/a_n) \langle ph_n(\overline{\gamma(u)}) + ph_n(\overline{\gamma(v)}), [M^{4n}] \rangle \in Q/Z, \end{aligned}$$

and 4.10(i) follows immediately.

For 4.10(ii), note that the Thom space of the normal bundle of  $M_0$ ,  $T(v_{M_0}^{8q})$ , has a canonical  $K0$ -orientation. This extends to some  $K0$ -orientation,  $U$ , of  $T(v_M^{8q})$ . Then, since there is a degree one map  $S^{8q+4n} \rightarrow T(v_M^{8q})$ , we have

$$(1/a_n) \langle ph(\overline{\gamma(u)} - 1) ph(U), [T(v_M)] \rangle \in \mathbb{Z}.$$

Since  $ph(\overline{\gamma(u)}) - 1 = ph_n(\overline{\gamma(u)})$ , it follows that

$$\begin{aligned} e_R(\gamma(u)) &= (1/a_n) \langle ph_n(\overline{\gamma(u)}), [M^{4n}] \rangle \\ &= (1/a_n) \langle ph_n(\overline{\gamma(u)}) ph(U), [T(v_M)] \rangle = 0 \in Q/Z. \end{aligned}$$

Similarly,  $e_R(\xi_0(u)) = (1/a_n) \langle ph_n(\overline{\xi_0(u)}) ph(U), [T(v_M)] \rangle = 0 \in Q/Z$ , and 4.10(ii) is proved.

**PROPOSITION 4.11.** Let  $u \in [M_0^{4n}, SF]$ . Then  $e_R(\gamma(u)) = e_R(\partial^*(u))$  where  $\partial^*(u) \in \pi_{4n-1}(SF) = \pi_{4n-1}^s$ . Moreover,  $e_R(\gamma(u))$  has order a power of 2.

*Proof.* Let  $v: M_0 \times S^{8q} \rightarrow S^{8q}$  be the adjoint of  $u: M_0 \rightarrow SF_{8q+1}$ , and let  $\alpha \in K0^0(S^{8q})$  be the generator. Then  $\gamma(u) \cdot \pi^*(\alpha) = v^*(\alpha)$ , where  $\pi: M_0 \times S^{8q} \rightarrow S^{8q}$  is the projection. Thus  $v^*(\alpha) - \pi^*(\alpha) = \mathcal{P}(\gamma(u) - 1) \in K0^0(S^{8q} \wedge M_0)$ . It follows that there is a homotopy commutative diagram

$$\begin{array}{ccccc} & S^{8q} \wedge M_0^{4n} & \xrightarrow{\quad} & S^{8q} \wedge M^{4n} & \\ \delta \nearrow & \downarrow v-\pi & \searrow p(\gamma(u)-1) & \downarrow & \searrow \\ S^{8q+4n-1} & & BSO & & S^{8q+4n} \\ & \downarrow \alpha & \nearrow & \downarrow & \\ & S^{8q} & \xrightarrow{\quad} & S^{8q} \cup e^{8q+4n} & \\ & \delta^*(u) & & \delta^*(u) & \end{array}$$

From the definitions and diagram 4.7, one sees that  $e_R(\partial^*(u)) = e_R(\gamma(u))$ .

For the second statement, it is only necessary to observe that there are spin manifolds,  $N_0^{4n}$ , with  $\partial N_0^{4n} = S^{4n-1}$ , and maps  $g: N_0^{4n} \rightarrow M_0^{4n}$ ,  $\partial N_0^{4n} \rightarrow M_0^{4n}$  of degree a power of 2, say  $2^r$ . Then  $2^r e_R(\gamma(u)) = 2^r e_R(\partial^*(u)) = e_R(2^r \partial^*(u)) = e_R(\partial^*(g^*(u))) = e_R(\gamma(g^*(u))) = 0$ , by 4.10(ii).

§ 5. *The composition*  $f_R d: [M_0^{4n}, F/0] \rightarrow \mathbf{Z}/\theta_n$ . The invariant  $f_R: \Gamma_{4n-1} \rightarrow \mathbf{Z}/\theta_n$  is defined as follows. Given  $\Sigma^{4n-1} \in \Gamma_{4n-1}$ , let  $\Sigma^{4n-1} = \partial W_0^{4n}$ , where  $W_0^{4n}$  is a smooth spin manifold such that the decomposable Pontryagin numbers of  $W^{4n}$  vanish. Then

$$f_R(\Sigma^{4n-1}) = (\frac{1}{8}) \text{ index } (W^{4n}) \in \mathbf{Z}/\theta_n \cdot \mathbf{Z}.$$

(It is proved in [9] that such manifolds  $W_0^{4n}$  exist and that  $f_R$  is well-defined.)

It will be convenient to regard  $f_R$  as a homomorphism  $f_R: \Gamma_{4n-1} \rightarrow \mathbf{Q}/\mathbf{Z}$ . Namely, define  $f_R(\Sigma^{4n-1}) = (\frac{1}{8}\theta_n) \text{ index } (W^{4n}) \in \mathbf{Q}/\mathbf{Z}$ , where  $W^{4n}$  is as above.

Recall that the  $L$ -genus is given by

$$L_n(p_1 \dots p_n) = (8\theta_n p_n / a_n (2n-1)! j_n) + L_n(p_1 \dots p_{n-1}, 0).$$

PROPOSITION 5.1. *Let*  $u \in [M_0^{4n}, F/0]$ . *Then*

$$f_R(du) = (\frac{1}{8}\theta_n) \langle L(M) (1 - L(\xi)), [M^{4n}] \rangle \in \mathbf{Q}/\mathbf{Z},$$

where  $L(\xi) = L(p_1(\xi_0(u)) \dots p_{n-1}(\xi_0(u)), p_n(\xi))$  and  $p_n(\xi)/a_n(2n-1)! j_n \in \mathbf{Q}/\mathbf{Z}$  is determined (formally) by the equations

$$(1/a_n) \langle \hat{A}(\xi), [M^{4n}] \rangle = e_R(\gamma(u)) \in \mathbf{Q}/\mathbf{Z}$$

and

$$(1/a_n) \langle ph(\xi), [M^{4n}] \rangle = e_R(\xi_0(u)) \in \mathbf{Q}/\mathbf{Z}.$$

The proof of Proposition 5.1 will require some preliminary results.

First, note that since

$$(1/a_n) \hat{A}_n(p_1 \dots p_n) = (-\text{num}(B_n/4n) p_n / a_n (2n-1)! j_n) + \hat{A}_n(p_1 \dots p_{n-1}, 0)$$

and

$$(1/a_n) ph_n(p_1 \dots p_n) = ((-1)^{n-1} j_n p_n / a_n (2n-1)! j_n) + ph_n(p_1 \dots p_{n-1}, 0),$$

and since  $\text{num}(B_n/4n)$  and  $j_n = \text{denom}(B_n/4n)$  are relatively prime, it follows that the equations in 5.1 for  $p_n(\xi)/a_n(2n-1)! j_n \in \mathbf{Q}/\mathbf{Z}$  have at most one solution.

Secondly, the computation of  $p_n(\xi)/a_n(2n-1)! j_n$  in Proposition 5.1 is purely formal. That is, we do not assert the existence of a vector bundle  $\xi$  with the properties indicated. However, Proposition 5.1 and Remark 2.5 are closely related. If  $u \in [M_0^{4n}, F/0]$  extends to  $\bar{u} \in [M^{4n}, F/0]$ , then  $\xi = \xi(\bar{u})$  is an extension of  $\xi_0 = \xi_0(u)$ . Remark 2.5 asserts that

$f_R(du) = (\frac{1}{2}\theta_n) \langle L(M)(1 - L(\xi)), [M^{4n}] \rangle \in Q/Z$ . Moreover,  $\gamma(\bar{u}) \in K0(M)$  extends  $\gamma(u) \in K0(M_0)$ , hence  $e_R(\gamma(u)) = (1/a_n) \langle ph(\gamma(\bar{u})), [M] \rangle = (1/a_n) \langle \hat{A}(\xi), [M] \rangle$  and also, of course,  $e_R(\xi_0) = (1/a_n) \langle ph(\xi), [M] \rangle$ .

Recall that the image of the Adams homomorphism  $e_R: \pi_{4n-1}^s \rightarrow Q/Z$  consists of integral multiples of  $1/j_n = 1/\text{denom}(B_n/4n)$  [2]. Thus, there is a unique homomorphism  $\tilde{e}_R: \pi_{4n-1}^s \rightarrow Q/Z$ , defined by  $\text{num}(B_n/4n) \tilde{e}_R(\alpha) = e_R(\alpha)$ . If  $\alpha$  is the image of the generator of  $\pi_{4n-1}(S0) = \mathbb{Z}$ , then  $e_R(\alpha) = (B_n/4n) = \text{num}(B_n/4n)/\text{denom}(B_n/4n)$ . Thus,  $\tilde{e}_R$  is a normalization of  $e_R$ , with  $\tilde{e}_R(\alpha) = 1/j_n$ .

**PROPOSITION 5.2.** *If  $u \in [M_0^{4n}, SF]$ , then  $f_R(du) = \tilde{e}_R(\partial^*(u)) \in Q/Z$ . In particular,  $f_R(du)$  has order a power of 2.*

*Proof.* Represent  $u$  by a tangential homotopy equivalence  $h_0: M'_0 \rightarrow M_0$ . Let  $h$  denote the obvious extension  $h: M' \rightarrow M$ . Then  $\tau_{M'} = h^*(\tau_M + p^*(\sigma))$  as  $PL$  bundles, where  $p: M^{4n} \rightarrow S^{4n}$  is a map of degree one and  $\sigma \in \pi_{4n}(BSPL)$ . Since  $h_0$  is a tangential homotopy equivalence, and since  $\text{index}(M') = \text{index}(M)$ , it is easy to see that the Pontrjagin class  $p_n(\sigma) = 0$ . That is,  $\sigma$  is a torsion element of  $\pi_{4n}(BSPL)$ . Further,  $J_{PL}(\sigma) = \partial^*(u)$ , where  $J_{PL}: \pi_{4n}(BSPL) \rightarrow \pi_{4n}(BSF) = \pi_{4n-1}^s$ , and  $\beta(\sigma) = du$ , where  $\beta: \pi_{4n}(BSPL) \rightarrow \pi_{4n-1}(PL/0) = \Gamma_{4n-1}$ . It then follows from [9; Theorems 4.7, 4.8] that  $\text{num}(B_n/4n) f_R(du) = e_R(\partial^*(u))$ . This relation, together with 4.11, proves Proposition 5.2.

Note that if  $u \in [M_0^{4n}, SF]$ , then Proposition 5.1 asserts that  $f_R(du) = -p_n(\xi)/a_n(2n-1)!j_n \in Q/Z$ , where

$$(1/a_n) \langle \hat{A}(\xi), [M^{4n}] \rangle = -\text{num}(B_n/4n) p_n(\xi)/a_n(2n-1)!j_n = e_R(\gamma(u)) \in Q/Z.$$

Thus 5.2 and 4.11 imply 5.1 in the case  $u \in [M_0^{4n}, SF]$ .

**COROLLARY 5.3(i).** *The map  $d: [M_0^{4n}, SF] \rightarrow \Gamma_{4n-1}$  is a group homomorphism.*  
(ii) *If  $h: M'_0 \rightarrow M_0$  is any degree one map, then the diagram*

$$\begin{array}{ccc} [M_0, SF] & \xrightarrow{d} & \Gamma_{4n-1} \\ \downarrow h^* & \nearrow d & \\ [M'_0, SF] & & \end{array}$$

*commutes.*

*Proof.* This follows from 5.2 and 3.1 since  $f_R \oplus \varrho: \Gamma_{4n-1} \rightarrow \mathbb{Z}_{\theta_n} \oplus (\pi_{4n-1}^s/\text{im}(J))$  is an isomorphism.

**COROLLARY 5.4.** *If  $u \in [M_0^{4n}, F/0]$  and  $v \in [M_0^{4n}, SF]$ , then  $d(u+v) = du + dv$ .*

*Proof.* This follows from 4.2, 4.4 and 5.3(i).

We can also prove Proposition 5.1. By 2.5 and 5.2, Proposition 5.1 is true if

$u \in \text{image}([M^{4n}, F/0])$  or if  $u \in \text{image}([M_0^{4n}, SF])$ . By 4.4, it suffices to prove that

$$(\tfrac{1}{8}\theta_n) \langle L(M)(1 - L(\xi(u+v))), [M^{4n}] \rangle$$

$$(\tfrac{1}{8}\theta_n) \langle L(M)(1 - L(\xi(u))), [M^{4n}] \rangle + (\tfrac{1}{8}\theta_n) \langle L(M)(1 - L(\xi(v))), [M^{4n}] \rangle$$

if  $u \in \text{image}([M^{4n}, F/0])$  and  $v \in \text{image}([M_0^{4n}, SF])$ . Since  $L(\xi(v)) = 8\theta_n p_n(\xi(v))/a_n(2n-1)!j_n$ , this is equivalent to proving that  $p_n(\xi(u+v))/a_n(2n-1)!j_n = p_n(\xi(u))/a_n(2n-1)!j_n + p_n(\xi(v))/a_n(2n-1)!j_n$ . But, by 4.10(i),  $e_R(\gamma(u+v)) = e_R(\gamma(u)) + e_R(\gamma(v))$ , and, of course,  $e_R(\xi_0(u+v)) = e_R(\xi_0(u+v)) = e_R(\xi_0(u)) + e_R(\xi_0(v))$ . The equations given in 5.1 which determine  $p_n(\xi)/a_n(2n-1)!j_n$  now yield the desired additivity result.

Remark 4.6 and Propositions 3.1 and 5.2 show that  $\Delta_{th}(M_0^{4n})$  is computable in terms of the stable homotopy theory invariant  $\partial^*: [S^q \wedge M_0^{4n}, S^q] \rightarrow \pi_{q+4n-1}(S^q) = \pi_{4n-1}^s$ . Proposition 2.4 and Remark 2.5, together with the Adams conjecture, show that  $\Delta_h(M_0^{4n}) \cap bP_{4n}$  is computable in terms of  $L(M)$  and  $ph(KO(M^{4n})) \subset H^{**}(M^{4n}, Q)$ . Thus,  $\Delta_h(M_0^{4n}) = (\Delta_h(M_0^{4n}) \cap bP_{4n}) + \Delta_{th}(M_0^{4n})$  is computable in terms of familiar invariants.

It is interesting that by using the Riemann-Roch theorem for spin maps, Proposition 5.1 can be proved without using Proposition 4.2 or the Adams conjecture. Then 3.1 and 5.1 provide, in a sense, a homotopy theoretic computation of the geometric map  $d: [M_0^{4n}, F/0] \rightarrow \Gamma_{4n-1}$ . However, use of the Adams conjecture gives the more practical description of  $\Delta_h(M_0^{4n})$  above.

We now give some corollaries of the results above.

**COROLLARY 5.5(i).** *If  $M_0^{4n}$  is a spin manifold and  $u \in [M_0^{4n}, SF]$  or  $u \in [M_0^{4n}, PL/0]$ , then  $f_R(du) = 0$ . Hence  $du \in \pi_{4n-1}^s / \text{im}(J) \subset \Gamma_{4n-1}$ .*

(ii) *If  $M_0^{4n}$  is a weakly complex manifold and  $u \in [M_0^{4n}, SF]$ , then  $a_n f_R(du) = 0$ .*

*Proof.* In the notation of Proposition 5.1, it follows from 4.10(ii) that  $p_n(\xi)/a_n(2n-1)!j_n = 0$ . Hence,  $L(\xi) = 1$  and  $f_R(du) = 0$ .

We will give an alternate proof of 5.5(i). Let  $h: M'_0 \rightarrow M_0$  represent  $u$ . Then  $h^*(\tau_{M_0}) = \tau_{M'_0}$  as vector bundles if  $u \in [M_0, SF]$ , and as  $PL$  bundles if  $u \in [M_0, PL/0]$ . In either case,  $W_0 = M'_0 \# (-M_0)$  is a spin manifold,  $\partial W_0 = \partial M'_0 - \partial M_0$ , and all the Pontrjagin numbers of  $W$ , including  $p_n(W)$ , vanish. Then  $f_R(du) = f_R(\partial M'_0 - \partial M_0) = (\tfrac{1}{8}\theta_n) \text{index}(W) = 0$ .

5.5(ii) can be proved by an argument similar to the second proof of 5.5(i). Namely, if  $M_0$  is weakly complex and  $M'_0, W_0$  are as above, then  $M'_0$  and  $W_0$  are weakly complex, and all the Chern numbers of  $W$  vanish. An invariant  $f_c: \Gamma_{4n-1} \rightarrow Q/Z$  is defined in [9], using weakly complex manifolds instead of spin manifolds, and  $f_c = a_n f_R$ . It follows that  $0 = f_c(du) = a_n f_R(du)$ .

**COROLLARY 5.6.** *If  $u \in [M_0^{4n}, PL/0]$ , then  $\text{num } (B_n/4n) f_R(du) = e_R(\gamma(u))$ , and  $f_R(du)$  has order a power of 2.*

*Proof.* The first statement follows from Proposition 5.1, since  $f_R(du) = -p_n(\xi)/a_n(2n-1)!j_n \in Q/Z$  and  $(1/a_n) \langle \hat{A}(\xi), [M^{4n}] \rangle = -\text{num } (B_n/4n) p_n(\xi)/a_n(2n-1)!j_n = e_R(\gamma(u)) \in Q/Z$ .

For the second statement, let  $g: N_0^{4n} \rightarrow M_0^{4n}$ ,  $\partial M_0^{4n}$  be a map of degree  $2^r$  where  $N_0^{4n}$  is a spin manifold. Then  $2^r f_R(du) = f_R(dg^*(u)) = 0$  by 5.5(i).

**COROLLARY 5.7.** *If  $M_0^{4n}$  is a spin manifold with  $f_R(\partial M_0^{4n}) \neq 0$  (or if  $M_0^{4n}$  is any manifold and  $f_R(\partial M_0^{4n})$  has order not a power of 2), then  $0 \notin B_{th}(M_0^{4n})$  and  $0 \notin B_c(M_0^{4n})$ ; that is,  $M_0^{4n}$  is not tangentially homotopy equivalent or combinatorially equivalent to a smooth manifold.*

*Proof.* This follows from 5.2 and 5.6.

Here is an example to show that  $f_R d: [M_0^{4n}, SF] \rightarrow Z_{\theta_n}$  is not zero in general. Adams has defined elements  $\mu_k \in \pi_{8k+2}^s$  such that  $2\mu_k = 0$ ,  $\mu_k \eta \neq 0$  and  $\mu_k \eta \in \text{im}(J) \subset \pi_{8k+3}^s$  [2]. If  $M^{8k+4}$  is not a spin manifold (for example,  $M^{8k+4} = \mathbb{C}P(4k+2)$ ), choose  $x \in H^{8k+2}(M, Z_2)$  such that  $S_q^2(x) \neq 0$  and let  $g: M_0 \rightarrow S^{8k+2}$  be a map such that  $g^*(\sigma) = x$ , where  $\sigma \in H^{8k+2}(S^{8k+2})$ . Then the composition  $S^{8k+3} \xrightarrow{\partial} M_0^{8k+4} \xrightarrow{g} S^{8k+2} \xrightarrow{\mu_k} SF$  represents  $\partial^*(\mu_k g) = \mu_k \eta$ , since  $g\partial = \eta$ . Since  $\tilde{e}_R(\mu_k \eta) = \frac{1}{2} \in Q/Z$ , 5.2 implies  $f_R(d(\mu_k g)) = \frac{1}{2} \in Q/Z$ .

In [10] we showed that the element  $\mu_k$  could, in fact, be defined in  $\pi_{8k+2}(SPL)$ . Thus, in the example above, we actually have  $u = \mu_k g \in [M_0^{8k+4}, SPL]$  and  $du \in \Delta_{tc}(M_0^{8k+6})$  is the element of order 2 in  $bP_{8k+4}$ . I do not know of an example of  $u \in [M_0^{4n}, SF]$  or  $u \in [M_0^{4n}, PL/0]$  such that  $a_n \cdot f_R(du) \neq 0$ .

We next give a somewhat simpler formula for  $f_R d: [M_0^{4n}, F/0] \rightarrow Z_{\theta_n}$ , when  $M_0^{4n}$  is a spin manifold, generalizing 5.5(i).

**COROLLARY 5.8.** *Let  $u \in [M_0^{4n}, F/0]$ , where  $M_0^{4n}$  is a spin manifold. Then  $f_R(du) = (\frac{1}{8}\theta_n) \langle L(M)(1-L(\xi)), [M] \rangle \in Q/Z$ , where  $L(\xi)$  is as in 5.1 and  $(p_n(\xi)/a_n(2n-1)!j_n) \in Q/Z$  is determined by the equations*

$$(1/a_n) \langle (\hat{A}(\xi) - 1) \hat{A}(M), [M] \rangle = 0 \in Q/Z$$

and

$$(1/a_n) \langle ph(\xi) \hat{A}(M), [M] \rangle = 0 \in Q/Z.$$

*Proof.* This follows from 4.4, 5.5(i), and 2.4, and the Riemann-Roch Theorem for manifolds with framed boundary.

The point of 5.8 is that for spin manifolds,  $f_R(du)$  depends only on the Pontrjagin classes of  $M_0^{4n}$  and  $\xi_0(u)$ , and not on the  $KO$ -theory invariants  $\gamma(u)$  and  $\xi_0(u)$ . This is because if  $W_0 = M_0' \# (-M_0)$  then  $W_0$  is a spin manifold,  $\partial W_0 = \partial M_0' - \partial M_0$ , and the

Pontrjagin numbers of  $W$ , including  $p_n(W)$ , are functions of the Pontrjagin classes of  $M_0$  and  $\xi_0(u)$ . Thus  $f_R(du) = f_R(\partial W_0)$  can be computed in terms of Pontrjagin classes alone. 5.8 gives a specific formula.

In the next result, we study the deviation of  $d: [M_0^{4n}, F/0] \rightarrow \Gamma_{4n-1}$  from linearity.

**COROLLARY 5.9.** *Let  $u, v \in [M_0^{4n}, F/0]$ . Then*

$$\begin{aligned} du + dv - d(u + v) &= \left(\frac{1}{8}\right) \langle L(M) (L(\xi_0(u)) - 1) (L(\xi_0(v)) - 1), [M] \rangle \\ &\in \mathbf{Z}/\theta_n \mathbf{Z} = bP_{4n}. \end{aligned}$$

*Proof.* By 3.2, it suffices to prove that

$$\begin{aligned} f_R(du) + f_R(dv) - f_R(d(u + v)) &= \left(\frac{1}{8}\theta_n\right) \langle L(M) (L(\xi_0(u)) - 1) \\ &\quad \times (L(\xi_0(v)) - 1), [M] \rangle \in Q/\mathbf{Z}. \end{aligned}$$

By 4.4 and 5.3(i), we may assume that  $u, v \in \text{image}([M^{4n}, F/0])$ . The formula now follows from 2.4 since  $L(\xi(u + v)) = L(\xi(u)) L(\xi(v))$ , hence

$$\begin{aligned} L(\xi(u + v)) - 1 &= (L(\xi(u)) - 1) (L(\xi(v)) - 1) + (L(\xi(u)) - 1) + (L(\xi(v)) - 1) \\ &= (L(\xi_0(u)) - 1) (L(\xi_0(v)) - 1) + (L(\xi(u)) - 1) \\ &\quad + (L(\xi(v)) - 1). \end{aligned}$$

Finally, we investigate the non-commutativity of  $d$  with maps.

**COROLLARY 5.10.** *Let  $u \in [M_0^{4n}, F/0]$  and let  $h: M'_0 \rightarrow M_0$  be a map of degree one. Then*

$$\begin{aligned} dh^*(u) - du &= \left(\frac{1}{8}\right) \langle (h^*(L(M)) - L(M')) (h^*L(\xi_0(u)) - 1), [M'] \rangle \\ &\in \mathbf{Z}/\theta_n \mathbf{Z} = bP_{4n}. \end{aligned}$$

*Proof.* By 3.3 it suffices to compute  $f_R(dh^*(u)) - f_R(du)$ . By 4.4 and 5.3(ii) we may assume that  $u$  extends to  $\tilde{u} \in [M^{4n}, F/0]$ . Then, by 2.4

$$\begin{aligned} f_R(dh^*(u)) - f_R(du) &= \left(\frac{1}{8}\theta_n\right) \langle (h^*L(M) - L(M')) \cdot (L(\xi(h^*(u))) - 1), [M'] \rangle \\ &= \left(\frac{1}{8}\theta_n\right) \langle (h^*L(M) - L(M')) \cdot (L(\xi_0(h^*(u))) - 1), [M'] \rangle \in Q/\mathbf{Z}. \end{aligned}$$

**COROLLARY 5.11.** *If  $h: M'_0 \rightarrow M_0$  is a degree one map of  $4n$ -manifolds which corresponds rational Pontrjagin classes, then the diagram*

$$\begin{array}{ccc} [M_0, F/0] & \searrow_d & \Gamma_{4n-1} \\ h^* \downarrow & & \\ [M'_0, F/0] & \nearrow_d & \end{array}$$

*commutes. Thus, if  $h$  is a homotopy equivalence which corresponds rational Pontrjagin classes then  $\Delta_h(M_0) = \Delta_n(M'_0)$ .*

§ 6. *The composition*  $f_R d: [M_0^{8n+2}, F/0] \rightarrow \mathbb{Z}_2$ . In this section we consider spin manifolds of dimension  $8n+2$ . The main result is Proposition 6.5.

In [4],  $K0$ -characteristic numbers  $\pi^J(M^{8n+2}) \in \mathbb{Z}_2$ , where  $J = (j_1 \dots j_r)$  and  $\pi^J = \pi^{j_1} \dots \pi^{j_r} \in K0^0(BSO)$  are defined for smooth spin manifolds. In [10], the definition is extended to almost smooth manifolds, provided that  $J \neq (0)$ . Roughly, this is done as follows.

Let  $M_0^{8n+2}$  be a spin manifold with  $\partial M_0^{8n+2} \in \Gamma_{8n+1}$ . Since  $v_{M_0}^{8q}$  is a spin vector bundle, the Thom space  $T(v_{M_0}^{8q})$  has a canonical  $K0$ -orientation. This extends to a  $K0$ -orientation  $U_M \in K0^0(T(v_M^{8q}))$ . Also,  $v_{M_0}$  extends to a vector bundle  $v_M^*$  over  $M$  and we have  $v_M = v_M^* + p^*(\sigma)$  as  $PL$  bundles, where  $p: M^{8n+2} \rightarrow S^{8n+2}$  is a map of degree one and  $\sigma \in \pi_{8n+2}(BSPL)$ . Moreover,  $v_M^*$  is well-defined by the additional assumption that  $e_R J_{PL}(\sigma) = 0$ , where  $J_{PL}: \pi_{8n+2}(BSPL) \rightarrow \pi_{8n+2}(BSF) = \pi_{8n+1}^s$  is the  $PL$   $J$ -homomorphism and  $e_R: \pi_{8n+1}^s \rightarrow \mathbb{Z}_2$  is the homomorphism defined by Adams, which splits off image( $J$ ) as a direct summand [2]. Set

$$\pi^J(M^{8n+2}) = c^* \Phi_{K0}(\pi^J(v_M^*)) \in K0^0(S^{8q+8n+2}) = \mathbb{Z}_2,$$

where  $\Phi_{K0}: K0(M) \simeq K0^0(T(v_M^{8q}))$  is the Thom isomorphism defined by multiplication by  $U_M$ , and  $c: S^{8q+8n+2} \rightarrow T(v_M^{8q})$  is the map of degree one defined by an embedding  $M^{8n+2} \rightarrow S^{8q+8n+2}$ . If  $J \neq (0)$ , the  $K0$ -operation  $\pi^J$  has filtration greater than zero, hence the product  $\pi^J(v_M^*) \cdot U_M \in K0^0(T(v_M^{8q}))$  is independent of the choice of the extension  $U_M$ .

We will also use the notation

$$\pi^J(M^{8n+2}) = \langle \pi^J(v_M^*), [M]_{K0} \rangle \in \mathbb{Z}_2$$

where  $[M]_{K0}$  is the fundamental  $K0$ -homology class dual to  $U_M$ .

E. Brown has defined a homomorphism  $\psi: \Omega_{\text{spin}}^{8n+2} \rightarrow \mathbb{Z}_2$ , extending the Kervaire-Arf invariant  $\Omega_{\text{framed}}^{8n+2} \rightarrow \mathbb{Z}_2$  [7]. In fact, Brown's definition of  $\psi$  applies to  $PL$  manifolds  $M^{8n+2}$ , with  $w_1(M) = w_2(M) = 0$ . From the main results of [4], it follows that for smooth  $M^{8n+2}$ ,

$$\psi(M^{8n+2}) = \sum \alpha_J \cdot \pi^J(M^{8n+2}) + \sum \beta_I \cdot w^I(M^{8n+2}) \in \mathbb{Z}_2$$

where  $\alpha_J, \beta_I \in \mathbb{Z}_2$ ,  $J = (j_1 \dots j_r)$ ,  $1 \leq j_1 \leq \dots \leq j_r$ , and the  $w^I$  are Stiefel-Whitney numbers.

**LEMMA 6.1.** *The coefficients  $\beta_I, \alpha_J$  can be chosen such that  $\alpha_J = 0$  if  $n(J) = j_1 + \dots + j_r \neq 2n$  and  $\sum_{n(J)=2n} \alpha_J \pi^J \equiv (L^{-1})_{2n}(0, \pi^2 \dots \pi^{2n}) \pmod{2}$  where  $L = 1 + L_1 + L_2 + \dots$  is the Hirzebruch  $L$ -polynomial.*

*Proof.* We only outline the proof of this lemma, and refer to [4] and [8] for details. The homotopy elements in  $\pi_{8n+2}(M \text{ spin})$  which have Adams spectral sequence



filtration greater than 2 are precisely the classes  $\{M^{8n+2}\}$  with  $w^I(M^{8n+2}) = \pi^J(M^{8n+2}) = 0$  for  $n(J) \geq 2n$ . It can be shown that  $\psi(\{M^{8n+2}\}) = 0$  if  $\{M^{8n+2}\} \in \Omega_{\text{spin}}^{8n+2} = \pi_{8n+2}(M \text{ spin})$  represents such a homotopy element. Thus  $\alpha_J = 0$  if  $n(J) < 2n$ . If  $n(J) = 2n+1$ , then the  $K0$ -characteristic number  $\pi^J$  coincides with a Stiefel-Whitney number for all  $(8n+2)$ -spin manifolds. Thus we may choose the coefficients  $\beta^I$  such that  $\alpha_J = 0$ . Finally, if  $T^2$  is the torus with the exotic spin structure and  $N^{8n}$  is a spin manifold, then  $\psi(N^{8n} \times T^2) = \text{index}(N^{8n}) \pmod{2}$ . Since the Stiefel-Whitney numbers of  $N^{8n} \times T^2$  vanish, it follows that  $\Sigma_{n(J)=2n} \alpha_J \pi^J = (L^{-1})_{2n}(0, \pi^2 \dots \pi^{2n})$ .

Let  $b \text{ spin}_{8n+2} \subset \Gamma_{8n+1}$  be the subgroup consisting of homotopy spheres that bound spin manifolds. In [10], we showed that  $\Gamma_{8n+1} = b \text{ spin}_{8n+2} \oplus \mathbb{Z}_2$ . An invariant  $f_R: b \text{ spin}_{8n+2} \rightarrow \mathbb{Z}_2$ , splitting off  $\mathbb{Z}_2 = bP_{8n+2} \subset b \text{ spin}_{8n+2}$  as a direct summand, can be defined as follows. Given  $\Sigma^{8n+1} \in b \text{ spin}_{8n+2}$ , let  $\Sigma^{8n+1} = \partial M_0^{8n+2}$ , where  $M_0^{8n+2}$  is a spin manifold such that all the Stiefel-Whitney numbers of  $M^{8n+2}$  vanish. Then

$$f_R(\Sigma^{8n+1}) = \psi(M^{8n+2}) - (L^{-1})_{2n}(0, \pi^2 \dots \pi^{2n})(M^{8n+2}) \in \mathbb{Z}_2.$$

Let  $h: M'_0 \rightarrow M_0$  be a homotopy equivalence with  $\theta(h) = u \in [M_0^{8n+2}, F/0]$ . The spin structure on  $M_0$  induces a spin structure on  $M'_0$  and, since  $h: M'_0 \rightarrow M_0$  is a homotopy equivalence,  $\psi(M') = \psi(M)$ . Further  $h^*(w^I(M)) = w^I(M')$ , hence

$$f_R(du) = f_R(\partial M'_0 - \partial M_0) = (L^{-1})_{2n}(M) - (L^{-1})_{2n}(M') \in \mathbb{Z}_2.$$

We now seek a formula expressing the  $K0$ -characteristic numbers of  $M'$  in terms of invariants of  $M$  and of the map  $u: M_0^{8n+2} \rightarrow F/0$ .

**PROPOSITION 6.2.** *Let  $u \in [M_0^{8n+2}, F/0]$  correspond to the homotopy equivalence  $h: M'_0 \rightarrow M_0$ , where  $M_0$  is a spin manifold. Then*

$$\pi^J(M') = \langle \pi^J(v_M^* - \xi_0^*(u)) \gamma^*(u), [M]_{K0} \rangle \in \mathbb{Z}_2$$

where  $h^*(v_M^* - \xi_0^*(u)) = v_{M'}^* \in K0^0(M')$  and  $\gamma^*(u) \in K0(M)$  extends  $\gamma(u) \in K0(M_0)$ .

*Proof.* Homotope  $h: M' \rightarrow M$  to an embedding  $h: M' \rightarrow M \times \mathbb{R}^{8q}$ . The  $PL$  normal bundle of  $M'$  in  $M \times \mathbb{R}^{8q}$  is  $h^*((-\xi)^{8q})$ , where  $h^*(v_M - \xi) = v_{M'}$ . By the  $h$ -cobordism theorem, the embedding  $h$  extends to a  $PL$  isomorphism  $H: E(h^*(-\xi)^{8q}) \simeq M \times \mathbb{R}^{8q}$ . Let  $c_1 = H^{-1}: T(e_M^{8q}) \rightarrow T(h^*(-\xi)^{8q}_{M'})$  be the induced collapsing map.

Now,  $\xi|_{M_0} = \xi_0(u) = \xi_0$  and the canonical  $K0$ -orientation of the Thom space  $T(h^*(-\xi_0)^{8q}_{M_0})$  extends to a  $K0$ -orientation  $U \in K0^0(T(h^*(-\xi)^{8q}_{M'}))$ . For,  $h^*(-\xi) = v_{M'} - h^*(v_M) = (v_{M'}^* - h^*(v_M^*)) + (p')^*(\sigma' - \sigma)$ , where  $p': M' \rightarrow S^{8n+2}$ , and the Thom space of the  $PL$  bundle  $\sigma' - \sigma$  over  $S^{8n+2}$  is  $K0$ -orientable. Further, by 4.9,  $c_1^*(U) \in K0^0(T(e_M^{8q}))$  restricts to  $\Phi_{K0}(\gamma(u)) \in K0^0(T(e_{M_0}^{8q}))$ .

There is a homotopy commutative diagram

$$\begin{array}{ccc}
 & S^{16q+8n+2} & \\
 \swarrow c & & \searrow c' \\
 T(v_M^{16q}) & \xrightarrow{\quad} & T(v_{M'}^{16q}) \\
 \downarrow \Delta & & \downarrow (h \times Id)\Delta \\
 T(v_M^{8q}) \wedge T(e_M^{8q}) & \xrightarrow{Id \wedge c_1} & T(v_M^{8q}) \wedge T(h^*(-\xi)_{M'}^{8q})
 \end{array}$$

where the diagonal  $\Delta: M \rightarrow M \times M$  and the composition  $(h \times Id)\Delta: M' \rightarrow M' \times M' \rightarrow M \times M'$  are covered by bundle maps  $\Delta: v_M^{16q} \rightarrow v_M^{8q} \times e_M^{8q}$  and  $(h \times Id)\Delta: v_{M'}^{16q} \rightarrow v_M^{8q} \times h^*(-\xi)_{M'}^{8q}$ .

The proof of homotopy commutativity is similar to the proof of 2.3 and will be omitted.

We thus have

$$\begin{aligned}
 \pi^J(M') &= (c')^*(\pi^J(v_{M'}^*) \cdot U_{M'}) = (c')^*(h^*(\pi^J(v_M^* - \xi_0^*)) \cdot U_{M'}) \\
 &= (c')^*(\Delta^*(h \times Id)^*((\pi^J(v_M^* - \xi_0^*) \cdot U_M) \cdot U)) \\
 &= c^*(\Delta^*(\pi^J(v_M^* - \xi_0^*) \cdot U_M \cdot c_1^*(U))) \\
 &= c^*(\pi^J(v_M^* - \xi_0^*) \cdot \gamma^*(u) \cdot \Delta^*(U_M \cdot \Phi_{K0}(1))) = c^*\Phi_{K0}(\pi^J(v_M^* - \xi_0^*) \cdot \gamma^*(u))
 \end{aligned}$$

and Theorem 6.2 is proved.

LEMMA 6.3. *If  $n(J) = 2n$  then*

$$\langle \pi^J(v_M^* - \xi_0^*(u)) \cdot \gamma^*(u), [M]_{K0} \rangle = \langle \pi^J(v_M^*) \cdot \gamma^*(u), [M]_{K0} \rangle \in \mathbb{Z}_2.$$

*Proof.* It suffices to prove that  $\pi^J(v_M^* - \xi_0^*) \equiv \pi^J(v_M^*) \pmod{2}$  in  $K0^0(M)$ .

First,  $\pi^J(v_M^* - \xi_0^*)$  is independent of the choice of  $\xi_0^*$ , extending  $\xi_0 \in K0^0(M_0)$ . For, if  $\alpha = p^*(\sigma)$ , where  $\sigma \in K0^0(S^{8n+2})$ , and  $\eta \in K0^0(M)$  then  $\pi^J(\eta + \alpha) = \Sigma \pi^{J'}(\eta) \pi(\alpha)$ . But if  $J' \neq (0)$ ,  $\pi^{J'}(\eta) \pi^{J''}(\alpha) = 0$  unless  $J'' = J$ , and  $\pi^J(\alpha) = 0$  unless  $J = (2n)$ , since products of elements of high filtration vanish. But also  $\pi^{(2n)}(\sigma) = 0$  because  $\sigma = \mu \eta^2$ , where  $\mu \in K0^0(S^{8n})$  and  $\eta^2: S^{8n+2} \rightarrow S^{8n}$ , and  $\pi^{(2n)}(\mu) = (4n-1)!\mu$ . Thus  $\pi^J(\eta + \alpha) = \pi^J(\eta)$ .

Secondly, since  $J(\xi_0) = 0$ ,  $\xi_0 = \Sigma_k k^e (\psi^k - 1) (\xi_k)$  for some (arbitrarily) large integer  $e$  and  $\xi_k \in K0^0(M_0)$ . Since  $2\xi_2$  and  $(\psi^k - 1)\xi_k$ ,  $k$  odd, extend to  $K0^0(M)$  and since  $\psi^{2k} - 1 = (\psi^2 \psi^k - \psi^k) + (\psi^k - 1)$ , it suffices to prove  $\pi^J(\eta_1 + 2^e(\psi^2 - 1)\eta_2) \equiv \pi^J(\eta_1) \pmod{2}$  and  $\pi^J(\eta_1 + (\psi^k - 1)\eta_k) \equiv \pi^J(\eta_1) \pmod{2}$ ,  $k$  odd, where  $\eta_1, \eta_2 \in K0^0(M)$  and  $\eta_k \in K0^0(M_0)$ .

If we set  $\pi_t = \Sigma_{j \geq 0} \pi^j t^j$  then

$$\pi_t(\eta_1 + 2^e(\psi^2 - 1)\eta_2) = \pi_t(\eta_1) \cdot \pi_t((\psi^2 - 1)\eta_2)^{2^e} \equiv \pi_t(\eta_1) \pmod{2},$$

because  $e$  is large, hence  $2^e$ -fold powers vanish in  $KO^0(M)$ . It follows that  $\pi^j(\eta_1 + 2^e(\psi^2 - 1)\eta_2) \equiv \pi^j(\eta_1) \pmod{2}$ .

If  $k$  is odd it suffices to prove that all products  $x \cdot \pi^j((\psi^k - 1)\eta_k) \equiv 0 \pmod{2}$ , where  $j \geq 1$ , filtration  $(x) = 8n - 4j$  if  $j$  is even, and filtration  $(x) = 8n - 4j - 2$  if  $j$  is odd. Now,

$$\begin{aligned} \pi_t((\psi^k - 1)\eta) &= 1 + [\pi^1(\psi^k(\eta)) - \pi^1(\eta)]t \\ &\quad + [(\pi^2(\psi^k(\eta)) - \pi^2(\eta)) - \pi^1(\eta)(\pi^1(\psi^k(\eta)) - \pi^1(\eta))]t^2 + \dots \end{aligned}$$

An easy induction shows that it suffices to prove  $x \cdot (\pi^j(\psi^k(\eta)) - \pi^j(\eta)) \equiv 0 \pmod{2}$ . But a computation in  $KO^0(BS0)$  shows that

$$\pi^j \psi^k - k^{2j} \pi^j - (2k^{2j}(k^2 - 1)/4!)(\pi^{(j,1)} - j\pi^{j+1})$$

has filtration greater than  $4j + 4$ . Since  $k$  is odd,  $2k^{2j}(k^2 - 1)/4!$  and  $k^{2j} - 1$  are even integers, hence

$$\begin{aligned} x \cdot (\pi^j(\psi^k(\eta)) - \pi^j(\eta)) &= x \cdot ((k^{2j} - 1)\pi^j(\eta) - (2k^{2j}(k^2 - 1)/4!)) \\ &\quad \times (\pi^{(j,1)} - j\pi^{j+1})(\eta) \equiv 0 \pmod{2}. \end{aligned}$$

LEMMA 6.4.  $\langle (L^{-1})_{2n}(v_M^*)(\gamma^*(u) - 1), [M]_{K0} \rangle = \langle v_{4n}^2(M)w_2(\gamma(u)), [M] \rangle \in \mathbb{Z}_2$ .

*Proof.* Let  $\gamma^*(u) = 1 + \tilde{\gamma}$ . Then  $L_{2n}^{-1}(v_M^*)\tilde{\gamma}$  has filtration  $8n + 2$ , and we have a homotopy commutative diagram

$$\begin{array}{ccccccc} M & \xrightarrow{\Delta} & M \wedge M & \xrightarrow{L_{2n}^{-1} \wedge \gamma} & BS0 \wedge BS0 & \xrightarrow{\otimes} & BS0 \\ \downarrow & & \downarrow & & \uparrow & & \uparrow \\ S^{8n+2} & \rightarrow & (M/M^{(8n-1)}) \wedge M & \rightarrow & BS0 \langle 8n \rangle \wedge BS0 & \rightarrow & BS0 \langle 8n + 2 \rangle. \end{array}$$

The product  $L_{2n}^{-1}(v_M^*)\tilde{\gamma}$  can thus be computed by evaluating the cohomology map  $\mathbb{Z}_2 = H^{8n+2}(BS0 \langle 8n + 2 \rangle, \mathbb{Z}_2) \rightarrow H^{8n+2}(M, \mathbb{Z}_2)$  in the diagram. The results of [4] on the operations  $\pi^j: BS0 \rightarrow BS0 \langle 8n \rangle$ ,  $n(j) = 2n$ , can be used to show that this coincides with  $\langle v_{4n}^2(M) \cdot w_2(\gamma(u)), [M] \rangle \in \mathbb{Z}_2$ .

Note that since  $(\gamma - 1): F/0 \rightarrow BS0$  is a homotopy equivalence on the 5-skeltons,  $w_2(\gamma(u)) = u^*(k_2)$ , where  $u \in [M_0^{8n+2}, F/0]$  and  $k_2 \in H^2(F/0, \mathbb{Z}_2) = \mathbb{Z}_2$  is the generator.

PROPOSITION 6.5. Let  $u \in [M_0^{8n+2}, F/0]$ , where  $M_0^{8n+2}$  is a spin manifold. Then

$$f_R(du) = \langle v_{4n}^2(M) \cdot u^*(k_2), [M] \rangle \in \mathbb{Z}_2.$$

*Proof.* This follows immediately from 6.2, 6.3, 6.4 and the formula

$$f_R(du) = (L^{-1})_{2n}(M) - (L^{-1})_{2n}(M').$$

**COROLLARY 6.6.**  $d: [M_0^{8n+2}, F/0] \rightarrow \Gamma_{8n+1}$  is a group homomorphism.

*Proof.* This follows from 3.2 and 6.5 and the fact that  $k_2 \in H^2(F/0, \mathbb{Z}_2)$  is primitive.

**COROLLARY 6.7.** Let  $h: M'_0 \rightarrow M_0$  be a map of degree one. Then  $dh^*(u) - du = \langle (v_{4n}^2(M') - h^*(v_{4n}^2(M))) \cdot h^*u^*(k_2), [M'] \rangle \in bP_{8n+2} = \mathbb{Z}_2$ , where  $u \in [M_0^{8n+2}, F/0]$ . In particular, if  $h$  is a tangential map or a homotopy equivalence, then  $dh^*(u) = du$ . Thus  $\Delta_h(M_0)$  is a homotopy invariant of  $8n+2$  spin manifolds.

*Proof.* This follows from 3.3 and 6.5.

**COROLLARY 6.8** Let  $u \in [M_0^{8n+2}, PL/0]$ . Then  $f_R(du) = 0$ .

*Proof.*  $PL/0$  is 6-connected, hence  $u^*(k_2) = 0$  and 6.8 follows from 6.5.

*Remark 6.9.* In § 5, we showed that for  $4n$ -spin manifolds,  $f_R(\Delta_c(M_0^{4n})) = f_R(\Delta_{th}(M_0^{4n})) = 0$ . For  $(8n+2)$ -spin manifolds,  $f_R(\Delta_{th}(M_0^{8n+2}))$  need not be zero. For example, if  $M_0^{8n+2} = (N^{8n} \times S^2)_0$  and index  $(N^{8n})$  is odd, and  $u: (N^{8n} \times S^2)_0 \xrightarrow{\pi_2} S^2 \xrightarrow{h^2} SF$ , then  $f_R(du) = 1$ .

*Remark 6.10.* Let  $M^{8n+2}$  be a closed, smooth spin manifold. The above results, along with Proposition 2.4, determine the exact sequence of Sullivan [18],

$$0 \rightarrow hS(M^{8n+2}) \xrightarrow{\theta} [M^{8n+2}, F/0] \xrightarrow{s} \mathbb{Z}_2.$$

Namely, if  $u \in [M^{8n+2}, F/0]$ , then

$$s(u) = \langle v_{4n}^2(M) \cdot u^*(k_2), [M] \rangle \in \mathbb{Z}_2.$$

Thus, the cohomology formula of 2.5 simplifies for  $8n+2$  spin manifolds.

The Adams conjecture, and the resulting factoring  $(F/0)_{(2)} = BS0_{(2)} \times (Cok J)_{(2)}$ , implies that  $s=0$  if and only if  $v_{4n}^2(M) w_2(\gamma) = 0$  for all  $\gamma \in K0^0(M)$ .

## Appendix I. $S^1$ actions on homotopy spheres

It is known that equivariant diffeomorphism classes of differentiable, fixed point free  $S^1$  actions on homotopy  $(2n-1)$ -spheres,  $n \geq 4$ , correspond bijectively with equivalence classes of homotopy smoothings of  $CP(n-1)$  [12]. The correspondence is defined as follows. If  $S^1$  acts on  $\Sigma^{2n-1}$ , there is a diagram

$$\begin{array}{ccc} \Sigma^{2n-1} & \xrightarrow{\tilde{h}} & S^{2n-1} \\ \downarrow & & \downarrow \\ P^{2n-2} = \Sigma^{2n-1}/S^1 & \xrightarrow{h} & CP(n-1) = S^{2n-1}/S^1 \end{array} \quad (I.1)$$

where  $h$  classifies the principal  $S^1$  bundle over  $P^{2n-2}$  given by the action of  $S^1$  on  $\Sigma^{2n-1}$ . An easy spectral sequence argument shows that  $h$  is a homotopy equivalence.

There are homotopy equivalences  $CP(n-1) \xrightarrow{i} CP(n)_0 \xrightarrow{\pi} CP(n-1)$ , since  $CP(n)_0$  is the total space of a  $D^2$  bundle,  $H$ , over  $CP(n-1)$ . (If  $CP(n-1)$  is regarded as the space of lines in  $C^n$  then  $H$  is the dual of the "canonical" line bundle.) Consider the diagram

$$\begin{array}{ccccc} hS(CP(n-1)) & \xrightarrow{\theta} & [CP(n-1), F/0] & \xrightarrow{s} & P_{2n-2} \\ \downarrow i_* & & \uparrow \wr i_* & & \\ hS_\psi CP(n)_0 & \xrightarrow{\theta} & [CP(n)_0, F/0] & \xrightarrow{d} & \Gamma_{2n-1} \end{array} \quad (I.2)$$

where, if  $h: P^{2n-2} \rightarrow CP(n-1)$  then  $i_*(P^{2n-2}, h)$  is the homotopy equivalence  $\tilde{h}: P_0^{2n} = E(h^*H) \rightarrow E(H) = CP(n)_0$ .

LEMMA I.3(i). *Diagram I.2 commutes.*

(ii)  $d\theta i_*(P^{2n-2}, h) = \Sigma^{2n-1} \in \Gamma_{2n-1}$ , where  $\Sigma^{2n-1} \rightarrow P^{2n-2}$  is as in diagram I.1.

(iii)  $si^*\theta: hS(CP(n)_0) \rightarrow P_{2n-2}$  is the geometric obstruction to finding a codimension 2, homotopy  $CP(n-1)$  in a homotopy  $CP(n)_0$ .

The proof of I.3 is relatively straightforward and will be omitted. It follows from I.3 that the set of homotopy  $(2n-1)$ -spheres which admit free  $S^1$  actions coincides with  $d(\theta i_*(hS(CP(n-1)))) = d((si^*)^{-1}(0)) \subset \Delta_h(CP(n)_0) = B_h(CP(n)_0) \subset \Gamma_{2n-1}$ .

Denote this set by  $\tilde{B}_h(CP(n)_0)$ .

We now want to apply the results of § 2 through § 6 to compute  $\tilde{B}_h(CP(n)_0)$ . First, it follows from the exact sequence

$$\begin{aligned} K0^{-1}(CP(n)_0) &\rightarrow [CP(n)_0, SF] \rightarrow [CP(n)_0, F/0] \rightarrow K0^0(CP(n)_0) \\ &\rightarrow J(CP(n)_0) \rightarrow 0 \end{aligned}$$

and results of [3] that  $[CP(n)_0, F/0] = \mathbb{Z}^{[(n-1)/2]} \oplus [CP(n)_0, SF]$ , where  $\mathbb{Z}^{[(n-1)/2]} \subset \text{image}([CP(n), F/0] \rightarrow [CP(n)_0, F/0])$  and  $\text{image}(\mathbb{Z}^{[(n-1)/2]} \rightarrow K0^0(CP(n)_0))$  is generated by elements  $k^e(\psi^k - 1)(\xi)$ ,  $\xi \in K0^0(CP(n)_0)$ . In theory it is thus possible to compute the fibre homotopically trivial bundles over  $CP(n)_0$ . We have done this for  $n \leq 8$  [12]. Let  $\omega = r(H-1) \in K0^0(CP(n))$ , where  $r$  forgets the complex structure.

LEMMA I.4. *Kernel  $(K0^0(CP(8)_0) \rightarrow J(CP(8)_0)) = \mathbb{Z}^3$  has generators  $\xi_1 = 24\omega + 98\omega^2 + 111\omega^3$ ,  $\xi_2 = 240\omega^2 + 380\omega^3$ , and  $\xi_3 = 504\omega^3$ . If  $n < 8$ , kernel  $(K0^0(CP(n)_0) \rightarrow J(CP(n)_0))$  is generated by  $\xi_1, \xi_2, \xi_3$  restricted to  $K0^0(CP(n)_0)$ .*

Next, we need to compute  $si^*: [CP(n)_0, F/0] \rightarrow P_{2n-2}$ .

LEMMA I.5. *If  $n \equiv 1$  or  $3 \pmod{4}$  and  $u \in [CP(n)_0, F/0]$  then  $si^*(u) = (\frac{1}{8}) \langle L(CP(n-1))(1 - L(\xi_0(i^*(u)))) \rangle \in \mathbb{Z}$ .*

In particular,

- (i)  $si^*([CP(n)_0, SF]) = 0$
- (ii) If  $n=5$  and  $\xi_0(i^*(u)) = m\xi_1 + n\xi_2$  then

$$si^*(u) = -4m^2 + 10m + 28n \in \mathbb{Z}.$$

In particular, if  $si^*(u) = 0$  then  $10m \equiv 0 \pmod{4}$ , or,  $m \equiv 0 \pmod{2}$ .

- (iii) If  $n=7$  and  $\xi_0(i^*(u)) = m\xi_1 + n\xi_2 + q\xi_3$  then

$$si^*(u) = (-m(32m^2 + 301)/3) + 84m^2 + 224mn - 384n - 496q \in \mathbb{Z}.$$

*Proof.* The formula for  $s$  was given in Remark 2.5.

Statements (ii) and (iii) follow from I.4 and explicit computation of the L-polynomials in the formula.

LEMMA I.6. If  $n \equiv 2 \pmod{4}$  and  $u \in [CP(n)_0, F/0]$  then  $si^*(u) = \langle v_{n-2}^2(CP(n-1)) i^*u^*(k_2), [CP(n-1)] \rangle \in \mathbb{Z}_2$ .

Thus  $si^*(u) = 0$  if and only if  $w_2(\gamma(i^*(u))) = i^*u^*(k_2) = 0$ , or equivalently, if and only if  $p_1(\xi_0(i^*(u))) \equiv 0 \pmod{48}$ . In particular,

- (i)  $si^*([CP(n)_0, SF]) = 0$ ,
- (ii) If  $n=6$  and  $\xi_0(i^*(u)) = m\xi_1 + n\xi_2$  then  $si^*(u) = m \pmod{2}$ .

*Proof.* The formula follows from 6.5 and 6.10. If  $n \equiv 2 \pmod{4}$  then  $v_{n-2}^2(CP(n-1)) \neq 0$  and the second statement follows. Statements (i) and (ii) also follow easily.

We do not have general results with which to compute  $si^*$  if  $n \equiv 0 \pmod{4}$ . The following conjecture is probably true.

Conjecture I.7(i). If  $n \equiv 0 \pmod{4}$ ,  $n \neq 2^j$ , then  $si^*([CP(n)_0, F/0]) = 0$ .

(ii) There are elements  $h_j^2 \in \pi_{2^{j+1}-1}(SF)$  such that if  $u: CP(2^j)_0 \xrightarrow{p\pi} S^{2^{j+1}-2} \xrightarrow{h_j^2} SF$  then  $si^*(u) = 1 \in \mathbb{Z}_2$ . The summand  $\mathbb{Z}^{(2^{j-1}-1)} \subset [CP(2^j)_0, F/0]$  can be chosen so that  $si^*(\mathbb{Z}^{(2^{j-1}-1)}) = 0$ .

I.7(ii) is true if  $j \leq 6$ . For example  $h_1^2 = \eta^2 \in \pi_2^s$ ,  $h_2^2 = v^2 \in \pi_6^s$ , and  $h_3^2 = \sigma^2 \in \pi_{14}^s$ .

We can use the results 2.5, 3.1, 4.4, 5.2, and 6.10 to compute  $d: [CP(n)_0, F/0] = \mathbb{Z}^{[(n-1)/2]} \oplus [CP(n)_0, SF] \rightarrow \Gamma_{2n-1} = bP_{2n} \oplus (\pi_{2n-1}^s / \text{im}(J))$ .

LEMMA I.8. We have  $d(\mathbb{Z}^{[(n-1)/2]}) \subset bP_{2n}$ . Specifically,

- (i) If  $u \in \mathbb{Z} \subset [CP(4)_0, F/0]$  and  $\xi_0(u) = m\xi_1$  then  $du = 10m - 4m^2 \in \mathbb{Z}/28\mathbb{Z} = bP_8$ .
- (ii) If  $u \in \mathbb{Z}^2 \subset [CP(5)_0, F/0]$  and  $\xi_0(u) = m\xi_1 + n\xi_2$ , then  $du = m \in \mathbb{Z}/2\mathbb{Z} = bP_{10}$ .
- (iii) If  $u \in \mathbb{Z}^2 \subset [CP(6)_0, F/0]$  and  $\xi_0(u) = m\xi_1 + n\xi_2$ , then  $du = (-m(32m^2 + 301)/3) + 84m^2 + 224mn - 384n \in \mathbb{Z}/992\mathbb{Z} = bP_{12}$ .
- (iv) If  $u \in \mathbb{Z}^3 \subset [CP(7)_0, F/0]$  then  $du = 0$ , since  $bP_{14} = 0$ .

*Proof.*  $\mathbf{Z}^{[(n-1)/2]} \subset \text{image}([CP(n), F/0] \rightarrow [CP(n)_0, F/0])$ , hence the first statement follows from 2.4 and 6.10. Statements (i) and (iii) follow from I.5 and 2.4 and (ii) follows from I.6 and 6.10.

Specific formulas for  $d(\mathbf{Z}^{[(n-1)/2]})$ ,  $n \geq 8$ , would only require extending the computations of I.4 and I.5.

Recall that as a set  $[CP(n)_0, SF] = \pi_s^0(CP(n)_0)$ . In [12] we computed the  $p$ -primary summand  ${}_p\pi_s^0(CP(n)_0)$  and the map  ${}_p\pi_s^0(CP(n)_0) \xrightarrow{\hat{\sigma}^*} {}_p\pi_s^0(S^{2n-1}) = {}_p\pi_{2n-1}^s$  for  $n \leq (p^2 + 2p)(p-1) - 2$ ,  $p$  odd, and we computed  ${}_2\pi_s^0(CP(n)_0) \xrightarrow{\hat{\sigma}^*} {}_2\pi_{2n-1}^s$  for  $n \leq 11$ . Thus, using 5.2 and 6.9, we also computed  $d: [CP(n)_0, SF] \rightarrow \Gamma_{2n-1}$  if  $n \equiv 0, 1$ , or  $2 \pmod{4}$  or if  $n = 2^j - 1$ . (note that by 5.5(ii),  $a_n f_R(d[CP(2n)_0, SF]) = 0$  and by 6.9,  $f_R(d[CP(4n+1)_0, SF]) = 0$ .) These results involve computations in stable homotopy theory and are too complicated to reproduce here. We will state the conclusions for  $n \leq 7$ .

LEMMA I.9(i).  $[CP(4)_0, SF] = \mathbf{Z}_2$  and  $d([CP(4)_0, SF]) = 0$ .

(ii)  $[CP(5)_0, SF] = \mathbf{Z}_2^2$  and  $d([CP(5)_0, SF]) = \mathbf{Z}_2 = \{v^3\} \subset (\pi_9^s/\text{im}(J)) \subset \Gamma_9$ .

(iii)  $[CP(6)_0, SF] = \mathbf{Z}_2^2 + \mathbf{Z}_3$  and  $d([CP(6)_0, SF]) = \mathbf{Z}_2 \subset bP_{12} = \Gamma_{11}$ .

(iv)  $[CP(7)_0, SF] = \mathbf{Z}_2 + \mathbf{Z}_3$  and  $d([CP(7)_0, SF]) = \mathbf{Z}_3 = \{\alpha_1\beta_1\} = \pi_{13}^s = \Gamma_{13}$ .

The construction of the non-zero element of  $d([CP(6)_0, SF])$  is described in § 5, following the proof of 5.7.

Finally, we combine the results I.5 through I.9 to describe the set of homotopy spheres of dimensions 7, 9, 11, and 13 which admit free  $S^1$  actions. That is, we compute  $\tilde{B}_h(CP(n)_0) = d((si^*)^{-1}(0)) \subset d([CP(n)_0, F/0]) = B_h(CP(n)_0) \subset \Gamma_{2n-1}$ , for  $n = 4, 5, 6$ , and 7.

THEOREM I.10(i).  $\Gamma_7 = bP_8 = \mathbf{Z}/28\mathbf{Z}$  and  $\tilde{B}_h(CP(4)_0) = \{10m - 4m^2/m \in \mathbf{Z}\} = \{0, 4, \pm 6, \pm 8, -10, 14\} \subset \mathbf{Z}/28\mathbf{Z}$ .

(ii)  $\Gamma_9 = bP_{10} \oplus (\pi_9^s/\text{im}(J)) = \mathbf{Z}_2 \oplus \mathbf{Z}_2^2$  and  $\tilde{B}_h(CP(5)_0) = \mathbf{Z}_2 = \{v^3\} \subset (\pi_9^s/\text{im}(J)) \subset \Gamma_9$ .

(iii)  $\Gamma_{11} = bP_{12} = \mathbf{Z}/992\mathbf{Z}$  and  $\tilde{B}_h(CP(6)_0) = \{(-m(32m^2 + 301/3) + 84m^2 + 224mn - 384n) \mid m, n \in \mathbf{Z}, m \text{ even}\} \subset \mathbf{Z}/992\mathbf{Z}$ .

(iv)  $\Gamma_{13} = \pi_{13}^s = \mathbf{Z}_3$  and  $\tilde{B}_h(CP(7)_0) = \mathbf{Z}_3 = \{\alpha_1\beta_1\} = \Gamma_{13}$ .

## Appendix II. Applications to inertia groups

Given a smooth manifold  $N^k$ , the inertia group of  $N^k$ ,  $I(N^k) \subset \Gamma_k$ , is defined to be the group of homotopy spheres  $\Sigma^k \in \Gamma_k$  such that there is a diffeomorphism  $N^k \simeq N^k \# \Sigma^k$ . Define  $I_h(N^k) \subset I(N^k)$  to be the subgroup of homotopy spheres  $\Sigma^k \in I(N^k)$  such that some diffeomorphism  $N^k \simeq N^k \# \Sigma^k$  is homotopic to the identity. (By the “identity”

$N^k = N^k \# \Sigma^k$  we mean the obvious *PL* identification.) Similarly, define  $I_c(N^k) \subset I_h(N^k)$  to be the subgroup of homotopy spheres  $\Sigma^k$  such that some diffeomorphism  $N^k \simeq N^k \# \Sigma^k$  is *PL* isotopic to the identity. Equivalently,  $\Sigma^k \in I_c(N^k)$  if the smoothings  $N^k$  and  $N^k \# \Sigma^k$  are concordant.

The group  $\Gamma_k$  is naturally isomorphic to the group of isotopy classes of orientation preserving diffeomorphisms of  $S^{k-1}$ . If  $\Sigma^k \in \Gamma_k$  corresponds to the diffeomorphism  $\sigma: S^{k-1} \simeq S^{k-1}$  then  $\Sigma^k \in I(N^k)$  if and only if there is a diffeomorphism  $h: N_0^k \simeq N_0^k$  such that  $h|_{\partial N_0^k = S^{k-1}} = \sigma$ . Let  $h: N^k \rightarrow N^k$  also denote the *PL* extension of  $h$  defined by coning  $h|_{\partial N_0^k}$  over  $D^k \subset N^k$ . It is easy to see that the mapping torus of  $h$ ,  $T_h = N^k \times I / (x, 0) \equiv (h(x), 1)$ , is an almost smooth manifold, with  $\partial(T_h)_0 = \Sigma^k$ . Further,  $\Sigma^k \in I_h(N^k)$  (resp.  $\Sigma^k \in I_c(N^k)$ ) if and only if  $h$  can be chosen such that there is a homotopy equivalence (resp. a *PL* isomorphism)  $H: T_h \rightarrow N^k \times S^1$ , with  $H|_{N^k \times 0} = Id$ . Then  $H: (T_h)_0 \rightarrow (N^k \times S^1)_0$  is a homotopy smoothing of  $(N^k \times S^1)_0$ .

Now  $N^k \times S^1$  is not simply connected. However, if  $N^k$  is simply connected, the map  $\theta: hS((N^k \times S^1)_0) \rightarrow [(N^k \times S^1)_0, F/0]$  is still useful. There is a natural decomposition  $[(N^k \times S^1)_0, F/0] \simeq [N^k, F/0] \oplus [N_0^k \wedge S^1, F/0]$ . The first summand contains the image under  $\theta$  of the homotopy smoothings  $g \times Id: (N' \times S^1)_0 \rightarrow (N \times S^1)_0$ , where  $g: N' \rightarrow N$  is a homotopy equivalence. The second summand corresponds bijectively with the homotopy smoothings described above,  $H: (T_h)_0 \rightarrow (N^k \times S^1)_0$ ,  $H|_{N^k \times 0} = Id$ , where  $h: N_0^k \simeq N_0^k$  is a diffeomorphism homotopic to the identity. Denote this second set of homotopy smoothings of  $(N^k \times S^1)_0$  by  $\tilde{h}S((N^k \times S^1)_0)$ .

**PROPOSITION II.1.**  $I_h(N^k) = d(\theta(\tilde{h}S(N^k \times S^1)_0)) = d([N_0^k \wedge S^1, F/0]) \subset \Gamma_k$ . Also,  $I_c(N^k) = d([N_0^k \wedge S^1, PL/0])$ .

*Proof.* This follows from the discussion in the three paragraphs above.

We can thus use the results of § 2 through § 6 to compute  $I_h(N^k)$ . If  $u \in [N_0^k \wedge S^1, F/0]$ ,  $k$  odd, the formulas in 5.1 and 6.5 for  $f_R(du)$  simplify.

**PROPOSITION II.2.** If  $N^{8n+1}$  is a simply connected spin manifold and  $u \in [N_0^{8n+1} \wedge S^1, F/0]$  then  $f_R(du) = 0$ . Thus  $I_h(N^{8n+1})$  is contained in the summand  $(\pi_{8n+1}^s / im(J)) \subset \Gamma_{8n+1}$  and  $I_h(N^{8n+1}) \simeq \mathcal{Q}(I_h(N^{8n+1}))$  is a homotopy invariant of  $N^{8n+1}$ .

*Proof.* Since  $u^*(k_2) = 0$ , the result follows from 6.5.

**PROPOSITION II.3.** If  $u \in [N_0^{4n-1} \wedge S^1, F/0]$  then

$$f_R(du) = \left(-\frac{1}{8}\right) \left\langle L(N^{4n-1} \times S^1) \left( \sum_{k=1}^n (8\theta_k/a_k(2k-1)!j_k) p_k(\xi) \right), [N^{4n-1} \times S^1] \right\rangle \\ \in \mathbb{Z}/\theta_n \mathbb{Z},$$

where  $p_n(\xi)$  is as in 5.1 and  $p_k(\xi) = p_k(\xi_0(u))$  if  $k < n$ .



*Proof.* Since cohomology products vanish in  $N^{4n-1} \wedge S^1$ , we have  $(1 - L(\xi)) = -(\sum_{k=1}^n (8\theta_k/a_k(2k-1)!j_k)p_k(\xi))$  and the result follows from 5.1. We point out that  $p_n(\xi)$  is determined by the equations  $(-\text{num}(B_n/4n)/a_n(2n-1)!j_n)p_n(\xi) = e_R(\gamma(u)) \in \mathbb{Q}/\mathbb{Z}$  and  $((-1)^{n-1}j_n/a_n(2n-1)!j_n)p_n(\xi) = e_R(\xi_0(u)) \in \mathbb{Q}/\mathbb{Z}$ .

Note that by 5.9,  $d: [N_0^k \wedge S^1, F/0] \rightarrow \Gamma_k$  is a group homomorphism if  $k = 4n - 1$ . Actually, if  $u, v \in [N_0^k \wedge S^1, F/0]$  correspond to  $H: (T_h)_0 \rightarrow (N^k \times S^1)_0$  and  $G: (T_g)_0 \rightarrow (N^k \times S^1)_0$ , respectively, where  $h, g: N_0^k \xrightarrow{\sim} N_0^k$  are diffeomorphisms, then  $d(u+v) \in \Gamma_k$  corresponds to the diffeomorphism  $(h|_{\partial N_0}) \cdot (g|_{\partial N_0}): S^{k-1} \xrightarrow{\sim} S^{k-1}$ . Since this composite diffeomorphism also corresponds to  $du + dv$ , we have that  $d: [N_0^k \wedge S^1] \rightarrow \Gamma_k$  is a group homomorphism for all  $N^k$ .

There is a braid of four interlocking exact sequences

$$\begin{array}{ccccccc}
 & & \pi_{k+1}(F/0) & & \pi_k(N^k) & & \pi_k(N_0^k) & & \pi_{k-1}(F/0) \\
 & \nearrow \varrho & \downarrow s & \searrow b & \downarrow \alpha & \searrow \theta & \downarrow d\theta & \nearrow \varrho & \\
 & \Gamma_{k+1} & [N^k \wedge S^1, F/0] & \Gamma_k & [N^k, F/0] & \Gamma_{k-1} & & & \\
 & \downarrow b & \downarrow \alpha & \downarrow \theta & \downarrow s & \downarrow b & & & \\
 p_{k+2} & \tilde{hS}(N^k \times S^1) & \tilde{hS}((N^k \times S^1)_0) & \pi_k(F/0) & p_k & & & & 
 \end{array}$$

Here,  $\alpha: \Gamma_k \rightarrow hS(N^k)$  is defined by  $\alpha(\Sigma^k) = (N^k \# \Sigma^k, \text{Id} \# (\text{point})) \in hS(N^k)$ ,  $\Sigma^k \in \Gamma_k$ . Since  $\text{kernel}(\alpha) \cap bP_{k+1} = bs([N^k \wedge S^1, F/0]) = d\theta(\tilde{hS}((N^k \times S^1)_0)) \cap bP_{k+1} = I_h(N^k) \cap bP_{k+1}$ , we see that  $I_h(N^k)$  is very useful for computing  $hS(N^k)$ .

If we replace  $F/0$  by  $PL/0$ , the cofibrations  $S^{k-1} \rightarrow N_0^k \rightarrow N^k \rightarrow N_0 \wedge S^1$  yield an exact sequence  $[N_0^k \wedge S^1, PL/0] \xrightarrow{d} \Gamma_k \rightarrow [N^k, PL/0] \rightarrow [N_0^k, PL/0] \xrightarrow{d} \Gamma_{k-1}$ . Since  $[N^k, PL/0]$  and  $[N_0^k, PL/0]$  correspond to concordance classes of smoothings of  $N^k$  and  $N_0^k$ , respectively, it is clear that  $I_c(N^k) = d([N_0^k \wedge S^1, PL/0]) = \{\Sigma^k \in \Gamma_k \mid \text{the smoothings } N^k \text{ and } N^k \# \Sigma^k \text{ are concordant}\}$ . The following is also clear.

**PROPOSITION II.4.**  $I_c(N^k)$  is a homotopy invariant of  $N^k$ .

There are natural subgroups  $I_{th}(N^k) \subset I_h(N^k)$  and  $I_{tc}(N^k) \subset I_c(N^k)$  defined by  $I_{th}(N^k) = d([N_0^k \wedge S^1, SF])$  and  $I_{tc}(N^k) = d([N_0^k \wedge S^1, SPL])$ . Geometrically,  $I_{th}(N^k) \subset \Gamma_k$  (resp.  $I_{tc}(N^k) \subset \Gamma_k$ ) corresponds to those diffeomorphisms  $\sigma: S^{k-1} \xrightarrow{\sim} S^{k-1}$  such that there is a diffeomorphism  $h: N_0^k \xrightarrow{\sim} N_0^k$ , with  $h|_{\partial N_0} = \sigma$ , and a tangential homotopy equivalence (resp.  $PL$  equivalence preserving the smooth tangent bundles)  $H: (T_h)_0 \rightarrow (N^k \times S^1)_0$  with  $H|_{N^k \times 0} = \text{Id}$ .

**PROPOSITION II.5(i).**  $f_R(I_c(N^{4n-1}))$  and  $f_R(I_{th}(N^{4n-1})) \subset \mathbb{Z}_{\theta_n}$  are 2-primary groups.

(ii) If  $N^{4n-1}$  is a spin manifold then  $f_R(I_c(N^{4n-1})) = f_R(I_{th}(N^{4n-1})) = 0$

(iii)  $I_{th}(N^{4n-1})$  and  $I_{tc}(N^{4n-1})$  are homotopy invariants.

*Proof.* These results follow from 5.2, 5.5, and 5.6. It follows from the construction given after the proof of 5.7 that if  $w_2(N^{8k+3}) \neq 0$  then the element of order 2 in  $bP_{8k+4}$  belongs to  $I_{tc}(N^{8k+3})$ .

**PROPOSITION II.6.**  $I_{th}(N^{8n+1}) \simeq_{\mathcal{Q}} I_{th}(N^{8n+1})$  and  $I_{tc}(N^{8n+1}) \simeq_{\mathcal{Q}} I_{tc}(N^{8n+1})$  are homotopy invariants of  $(8n+1)$ -spin manifolds.

*Proof.* This follows from II.2.

Next we consider manifolds with a trivial stable normal bundle ( $\pi$ -manifolds) or a fibre homotopically trivial stable normal bundle (*fht*-manifolds).

**LEMMA II.7.**  $M^k$  is an *fht*-manifold if and only if there is a  $\pi$ -manifold  $M'$  and a degree one map  $M' \rightarrow M$ .

*Proof.* By transverse regularity, such a manifold  $M'$ , with  $M' \times R^q \subset E(v_M^q)$ , exists if and only if there is a fibre homotopy trivialization  $T(v_M^q) \rightarrow S^q$ .

Boardman and Vogt have shown that  $PL/0$  and  $F/0$  are infinite loop spaces [5]. It follows easily that the suspension maps  $\pi_*(F/0) \rightarrow \pi_*^s(F/0) = \Omega_*^{\text{framed}}(F/0)$  and  $\pi_*(PL/0) \rightarrow \pi_*^s(PL/0) = \Omega_*^{\text{framed}}(PL/0)$  are monomorphisms onto direct summands.

**LEMMA II.8.** If  $M^k$  is an almost smooth, *fht*-manifold then  $\Delta_c(M^k) = 0$  and  $\Delta_h(M^k) \subset bP_k$ . If  $k = 8n+2$  then  $\Delta_h(M^k) = 0$ .

*Proof.* Let  $u \in [M_0^k, PL/0]$  and let  $h: M'_0 \rightarrow M_0$  be a degree one map where  $M'$  is a  $\pi$ -manifold. Then by the above remark  $du = \partial^*(u) = \partial^*h^*(u) = 0 \in \pi_{k-1}(PL/0) = \Gamma_{k-1}$ . Similarly, if  $u \in [M_0^k, F/0]$  then by 3.1  $\mathcal{Q}(du) = \partial^*(u) = \partial^*h^*(u) = 0 \in \pi_{k-1}(F/0)$ . The second statement follows from the first and the fact that the surgery obstruction  $s: [M^{8n+2}, F/0] \rightarrow \mathbb{Z}_2$  is given by  $s(u) = \langle v_{4n}^2(M)u^*(k_2), [M] \rangle = 0$ , since the Wu class  $v_{4n}(M) = 0$ .

**PROPOSITION II.9.** If  $N^k$  is a smooth, *fht*-manifold then  $I_c(N^k) = 0$  and  $I_h(N^k) \subset bP_{k+1}$ . If  $k = 8n+1$  then  $I_h(N^k) = 0$ . If  $N^k$  is a  $\pi$ -manifold and  $k \not\equiv 5 \pmod{8}$  then  $I_h(N^k) = 0$ .

*Proof.* The first two statements follow from II.8 since  $N^k \times S^1$  is an *fht*-manifold. If  $N^{4n-1}$  is a  $\pi$ -manifold and  $u \in [N_0^{4n-1} \wedge S^1, F/0]$  then  $f_R(du) = 0$  by 5.8. Thus  $I_h(N^k) = I_h(N^k) \cap bP_{k+1} = 0$  if  $k \equiv 1, 3$ , or  $7 \pmod{8}$  and the third statement follows. (I am grateful to D. Sullivan for pointing out the first statement of II.9.)

Finally, as an example, we compute,  $I_h(CP(3) \times S^1) \subset \Gamma_7 = bP_8 = \mathbb{Z}_{28}$ . ( $CP(3) \times S^1$  is not simply connected, but our methods remain valid for special cases with simple fundamental groups.) Now  $(CP(3) \times S^1) \wedge S^1$  is homotopy equivalent to  $(CP(3) \wedge S^2) \vee (CP(3) \wedge S^1) \vee S^2$ . Thus, since  $K0^0(CP(3) \wedge S^1) = 0$ ,  $\text{image}([ (CP(3) \times S^1) \wedge S^1, F/0 ] \rightarrow K0^0((CP(3) \times S^1) \wedge S^1)) = \text{image}([CP(3) \wedge S^2, F/0] \rightarrow K0^0(CP(3) \wedge S^2)) = \mathbb{Z}^2$ , with generators  $\xi_1$  and  $\xi_2$  which satisfy  $P(\xi_1) = 1 +$

$+p_1(\xi_1)+p_2(\xi_1)=1+48(z\cdot\sigma)+32\cdot 15(z^3\cdot\sigma)$  and  $P(\xi_2)=1+32\cdot 45(z^3\cdot\sigma)$ , where  $z\in H^2(\mathbf{CP}(3), \mathbf{Z})$  and  $\sigma\in H^2(S^2, \mathbf{Z})$  are generators. Thus if  $u\in[(\mathbf{CP}(3)\times S^1)_0\wedge S^1, F/0]$  extends to  $\bar{u}\in[(\mathbf{CP}(3)\times S^1)\wedge S^1, F/0]$  and  $\xi=\xi(\bar{u})=m\xi_1+n\xi_2$  then

$$\begin{aligned} du &= s(\bar{u}) = \left(\frac{1}{8}\right) \langle L(\mathbf{CP}(3) \times S^1 \times S^1) (1 - L(\xi)), [\mathbf{CP}(3) \times S^1 \times S^1] \rangle \\ &= \left(-\frac{1}{8}\right) \langle (1 + \left(\frac{4}{3}\right) z^2) ((48m/3)(z\sigma) + (7(32\cdot 15m + 32\cdot 45n)/45)(z^3\sigma)), \\ &\quad [\mathbf{CP}(3) \times S^1 \times S^1] \rangle = -12m - 28n \in \mathbf{Z}/28\mathbf{Z}. \end{aligned}$$

It follows that  $I_h(\mathbf{CP}(3) \times S^1) = \mathbf{Z}_7 \subset \mathbf{Z}_{28}$ .

*Remark II.10.* R. Lee [16] has shown that every self-homotopy equivalence of  $\mathbf{CP}(n) \times S^1$  is homotopic to a diffeomorphism. If a manifold  $M^k$  has this property it is easy to see that  $I_h(M^k) = I(M^k)$ . Thus  $I(\mathbf{CP}(3) \times S^1) = \mathbf{Z}_7 \subset \mathbf{Z}_{28}$ .

*Remark II.11.* Let  $\pi_0^+(\text{Diff}(\mathbf{CP}(n)))$  denote the group of pseudo-isotopy classes of diffeomorphisms of  $\mathbf{CP}(n)$  which leave fixed a generator of  $H^2(\mathbf{CP}(n), \mathbf{Z})$ . Lee has shown that  $\pi_0^+(\text{Diff } \mathbf{CP}(n))$  is isomorphic to the equivariant diffeomorphism classes of differentiable, semi-free  $S^1$  actions on homotopy  $(2n+2)$ -spheres, with fixed point set  $S^0$ . (A group action is semi-free if it is free outside the fixed point set.) It follows from results of Sullivan that the natural map  $\Gamma_7 = \pi_0(\text{Diff}(S^6)) \xrightarrow{\gamma} \pi_0^+(\text{Diff}(\mathbf{CP}(3)))$  is a surjection, where, if  $\Sigma^7 \in \Gamma_7$  corresponds to a diffeomorphism  $\sigma: D^6 \rightarrow D^6$ , with  $\sigma|_{S^5} = \text{Id}$ , then  $\gamma(\Sigma^7)|_{D^6} = \sigma$  and  $\gamma(\Sigma^7)|_{\mathbf{CP}(3)-D^6} = \text{Id}$ , where  $D^6 \subset \mathbf{CP}(3)$ . It is not difficult to see that the mapping torus of  $\gamma(\Sigma^7)$  is  $(\mathbf{CP}(3) \times S^1) \# \Sigma^7$ . Hence,  $\gamma(\Sigma^7) = 0 \in \pi_0^+(\text{Diff}(\mathbf{CP}(3)))$  if and only if  $\gamma(\Sigma^7)$  is pseudo-isotopic to the identity, or equivalently, if and only if there is a diffeomorphism  $(\mathbf{CP}(3) \times S^1) \# \Sigma^7 = T_{\gamma(\Sigma^7)} \rightarrow \mathbf{CP}(3) \times S^1$  which is the identity on  $\mathbf{CP}(3) \times 0$ . Since any diffeomorphism  $(\mathbf{CP}(3) \times S^1) \# \Sigma^7 \rightarrow \mathbf{CP}(3) \times S^1$  is pseudo-isotopic to one which fixes  $\mathbf{CP}(3) \times 0$  [19; Lemma 4], this proves that  $\text{kernel}(\gamma) = I(\mathbf{CP}(3) \times S^1) = \mathbf{Z}_7 \subset \mathbf{Z}_{28}$  and that  $\pi_0^+(\text{Diff}(\mathbf{CP}(3))) = \mathbf{Z}_4$ .

*Remark II.12.* For each integer  $j$  there is a manifold  $P_j^6$  homotopy equivalent to  $\mathbf{CP}(3)$  with  $p_1(P_j^6) = (4 + 24j)z^2$ . Thus if  $u \in [(P_j^6 \times S^1)_0 \wedge S^1, F/0]$  with  $\xi(\bar{u}) = m\xi_1 + n\xi_2$  then  $du = s(\bar{u}) = -(12 + 16j)m - 28n \in \mathbf{Z}/28\mathbf{Z}$ . It follows that  $I_h(P_j^6 \times S^1) = 0$  if  $j \equiv 1 \pmod{7}$  and  $I_h(P_j^6 \times S^1) = \mathbf{Z}_7$  if  $j \not\equiv 1 \pmod{7}$ . In particular,  $I_h(N^k)$  is not a homotopy invariant of  $N^k$ .

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