

## EMBEDDINGS OF OPEN MANIFOLDS

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ABSTRACT. Let  $TOP(M)$  be the simplicial group of homeomorphisms of  $M$ . The following theorems are proved.

**Theorem A.** *Let  $M$  be a topological manifold of  $\dim \geq 5$  with a finite number of tame ends  $\varepsilon_i$ ,  $1 \leq i \leq k$ . Let  $TOP^{ep}(M)$  be the simplicial group of end preserving homeomorphisms of  $M$ . Let  $W_i$  be a periodic neighborhood of each end in  $M$ , and let  $p_i : W_i \rightarrow \mathbb{R}$  be manifold approximate fibrations. Then there exists a map  $f : TOP^{ep}(M) \rightarrow \prod_i TOP^{ep}(W_i)$  such that the homotopy fiber of  $f$  is equivalent to  $TOP_{cs}(M)$ , the simplicial group of homeomorphisms of  $M$  which have compact support.*

**Theorem B.** *Let  $M$  be a compact topological manifold of  $\dim \geq 5$ , with connected boundary  $\partial M$ , and denote the interior of  $M$  by  $\text{Int } M$ . Let  $f : TOP(M) \rightarrow TOP(\text{Int } M)$  be the restriction map and let  $\mathcal{G}$  be the homotopy fiber of  $f$  over  $\text{id}_{\text{Int } M}$ . Then  $\pi_i \mathcal{G}$  is isomorphic to  $\pi_i C(\partial M)$  for  $i > 0$ , where  $C(\partial M)$  is the concordance space of  $\partial M$ .*

**Theorem C.** *Let  $q_0 : W \rightarrow \mathbb{R}$  be a manifold approximate fibration with  $\dim W \geq 5$ . Then there exist maps  $\alpha : \pi_i TOP^{ep}(W) \rightarrow \pi_i TOP(\hat{W})$  and  $\beta : \pi_i TOP(\hat{W}) \rightarrow \pi_i TOP^{ep}(W)$  for  $i > 1$ , such that  $\beta \circ \alpha \simeq \text{id}$ , where  $\hat{W}$  is a compact and connected manifold and  $W$  is the infinite cyclic cover of  $\hat{W}$ .*

### 0. INTRODUCTION

In this paper we study the homotopy type of the simplicial group of homeomorphisms of an open manifold of dimension  $\geq 5$  into itself. There has been extensive research about the homotopy type of  $TOP(M)$ , for a compact topological manifold  $M$ . For example, see [4], [9], [38] and the survey papers [10], [11] and [19]. But, if  $M$  is a noncompact manifold, very little about this simplicial group is known.

Let  $M$  be a topological manifold of  $\dim \geq 5$  with a finite number of tame ends  $\varepsilon_i$ ,  $1 \leq i \leq k$ . Each end  $\varepsilon_i$  of  $M$  has a neighborhood  $W_i$  which is a finitely dominated infinite cyclic cover of a compact and connected manifold. Hughes and Ranicki showed in [13] that for each  $W_i$ , there exists a manifold approximate fibration over  $\mathbb{R}$ ,  $p_i : W_i \rightarrow \mathbb{R}$ . The neighborhood  $W_i$  is called a periodic neighborhood of  $M$ .

Denote by  $TOP^{ep}(M)$  the simplicial group of end preserving homeomorphisms of  $M$ . Let  $TOP_{cs}(M)$  be the simplicial group of homeomorphisms of  $M$  which have compact support. Then  $TOP^{ep}(M) \subset TOP(M)$  and  $TOP_{cs}(M) \subset TOP^{ep}(M)$ .

With those notations, the main result of Section 2 is

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**Theorem A.** *There exists a map  $f : TOP^{ep}(M) \rightarrow \prod_i TOP^{ep}(W_i)$  such that the homotopy fiber of  $f$  is equivalent to  $TOP_{cs}(M)$ .*

Hughes' Approximate Isotopy Covering Theorem – Relative Version, and Siebenmann's Recognition Criterion for I-regular neighborhoods have an important role in the proof of this result.

Let  $\mathcal{GE}_{\varepsilon_i}(\mathcal{N}(\varepsilon_i), M)$ ,  $1 \leq i \leq k$ , be the simplicial set of equivalence classes of germs of embeddings of a neighborhood of  $\varepsilon_i$  into  $M$  which send  $\varepsilon_i$  into itself.

The proof of Theorem A is given in two steps. In the first step we show that the map  $TOP^{ep}(M) \rightarrow \prod_i \mathcal{GE}_{\varepsilon_i}(\mathcal{N}(\varepsilon_i), M)$  is a fibration with fiber  $TOP_{cs}(M)$ , using Siebenmann's Isotopy Extension Theorem.

In the second step we show that  $\prod_i TOP^{ep}(W_i) \rightarrow \prod_i \mathcal{GE}_{\varepsilon_i}(\mathcal{N}(\varepsilon_i), M)$  is a homotopy equivalence. This homotopy equivalence is a generalization of the Kister–Mazur Theorem:  $TOP(\mathbb{R}^n; 0) \simeq \mathcal{GE}_0(\mathcal{N}(0), \mathbb{R}^n)$ . A new proof of this theorem is given in Section 2, Corollary 2.6.

As an application of Theorem A, a new proof of a theorem of Anderson, Hsiang and Hatcher [3] is given in Section 2, Theorem 2.9.

Kuiper and Lashof in [23] proved a theorem where they express  $TOP(\mathbb{R}^n)$  in terms of  $TOP(D^n)$  and the concordance space for  $S^{n-1}$ ,  $\mathcal{C}(S^{n-1})$ , i.e.

**Kuiper–Lashof Theorem.**  $\mathcal{C}(S^{n-1}) \rightarrow TOP(D^n) \rightarrow TOP(\mathbb{R}^n)$  is a homotopy fibration sequence.

In this work, the Kuiper–Lashof Theorem is generalized:  $D^n$  is replaced by any compact manifold  $M$  and  $\mathbb{R}^n$  by the interior of  $M$ . That is the main result of Section 3.

**Theorem B.** *Let  $M$  be a compact topological manifold of  $\dim \geq 5$ , with connected boundary  $\partial M$ , and denote the interior of  $M$  by  $Int\ M$ . Let  $f : TOP(M) \rightarrow TOP(Int\ M)$  be the restriction map and let  $\mathcal{G}$  be the homotopy fiber of  $f$  over  $id_{Int\ M}$ . Then,  $\pi_i \mathcal{G}$  is isomorphic to  $\pi_i \mathcal{C}(\partial M)$  for  $i > 0$ , where  $\mathcal{C}(\partial M)$  is the concordance space of  $\partial M$ .*

Siebenmann's Isotopy Extension Theorem for CS sets [34] has an important role in the proof of this result.

The map  $f$  in Theorem B is not necessarily a fibration, and an example is given of a self-homeomorphism  $\rho$  of  $Int\ M$  which is not the restriction of a self-homeomorphism of  $M$  but  $\rho$  is isotopic to the identity map.

Finally, in Section 4 we prove

**Theorem C.** *Let  $q_0 : W \rightarrow \mathbb{R}$  be a manifold approximate fibration with  $\dim W \geq 5$ . Then*

1. *there exists a manifold approximate fibration  $q : \hat{W} \rightarrow S^1$  such that the following diagram commutes :*

$$\begin{array}{ccc} W & \xrightarrow{q_0} & \mathbb{R} \\ \downarrow & & \downarrow \exp \\ \hat{W} & \xrightarrow{q} & S^1 \end{array}$$

2.  $\pi_n TOP^{ep}(W)$  is a direct summand of  $\pi_n TOP(\hat{W})$  for  $n > 1$ , where  $\hat{W}$  is a compact and connected manifold and  $W$  is the infinite cyclic cover of  $\hat{W}$ .

The proof of this theorem uses results of Sections 2 and 3.

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# 1. PRELIMINAIRES

In this section, we establish definitions, results and some properties of the objects that will be used below.

The following definition and examples may be found in Siebenmann [34].

**Definition 1.1.** A *stratified set*  $X$ , in Siebenmann's sense, is a metrizable space  $X$  with a filtration  $\emptyset = X^{-1} \subset X^0 \subset \cdots \subset X^{k-1} \subset X^k \subset \cdots \subset X$  by closed subsets  $X^k$ ,  $k \geq -1$ , such that for each  $k \geq 0$ , the components of  $X^k - X^{k-1}$  are open in  $X^k - X^{k-1}$ .

It is a *top stratified set* if  $X^k - X^{k-1}$  is a topological  $k$ -manifold without boundary, called the *k-stratum* of  $X$ .

A stratified set  $X$  is *locally cone-like* if for each  $x \in X$ , say  $x \in X^k - X^{k-1}$ , there is an open neighborhood  $U$  of  $x$  in  $X^k - X^{k-1}$ , a compact stratified set of finite dimension  $L$  (called a *link* of  $x$  in  $X$ ) and a stratum-preserving homeomorphism of  $U \times cL$  onto an open neighborhood of  $x$  in  $X$ . ( $cL$  is the open cone in  $L$ . Regard  $U$  as a stratified set with  $U = U^k - U^{k-1}$ .)

A *CS set* is a locally cone-like top stratified set.

**Example 1.** A topological  $m$ -manifold  $X$  is a CS set. Here  $X^k = X$  for  $k \geq m$ ,  $X^{m-1} = \partial X$ , and  $X^i = \emptyset$  for  $i \leq m - 2$ .

**Example 2.** Let  $M$  be a compact topological manifold with connected boundary  $\partial M$ . The topological space  $X = \text{Int } M \cup \{\infty\}$ , the one-point compactification of  $\text{Int } M$ , is a CS set. The space  $Y = \partial M * S^0$ , where  $\partial M * S^0$  denotes the join of  $\partial M$  and  $S^0$ , is a CS set.

A *mock open cone* is a locally compact metric space  $C$  with a homotopy  $\gamma_t : C \rightarrow C$ , with  $0 \leq t \leq 1$ , such that

1.  $\gamma_t$ ,  $0 \leq t < 1$ , is an isotopy of  $\text{id}_C$ , through homeomorphisms,
2.  $\gamma_0 = \text{id}_C$ ,  $\gamma_1(C) = v \in C$  and  $\gamma_t(v) = v$ ,  $\forall t$ .

A topological stratified set  $X$  is a *locally weakly cone-like set* (WCS) if for each  $x \in X^k - X^{k-1}$ , there is a mock open cone  $C$  with vertex  $v$  and a homeomorphism  $\theta : \mathbb{R}^k \times C \rightarrow U$ , where  $U$  is an open neighborhood of  $x$  in  $X$ , such that  $\theta^{-1}(X^k) = \mathbb{R}^k \times v$ .

**Example 3.** Open cones on compact sets are trivial examples of mock open cones.

**Example 4.** Let  $W$  be a connected topological manifold of  $\dim \geq 5$ . Assume  $W$  is proper homotopy equivalent to (or even properly dominated by)  $F \times \mathbb{R}$ , with  $F$  a finite connected CW complex. Assume  $e_+$  is one of the two end points of  $W$ . Then  $C = W \cup e_+$  is a non-trivial example of a mock open cone. A homotopy  $\gamma_t$  of  $W \cup e_+$  to  $e_+$  can be constructed by an engulfing argument such that (1) and (2) hold and, for each  $t$ ,  $\gamma_t$  fixes points outside some compact set in  $W$  (depending this time on  $t$ ). See [34, §5].

Let  $M$  be a manifold and  $U$  be an open subset of  $M$ . If  $K$  is a subset of  $M$  with  $K \subset U$ , let  $\underline{Emb}(U, M; K)$  denote the space of proper embeddings of  $U$  into  $M$  which are the identity on  $K$ , and let  $\underline{Emb}(U, M)$  denote  $\underline{Emb}(U, M; \emptyset)$ . A neighborhood of  $h \in \underline{Emb}(U, M; K)$  is of the form

$$N(h) = \{g \in \underline{Emb}(U, M; K) / d(g(x), h(x)) < \epsilon, \forall x \in C\},$$

where  $C$  is a compact subset of  $U$ ,  $\epsilon > 0$  and  $d$  is the metric on  $M$ .

**Theorem 1.2** (Deformation Theorem). *Let  $X$  be a Hausdorff, locally compact, locally connected topological space (CS set or WCS set),  $K \subset X$  be a compact set and  $V \subset X$  be an open neighborhood of  $K$ . If  $h : V \rightarrow X$  is an open embedding sufficiently near to the inclusion  $i : V \hookrightarrow X$  in  $\underline{Emb}(V, X)$ , then there exists an isotopy  $h_t$ ,  $0 \leq t \leq 1$ , of  $h$  through open embeddings  $h_t : V \rightarrow X$  such that  $h_1 = i$  on  $K$  and  $h_t = h$  outside some compact set in  $V$  (independent of  $t$  and even of  $h$ ). Furthermore, the isotopy is standard in the sense that it is constructed to be a continuous function on  $h$  as  $h$  varies sufficiently near  $i$ . See [34], and for sufficiently near see [7].*

*Note.* Let  $A$  be a subset of a topological space  $X$  and  $x \in X$ .  $A$  is a neighborhood of  $x$  if  $A$  contains an open set containing  $x$ .

**Lemma 1.3.** *Let  $X$  be a Hausdorff, locally compact, locally connected topological space; let  $K$  and  $U$  be subsets of  $X$  such that  $U$  is an open neighborhood of the compact set  $K$ . Then  $K$  has a compact neighborhood  $C$  in  $X$  such that  $C \subset U$ .*

*Proof.* Since  $X$  is locally compact,  $x \in K$  contains a compact neighborhood  $C_x$  such that  $C_x \subset U$ . Thus, for each  $x \in K$  the collection  $\mathcal{A} = \{\overset{\circ}{C}_x\}_{x \in K}$  is an open cover of  $K$ . And since  $K$  is compact, this implies that there exists a finite subcollection  $\{\overset{\circ}{C}_{x_1}, \overset{\circ}{C}_{x_2}, \dots, \overset{\circ}{C}_{x_n}\}$  that also covers  $K$ . Thus, let  $C = \bigcup_{i=1}^n C_{x_i}$  be the compact neighborhood of  $K$  in  $X$  and  $C \subset U$  (since each  $C_{x_i} \subset U$ ).  $\square$

**Theorem 1.4** (Siebenmann's Isotopy Extension Theorem to  $(X, K)$ ). *Let  $X$  be a Hausdorff, locally compact, locally connected topological space (CS set or WCS set); let  $K$  and  $V$  be subsets of  $X$  such that  $V$  is an open neighborhood of the compact set  $K$ , and such that  $K$  has a compact frontier in  $V$ . Let  $f_t : V \rightarrow X$ ,  $t \in I^n$ , be a continuous family of embeddings, and let  $f_t(K)$  be closed. Assume that  $f_t$  respects strata. Then there exists a continuous family of homeomorphisms  $F_t : X \rightarrow X$ ,  $t \in I^n$ , fixed outside some compact set, such that  $F_{t_0} = id$ ,  $F_t|_K = f_t$ ,  $\forall t \in I^n$  and  $F_t$  respects strata. See [34, Theorem 6.5].*

*Remark 1.* By Lemma 1.3, the isotopy  $F_t$  in Siebenmann's Isotopy Extension Theorem above can be chosen such that  $F_t = f_t$  in some compact neighborhood  $C$  of  $K$  in  $X$ .

This theorem implies

**Theorem 1.5.** *With the same notation as in Theorem 1.4, the restriction map  $TOP(X) \rightarrow \mathcal{GE}(\mathcal{N}(K), X)$  is a (Kan) fibration.*

Here  $\mathcal{GE}(\mathcal{N}(K), X)$  denotes the simplicial set of embeddings  $f : U \times \Delta^k \rightarrow X \times \Delta^k$  commuting with the projection on  $\Delta^k$ , where  $U$  is an open neighborhood of  $K$  and two such embeddings  $f$  and  $f' : U' \times \Delta^k \rightarrow X \times \Delta^k$  are identified if they

agree in a smaller neighborhood of  $K$ . Let  $TOP(X)$  denote the simplicial set of homeomorphisms of  $X$ . See [5], [24].

*Proof.* In fact,

$$\begin{array}{ccc} \Delta^k \times \{0\} & \xrightarrow{\beta} & TOP(X) \\ \downarrow & & \downarrow r \\ \Delta^k \times I & \xrightarrow[\alpha]{} & \mathcal{GE}(\mathcal{N}(K), X) \end{array}$$

Let  $\alpha$  be a  $(k+1)$ -simplex of  $\mathcal{GE}(\mathcal{N}(K), X)$  given by an embedding  $q : U \times \Delta^k \times I \rightarrow X \times \Delta^k \times I$ , where  $\Delta^{k+1}$  is identified with  $\Delta^k \times I$  and  $U$  is a neighborhood of  $K$  in  $X$ . Let  $C \subset U$  be a compact neighborhood of  $K$  given by Lemma 1.3. Suppose we are given a lift of the 0-level of  $\alpha$  to a  $k$ -simplex  $\beta$  of  $TOP(X)$ . Thus  $\beta$  is given by the homeomorphism  $p : X \times \Delta^k \rightarrow X \times \Delta^k$  such that  $p = q$  on  $C \times \Delta^k$ . Let  $i : U \times \Delta^k \hookrightarrow X \times \Delta^k$  be the inclusion map. Consider the composition  $U \times \Delta^k \times I \xrightarrow{q} U \times \Delta^k \times I \xrightarrow{i \times id_I} X \times \Delta^k \times I$ , which is a family of embeddings.

From Theorem 1.4 applied to  $(X, C)$ , there exists an isotopy of homeomorphisms  $f : X \times \Delta^k \times I \rightarrow X \times \Delta^k \times I$  such that  $f = q$  on  $C \times \Delta^k \times I$  and  $f|_{X \times \Delta^k} = p$ . Thus this describes a  $(k+1)$ -simplex of  $TOP(X)$  which is the required lift of  $\alpha$ .  $\square$

**Lemma 1.6.** *Let  $X$  and  $Y$  be connected Kan simplicial sets with base points  $x$  and  $y$  respectively. Let  $f : X \rightarrow Y$  be a base point preserving map. If  $E(f)$ , the homotopy fiber of  $f$  over  $y$ , is contractible then  $f$  is a homotopy equivalence. For the definition of  $E(f)$  see [25].*

**Lemma 1.7.** *Let*

$$\begin{array}{ccc} A & \xrightarrow{f} & C \\ g \downarrow & & \downarrow g' \\ B & \xrightarrow[f']{} & D \end{array}$$

*be a commutative diagram of connected based Kan simplicial sets. Then the data determines simplicial maps  $\alpha$  and  $\beta$  between the homotopy fibers,  $\alpha : E(f) \rightarrow E(f')$  and  $\beta : E(g) \rightarrow E(g')$ . Thus,  $E(\alpha)$ , the homotopy fiber of  $\alpha$ , is weak homotopy equivalent to the homotopy fiber  $E(\beta)$  of  $\beta$ .*

*Remark 2.* See Adams [1] for the proof of the analogous result for topological spaces.

We refer to Siebenmann's thesis [30] for definition and basic results on ends and tame ends. An end of a manifold is tame if it has a sequence of connected neighborhoods satisfying certain properties. The ends of the interior of a compact manifold are examples of tame ends.

Manifolds with tame ends arise in Siebenmann [31], [33] as finitely dominated infinite cyclic covers of compact manifolds.

Let  $X$  be a compact space and  $f : X \rightarrow S^1$  be a continuous map. Let  $Y$  be an infinite cyclic cover of  $X$  induced by  $f$  from  $exp : \mathbb{R} \rightarrow S^1$ . Then, there exist a proper map  $p : Y \rightarrow \mathbb{R}$  and a generating covering translation  $T : Y \rightarrow Y$  such that  $pT(y) = p(y) + 1$ ,  $\forall y \in Y$ . See [14] and [33].

**Definition 1.8.** A neighborhood  $V$  of an end  $\varepsilon$  of  $M$  is a *periodic neighborhood* if  $V$  is homeomorphic to a finitely dominated infinite cyclic cover of a connected and compact manifold.

*Remark 3.* Tame ends of an open manifold of dimension  $\geq 5$  have periodic neighborhoods. See Siebenmann [31]. This is a special case of the Main Theorem in [18] (see page 1 and let  $B = \text{point}$ ). See also [8].

We now recall some definitions on manifold approximate fibrations. See [14].

**Definition 1.9.** Let  $X$  and  $B$  be topological spaces. Given  $\epsilon > 0$ , a map  $p : X \rightarrow B$  is an  $\epsilon$ -fibration if for any space  $Z$  and maps  $f : Z \rightarrow X$ ,  $F : Z \times I \rightarrow B$  such that  $F(z, 0) = pf(z)$  for  $z \in Z$ , there exists a map  $\tilde{F} : Z \times I \rightarrow X$  such that  $\tilde{F}(z, 0) = f(z)$  and  $p\tilde{F}$  is  $\epsilon$ -close to  $F$ .

An *approximate fibration* is a map  $p : X \rightarrow B$  which is an  $\epsilon$ -fibration for every  $\epsilon > 0$ .

A *manifold approximate fibration* is a proper map  $p : X \rightarrow B$  which is an approximate fibration and such that  $X$  is a finite dimensional manifold without boundary.

The map  $p$  in the definition of an approximate fibration is not necessarily onto. But if  $p : X \rightarrow B$  is an approximate fibration then the image of  $p$  in any path component of  $B$  is either empty or dense. In particular, the standard inclusion  $(0, 1) \hookrightarrow [0, 1]$  is an approximate fibration. If  $p$  is a closed map then the image of  $p$  is closed and hence is either empty or all of a particular path component.

Let  $p : X \rightarrow \mathbb{R}$  be a manifold approximate fibration.

Recall from [14] that a  $k$ -simplex of the simplicial group  $TOP^c(X \xrightarrow{p} \mathbb{R})$  of controlled homeomorphisms of  $X$  is a homeomorphism  $h : X \times \Delta^k \times [0, 1) \rightarrow X \times \Delta^k \times [0, 1)$  such that  $h$  commutes with the projection on  $\Delta^k \times [0, 1)$  and the compositions

$$X \times \Delta^k \times [0, 1) \xrightarrow{h} X \times \Delta^k \times [0, 1) \xrightarrow{p \times id} \mathbb{R} \times \Delta^k \times [0, 1)$$

and

$$X \times \Delta^k \times [0, 1) \xrightarrow{h^{-1}} X \times \Delta^k \times [0, 1) \xrightarrow{p \times id} \mathbb{R} \times \Delta^k \times [0, 1)$$

extend continuously to maps

$$X \times \Delta^k \times [0, 1] \rightarrow \mathbb{R} \times \Delta^k \times [0, 1]$$

via  $p \times id : X \times \Delta^k \times [0, 1] \rightarrow \mathbb{R} \times \Delta^k \times [0, 1]$ .

Recall from [16] that a  $k$ -simplex of the simplicial group  $TOP^b(X \xrightarrow{p} \mathbb{R})$  of bounded homeomorphisms of  $X$  consists of a homeomorphism  $h : X \times \Delta^k \rightarrow X \times \Delta^k$  commuting with the projection on  $\Delta^k \times [0, 1)$ , and such that  $h$  is bounded in the  $\mathbb{R}$ -direction. Note that a map  $f : X \rightarrow Y$  between two topological spaces is called *bounded* if there exists a number  $c > 0$ , which depends on  $f$ , such that for each  $x \in X$ ,  $\|p_2 f(x) - p_1(x)\| < c$ , where  $p_1 : X \rightarrow \mathbb{R}$  and  $p_2 : Y \rightarrow \mathbb{R}$ .

*Remark 4.* Hughes and Ranicki in [13, Lemma 7.7] showed that a topological manifold  $M$  of dimension  $\geq 5$  admits an approximate fibration to  $\mathbb{R}$  if and only if  $M$  is a finitely dominated infinite cyclic cover of a compact space.

**Theorem 1.10.** Let  $W$  be a connected manifold of  $\dim \geq 5$  and let  $p : W \rightarrow \mathbb{R}$  be a manifold approximate fibration. Then the following simplicial groups are homotopy equivalent:

1.  $TOP^{ep}(W)$ ,
2.  $TOP^b(W \xrightarrow{p} \mathbb{R})$ ,
3.  $TOP^c(W \xrightarrow{p} \mathbb{R})$ ,

where  $TOP^{ep}(W)$  denotes the simplicial group of end preserving homeomorphisms of  $W$ . See [16].

**Theorem 1.11.** (Hughes' Approximate Isotopy Covering Theorem – Relative Version). *Let  $p : M \rightarrow B$  be a manifold approximate fibration with  $\dim M \geq 5$ , and let  $B$  be a metric space. Let  $C$  and  $\tilde{C}$  be closed subsets of  $B$  such that  $C \subset \text{int } \tilde{C}$ , let  $\alpha$  be an open cover of  $B$  and let  $h_t : B \rightarrow B$  be an isotopy which is supported on  $C$ . Then there exists an isotopy  $H_t : M \rightarrow M$ ,  $0 \leq t \leq 1$ , such that  $pH_t$  is  $\alpha$ -close to  $h_t p$ , for each  $t$ , and  $H_t$  is supported on  $p^{-1}(\tilde{C})$ .*

*Proof.* In [16, Theorem 6.1] the case where  $C = \emptyset$  is deduced from the Approximation Theorem in [12]. The proof of the relative version is the same except one uses a Relative Approximation Theorem.  $\square$

We refer to Siebenmann [35] for definitions on I-regular neighborhoods. We summarize the basic results of I-regular neighborhoods. The proofs are essentially in [35], [36], [37].

Let  $Y$  be a topological space and  $X$  be any subset of  $Y$ .

**I-Compression Axiom.**  *$(Y, X)$  satisfies I-compression axiom if for any neighborhood  $U$  of  $X$  in  $Y$  there exists a neighborhood  $V \subset U$  so that  $V$  is I-compressible towards  $X$  in  $U$ .*

*Remark 5.* Under the hypothesis of the I-compression axiom, every regular neighborhood of  $X$  in  $Y$  is an I-regular neighborhood. See [36, Remark 1.7].

**Theorem 1.12** (Uniqueness of I-regular neighborhoods). *If  $E$  and  $E'$  are two I-regular neighborhoods of  $X$  in  $Y$ , then there exists an isotopy of embeddings  $g_t : E \rightarrow Y$ ,  $0 \leq t \leq 1$ , fixing a neighborhood of  $X$  in  $Y$  (independent of  $t$ ) and such that  $g_0 = \iota$ , where  $\iota : E \hookrightarrow Y$  is the inclusion and  $g_1(E) = E'$ . See [35, Theorem 1.4] or [36, Theorem 2.2].*

**Theorem 1.13** (Recognition Criterion). *Suppose  $Y$  is locally compact and  $X \subset Y$  is compact. Then an open neighborhood  $U$  of  $X$  in  $Y$  is I-regular if and only if  $U$  is  $\sigma$ -compact, and for each compact set  $K \subset U$  there exists a compact set  $L \subset U$  such that  $K$  is I-compressible towards  $X$  in  $L$ . See [35, Theorem 3.1] or [36, Theorem 4.1].*

*Remark 6.* Siebenmann in [34, page 254] says that if  $(Y, X)$  is compact and metrizable, an open neighborhood  $U$  of  $X$  is regular if and only if  $K$  is compressible towards  $X$  in  $U$  for each compact set  $K \subset U$ .

**Theorem 1.14.** *Let  $W$  be a topological manifold of  $\dim \geq 5$ , let  $\varepsilon$  be an isolated end of  $W$  and let  $W \cup \varepsilon$  be the one-point compactification of  $W$ . Suppose that  $\varepsilon$  admits I-regular neighborhoods in  $(\partial W) \cup \varepsilon$ . If  $\varepsilon$  is tame then  $\varepsilon$  admits I-regular neighborhoods in  $W \cup \varepsilon$ . See [37, §2].*

*Remark 7.* In the theorem above, if some neighborhood  $U$  of  $\varepsilon$  is such that  $(\partial W) \cap U = \emptyset$ , in particular if  $\partial W = \emptyset$ , then trivially  $\varepsilon$  admits I-regular neighborhoods in  $(\partial W) \cup \varepsilon$ .

*Remark 8.* In Theorem 2.7 we will prove that  $W \cup e_+$  is an I-regular neighborhood, for  $W$  a total space of a manifold approximate fibration over  $\mathbb{R}$ .

## 2. MANIFOLDS WITH TAME ENDS

Let  $M$  be a non-compact, separable topological manifold of dimension  $\geq 5$ , with compact (possibly empty) boundary  $\partial M$ , and let  $M$  have a finite number of ends  $\varepsilon_1, \varepsilon_2, \dots, \varepsilon_k$ , each one tame. By Remark 3 and Remark 4, for each end  $\varepsilon_i$  of  $M$ , choose a periodic neighborhood  $W_i$  and a manifold approximate fibration  $p_i : W_i \rightarrow \mathbb{R}$ .

Let  $TOP(M)$  denote the simplicial group of homeomorphisms of  $M$ , where a  $k$ -simplex is a homeomorphism  $h : M \times \Delta^k \rightarrow M \times \Delta^k$  commuting with the projection on  $\Delta^k$ . Let  $TOP^{ep}(M)$  denote the simplicial subgroup of  $TOP(M)$  of homeomorphisms of  $M$  which preserve all the ends of  $M$ . Notice that  $TOP^{ep}(M)$  is the union of certain components of  $TOP(M)$ .

Let  $TOP_{cs}(M)$  be the simplicial subgroup of  $TOP^{ep}(M)$  of homeomorphisms of  $M$  with compact support.

Let  $X$  be a topological space,  $K \subset X$  a compact set. Let  $\mathcal{GE}_K(\mathcal{N}(K), X)$  be the simplicial set of equivalence classes of germs of embeddings whose  $k$ -simplices are represented by embeddings  $h : U \times \Delta^k \rightarrow X \times \Delta^k$  commuting with the projection on  $\Delta^k$ , for some open neighborhood  $U$  of  $K$  in  $X$  and such that  $h(K) = K$ . Two such embeddings  $h_i : U_i \times \Delta^k \rightarrow X \times \Delta^k$ ,  $i = 1, 2$ , are equivalent if they agree on  $U_3 \times \Delta^k$ , where  $U_3 \subset U_1 \cap U_2$ .

Let  $A \subset X$ . Let  $TOP(X \text{ rel } A)$  denote the simplicial group whose  $k$ -simplices are homeomorphisms  $h : X \times \Delta^k \rightarrow X \times \Delta^k$  commuting with the projection on  $\Delta^k$  and which restrict to the identity on  $A$ .

**Theorem A.** *There exists a map  $f : TOP^{ep}(M) \rightarrow \prod_i TOP^{ep}(W_i)$  such that the homotopy fiber of  $f$  is equivalent to  $TOP_{cs}(M) \subset TOP^{ep}(M)$ .*

Henceforth we shall assume that  $M$  has just one tame end  $\varepsilon$ , with a periodic neighborhood  $W$  and a manifold approximate fibration  $p : W \rightarrow \mathbb{R}$ . Denote by  $e_+$  and  $e_-$  the two ends of  $W$ . The general case follows easily.

The main result follows from the analysis of the diagram

$$\begin{array}{ccccc} G & \longrightarrow & TOP^{ep}(M) & \xrightarrow{f} & TOP^{ep}(W) \\ \uparrow & & \parallel & & \uparrow g \\ TOP_{cs}(M) & \longrightarrow & TOP^{ep}(M) & \xrightarrow{\varsigma} & \mathcal{GE}_\varepsilon(\mathcal{N}(\varepsilon), M) \end{array}$$

where the following will be proved:

1. The restriction map  $\varsigma$  is a fibration with fiber  $TOP_{cs}(M)$ .
2. The map  $g$  is a homotopy equivalence.

In this diagram  $G$  denotes the homotopy fiber of  $f$  and  $\mathcal{GE}_\varepsilon(\mathcal{N}(\varepsilon), M)$  denotes the simplicial set of equivalence classes of germs of embeddings of a neighborhood of  $\varepsilon$  into  $M$  which send  $\varepsilon$  into itself.

The proof of (1) is given in Theorem 2.1.

In order to prove (2) we construct, in Theorem 2.5, a homotopy equivalence  $\delta : TOP^{ep}(W) \rightarrow \mathcal{GE}_\varepsilon(\mathcal{N}(\varepsilon), M)$ . Then let  $g$  be a homotopy inverse to  $\delta$ .

From Theorem 1.10 we have that  $TOP^{ep}(W)$  is homotopy equivalent to  $TOP^c(W \xrightarrow{p} \mathbb{R})$ .



*Proof of Theorem A.* Assuming (1) and (2) above, it follows that  $G$  is homotopy equivalent to  $TOP_{cs}(M)$  in the diagram above, where  $f$  is the composition map  $f = g\varsigma$ .  $\square$

Let  $W \hookrightarrow M$  be a periodic neighborhood of  $\varepsilon$ . Let  $TOP_W(M) \subset TOP(M)$  be the subsimplicial group of homeomorphisms of  $M$  which restrict to a homeomorphism of  $W$ .

**Corollary A1.** (i)  $BTOP_W(M) \rightarrow BTOP(M)$  is a homotopy equivalence.

(ii)  $BTOP_W(M) \rightarrow BTOP^{ep}(W)$  is a fibration.

**Theorem 2.1.** The restriction map  $\varsigma : TOP^{ep}(M) \rightarrow \mathcal{GE}_\varepsilon(\mathcal{N}(\varepsilon), M)$  is a fibration.

*Proof.* This follows by applying Theorem 1.5 to  $X = M \cup \varepsilon$  and  $K = \varepsilon$ . Notice that Example 4 (Section 1) of a mock open cone implies that  $M \cup \varepsilon$  is a WCS set.

The fiber of  $\varsigma$  over the standard embedding is  $TOP_{cs}(M)$ .  $\square$

**Proposition 2.2.** Let  $X$  be a topological space,  $K \subset X$  a compact set, and let  $V$  be an open neighborhood of  $K$  in  $X$ . Then the inclusion  $V \subset X$  induces a map  $\phi : \mathcal{GE}_K(\mathcal{N}(K), V) \rightarrow \mathcal{GE}_K(\mathcal{N}(K), X)$  which is a homotopy equivalence.

*Proof.* Let  $h : U \rightarrow V$  be a representative of the class  $[h]$  in  $\mathcal{GE}_K(\mathcal{N}(K), V)$ , where  $U$  is a neighborhood of  $K$  in  $V$ . Then  $i \circ h : U \rightarrow V$  is an embedding such that  $i \circ h(K) = K$ , where  $i$  is the inclusion map. Thus define  $\phi : \mathcal{GE}_K(\mathcal{N}(K), V) \rightarrow \mathcal{GE}_K(\mathcal{N}(K), X)$  by  $\phi[h] = [i \circ h]$ .

Conversely, let  $g : U' \rightarrow X$  be an embedding representative of the class  $[g]$  in  $\mathcal{GE}_K(\mathcal{N}(K), X)$ , where  $U'$  is a neighborhood of  $K$  in  $X$  such that  $g(K) = K$ . Since  $V \subset X$  and  $g(K) = K$ ,  $g^{-1}(V) \supset K$  is an open set. Let  $L$  be a neighborhood of  $K$  such that  $L \subset g^{-1}(V)$ . Denote  $g' = g|_L$ . Then  $\bar{g} : L \rightarrow V$  such that  $\bar{g}(y) = g'(y)$  for  $y \in L$  is an embedding in  $\mathcal{GE}_K(\mathcal{N}(K), V)$ . Thus define  $\psi : \mathcal{GE}_K(\mathcal{N}(K), X) \rightarrow \mathcal{GE}_K(\mathcal{N}(K), V)$  by  $\psi[g] = [\bar{g}]$ .

We have  $\phi \circ \psi = id_{\mathcal{GE}_K(\mathcal{N}(K), X)}$  and  $\psi \circ \phi = id_{\mathcal{GE}_K(\mathcal{N}(K), V)}$ .  $\square$

**Corollary 2.3.** The map  $\phi : \mathcal{GE}_{e_+}(\mathcal{N}(e_+), W) \rightarrow \mathcal{GE}_\varepsilon(\mathcal{N}(\varepsilon), M)$  is a homotopy equivalence.

*Proof.* This follows from Proposition 2.2, where  $K = e_+$  which is also the end of  $M$ ,  $V = W$  and  $X = M$ .  $\square$

**Proposition 2.4.** The restriction map  $\eta : TOP^{ep}(W) \rightarrow \mathcal{GE}_{e_+}(\mathcal{N}(e_+), W)$  is a homotopy equivalence.

*Proof.* This is implied by the following claims.  $\square$

**Claim 1.**  $\eta$  is a fibration.

*Proof.* This follows from Theorem 2.1 with  $M = W$ .

The fiber of  $\eta$  over the standard embedding is  $TOP(W \text{ rel } \mathcal{N}(e_+))$ .  $\square$

**Claim 2.**  $TOP(W \text{ rel } \mathcal{N}(e_+)) \simeq *$ .

*Proof.* Let  $p : W \rightarrow \mathbb{R}$  be a manifold approximate fibration and  $W_k = p^{-1}(k, +\infty)$  be a neighborhood of  $e_+$  in  $W$ . Let  $h : W \rightarrow W$  be a homeomorphism such that  $h|_{W_k} = id$ .

Consider a homeomorphism  $g : \mathbb{R} \rightarrow \mathbb{R}$  such that  $g|_{(k, +\infty)} = id$ , where  $(k, +\infty)$  is a neighborhood  $+\infty$  of in  $\mathbb{R}$ .

An isotopy of  $g$  to the identity, fixing  $(k, +\infty)$ , is given by  $g_s : \mathbb{R} \rightarrow \mathbb{R}$ ,  $0 \leq s \leq 1$ :

$$g_s(t) = \begin{cases} g(t + \frac{s}{1-s}) - \frac{s}{1-s} & \text{if } 0 \leq s < 1, \\ id & \text{if } s = 1. \end{cases}$$

$g_s$  is continuous near 1: given  $t \in \mathbb{R}$ , choose  $s$  close enough to 1 so that  $t + \frac{s}{1-s} > k$ . Then  $g(t + \frac{s}{1-s}) = t + \frac{s}{1-s}$ . Thus,  $g_s(t) = t + \frac{s}{1-s} - \frac{s}{1-s} = t$ .

By Theorem 1.11 there exists a continuous family of homeomorphisms  $G_s : W \rightarrow W$ ,  $0 \leq s \leq 1$ , such that  $G_1 = id$  and  $(p \times id_I)G_s$  is close to  $g_s(p \times id_I)$ .  $G_s$  is an isotopy of  $h$  and the identity, fixing a neighborhood of  $e_+$  contained in  $W_k$ .  $\square$

**Claim 3.**  $\eta$  is onto on  $\pi_0$ .

*Proof.* Let  $N$  be a neighborhood of  $e_+$  in  $W$  such that  $N$  is also a total space of a manifold approximate fibration  $q : N \rightarrow \mathbb{R}$ . Applying Corollary 2.8, there exists an isotopy of embeddings  $h_t : N \rightarrow W$ ,  $0 \leq t \leq 1$ , such that  $h_0 = \text{inclusion } \iota : N \hookrightarrow W$ ,  $h_1 = \text{homeomorphism}$ , and there exists a smaller neighborhood  $V$  of  $e_+$  in  $W$  such that  $h_t|_V = \iota|_V$  for all  $t$ . Let  $f : N \rightarrow W$  such that  $f(e_+) = e_+$  be an embedding in  $\mathcal{GE}_{e_+}(\mathcal{N}(e_+), W)$ . Applying Corollary 2.8 again to  $f(N) \subset W$ , we get an isotopy of embeddings  $g_t : f(N) \rightarrow W$  such that  $g_0 = \text{inclusion } \iota : f(N) \hookrightarrow W$ ,  $g_1 = \text{homeomorphism}$ , and there exists a smaller neighborhood  $V'$  of  $e_+$  in  $f(N)$  such that  $g_t|_{V'} = g_0|_{V'}$ .

Define an isotopy of embeddings  $s_t : N \rightarrow W$ ,  $0 \leq t \leq 1$ , by the composition  $s_t = fg_t$  so that  $s_0 = f$ ,  $s_1 = \text{homeomorphism}$ , and there exists a smaller neighborhood  $V''$  of  $e_+$  such that  $s_t|_{V''} = f|_{V''}$ .

Define  $F : W \rightarrow W$  by  $F = s_1(g_1)^{-1}$ . Then  $F$  is a homeomorphism such that  $F|_{V \cap f^{-1}(V')} = f|_{V \cap f^{-1}(V')}$ , i.e.,  $F$  is a homeomorphism which is germ equivalent to  $f$  at  $e_+$ .  $\square$

**Claim 4.** Any two fibers of  $\eta$  are isomorphic.

*Proof.* Let  $F_0 = TOP(W \text{ rel } \mathcal{N}(e_+))$  be the fiber of  $\eta$  over the standard embedding  $i : \mathcal{N}(e_+) \rightarrow W$ . In particular,  $id_W \in F_0$ . Let  $g \in \mathcal{GE}_{e_+}(\mathcal{N}(e_+), W)$  and let  $F$  be the fiber of  $\eta$  over  $g$ , i.e., the simplicial group of a homeomorphism  $h$  of  $W$  into itself such that  $h|_{\mathcal{N}(e_+)} = g$ . Construct an isomorphism  $H : F_0 \rightarrow F$  as follows. Let  $h$  be an element in  $F$ . Define  $H : F_0 \rightarrow F$  by  $H(f) = h \circ f$ , with  $f \in F_0$ . Since  $f|_{\mathcal{N}(e_+)} = id|_{\mathcal{N}(e_+)}$ , we have that  $h \circ f|_{\mathcal{N}(e_+)} = h|_{\mathcal{N}(e_+)}$ . Thus,  $H(f) = h \circ f$  is in  $F$ , i.e.  $\eta(h) = \eta(h \circ f)$ .

Define the inverse of  $H$ ,  $H^{-1} : F \rightarrow F_0$ , by  $H^{-1}(g) = h^{-1} \circ g$ . It is well defined because  $h$  is a homeomorphism.

Clearly  $H^{-1} \circ H = id_{F_0}$  and  $H \circ H^{-1} = id_F$ .  $\square$

**Theorem 2.5.** The map  $\delta : TOP^{ep}(W) \rightarrow \mathcal{GE}_\varepsilon(\mathcal{N}(\varepsilon), M)$  is a homotopy equivalence.

*Proof.* This follows from Corollary 2.3 and Proposition 2.4, where  $\delta = \phi \circ \eta$ .  $\square$

As a corollary we have

**Corollary 2.6** (Kister - Mazur Theorem). The restriction map  $TOP(\mathbb{R}^n; 0) \rightarrow \mathcal{GE}_0(\mathcal{N}(0), \mathbb{R}^n)$  is a homotopy equivalence, where  $TOP(\mathbb{R}^n; 0)$  denotes the simplicial group of homeomorphisms of  $\mathbb{R}^n$  which fixes the origin.

*Proof.* In this proof we will use the following claims:  $\square$

**Claim 1.**  $TOP^{ep}(S^{n-1} \times \mathbb{R}) \cong TOP(\mathbb{R}^n; 0)$ .

*Proof.* Let  $h : (\mathbb{R}^n - 0) \rightarrow S^{n-1} \times \mathbb{R}$  be a homeomorphism.

Given a homeomorphism  $f : \mathbb{R}^n \rightarrow \mathbb{R}^n$  such that  $f(0) = 0$ , define  $\bar{f} : S^{n-1} \times \mathbb{R} \rightarrow S^{n-1} \times \mathbb{R}$  by  $\bar{f} = h \circ f \circ h^{-1}$ , which is an end preserving homeomorphism. Conversely, given an end preserving homeomorphism  $g : S^{n-1} \times \mathbb{R} \rightarrow S^{n-1} \times \mathbb{R}$ , define  $\bar{g} : \mathbb{R}^n \rightarrow \mathbb{R}^n$  by

$$\bar{g}(x) = \begin{cases} h^{-1} \circ g \circ h(x) & \text{for } x \neq 0, \\ 0 & \text{or } x = 0. \end{cases}$$

Since  $g$  is end preserving,  $\bar{g}$  is continuous in 0.  $\square$

**Claim 2.**  $\mathcal{GE}_{-\infty}(\mathcal{N}(-\infty), S^{n-1} \times \mathbb{R}) \simeq \mathcal{GE}_0(\mathcal{N}(0), \mathbb{R}^n)$ .

*Proof.* Analogous to Claim 1.

Then, applying Proposition 2.4, where  $W = S^{n-1} \times \mathbb{R}$  and  $p$  is the projection map, we have that  $TOP^{ep}(S^{n-1} \times \mathbb{R}) \simeq \mathcal{GE}_{-\infty}(\mathcal{N}(-\infty), S^{n-1} \times \mathbb{R})$ . And by Claim 1 and Claim 2 we have the corollary.  $\square$

**Theorem 2.7.** *Let  $p : W \rightarrow \mathbb{R}$  be a manifold approximate fibration and let  $\dim W \geq 5$ . Then  $W \cup e_+$  is an I-regular neighborhood of  $e_+$ .*

*Proof.* It follows from Siebenmann [33] that both of the ends  $e_+$ ,  $e_-$  of  $W$  are tame ends. Then, using Theorem 1.14 and Remark 7, we have that  $e_+$  (resp.  $e_-$ ) admits I-regular neighborhoods in  $W \cup e_+$  (resp.  $W \cup e_-$ ), i.e.  $(W \cup e_+, e_+)$  (resp.  $(W \cup e_-, e_-)$ ) satisfies the I-compression axiom. Thus, since  $(W \cup e_+, e_+)$  satisfies the I-compression axiom, it follows from Remark 5 that it is enough to show that  $W \cup e_+$  is a regular neighborhood of  $e_+$ . And to show this we apply Remark 6 to  $Y = W \cup \{e_+, e_-\}$ ,  $U = W \cup e_+$ , together with Theorem 1.11.

Let  $K = p^{-1}[k, \infty) \cup e_+$  be a compact set,  $K \subset W \cup e_+$ , and let  $V$  be a neighborhood of  $e_+$ . Choose  $r$  such that  $r > k$  and  $p^{-1}[k, \infty) \subset V$ .

We will apply Theorem 1.11 to  $C = [k-1, r+2]$ ,  $\tilde{C} = [l+1, r+3]$ , where  $l+1 < k-1$ , and to the isotopy  $h_t : \mathbb{R} \rightarrow \mathbb{R}$ ,  $0 \leq t \leq 1$ , such that  $h_0 = id$ ,  $h_1(x) > r+1$  for  $x \geq k$  and  $h_t$  is supported on  $C$ . Thus, by Theorem 1.11 there exists an isotopy  $H_t : W \rightarrow W$ ,  $0 \leq t \leq 1$ , such that  $pH_t$  is  $\alpha$ -close to  $h_t p$ , for each  $t$ , and  $H_t$  is supported on  $p^{-1}(\tilde{C})$ .

Notice the sequence of real numbers  $1 < l+1 < k-1 < k < r < r+1 < r+2 < r+3$ .

The isotopy  $h_t$  of  $\mathbb{R}$  is defined by  $h_0 = id$  and

$$h_1(x) = \begin{cases} x & \text{if } x > r+2 \text{ or } x < k-1, \\ x(r-k+2) + (k-1)(k-r-1) & \text{if } k-1 \leq x < k, \\ r+1 + \frac{x-k}{r-k+2} & \text{if } k \leq x \leq r+2. \end{cases}$$

Since  $H_t$  is supported on  $p^{-1}(\tilde{C})$ ,  $H$  is the identity on

$$p^{-1}(-\infty, l+1) \cup p^{-1}(r+3, \infty),$$

where  $p^{-1}(-\infty, l+1) \supset W - p^{-1}[l, \infty)$  and  $p^{-1}(r+3, \infty)$  is a neighborhood of  $e_+$ .

Now we verify that  $H_1(K) \subset V$ . Let  $x \in K$ . Then  $pH_1(x)$  is  $\alpha$ -close to  $h_1(p(x))$ . Since  $p(x) \geq k$ , it follows that  $pH_1(x) \geq r$  (because  $h_1(p(x)) \geq r+1$  by the construction of  $h_1$ ). It means that  $H_1(x) \in p^{-1}([r, \infty)) \subset V$ .

Since  $H_t$  is fixed on a neighborhood of  $e_+$ , we can extend  $H_t$  to  $\bar{H}_t : W \cup e_+ \rightarrow W \cup e_+$  by  $\bar{H}_t|_W = H_t$  and  $\bar{H}_t(e_+) = e_+$ . Thus,  $K$  is compressible towards  $e_+$  in  $U$ .  $\square$

**Corollary 2.8.** *Let  $p : W \rightarrow \mathbb{R}$  be a manifold approximate fibration and suppose that  $U$  is an open neighborhood of  $e_+$  in  $W$  such that  $U$  is also the total space of a manifold approximate fibration  $q : U \rightarrow \mathbb{R}$ . Then there exists an isotopy of embeddings  $h_t : U \rightarrow W$ ,  $0 \leq t \leq 1$ , such that,  $h_0 = \iota$ , where  $\iota$  is the inclusion map  $\iota : U \hookrightarrow W$ ,  $h_1$  is a homeomorphism and  $h_t$  fixes a smaller neighborhood  $V$  of  $e_+$ .*

*Proof.* This follows from Theorem 1.12, where  $E = U \cup e_+$  and  $E' = W \cup e_+$  are I-regular neighborhoods.  $\square$

We now use Theorem 2.1 to give an alternative proof of Anderson and Hsiang's Theorem [3] as given in the next theorem.

Let  $N$  be a compact, connected manifold and let  $p : N \times \mathbb{R} \rightarrow \mathbb{R}$  be the projection map.

**Theorem 2.9** (Anderson-Hsiang-Hatcher).  $\Omega(TOP^b(N \times \mathbb{R})) \simeq TOP(N \times I \text{ rel } \partial)$ .

*Proof.* Fact (\*): If  $X$  is a topological space,  $x \in X$  is a base point, and  $\Delta : X \rightarrow X \times X$  is the diagonal map, then the homotopy fiber of  $\Delta$  at  $(x, x)$  is homotopy equivalent to  $\Omega(X, x)$ .

This fact will be used in the proof of this theorem.

From Theorem 2.1 applied to  $M = N \times \mathbb{R}$  we have that the restriction maps

$$\mu_+ : TOP^{ep}(N \times \mathbb{R}) \rightarrow \mathcal{GE}_{+\infty}(\mathcal{N}(+\infty), N \times \mathbb{R})$$

and

$$\mu_- : TOP^{ep}(N \times \mathbb{R}) \rightarrow \mathcal{GE}_{-\infty}(\mathcal{N}(-\infty), N \times \mathbb{R})$$

are fibrations. The homotopy fiber of the map

$$\Phi : TOP^{ep}(N \times \mathbb{R}) \rightarrow \mathcal{GE}_{+\infty}(\mathcal{N}(+\infty), N \times \mathbb{R}) \times \mathcal{GE}_{-\infty}(\mathcal{N}(-\infty), N \times \mathbb{R})$$

is

$$TOP(N \times \mathbb{R} \text{ rel } \{\mathcal{N}(+\infty), \mathcal{N}(-\infty)\})$$

which is homotopy equivalent to  $TOP(N \times I \text{ rel } \partial)$ .

So, we construct the following diagram:

$$\begin{array}{ccc} TOP^b(N \times \mathbb{R}) & \xrightarrow{\Psi} & \mathcal{GE}_{+\infty}(\mathcal{N}(+\infty), N \times \mathbb{R}) \times \mathcal{GE}_{-\infty}(\mathcal{N}(-\infty), N \times \mathbb{R}) \\ \downarrow i \simeq & & \Downarrow \\ TOP_{cs}(N \times \mathbb{R}) & \longrightarrow & TOP^{ep}(N \times \mathbb{R}) \xrightarrow{\Phi} \mathcal{GE}_{+\infty}(\mathcal{N}(+\infty), N \times \mathbb{R}) \times \mathcal{GE}_{-\infty}(\mathcal{N}(-\infty), N \times \mathbb{R}) \end{array}$$

where  $\Psi$  is the composition  $\Psi = \Phi \circ i$  with  $i$  a homotopy equivalence. See Theorem 1.10.

Then, the homotopy fiber of the map  $\Psi$  is equivalent to the fiber of  $\Phi$ , which is homotopy equivalent to  $TOP(N \times I \text{ rel } \partial)$ . Finally, by fact (\*), the homotopy fiber of  $\Phi$  at  $(\text{incl}, \text{incl})$  is equivalent to  $\Omega(TOP^b(N \times \mathbb{R}))$ . In other words,  $TOP(N \times I \text{ rel } \partial)$  is homotopy equivalent to  $\Omega(TOP^b(N \times \mathbb{R}))$ .  $\square$

## 3. MANIFOLDS WHICH ARE THE INTERIOR OF A COMPACT MANIFOLD

In this section, a generalization of the Kuiper–Lashof Theorem is given for a non-compact manifold which is the interior of a compact manifold with connected boundary.

Through this section all embeddings are proper.

Let  $M$  be a compact topological manifold of dimension  $\geq 5$ , with connected boundary  $\partial M$ , and denote the interior of  $M$  by  $\text{Int } M$ .

Let  $\mathcal{C}(\partial M)$  denote the space of concordances of  $\partial M$ .

Let  $f : \text{TOP}(M) \rightarrow \text{TOP}(\text{Int } M)$  be the restriction map, and let  $\mathcal{G}$  be the homotopy fiber of  $f$  over  $\text{id}_{\text{Int } M}$ .

**Theorem B.**  $\pi_i \mathcal{G}$  is isomorphic to  $\pi_i \mathcal{C}(\partial M)$ , for  $i > 0$ .

This result follows from the of the diagram

$$\begin{array}{ccccccc}
 (**) & & \mathcal{G} & \xleftarrow{\quad} & \mathcal{H} & & \mathcal{C}(\partial M) \\
 & & \downarrow & & \downarrow & & \downarrow \\
 \text{TOP}(M \text{ rel } \mathcal{N}(\partial M)) & \longrightarrow & \text{TOP}(M) & \xrightarrow{r} & \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M) & \xleftarrow{v} & \text{TOP}(\partial M) \\
 \downarrow i & & \downarrow f & & \downarrow u & & \downarrow g \\
 \text{TOP}(\text{Int } M \text{ rel } \infty) & \longrightarrow & \text{TOP}(\text{Int } M) & \xrightarrow{s} & \mathcal{GE}_{\infty}(\mathcal{N}(\infty), \text{Int } M) & \xrightarrow{j} & \text{TOP}^b(\partial M \times \mathbb{R})
 \end{array}$$

where the following will be proved:

- (1) the restriction maps  $r$  and  $s$  are fibrations, with fibers  $\text{TOP}(M \text{ rel } \mathcal{N}(\partial M))$  and  $\text{TOP}(\text{Int } M \text{ rel } \infty)$ ;
- (2) the maps  $j$  and  $v$  are homotopy equivalences;
- (3) the diagrams (I)

$$\begin{array}{ccc}
 \text{TOP}(M) & \xrightarrow{r} & \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M) \\
 f \downarrow & & \downarrow u \\
 \text{TOP}(\text{Int } M) & \xrightarrow{s} & \mathcal{GE}_{\infty}(\mathcal{N}(\infty), \text{Int } M)
 \end{array}$$

and (II)

$$\begin{array}{ccc}
 \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M) & \xleftarrow{v} & \text{TOP}(\partial M) \\
 u \downarrow & & \downarrow g \\
 \mathcal{GE}_{\infty}(\mathcal{N}(\infty), \text{Int } M) & \xleftarrow{j} & \text{TOP}^b(\partial M \times \mathbb{R})
 \end{array}$$

are commutative.

The proof of (1) will be given in Theorems 3.1 and 3.2. The maps  $j$  and  $v$  will be constructed in Theorems 3.5 and 3.10. The construction depends on the choice of a collar for  $\partial M$ . In Remarks 3.6 and 3.11 we have (3).

It was proved by Anderson and Hsiang [3] that  $\mathcal{C}(\partial M)$  is the homotopy fiber of the map  $g = - \times \text{id}_{\mathbb{R}} : \text{TOP}(\partial M) \rightarrow \text{TOP}^b(\partial M \times \mathbb{R})$ .

*Proof of Theorem B.* Let  $\mathcal{H}$  denote the homotopy fiber of  $u$ . Assume (1) – (3) above. Then:

1.  $i : \text{TOP}(M \text{ rel } \mathcal{N}(\partial M)) \rightarrow \text{TOP}(\text{Int } M \text{ rel } \infty)$  is an isomorphism (Remark 3.12).
2. Lemma 1.7 applied to the square (I) implies that  $\pi_i \mathcal{G} \cong \pi_i \mathcal{H}$ , for  $i > 0$ .

3. Since the square (II) commutes, and  $j$  and  $v$  are homotopy equivalences, and we get that  $\pi_i \mathcal{H} \cong \pi_i \mathcal{C}(\partial M)$ , for  $i > 0$ .

Thus,  $\pi_i \mathcal{G} \cong \pi_i \mathcal{C}(\partial M)$ , for  $i > 0$ .  $\square$

The technique used cannot be applied for the case  $i = 0$ , because it works only for connected sets. See Lemma 1.7 and Remark 2.

**Theorem 3.1.** *The restriction map  $r : TOP(M) \rightarrow \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M)$  is a fibration.*

*Proof.* This follows from Theorem 1.5. The fiber of  $r$  over the inclusion map is  $TOP(M \text{ rel } \mathcal{N}(\partial M))$ .  $\square$

**Theorem 3.2.** *The restriction map  $s : TOP(Int M) \rightarrow \mathcal{GE}_{\infty}(\mathcal{N}(\infty), Int M)$  is a fibration.*

*Proof.* This is a special case of Theorem 2.1, where  $M = Int M$ . The fiber of  $s$  is  $TOP(Int M \text{ rel } \infty)$ .  $\square$

The homotopy equivalence  $j : TOP^b(\partial M \times \mathbb{R}) \rightarrow \mathcal{GE}_{\infty}(\mathcal{N}(\infty), Int M)$  is based on Lemmas 3.3 and 3.4, and on a choice of a collar for  $\partial M$  in  $M$ .

Choose a collar  $c : \partial M \times [0, 1) \rightarrow M$  for  $\partial M$  in  $M$ .  $c$  induces an isomorphism of simplicial sets.

**Lemma 3.3.**  *$i_c : \mathcal{GE}_{+\infty}(\mathcal{N}(+\infty), \partial M \times \mathbb{R}) \rightarrow \mathcal{GE}_{\infty}(\mathcal{N}(\infty), Int M)$  is a homotopy equivalence.*

*Proof.* With the above choice of a collar  $c$ , this follows from Corollary 2.3 with  $W = \partial M \times \mathbb{R}$ .  $\square$

**Lemma 3.4.** *The restriction map  $\mu : TOP^b(\partial M \times \mathbb{R}) \rightarrow \mathcal{GE}_{+\infty}(\mathcal{N}(+\infty), \partial M \times \mathbb{R})$  is a homotopy equivalence.*

*Proof.* This follows from Theorem 1.10 and Proposition 2.4 for the special case where  $W = \partial M \times \mathbb{R}$  and  $p : \partial M \times \mathbb{R} \rightarrow \mathbb{R}$  is the projection map.  $\square$

**Theorem 3.5.** *The map  $j : TOP^b(\partial M \times \mathbb{R}) \rightarrow \mathcal{GE}_{\infty}(\mathcal{N}(\infty), Int M)$  is a homotopy equivalence.*

*Proof.* The proof follows from Lemmas 3.3 and 3.4 as indicated in the diagram

$$TOP^b(\partial M \times \mathbb{R}) \xrightarrow[\mu]{3.4} \mathcal{GE}_{+\infty}(\mathcal{N}(+\infty), \partial M \times \mathbb{R}) \xrightarrow[i_c]{3.3} \mathcal{GE}_{\infty}(\mathcal{N}(\infty), Int M).$$

Thus  $j = \mu \circ i_c$ .  $\square$

**Remark 3.6.** The commutativity of square I follows by inspection since the maps  $r$ ,  $s$ ,  $u$  and  $f$  are all restriction maps.

Now, with the same choice of the collar  $c$  we will construct the homotopy equivalence  $v$ . This construction is based on Lemma 3.7 through Proposition 3.9. For this, we define maps  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $v$  and  $k$  such that  $k = \alpha \circ \gamma^{-1}$  and  $v = \gamma \circ \beta$ , as follows.

The map  $\gamma : \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), \partial M \times [0, 1)) \rightarrow \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M)$  is defined in terms of the collar  $c$ , and it is a homotopy equivalence.

The map  $\alpha : \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), \partial M \times [0, 1)) \rightarrow TOP(\partial M)$  is defined as the restriction map and we will show that it is a homotopy equivalence.

The map  $\beta : TOP(\partial M) \rightarrow \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), \partial M \times [0, 1))$  is defined as  $\beta = - \times id_{[0, 1)}$ , and it is a homotopy equivalence. Thus the map  $k : \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M) \rightarrow TOP(\partial M)$ , defined as the restriction map, is a homotopy equivalence, and the map  $v : TOP(\partial M) \rightarrow \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M)$  defined by  $v = \gamma \circ \beta$  is a homotopy equivalence.

**Lemma 3.7.** *The map  $\gamma : \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), \partial M \times [0, 1)) \rightarrow \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M)$  is a homotopy equivalence.*

*Proof.* This follows from Corollary 2.3.  $\square$

**Proposition 3.8.** *The restriction map  $\alpha : \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), \partial M \times [0, 1)) \rightarrow TOP(\partial M)$  is a homotopy equivalence.*

*Proof.* The Isotopy Extension Theorem for topological manifolds [6, Corollary 1.4] applied here implies by Theorem 1.5 that  $\alpha$  is a (Kan) fibration, and it is surjective. The fiber of  $\alpha$  over the identity map is  $\mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$ , the simplicial set of equivalence classes of germs of embeddings from a neighborhood of  $\partial M$  to  $\partial M \times [0, 1)$  which restrict to the identity on  $\partial M$ .

We will show that  $\pi_i \mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$  is trivial for all  $i$ .

For any  $0 < a < 1$ , we have a map

$$\begin{aligned} r_a : Emb(\partial M \times [0, a), \partial M \times [0, 1); rel(\partial M \times 0)) \\ \rightarrow \mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M) \end{aligned}$$

which sends each embedding into its class of germ.

We will prove the following two facts.

(1) Given any map  $\lambda : S^n \rightarrow \mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$ , there exist a number  $a_0$  (which we will denote simply by  $a$ ) and a map

$$\bar{\lambda} : S^n \rightarrow Emb(\partial M \times [0, a), \partial M \times [0, 1); rel(\partial M \times 0))$$

such that  $r_a \circ \bar{\lambda} \simeq \lambda$  and

(2) Given any  $\bar{\lambda} : S^n \rightarrow Emb(\partial M \times [0, a), \partial M \times [0, 1); rel(\partial M \times 0))$ , there exist  $h_s : S^n \rightarrow Emb(\partial M \times [0, a), \partial M \times [0, 1); rel \mathcal{N}(\partial M \times 0))$ ,  $0 \leq s < \infty$ , such that  $h_0 = \bar{\lambda}$  and for all  $s > 0$ ,  $h_s \in Emb(\partial M \times [0, a), \partial M \times [0, 1); rel(\partial M \times 0))$ .  $\square$

*Proof of item (1).* Let  $\lambda : S^n \rightarrow \mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$  be a continuous map. For each  $z \in S^n$ , let  $b_z : \partial M \times [0, a_z) \rightarrow \partial M \times [0, 1)$  be a representative of the class  $\lambda(z) \in \mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$ , where  $\partial M \times [0, a_z)$  is a neighborhood of  $\partial M$  in  $\partial M \times [0, 1)$ . By continuity of  $\lambda$ ,  $b_z$  is such that the map  $S^n \rightarrow (0, 1); z \mapsto a_z$  is continuous, and since  $S^n$  is compact,  $b_z$  has a minimum value, say  $a > 0$ . Then  $b_{z_1} : \partial M \times [0, a) \rightarrow \partial M \times [0, 1)$  still is the same class  $\lambda(z)$ . Then consider  $\bar{\lambda} : S^n \rightarrow Emb(\partial M \times [0, a), \partial M \times [0, 1); rel(\partial M \times 0))$  such that  $z \mapsto b_z$  and  $r_a : Emb(\partial M \times [0, a), \partial M \times [0, 1); rel(\partial M \times 0)) \rightarrow \mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$  which sends each embedding into its class of germ such that  $r_a \circ \bar{\lambda} \simeq \lambda$ .  $\square$

*Proof of item (2).* Let  $f : \partial M \times [0, a) \rightarrow \partial M \times [0, 1)$  be an embedding such that  $f|_{\partial M \times 0} = id$ . We define an isotopy  $h_s : \partial M \times [0, a) \rightarrow \partial M \times [0, 1)$  in the following way.

Set  $I_s = [-s, a)$ , for  $s \in [0, \infty)$ . First, define an auxiliary family of embeddings  $f_s : \partial M \times I_s \rightarrow \partial M \times I_s$  by

$$f_s(x, t) = \begin{cases} (x, t) & \text{if } t \in [-s, 0], \\ f(x, t) & \text{if } t \in [0, a]. \end{cases}$$

Since  $f|_{\partial M \times 0} = id$ ,  $f_s$  is well defined, it is continuous, and it is an embedding  $\forall s \in [0, 1]$ . Also,  $f_0 = f$ .

Now, for each  $s \in [0, \infty)$  consider the homeomorphisms  $g_s : [-s, a) \rightarrow [0, a)$  defined by  $g_s(t) = \frac{a(t+s)}{a+s}$ . Notice that  $g_0 = id_{[0, a)}$ .

Finally define an isotopy  $h_s : \partial M \times [0, a) \rightarrow \partial M \times [0, 1)$  by

$$h_s(x, t) = (id_{\partial M} \times g_s) \circ f_s \circ (id_{\partial M} \times (g_s^{-1}))(x, t) = (id_{\partial M} \times g_s) \circ f_s(x, (g_s^{-1})(t)).$$

We have  $h_0 = f_0 = f$ , and for  $t \in [0, \frac{sa}{s+a}]$  we have  $(g_s)^{-1}(t) \leq 0$ , which implies  $f_s(x, (g_s)^{-1}(t)) = (x, (g_s)^{-1}(t))$ . Thus, for  $t \in [0, \frac{sa}{s+a}]$ ,

$$h_s(x, t) = (id_{\partial M} \times g_s) \circ f_s(x, (g_s)^{-1}(t)) = (id_{\partial M} \times g_s)(x, (g_s)^{-1}(t)) = (x, t).$$

This shows that  $\pi_0 Emb(\partial M \times [0, a), \partial M \times [0, 1); rel \partial M) = 0$ .

Analogously, for  $i \geq 1$ ,  $\pi_i Emb(\partial M \times [0, a), \partial M \times [0, 1); rel \partial M) = 0$ .

Consider  $f : S^n \times \partial M \times [0, a) \rightarrow \partial M \times [0, 1)$  such that for each  $z \in S^n$ ,  $f|_{\partial M \times 0} = id$ .

Set  $I_s = [-s, a)$ , for  $s \in [0, \infty)$ . Define an auxiliary family of embeddings  $f_s : S^n \times \partial M \times I_s \rightarrow \partial M \times I_s$  by

$$f_s(z, x, t) = \begin{cases} (x, t) & \text{if } t \in [-s, 0], \\ f(z, x, t) & \text{if } t \in [0, a]. \end{cases}$$

And  $f_s$  has the same properties as before.

Consider the same family of homeomorphisms  $g_s$ . Then define an isotopy  $h_s : S^n \times \partial M \times [0, a) \rightarrow \partial M \times [0, 1)$  by

$$\begin{aligned} h_s(z, x, t) &= (id_{\partial M} \times g_s) \circ f_s \circ (id_{S^n} \times id_{\partial M} \times (g_s^{-1}))(z, x, t) \\ &= (id_{\partial M} \times g_s) \circ f_s(z, x, (g_s^{-1})(t)). \end{aligned}$$

If we apply the map  $r_a$  to this homotopy, we then get a homotopy in  $\mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$  such that  $r_a \circ h_0 = \lambda$  and  $\forall s > 0$ ,  $r_a \circ h_s \in \mathcal{GE}(\mathcal{N}(\partial M), \partial M \times [0, 1); rel \partial M)$ .  $\square$

**Proposition 3.9.** *The restriction map  $k : \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M) \rightarrow TOP(\partial M)$  is a homotopy equivalence.*

*Proof.* The map  $k = \alpha \circ \gamma^{-1}$  is indicated in the following diagram:

$$\mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M) \xrightarrow{\gamma^{-1}} \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), \partial M \times [0, 1)) \xrightarrow{\alpha} TOP(\partial M),$$

where  $\gamma^{-1}$  and  $\alpha$  are homotopy equivalences, which are proved in Lemma 3.7 and 3.8. So,  $k$  is a homotopy equivalence.  $\square$

**Theorem 3.10.** *The map  $v : TOP(\partial M) \rightarrow \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M)$  is a homotopy equivalence.*



*Proof.* The map  $v = \gamma \circ \beta$  is indicated in the following diagram:

$$TOP(\partial M) \xrightarrow{\beta} \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), \partial M \times [0, 1]) \xrightarrow{\alpha} \mathcal{GE}_{\partial M}(\mathcal{N}(\partial M), M),$$

where  $\gamma$  is the homotopy equivalence in Lemma 3.7,  $\beta$  is defined by  $\beta = - \times id_{[0,1]}$ , and  $\alpha \circ \beta = id_{TOP(\partial M)}$ . Then  $\beta$  and  $\alpha$  are homotopy equivalences.  $\square$

*Remark 3.11.* The commutativity of square II follows by inspection, where the maps  $v$  and  $j$  are homotopy equivalences (by using the same choice of a collar), the map  $u$  is the restriction map and the map  $g = - \times id_{\mathbb{R}}$ .

*Remark 3.12.* Clearly, the map  $i : TOP(M \text{ rel } \mathcal{N}(\partial M)) \rightarrow TOP(Int M \text{ rel } \infty)$  is an isomorphism.

Notice that the map  $f : TOP(M) \rightarrow TOP(Int M)$  in the diagram (\*\*) is not necessarily a fibration. Consider the following example.

**Example.** Let  $M$  be the cylinder  $S^1 \times [0, 1]$ . There is a homeomorphism  $\tau : Int M \rightarrow Int M$  that is not a restriction of a self-homeomorphism of  $M$ . However,  $\tau$  is isotopic to the restriction of a self-homeomorphism of  $M$ . In other words, the map induced by the restriction  $r : TOP(M) \rightarrow TOP(Int M)$  is not a Kan fibration.

Represent the point  $x \in S^1 \times (0, \infty)$  by  $x = (e^{i\theta}, t)$ , where  $\theta \in [0, 2\pi)$  and  $t \in (0, \infty)$ .

Let  $\sigma : (0, \infty) \rightarrow (0, 1)$  be any homeomorphism, and for any  $s \in [0, 1]$  let  $\rho_s : S^1 \times (0, \infty) \rightarrow S^1 \times (0, \infty)$  be a family of homeomorphisms defined by  $\rho_s(e^{i\theta}, t) = (e^{i(\theta+2\pi ts)}, t)$ . Then  $\tau_s : S^1 \times (0, 1) \rightarrow S^1 \times (0, 1)$ , defined by  $\tau_s = (id \times \sigma) \circ \rho_s \circ (id \times \sigma^{-1})$ , is an isotopy from  $\rho_s$  to  $id$ . For  $s = 1$ ,  $\tau_1$  is not a restriction of any homeomorphism from  $S^1 \times [0, 1]$  into itself because the image of the sequence  $a_n = (e^{i\theta_0}, 1 - 1/n)$  for any fixed  $\theta_0 \in [0, 2\pi)$  by  $\tau_1$  does not converge.

#### 4. WRAPPING HOMEOMORPHISMS AROUND A CIRCLE

Let  $W$  be a manifold without boundary of dimension  $\geq 5$ .

**Theorem C** (Wrapping homeomorphism around a circle). *Let  $q_0 : W \rightarrow \mathbb{R}$  be a manifold approximate fibration. Then:*

(1) *There exists a manifold approximate fibration  $q : \hat{W} \rightarrow S^1$  such that the following diagram commutes:*

$$\begin{array}{ccc} W & \xrightarrow{q_0} & \mathbb{R} \\ \downarrow & & \downarrow \exp \\ \hat{W} & \xrightarrow{q} & S^1 \end{array}$$

(2)  $\pi_n TOP^{ep}(W)$  is a direct summand of  $\pi_n TOP(\hat{W})$ , for  $n > 1$ , where  $\hat{W}$  is a compact and connected manifold and  $W$  is the infinite cyclic cover of  $\hat{W}$ .

Before proving this theorem we will give some definitions.

For any topological manifold  $B$ , let  $MAF(B)$  be the simplicial set of manifold approximate fibrations over  $B$  (see [14, page 12]). If  $B = S^1$ , then a vertex of  $MAF(S^1)$  is  $q : \hat{W} \rightarrow S^1$ , and if  $B = \mathbb{R}$ , a vertex of  $MAF(\mathbb{R})$  is  $q_0 : W \rightarrow \mathbb{R}$ .

Let  $\iota : \mathbb{R} \hookrightarrow S^1$  be an orientation preserving embedding. Then the map  $q_1 : q^{-1}(\iota(\mathbb{R})) \rightarrow \mathbb{R}$  is a manifold approximate fibration, called the *fiber germ* of  $q$  over  $\iota$ . We say that  $q$  has fiber germ  $q_0$  if and only if there exists a controlled

homeomorphism between a manifold approximate fibration  $q_0 : W \rightarrow \mathbb{R}$  and  $q_1$ . See [14]. Then  $\iota$  induces a map  $\iota^* : MAF(S^1) \rightarrow MAF(\mathbb{R})$  which sends a manifold approximate fibration  $\hat{W} \rightarrow S^1$  to a manifold approximate fibration  $W \rightarrow \mathbb{R}$ . We shall prove Theorem C by given a homotopy left inverse for  $\iota^*$ .

By [14, Theorem 1.4] we have the following commutative diagram:

$$\begin{array}{ccc}
 MAF(S^1) & \xrightarrow[d]{\simeq} & Map(S^1, MAF(\mathbb{R})) \\
 \iota^* \downarrow & & \downarrow r \\
 MAF(\mathbb{R}) & \xrightarrow[d]{\simeq} & Map(\mathbb{R}, MAF(\mathbb{R}))
 \end{array}
 \quad (***)$$

The maps  $\iota^*$  and  $r$  are the restriction maps induced by  $\iota$ . In order to give a left inverse to  $\iota^*$ , we construct a left inverse to  $r$  which determines a left inverse to  $\iota^*$ .

**Lemma 4.1.** *The restriction map  $r : Map(S^1, MAF(\mathbb{R})) \rightarrow Map(\mathbb{R}, MAF(\mathbb{R}))$  has a homotopy left inverse.*

*Proof.* Let  $f \in Map(S^1, MAF(\mathbb{R}))$ . Then the map  $r$ , induced by  $\iota$ , is such that  $r(f) = f \circ \iota \in Map(\mathbb{R}, MAF(\mathbb{R}))$ . Let  $* \in S^1$ . Define the restriction map  $r| : Map(S^1, MAF(\mathbb{R})) \rightarrow Map(*, MAF(\mathbb{R}))$  such that  $r|(f) = f|_* : * \rightarrow MAF(\mathbb{R})$  and  $*$  goes to  $f(*)$ .  $r|$  has a homotopy left inverse  $s : Map(*, MAF(\mathbb{R})) \rightarrow Map(S^1, MAF(\mathbb{R}))$  defined as follows. Let  $x \in Map(*, MAF(\mathbb{R}))$ . So,  $x$  is a map  $x : * \rightarrow MAF(\mathbb{R})$ ;  $* \mapsto g$ . Thus the map  $s : Map(*, MAF(\mathbb{R})) \rightarrow Map(S^1, MAF(\mathbb{R}))$  is such that  $x \mapsto c_x$ , where  $c_x$  is the constant map,  $c_x(z) = g$ . Then,  $r| \circ s : Map(*, MAF(\mathbb{R})) \rightarrow Map(*, MAF(\mathbb{R}))$  is the identity. Thus, applying any isomorphism  $Map(\mathbb{R}, MAF(\mathbb{R})) \cong Map(*, MAF(\mathbb{R}))$  which sends  $0 \in \mathbb{R}$  to  $*$ , we have that  $s$  is a homotopy left inverse of  $r$ . Since  $\iota^*$  preserves base point, so do  $r$  and  $s$ .  $\square$

*Proof of Theorem C (1).* From Lemma 4.1 and diagram (\*\*\*),  $s$  determines (up to homotopy) a homotopy left inverse to  $\iota^*$ .

Thus, given any manifold approximate fibration  $q_0 : W \rightarrow \mathbb{R}$ , there exists a manifold approximate fibration  $\hat{q} : \hat{W} \rightarrow S^1$  such that with an orientation preserving embedding  $\iota$ ,  $q' : W' \rightarrow \mathbb{R}$  is controlled homeomorphic to  $q_0 : W \rightarrow \mathbb{R}$ . In fact, consider the infinite cyclic cover of  $\hat{W}$  and  $S^1$ . Form the pullback

$$\begin{array}{ccc}
 W' & \xrightarrow{\quad} & \hat{W} \\
 q' \downarrow & & \downarrow \hat{q} \\
 \mathbb{R} & \xrightarrow[\exp]{\quad} & S^1
 \end{array}$$

Then

$$\begin{array}{ccc}
 W' = \hat{q}^{-1}(\exp(\mathbb{R})) & \hookrightarrow & \hat{W} \\
 q' \downarrow & & \\
 \mathbb{R} & & 
 \end{array}$$

is a manifold approximate fibration (by Corollary 12.14 in [14]), and  $q' = \hat{q}|$  is fiber germ of  $\hat{q}$  over  $\exp$ .

By Corollary 12.14 in [14] we have a manifold approximate fibration  $q' : W' \rightarrow \mathbb{R}$ . From the uniqueness of fiber germs [14], any two fiber germs of a manifold approximate fibration over a connected oriented manifold are controlled homeomorphic. So it follows that  $q'$  is controlled homeomorphic to  $q_0$ .  $\square$

Let  $MAF(S^1)_q$  denote the component of  $MAF(S^1)$  containing  $q$ , and let  $MAF(\mathbb{R})_{q_0}$  denote the component of  $MAF(\mathbb{R})$  containing  $q_0$ .

By [14, Corollary 7.12] we have a commutative diagram

$$\begin{array}{ccc}
 BTOP^c(\hat{W} \xrightarrow{q} S^1) & \xrightarrow{\simeq} & MAF(S^1)_q \\
 \downarrow & & \downarrow \\
 BTOP^c(W \xrightarrow{q_0} \mathbb{R}) & \xrightarrow{\simeq} & MAF(\mathbb{R})_{q_0}
 \end{array}
 \quad (****)$$

where the horizontal maps are homotopy equivalences.

*Proof of Theorem C(2).* From Lemma 4.1, diagram (\*\*\*) and diagram (\*\*\*\*) we have that the map  $\iota_! : BTOP^c(\hat{W} \xrightarrow{q} S^1) \rightarrow BTOP^c(W \xrightarrow{q_0} \mathbb{R})$ , induced by  $\iota^*$ , has a homotopy left inverse  $s_! : BTOP^c(W \xrightarrow{q_0} \mathbb{R}) \rightarrow BTOP^c(\hat{W} \xrightarrow{q} S^1)$ , induced by the left inverse of  $\iota^*$ . The maps  $\iota_!$  and  $s_!$  preserve base points. Thus  $\iota_! \circ s_! \simeq id$  implies that  $\pi_i(TOP^c(W \xrightarrow{q_0} \mathbb{R}))$  is a direct summand of  $\pi_i(TOP^c(\hat{W} \xrightarrow{q} S^1))$ .

By [17, Theorem 1.1], where  $B = S^1$ , the forget control map

$$\phi : TOP^c(\hat{W} \xrightarrow{q} S^1) \rightarrow TOP^h(\hat{W} \xrightarrow{q} S^1)$$

is a homotopy split injective, where  $TOP^h(\hat{W} \xrightarrow{q} S^1)$  denotes the homotopy fiber of the simplicial map  $\Psi : TOP(\hat{W}) \rightarrow Map(\hat{W}, S^1)$  defined by  $\Psi(h) = q \circ h$ , where the homeomorphism  $h : \hat{W} \rightarrow \hat{W}$  is a vertex of  $TOP(\hat{W})$  and  $Map(\hat{W}, S^1)$  denotes the simplicial set of maps from  $\hat{W}$  to  $S^1$ . Hence, a vertex of  $TOP^h(\hat{W} \xrightarrow{q} S^1)$  consists of a homeomorphism  $h : \hat{W} \rightarrow \hat{W}$  together with a homotopy from  $q \circ h$  to  $q$ . The elements of  $TOP^h(\hat{W} \xrightarrow{q} S^1)$  are called *homotopically controlled*. Thus,  $\pi_i(TOP^c(\hat{W} \xrightarrow{q} S^1))$  is a direct summand of  $\pi_i(TOP^h(\hat{W} \xrightarrow{q} S^1))$ .

From the fibration sequence  $TOP^h(\hat{W} \xrightarrow{q} S^1) \rightarrow TOP(\hat{W}) \rightarrow Map(\hat{W}, S^1)$  we have the long exact sequence in homotopy

$$\cdots \rightarrow \pi_i TOP^h(\hat{W} \xrightarrow{q} S^1) \rightarrow \pi_i TOP(\hat{W}) \rightarrow \pi_i Map(\hat{W}, S^1) \rightarrow \cdots$$

With the fibration  $exp : \mathbb{R} \rightarrow S^1$ , when  $\hat{W}$  is a CW complex, then the map  $Map(\hat{W}, \mathbb{R}) \rightarrow Map(\hat{W}, S^1)$  is a fibration. Since  $\mathbb{R} \simeq *$ , we have  $Map(\hat{W}, \mathbb{R}) \simeq Map(\hat{W}, *) \simeq *$ . Thus,  $* \simeq Map(\hat{W}, \mathbb{R}) \rightarrow Map(\hat{W}, S^1)_{\text{certain components}}$  (i.e. components of the homotopy trivial map) implies  $\pi_i Map(\hat{W}, S^1)_* = 0$ , for  $i > 1$ . Thus,  $\pi_i TOP^h(\hat{W} \xrightarrow{q} S^1) \cong \pi_i TOP(\hat{W})$ , for  $i > 1$ .

So,  $\pi_i TOP^c(W \xrightarrow{q_0} \mathbb{R})$  is a direct summand of  $\pi_i TOP^c(\hat{W} \xrightarrow{q} S^1)$ ; likewise  $\pi_i TOP^c(\hat{W} \xrightarrow{q} S^1)$  is a direct summand of  $\pi_i TOP^h(\hat{W} \xrightarrow{q_0} \mathbb{R})$ , and by Theorem 1.11,  $\pi_i TOP^c(W \xrightarrow{q_0} \mathbb{R}) \cong \pi_i TOP^{ep}(W)$ .

Since  $W$  is the infinite cyclic cover of  $\hat{W}$  induced by  $q : \hat{W} \rightarrow S^1$  from  $exp : \mathbb{R} \rightarrow S^1$ , the map  $p : W \hookrightarrow \hat{W}$  induces a map  $TOP(\hat{W}) \rightarrow TOP^{ep}(W)$ .

Hence, for  $i > 1$ ,  $\pi_i TOP^{ep}(W)$  is a direct summand of  $\pi_i TOP(\hat{W})$ .  $\square$

**Lemma 4.2.**  $\pi_1 Map(\hat{W}, S^1) \simeq \mathbb{Z}$  and  $\pi_0 Map(\hat{W}, S^1) \simeq H^1(\hat{W}, \mathbb{Z})$ .

*Proof.* Let  $\hat{W}$  be a connected compact manifold and consider the fibration sequence  $\mathbb{Z} \hookrightarrow \mathbb{R} \xrightarrow{\exp} S^1$ . Then  $\text{Map}(\hat{W}, S^1)$  is a fibration and since  $\mathbb{R} \simeq *$ ,  $\text{Map}(\hat{W}, \mathbb{R}) \simeq \text{Map}(\hat{W}, *) \simeq *$ , which implies  $\pi_i \text{Map}(\hat{W}, S^1)_* = 0$ , for  $i > 1$ . From the fibration sequence  $\text{Map}(\hat{W}, \mathbb{Z}) \hookrightarrow \text{Map}(\hat{W}, \mathbb{R}) \rightarrow \text{Map}(\hat{W}, S^1)$  we have an exact sequence in homotopy

$$\cdots \rightarrow 0 \rightarrow \pi_1 \text{Map}(\hat{W}, S^1) \rightarrow \pi_0 \text{Map}(\hat{W}, \mathbb{Z}) \rightarrow 0 \rightarrow \cdots$$

which implies  $\pi_1 \text{Map}(\hat{W}, S^1)_* \cong \text{Map}(\hat{W}, \mathbb{Z}) \simeq \mathbb{Z}$ .

Now,  $S^1$  is a topological group, so  $\text{Map}(\hat{W}, S^1)$  is an  $H$ -space, which implies any two path components of  $\text{Map}(\hat{W}, S^1)$  are homotopy equivalent. Thus,

$$\pi_0 \text{Map}(\hat{W}, S^1) = [\hat{W}, S^1] = [\hat{W}, K(\mathbb{Z}, 1)] = H^1(\hat{W}, \mathbb{Z}).$$

□

Conclusion: If  $\hat{W}$  is a connected, compact manifold, then  $\text{Map}(\hat{W}, S^1) \stackrel{\text{weak}}{\simeq} H^1(\hat{W}, \mathbb{Z}) \times S^1$ .

*Remark 4.3.* By Lemma 4.2,  $\pi_1 \text{Map}(\hat{W}, S^1) \simeq \mathbb{Z}$  and  $\pi_0 \text{Map}(\hat{W}, S^1) \simeq H^1(\hat{W}, \mathbb{Z})$ . Thus,

$$\cdots \rightarrow 0 \rightarrow \pi_1 \text{TOP}^h(\hat{W} \xrightarrow{q} S^1) \twoheadrightarrow \pi_1 \text{TOP}(\hat{W}) \rightarrow \mathbb{Z} \rightarrow \cdots$$

And hence,

$$\begin{array}{ccccc} \pi_1 \text{TOP}^c(\hat{W} \xrightarrow{q} S^1) & \xrightarrow{c} & \pi_1 \text{TOP}(\hat{W}) & & \\ \text{direct summand} \downarrow a & & \parallel & & \\ 0 & \longrightarrow & \pi_1 \text{TOP}^h(\hat{W} \xrightarrow{q} S^1) & \twoheadrightarrow & \pi_1 \text{TOP}(\hat{W}) \longrightarrow \mathbb{Z} \end{array}$$

So,  $c$  is injective.

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