# Wu Invariants of Hermitian Forms

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Communicated by A. Fröhlich

Received May 8, 1979

#### INTRODUCTION

In this paper, we consider the following question: given a Hermitian  $\epsilon$ symmetric form  $(H, \beta)$  over a ring with involution  $\Lambda$ , when is  $(H, \beta)$  Witt equivalent to an *even* form, i.e. one where  $\beta(x, x)$  is always of the form  $\lambda + \epsilon \bar{\lambda}$ ? The answer is given by constructing a group  $Q^{\epsilon}(\Lambda)$ , functorial on the category of rings with involution, and a homomorphism  $w: W^{\epsilon}(\Lambda) \to Q^{\epsilon}(\Lambda)$  so that  $(H, \beta)$  is equivalent to an even form if and only if  $w(H, \beta) = 0$ . The group  $Q^{\epsilon}(\Lambda)$  is readily computable—it is the homology of a certain two-term chain complex defined over  $\Lambda$ . Furthermore, the homomorphism w is easily evaluated on any particular form. For example, in the case  $\Lambda = \mathbb{Z}$ , with trivial involution,  $Q^{\epsilon}(\Lambda) = \mathbb{Z}/8$  and  $w(H, \beta)$  is the reduction of the signature mod 8. This work is a generalization of the results of [1], in which  $Q^{1}(\Lambda)$  was implicitly computed for  $\Lambda = \mathbb{Z}_{2}\pi$ , where  $\pi$  is a 2-group.  $W^{1}(\Lambda)$  was then obtained directly. The definition of  $Q^{1}(\Lambda)$  given here would have simplified many of the computations in the specific case [1], and would have simplified immensely the proof of the analogue to our Theorem IV.2.

This reformulation of the characteristic elements of [1] owes much to the work of Andrew Ranicki [6]. The argument in the proof of Theorem IV.2 makes use of the zero dimensional version of Ranicki's algebraic surgery on the Wu map  $\psi_{\beta}$ . Our invariant  $w(H, \beta)$  is also the zero-dimensional version of the desuspension invariant introduced in [2].

In the final section, we apply these results to rederive the calculation of  $W(\mathcal{O}_K)$ , where K is an unramified extension of  $\hat{\mathbb{Q}}_2$ . We also produce invariants of W(K), where K is any field of characteristic 2 so that  $[K: K^2] < \infty$ , which detect the Witt classes, and compute the Witt group  $W(\mathbb{Z}/2\pi)$ ,  $\pi = (\mathbb{Z}/2)^i$ , with trivial involution.

Section I contains preliminary material on rings with involution. Section II defines  $Q^{\epsilon}(\Lambda)$ , Section III prove the functoriality of  $Q^{\epsilon}$  on the category of rings with involution, Section IV defines the natural transformation  $w: W^{\epsilon} \to Q^{\epsilon}$ ,

and Section V proves the main theorem, Theorem V.2, which asserts that  $(H, \beta)$  is Witt equivalent to an even form if and only if  $w(H, \beta) = 0$ . Section VI contains the above-mentioned examples, namely  $\Lambda = \mathbb{Z}$ ,  $\Lambda = \mathcal{O}_K$ ,  $\Lambda = K$ , and  $\Lambda = \mathbb{Z}/2\pi$ . The invariants for K a field of characteristic 2,  $[K: K^2]$  finite, are described in Theorem VI.3.

We remark that work has been done in the case of Dedekind rings on this problem (see [7] and [8]), and thank the referee for a number of helpful remarks.

### I. PRELIMINARIES

Let  $\Lambda$  be a ring with involution —. Given a left  $\Lambda$ -module H, define

$$H^* = \operatorname{Hom}_{A}(H, \Lambda).$$

 $H^*$  is given a left  $\Lambda$ -module structure by  $(\lambda \cdot \phi)(h) = \phi(h)\overline{\lambda}, \ \phi \in H^*, \ h \in H, \ \lambda \in \Lambda$ . If H is finitely generated projective, then so is  $H^*$ , and there is a natural isomorphism  $i: H \to (H^*)^*$  of left  $\Lambda$ -modules, defined by  $(i(x))(\phi) = \overline{\phi(x)}$ . Given a homomorphism  $f: H_1 \to H_2$ , the dual map  $f^*: H_2^* \to H_1^*$  is defined by  $f^*(\phi)(x) = \phi(f(x))$ . If  $f: H \to H^*$ , then  $f^*: (H^*)^* \to H^*$ . Composing with i, we get  $f^* \circ i: H \to H^*$ .

PROPOSITION 1.  $(f^* \circ i(x))(y) = \overline{f(y)(x)}$ .

*Proof.* Immediate from the definition (\*).

We often suppress mention of *i*, implicitly identifying  $(H^*)^*$  and *H*.

DEFINITION 2.  $H^{\epsilon}(\Lambda) = \{\lambda \in \Lambda \mid \lambda = \epsilon \overline{\lambda}\}/\{\lambda + \epsilon \overline{\lambda}, \lambda \in \Lambda\}, \epsilon = \pm 1.$ 

 $H^{\epsilon}(\Lambda)$  is a  $\mathbb{Z}/2$ -vector space. The following is also well known.

PROPOSITION 3.  $H^{\epsilon}(\Lambda)$  becomes a left  $\Lambda$ -module under the action  $\lambda \cdot \alpha = \lambda \alpha \overline{\lambda}$ ,  $\lambda \in \Lambda$ ,  $\alpha \in H^{\epsilon}(\Lambda)$ .

At this point, we assume that  $H^{\epsilon}(\Lambda)$  is finitely generated as a  $\Lambda$ -module and that  $\Lambda$  is a Noetherian ring. This hypothesis will apply throughout the paper.

If  $\varphi: H \to H^*$  satisfies  $\varphi = \epsilon \varphi^*$  (suppressing *i*), then the function  $x \to \varphi(x)(x)$  is a  $\Lambda$ -homomorphism from *H* to  $H^{\epsilon}(\Lambda)$ .

DEFINITION 4. Given  $\varphi: H \to H^*$ ,  $\varphi = \epsilon \varphi^*$  we define  $\hat{\varphi}: H \to H^{\epsilon}(\Lambda)$  by  $\hat{\varphi}(x) = \varphi(x)(x).$ 

We refer the reader to [3] or [5] for the definition of Hermitian spaces and Witt groups. For us,  $W^{\epsilon}(\Lambda)$  will denote the Witt group of  $\epsilon$ -symmetric Hermitian forms over  $\Lambda$ , where the underlying modules and subkernels are required to be finitely generated and projective. We conclude with

PROPOSITION 5. If  $\varphi = \Psi + \epsilon \Psi^*$ ,  $\hat{\varphi} = 0$ .

*Proof.*  $(\Psi + \epsilon \Psi^*)(x)(x) = \Psi(x)(x) + \epsilon \Psi^*(x)(x) = \Psi(x)(x) + \epsilon \overline{\Psi(x)(x)}$  (by Prop. 1). This element is zero in  $H^{\epsilon}(\Lambda)$ .

### II. The Group $Q^{\epsilon}(\wedge)$

Let  $\mathscr{R} = \mathscr{P}_2 \to^{\partial} \mathscr{P}_1 \to^{o} H^{\epsilon}(\Lambda)$  be the first two stages of a projective resolution of  $H^{\epsilon}(\Lambda)$ , where  $\mathscr{P}_i$  is finitely generated and projective. Such a resolution exists since we assumed  $H^{\epsilon}(\Lambda)$  to be finitely generated and  $\Lambda$  to be Noetherian. Define  $A_1^{\epsilon}(\mathscr{R}) \subseteq \operatorname{Hom}_{\Lambda}(\mathscr{P}_1^*, \mathscr{P}_1)$  by

$$A_1^{\epsilon}(\mathscr{R}) = \{ arPsi \in \operatorname{Hom}_{\mathscr{A}}(\mathscr{P}_1^*, \mathscr{P}_1) \mid arPsi = \epsilon arPsi^* \}$$

Consider the group  $\Gamma = \operatorname{Hom}_{\Lambda}(\mathscr{P}_{2}^{*}, \mathscr{P}_{2}) \oplus \operatorname{Hom}_{\Lambda}(\mathscr{P}_{2}^{*}, \mathscr{P}_{1}) \oplus \operatorname{Hom}_{\Lambda}(\mathscr{P}_{1}^{*}, \mathscr{P}_{2})$ . The duality involution acts on this by  $(\phi_{1}, \phi_{2}, \phi_{3}) \to (\phi_{1}^{*}, \phi_{3}^{*}, \phi_{2}^{*})$ . Define  $A_{2}^{\epsilon}(\mathscr{R}) \subseteq \Gamma$  by

$$A_2^{\epsilon}(\mathscr{R}) = \{(\phi_1\,,\phi_2\,,\phi_3) \mid (\phi_1\,,\phi_2\,,\phi_3) = \epsilon(\phi_1^*,\phi_3^*,\phi_2^*)\}$$

We define homomorphisms  $\alpha_i$  and  $\beta_i$  from  $A_i^{\epsilon}(\mathscr{R})$  to  $\operatorname{Hom}_{\Lambda}(\mathscr{P}_1^*, H^{\epsilon}(\Lambda))$  by

Now define  $B_i^{\epsilon}(\mathscr{R}) \subseteq A_i^{\epsilon}(\mathscr{R})$ 

$$B_i^{\epsilon}(\mathscr{R}) = \{ x \in A_i^{\epsilon}(\mathscr{R}) \mid \alpha_i(x) = \beta_i(x) \}.$$

There is a "boundary map"  $\delta: B_2^{\epsilon}(\mathscr{R}) \to A_1^{\epsilon}(\mathscr{R})$ , defined by  $\delta(\phi_1, \phi_2, \phi_3) = \partial \phi_1 \partial^* + \phi_2 \partial^* + \partial \phi_3$ . Since  $\phi_3 = \epsilon \phi_2^*$ , and  $\phi_1 = \epsilon \phi_1^*$ ,  $\delta(\phi_1, \phi_2, \phi_3) \in A_1^{\epsilon}(\mathscr{R})$ .

PROPOSITION 1.  $\delta(B_2^{\epsilon}(\mathscr{R})) \subseteq B_1^{\epsilon}(\mathscr{R}).$ 

*Proof.* We must show that the element  $\partial \phi_1 \partial^* + \phi_2 \partial^* + \epsilon \partial \phi_2^* \in B_1^{\epsilon}(\mathscr{R})$ , provided that  $\rho \circ \phi_2 = \hat{\phi}_1$ .

$$lpha_1(\partial\phi_1\partial^*+\phi_2\partial^*+\epsilon\partial\phi_2^*)(x)=lpha_1(\phi_2\partial^*)(x)\ =
ho\circ\phi_2\circ\partial^*(x)=lpha_2(\phi_1\,,\phi_2\,,\phi_3)\circ\partial^*(x)\ =
ho_2(\phi_1\,,\phi_2\,,\phi_3)\circ\partial^*(x)=\phi_1\circ\partial^*(x)\ =\phi_1(\partial^*(x))(\partial^*(x))=(\partial\circ\phi_1\circ\partial^*)(x)(x)\ =\widehat{\partial\circ\phi_1\circ\partial^*}(x)=eta_1(\partial\circ\phi_1\circ\partial^*)(x).$$

It only remains to show that  $\beta_1(\phi_2\partial^* + \epsilon\partial\phi_2^*) = 0$ . But  $\partial\phi_2^* = (\phi_2\partial^*)^*$ , so this follows from Prop. I.5.

Definition 2.  $Q^{\epsilon}(\Lambda) = B_1^{\epsilon}(\mathcal{R})/\delta(B_2^{\epsilon}(\mathcal{R})).$ 

We must show that this definition of  $Q^{\epsilon}(\Lambda)$  depends only on  $\epsilon$  and  $\Lambda$ , not on the choice of resolution

$$\mathscr{P}_2 \xrightarrow{\partial} \mathscr{P}_1 \xrightarrow{\rho} H^{\epsilon}(\Lambda)$$

We define  $C^{\epsilon}_{*}(\mathscr{R})$ , where  $\mathscr{R}$  is a two-step resolution  $\mathscr{P}_{2} \rightarrow^{\delta} \mathscr{P}_{1} \rightarrow^{o} H^{\epsilon}(\Lambda) \rightarrow 0$ , to be the chain complex

$$0 \to B_2^{\epsilon}(\mathscr{R}) \xrightarrow{\delta} B_1^{\epsilon}(\mathscr{R}) \to 0$$

Thus,  $H_1(C^{\epsilon}_*(\mathscr{R})) = Q^{\epsilon}(\Lambda).$ 

PROPOSITION 3. Let  $f: \mathcal{R} \to \overline{\mathcal{R}}$  be any chain map covering the identity, where  $\mathcal{R}$  and  $\overline{\mathcal{R}}$  are two-step resolutions of  $H^{\epsilon}(\Lambda)$ . Then f induces a chain map  $C_{*}(f)$ :  $C_{*}(\mathcal{R}) \to C_{*}(\overline{\mathcal{R}})$ .

*Proof.* Let  $f_i: \mathscr{P}_i \to \overline{\mathscr{P}}_i$ , i = 1, 2, be the components of f in dimensions 1 and 2. Then we define  $C_*(f)$  by

$$C_{1}(f): B_{1}^{\epsilon}(\mathscr{R}) \to B_{1}^{\epsilon}(\overline{\mathscr{R}}),$$

$$C_{1}(f)(\varphi) = f_{1} \circ \varphi \circ f_{1}^{*}$$

$$C_{2}(f): B_{2}^{\epsilon}(\mathscr{R}) \to B_{2}^{\epsilon}(\overline{\mathscr{R}}),$$

$$C_{2}(f)(\varphi_{1}, \varphi_{2}, \varphi_{3}) = (f_{2} \circ \varphi_{1} \circ f_{2}^{*}, f_{1} \circ \varphi_{2} \circ f_{2}^{*}, f_{2} \circ \varphi_{3} \circ f_{1}^{*})$$

It is immediate that  $C^{\epsilon}_{*}(f)$  takes  $A_{i}^{\epsilon}(\mathscr{R})$  to  $A_{i}^{\epsilon}(\mathscr{R})$ , and that  $C^{\epsilon}_{*}(f)$  is a chain map; we must show that  $C_{i}^{\epsilon}(f)(B_{i}^{\epsilon}(\mathscr{R})) \subseteq B_{i}^{\epsilon}(\mathscr{R})$ . We observe that it suffices, by the definition of  $B_{i}^{\epsilon}$ , to check the commutativity of the following diagrams:

(I.) 
$$A_i^{\epsilon}(\mathscr{R}) \xrightarrow{\alpha_i} \operatorname{Hom}_{A}(\mathscr{P}_i^{*}, H^{\epsilon}(\Lambda))$$
  
 $\downarrow^{c_i^{\epsilon}(f)} \qquad \qquad \downarrow^{\varepsilon_i}$   
 $A^{i\epsilon}(\overline{\mathscr{R}}) \xrightarrow{\tilde{\alpha}_i} \operatorname{Hom}_{A}(\overline{\mathscr{P}}_i^{*}, H^{\epsilon}(\Lambda))$   
(II.)  $A_i^{\epsilon}(\mathscr{R}) \xrightarrow{\beta_i} \operatorname{Hom}_{A}(\mathscr{P}_i^{*}, H^{\epsilon}(\Lambda))$   
 $\downarrow^{c_i^{\epsilon}(f)} \qquad \qquad \downarrow^{\varepsilon_i}$   
 $A_i^{\epsilon}(\overline{\mathscr{R}}) \xrightarrow{\beta_i} \operatorname{Hom}_{A}(\overline{\mathscr{P}}_i; H^{\epsilon}(\Lambda))$ 

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where  $\xi_i(g) = g \circ f_i^*$ . (I)  $(i = 1) \bar{\alpha}_1(C_1^{\epsilon}(f)(\varphi)) = \bar{\rho} \circ f_1 \circ \varphi \circ f_1^* = \rho \circ \varphi \circ f_1^* = \alpha_1(\varphi) \circ f_1^*$   $= \xi_1(\alpha_1(\varphi)).$ (I)  $(i = 2) \bar{\alpha}_2(C_2^{\epsilon}(f)(\varphi_1, \varphi_2, \varphi_3))$   $= \rho \circ f_1 \circ \varphi_2 \circ f_2^* = \rho \circ \varphi_2 \circ f_2^*$   $= \alpha_2(\varphi_1, \varphi_2, \varphi_3) \circ f_2^* = \xi_2(\alpha_2(\varphi_1, \varphi_2, \varphi_3)).$ (II)  $(i = 1) \bar{\beta}_1(C_1^{\epsilon}(f)(\varphi))(x)$   $= \bar{\beta}_1(f_1 \circ \varphi \circ f_1^*)(x) = \widehat{f_1} \circ \varphi \circ f_1^*(x) = \hat{\varphi}(f_1^*(x))$  $= (\beta_1(\varphi) \circ f_1^*)(x) = \xi_1(\beta_1(\varphi))(x).$ 

Here  $\langle , \rangle : \mathscr{P}_1^* \times \mathscr{P}_i \to \Lambda$  is the evaluation pairing.

(II) 
$$(i = 2) \bar{\beta}_2(C_2^{\epsilon}(f)(\varphi_1, \varphi_2, \varphi_3))(x)$$
  

$$= \bar{\beta}_2(f_2 \circ \varphi_1 \circ f_2^*, f_1 \circ \varphi_2 \circ f_2^*, f_2 \circ \varphi_3 \circ f_1^*)(x)$$

$$= \widehat{(f_2 \circ \varphi_1 \circ f_2^*)}(x) = \langle x, f_2 \circ \varphi_1 \circ f_2^*(x) \rangle$$

$$= \langle f_2^*(x), \varphi_1 \circ f_2^*(x) \rangle = \hat{\varphi}_1(f_2^*(x))$$

$$= (\beta_2(\varphi_1, \varphi_2, \varphi_3) \circ f_2^*)(x) = \xi_2(\beta_2(\varphi_1, \varphi_2, \varphi_3))(x).$$

Thus,  $C^{\epsilon}_{*}(f)$  induces a map  $D^{\epsilon}(f): Q^{\epsilon}(\Lambda) \to \overline{Q}^{\epsilon}(\Lambda)$ , where  $\overline{Q}^{\epsilon}(\Lambda)$  is computed using  $\overline{\mathscr{R}}$ .

PROPOSITION 4. Let  $\mathcal{R}, \overline{\mathcal{R}}$  be as in Prop. 3, and let  $f, g: \mathcal{R} \to \overline{\mathcal{R}}$  be two coverings of the identity map of  $H^{\epsilon}(\Lambda)$ . Then  $D^{\epsilon}(f) = D^{\epsilon}(g)$ .

**Proof.** It will suffice to show that  $f_1 \circ \varphi \circ f_1^* - g_1 \circ \varphi \circ g_1^* \in \overline{\delta}(B_2(\overline{\mathscr{R}}))$ , for all  $\varphi \in B_1^{\epsilon}(\mathscr{R})$ . Since f and g are both coverings of the identity,  $g_1 = f_1 + \overline{\partial} \circ \lambda$ , where  $\lambda \colon \mathscr{P}_1 \to \overline{\mathscr{P}}_2$  is a  $\Lambda$ -map. We compute:  $g_1 \circ \varphi \circ g_1 = (f_1 + \overline{\partial} \circ \lambda) \circ \varphi \circ (f_1^* + \lambda^* \circ \overline{\partial}^*) = f_1 \circ \varphi \circ f_1^* + \overline{\partial} \circ \lambda \circ \varphi \circ f_1^* + f_1 \circ \varphi \circ \lambda^* \circ \overline{\partial}^* + \overline{\partial} \circ \lambda \circ \varphi \circ \lambda^* \circ \overline{\partial}^*.$ We must show that  $\gamma \in \overline{\delta}(B_2^{\epsilon}(\overline{\mathscr{R}}))$ , where  $\gamma = \overline{\partial} \circ \lambda \circ \varphi \circ f_1^* + f_1 \circ \varphi \circ \lambda^* \circ \overline{\partial}^* + \overline{\partial} \circ \lambda \circ \varphi \circ \lambda^* \circ \overline{\partial}^*.$  $\overline{\partial} \circ \lambda \circ \varphi \circ \lambda^* \circ \overline{\partial}^*.$  But,  $(\lambda \circ \varphi \circ \lambda^*, f_1 \circ \varphi \circ \lambda^*, \lambda \circ \varphi \circ f_1^*) \in B_2^{\epsilon}(\overline{\mathscr{R}})$ , since

$$(f_1 \circ \varphi \circ \lambda^*)^* = \lambda \circ \varphi^* \circ f_1^* = \epsilon \lambda \circ \varphi \circ f_1^*,$$

and

$$\widehat{(\lambda\circ \varphi\circ\lambda^*)}(x)=\langle x,\,\lambda\circ \varphi\circ\lambda^*(x)
angle=\langle\lambda^*(x),\,\varphi\circ\lambda^*(x)
angle=\hat{arphi}(\lambda^*(x))\ =
ho\circ arphi(\lambda^*(x))=(ar{
ho}\circ f_1\circ \varphi\circ\lambda^*)(x).$$

The second to last equality follows since  $\varphi \in B_1^{\epsilon}(\mathscr{R})$ . Moreover  $\delta(\lambda \circ \varphi \circ \lambda^*, f_1 \circ \varphi \circ \lambda^*, \lambda \circ \varphi \circ f_1^*) = \gamma$ , which concludes the proof.

COROLLARY 5.  $Q^{\epsilon}(\Lambda)$  is independent of the two-step resolution used to compute it; furthermore, if  $Q^{\epsilon}(\Lambda)$  and  $\overline{Q}^{\epsilon}(\Lambda)$  are computed using  $\mathcal{R}$  and  $\overline{\mathcal{R}}$  respectively, then there is a distinguished isomorphism from  $Q^{\epsilon}(\Lambda)$  to  $\overline{Q}^{\epsilon}(\Lambda)$ , characterized by the requirement that it be induced by a chain map  $f: \mathcal{R} \to \overline{\mathcal{R}}$  covering the identity map of  $H^{\epsilon}(\Lambda)$ .

### III. Functoriality of the Q-Groups

Let  $f: \Lambda \to \Lambda'$  be a map of rings with involution.

**PROPOSITION 1.** Let  $\mathcal{P}$  be finitely generated and projective over  $\Lambda$ . Then the natural map

$$\Lambda' \otimes_{\Lambda} \mathscr{P}^* \xrightarrow{i} (\Lambda' \otimes_{\Lambda} \mathscr{P})^*$$

defined by  $i(\lambda_1 \otimes \varphi)(\lambda_2 \otimes x) = \lambda_2 f(\varphi(x)) \overline{\lambda_1}$  is an isomorphism of  $\Lambda'$ -modules.

Proof. (Well-known).

Let  $\mathscr{P}$  be a finitely generated  $\Lambda$ -module,  $\mathscr{M}$  a finitely generated  $\Lambda'$ -module, and  $g: \mathscr{P} \to \mathscr{M}$  a  $\Lambda$ -map, where  $\mathscr{M}$  is a  $\Lambda$ -module by restriction of scalars. Then there is a unique factorization



with  $\tilde{g}$  a  $\Lambda$ -module map.

We point out that there is a canonical map of abelian groups

$$\alpha(\mathscr{P}_1, \mathscr{P}_2) \colon \operatorname{Hom}_{A}(\mathscr{P}_1^*, \mathscr{P}_2) \to \operatorname{Hom}_{A'}(\Lambda' \otimes_{\Lambda} \mathscr{P}_1^*, \Lambda' \otimes \mathscr{P}_2)$$
$$\cong \operatorname{Hom}_{A'}((\Lambda' \otimes_{\Lambda} \mathscr{P}_1)^*, \Lambda' \otimes_{\Lambda} \mathscr{P}_2)$$

where the second isomorphism is that given by Prop. 1. Moreover, this map respects duality in that the diagram

commutes.

Let  $C_*^{\epsilon}(\mathscr{R})$  and  $C_{\epsilon}^*(\mathscr{S})$  be defined using resolutions  $\mathscr{R}: \mathscr{P}_2 \to^{\widehat{o}} \mathscr{P}_1 \to^{\rho} H^{\epsilon}(\Lambda)$ and  $\mathscr{S}: \mathscr{M}_2 \to^{\widehat{o}} \mathscr{M}_1 \to^{\rho} H^{\epsilon}(\Lambda')$ , of  $\Lambda$  and  $\Lambda'$ -modules respectively. Note that there is a natural map of  $\Lambda$ -modules  $\eta: H^{\epsilon}(\Lambda) \to H^{\epsilon}(\Lambda')$ . Consequently, lifting  $\eta$  through the two-step resolutions, we obtain

$$\begin{array}{c} \mathcal{P}_2 \xrightarrow{g_2} \mathcal{M}_2 \\ \vdots & \vdots \\ \partial \downarrow & \partial \downarrow \\ \mathcal{P}_1 \xrightarrow{g_1} \mathcal{M}_1 \end{array}$$

where the  $g_i$ 's are  $\Lambda$ -module maps. Let  $\tilde{g}_i$  be the factorization defined above. Define  $\beta_{ij}$ : Hom<sub> $\Lambda$ </sub>( $\mathscr{P}_i^*, \mathscr{P}_j$ )  $\rightarrow$  Hom<sub> $\Lambda'$ </sub>( $\mathscr{M}_i^*, \mathscr{M}_j$ ) by  $\beta_{ij}(\varphi) = \tilde{g}_j \circ \alpha(\mathscr{P}_i, \mathscr{P}_j) \circ \tilde{g}_i^*$ .  $\beta_{ij}$  commutes with duality in the same sense as  $\alpha$  does. Form the complexes  $C_{\epsilon}^*(\mathscr{R}), C_{\epsilon}^*(\mathscr{S})$  as in Section II.

PROPOSITION 2. There is a chain map  $C^*_{\epsilon}(\mathscr{R}) \rightarrow^{\gamma} C^*_{\epsilon}(\mathscr{S})$ , defined by  $\gamma_1(\varphi) = \beta_{11}(\varphi), \gamma_2(\varphi_1, \varphi_2, \varphi_3) = (\beta_{22}(\varphi_1), \beta_{21}(\varphi_2), \beta_{12}(\varphi_3)).$ 

*Proof.* We first observe that  $\gamma_i(A_i^{\epsilon}(\mathscr{R})) \subseteq \gamma_i(A_i^{\epsilon}(\mathscr{S}))$ , since  $\beta_{ij}$  respects duality. We must show that  $\gamma_i(B_i^{\epsilon}(\mathscr{R})) \subseteq \gamma_i(B_i^{\epsilon}(\mathscr{S}))$ .

To prove this, it suffices to observe that the diagrams

$$\begin{array}{ccc} A_i^{\epsilon}(\mathscr{R}) & \xrightarrow{\gamma_i} & A_i^{\epsilon}(\mathscr{S}) \\ & & \downarrow^{\alpha_i,\beta_i} & & \downarrow^{\alpha_i,\beta_s} \\ & & & \downarrow^{\alpha_i,\beta_s} \end{array}$$
$$\operatorname{Hom}_{\mathcal{A}'}(\mathscr{M}_i^*, H^{\epsilon}(\mathcal{A}')) \xrightarrow{\sigma_i} & \operatorname{Hom}_{\mathcal{A}'}(\mathscr{M}_i^*, H^{\epsilon}(\mathcal{A}')) \end{array}$$

commute, where  $\sigma_i$  is the composite

$$\operatorname{Hom}_{A}(\mathscr{P}_{i}^{*}, H^{\epsilon}(\Lambda)) \to \operatorname{Hom}_{A'}((\Lambda' \otimes_{\Lambda} \mathscr{P}_{i})^{*}, \Lambda' \otimes_{\Lambda} H^{\epsilon}(\Lambda))$$
$$\to \operatorname{Hom}_{A'}(\mathscr{M}_{i}^{*}, H^{\epsilon}(\Lambda'))$$

the second arrow being defined by  $h \to \tilde{\eta} \circ h \circ \tilde{g}_i^*$ . The commutativity of the diagram is elementary, as is the fact that  $\gamma_*$  is a chain map.

Consequently,  $\gamma_*$  induces a map  $Q^{\epsilon}(\gamma): Q^{\epsilon}(\Lambda) \to Q^{\epsilon}(\Lambda')$ .

PROPOSITION 3. Let  $\gamma_*$ ,  $\gamma'_*$  be chain maps  $C^{\epsilon}_*(\mathscr{R}) \to C^{\epsilon}(\mathscr{S})$  associated with maps g, g', with g, g' inducing  $\eta$ . Then  $Q^{\epsilon}(\gamma_*) = Q^{\epsilon}(\gamma'_*)$ .

*Proof.* Since g, g' both induce  $\eta$ , we have that  $g'_1 = g_1 + \partial \circ \lambda$ , where  $\lambda: \mathscr{P}_1 \to \mathscr{M}_2$  is a  $\Lambda$ -map. Consequently,

$$\begin{split} \gamma_1'(\varphi) &= \tilde{g}_1 \circ \alpha(\mathscr{P}_1 \ , \ \mathscr{P}_1)(\varphi) \circ \tilde{g}_1^* \\ &= (\tilde{g}_1 + \partial \circ \tilde{\lambda}) \circ \alpha(\mathscr{P}_1 \ , \ \mathscr{P}_1)(\varphi) \circ (\tilde{g}_1^* + \tilde{\lambda}^* \circ \partial^*) \\ &= Q^{\epsilon}(\gamma_*)(\varphi) + \partial \circ \tilde{\lambda} \circ \alpha(\mathscr{P}_1 \ , \ \mathscr{P}_1)(\varphi) \circ \tilde{g}_1^* + \tilde{g}_1 \circ \alpha(\mathscr{P}_1 \ , \ \mathscr{P}_1)(\varphi) \circ \tilde{\lambda}^* \circ \partial^* \\ &+ \partial \circ \tilde{\lambda} \circ \alpha(\mathscr{P}_1 \ , \ \mathscr{P}_1)(\varphi) \circ \tilde{\lambda}^* \circ \partial^*. \end{split}$$

We must show that the last three terms lie in  $\delta(B_2^{\epsilon}(\mathscr{S}))$ . Now set

$$\begin{aligned} x &= (\tilde{\lambda} \circ \alpha(\mathscr{P}_1, \mathscr{P}_1)(\varphi) \circ \tilde{\lambda}^*, \tilde{g}_1 \circ \alpha(\mathscr{P}_1, \mathscr{P}_1)(\varphi) \circ \tilde{\lambda}^*, \tilde{\lambda} \circ \alpha(\mathscr{P}_1, \mathscr{P}_1)(\varphi) \circ \tilde{g}_1^*) \in A_2^{\epsilon}(\mathscr{S}). \end{aligned}$$
  
It claim  $x \in \mathbf{B}_{\epsilon}(\mathscr{S})$ . For

It claim  $x \in B_2^{\epsilon}(\mathscr{S})$ . For,

$$egin{aligned} eta_2(x) &= \widehat{\lambda} \circ lpha(\mathscr{P}_1\,,\,\mathscr{P}_1)(arphi) \circ \widetilde{\lambda}^* = \widehat{lpha(\mathscr{P}_1\,,\,\mathscr{P}_1)(arphi)} \circ \widetilde{\lambda}^* = \sigma_1(\widehat{arphi}) \circ \widetilde{\lambda}^* \ &= \sigma_1(
ho \circ arphi) \circ \widetilde{\lambda}^* = 
ho \circ \widetilde{g}_1 \circ lpha(\mathscr{P}_1\,,\,\mathscr{P}_1)(arphi) \circ \widetilde{\lambda}^* = lpha_2(x). \end{aligned}$$

 $\hat{\varphi} = \rho \circ \varphi$  since  $\varphi \in A_1^{\epsilon}(\mathscr{R})$ . Now,

$$egin{aligned} \delta(x) &= \partial \circ ilde{\lambda} \circ lpha(\mathscr{P}_1 \ , \mathscr{P}_1)(arphi) \circ ilde{g}_1^* + ilde{g}_1 \circ lpha(\mathscr{P}_1 \ , \mathscr{P}_1)(arphi) \circ ilde{\lambda}^* \circ \partial^* \ &+ \partial \circ ilde{\lambda} \circ lpha(\mathscr{P}_1 \ , \mathscr{P}_1)(arphi) \circ ilde{\lambda}^* \circ \partial^*. \quad \blacksquare \end{aligned}$$

COROLLARY 4. There is a canonical change of rings map  $Q^{\epsilon}(\Lambda) \to Q^{\epsilon}(\Lambda')$ , characterized by the requirement that it be induced by a  $\Lambda$ -module chain map from a  $\Lambda$ -resolution of  $H^{\epsilon}(\Lambda)$  to a  $\Lambda'$ -resolution of  $H^{\epsilon}(\Lambda')$ , covering the  $\Lambda$ -map  $\eta: H^{\epsilon}(\Lambda) \to H^{\epsilon}(\Lambda')$ .

## IV. The Map $w: W^{\epsilon}(\Lambda) \to Q^{\epsilon}(\Lambda)$

Let  $(H, \beta)$  denote an  $\epsilon$ -symmetric Hermitian space over  $\Lambda$ . We will define an element  $w(H, \beta) \in Q^{\epsilon}(\Lambda)$  as follows: let  $ad(\beta): H \to H^*$  denote the adjoint map to the pairing  $\beta: H \times H \to \Lambda$ . It is an isomorphism, and  $ad(\beta)^* = \epsilon ad(\beta)$ . From Definition I.4, we have the map

$$\operatorname{ad}(\beta)$$
:  $H \to H^{\epsilon}(\Lambda)$ 

Let  $\mathscr{R} = \mathscr{P}_2 \to^{\partial} \mathscr{P}_1 \to^{\rho} H^{\epsilon}(\Lambda)$  be the first two stages of a resolution of  $H^{\epsilon}(\Lambda)$ ,  $\mathscr{P}_i$  f.g. projective, as in Section II. Then since H is projective, the map  $\widehat{\mathrm{ad}}(\beta)$  lifts to  $\mathscr{P}_1$ . Let the lifting be denoted by  $\psi_{\beta} \colon H \to \mathscr{P}_1$ . We define  $\xi_{\beta} \in \mathrm{Hom}_A(\mathscr{P}_1^*, \mathscr{P}_1)$  by

$$\xi_{\beta} = \psi_{\beta} \circ \operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}$$

Proposition 1.  $\xi_{\beta} \in B_1^{\epsilon}(\mathscr{R}).$ 

*Proof.* Since  $ad(\beta) = \epsilon ad(\beta)^*$ ,  $ad(\beta)^{-1} = \epsilon(ad(\beta)^{-1})^*$ . We compute:

$$\begin{aligned} \alpha_{1}(\xi_{\beta})(x) &= \alpha_{1}(\psi_{\beta} \circ (\operatorname{ad}(\beta)^{-1}) \circ \psi_{\beta}^{*})(x) \\ &= (\rho \circ \psi_{\beta} \circ \operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*})(x) = (\widehat{\operatorname{ad}(\beta)} \circ \operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*})(x) \\ &= (\operatorname{ad}(\beta)(\operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x)))(\operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x)) \\ &= (\psi_{\beta}^{*}(x))(\operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x)) \\ &= x(\psi_{\beta} \circ \operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x)) = \epsilon(\psi_{\beta} \circ \operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x))(x) \\ &= \widetilde{\psi_{\beta}} \circ \operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x) = \beta_{1}(\xi_{\beta})(x), \quad \text{so} \quad \xi_{\beta} \in B_{1}^{\epsilon}(\mathscr{R}). \end{aligned}$$

PROPOSITION 2. The residue class of  $\xi_{\beta}$  in  $Q^{\epsilon}(\Lambda)$  is independent of the choice of  $\psi_{\beta}$ .

*Proof.* Given  $\psi_{\beta}$  and  $\psi'_{\beta}$ , liftings of  $ad(\beta)$ , we must show that the associated elements  $\xi_{\beta}$  and  $\xi'_{\beta}$  differ by an element in  $\delta(B_2^{\epsilon}(\mathcal{R}))$ . Since  $\psi_{\beta}$  and  $\psi'_{\beta}$  are both liftings of  $ad(\beta)$ ,  $\psi'_{\beta} = \psi_{\beta} + \partial \circ \chi$ , where  $\chi: H \to \mathscr{P}_2$ . Thus,

$$egin{aligned} \xi_eta' &- \xi_eta &= \partial \circ \chi \circ \operatorname{ad}(eta)^{-1} \circ \psi_eta^* + \psi_eta \circ \operatorname{ad}(eta)^{-1} \circ \chi^* \circ \partial^* \ &+ \partial \circ \chi \circ \operatorname{ad}(eta)^{-1} \circ \chi^* \circ \partial^* \end{aligned}$$

Now, consider the element

$$\mu = (\chi \circ \operatorname{ad}(\beta)^{-1} \circ \chi^*, \psi_\beta \circ \operatorname{ad}(\beta)^{-1} \circ \chi^*, \chi \circ \operatorname{ad}(\beta)^{-1} \circ \psi_\beta^*)$$

in  $A_2^{\epsilon}(\mathscr{R})$ . We claim that  $\mu \in B_2^{\epsilon}(\mathscr{R})$ . To show this, we must have

$$ho\circ\psi_{eta}\circ\mathrm{ad}(eta)^{-1}\circ\chi^*=\chi\circ\mathrm{ad}(eta)^{-1}\circ\chi^*.$$

Now,

$$\begin{split} \rho \circ \psi_{\beta} \circ \operatorname{ad}(\beta)^{-1} \circ \chi^*(x) &= \widehat{\operatorname{ad}(\beta)} \circ \operatorname{ad}(\beta)^{-1} \circ \chi^*(x) \\ &= \operatorname{ad}(\beta)(\operatorname{ad}(\beta)^{-1} \circ \chi^*(x))(\operatorname{ad}(\beta)^{-1} \circ \chi^*(x)) \\ &= \chi^*(x)(\operatorname{ad}(\beta)^{-1} \circ \chi^*(x)) = x(\chi \circ \operatorname{ad}(\beta)^{-1} \circ \chi^*(x)) \\ &= \epsilon(\chi \circ \operatorname{ad}(\beta)^{-1} \circ \chi^*(x))(x) = \overbrace{\chi \circ \operatorname{ad}(\beta)^{-1} \circ \chi^*(x)}^{-1} \end{split}$$

 $\delta(\mu) = \xi'_{\beta} - \xi_{\beta}$ , proving the result.

We have constructed an invariant in  $Q^{\epsilon}(\Lambda)$  depending only on the isomorphism class of the space  $(H, \beta)$ , which we will call  $w(H, \beta) \in Q^{\epsilon}(\Lambda)$ . We will proceed to show that this invariant induces a homomorphism  $w: W^{\epsilon}(\Lambda) \to Q^{\epsilon}(\Lambda)$ .

**PPROOSITION 3.** If  $(H, \beta)$  is split, then  $w(H, \beta) = 0$ .

*Proof.* Let  $K \subseteq H$  be a projective summand, with  $K = K^{\perp}$  (={ $x \in H \mid \beta(x, K) = 0$ }), which exists since  $(H, \beta)$  is split. Since  $\beta(k, k) = 0$  for  $k \in K$ ,  $\widehat{ad(\beta)}(k) = 0$  for  $k \in K$ , so we may choose the map  $\psi_{\beta}$  so that  $\psi_{\beta} \mid K \equiv 0$ , K being a direct summand of H.

Claim.  $\operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x) \in K$ , if  $\psi_{\beta}$  is chosen so that  $\psi_{\beta} \mid K \equiv 0$ . We show that  $\beta(\operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x), k) = 0 \quad \forall k \in K$ . This will suffice, since  $K = K^{\perp}$ .

$$\begin{split} \beta(\mathrm{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x), k) &= (\mathrm{ad}(\beta) \circ \mathrm{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x))(k) = \psi_{\beta}^{*}(x)(k) = x(\psi_{\beta}(k)) = 0.\\ \mathrm{Now}, \psi_{\beta} \circ \mathrm{ad}(\beta)^{-1} \circ \psi_{\beta}^{*}(x) = 0 \text{ since } \psi_{\beta} \mid K \equiv 0. \end{split}$$

LEMMA 4.  $w((H_1, \beta_1) \perp (H_2, \beta_2)) = w(H_1, \beta_1) + w(H_2, \beta_2).$ *Proof.*  $\widehat{ad(\beta_1 \perp \beta_2)} = \widehat{ad(\beta_1)} \perp \widehat{ad(\beta_2)}$ , so we may take  $\psi_{\beta_1 \perp \beta_2} = \psi_{\beta_1} \perp \psi_{\beta_2}.$ 

Proposition 3 and Lemma 4 taken together prove that w induces a homomorphism

$$w\colon W^{\epsilon}(\Lambda) \to Q^{\epsilon}(\Lambda)$$

 $w(H, \beta)$  will be called the Wu element of  $(H, \beta)$ .

**PROPOSITION 5.** w:  $W^{\epsilon}(\Lambda) \to Q^{\epsilon}(\Lambda)$  is canonically defined; i.e., if  $Q^{\epsilon}(\Lambda)$  and  $\overline{Q}^{\epsilon}(\Lambda)$  are computed using resolutions  $\mathcal{R}$  and  $\overline{\mathcal{R}}$ , and w and  $\overline{w}$  are the associated Wu maps, then the diagram

$$W^{\epsilon}(\Lambda) \xrightarrow{w} Q^{\epsilon}(\Lambda)$$

$$\downarrow^{\bar{w}} \qquad \downarrow^{i}$$

$$\bar{Q}^{\epsilon}(\Lambda)$$

commutes, where i is the distinguished isomorphism of Cor. II.5.

**Proof.** Let  $\psi_{\beta}$  be a lift of  $ad(\beta)$  to  $\mathscr{P}_1$ , and  $\nu: \mathscr{R} \to \overline{\mathscr{R}}$  a chain map. Then  $\nu_1 \circ \psi_{\beta}$  is a lift of  $ad(\beta)$  to  $\mathscr{P}_1$ , so  $\overline{w}$  may be computed using  $\nu_1 \circ \psi_{\beta}$ . This readily gives the result.

**PROPOSITION 6.** w:  $W^{\epsilon} \rightarrow Q^{\epsilon}$  is a natural transformation; i.e. if  $\Lambda \rightarrow \Lambda'$  is a morphism of rings with involution, then the diagram

$$\begin{array}{c} W^{\epsilon}(\Lambda) \xrightarrow{W^{\epsilon}(f)} W^{\epsilon}(\Lambda') \\ \downarrow^{w} \qquad \downarrow^{w} \\ Q^{\epsilon}(\Lambda) \xrightarrow{Q^{\epsilon}(f)} W^{\epsilon}(\Lambda') \end{array}$$

commutes, where the lower horizontal map is the change of rings map defined in Section II.

**Proof.** Let  $(H, \beta)$  be a Hermitian space over  $\Lambda$ ,  $\psi_{\beta}: H \to \mathscr{P}_1$  a lift of  $\operatorname{ad}(\beta)$ , where  $\mathscr{R}: \mathscr{P}_2 \to \mathscr{P}_1 \to H^{\epsilon}(\Lambda) \to 0$  is a two-step resolution. Let h be a  $\Lambda$ -module chain map from  $\mathscr{R}$  to  $\mathscr{S}$  covering  $\eta: H^{\epsilon}(\Lambda) \to H^{\epsilon}(\Lambda')$ , where  $\mathscr{S}: \mathscr{M}_2 \to \mathscr{M}_1 \to$  $H^{\epsilon}(\Lambda') \to 0$  is a two step resolution of  $H^{\epsilon}(\Lambda')$  over  $\Lambda'$ . It is easily verified that a choice for  $\psi_{\beta}$ , the lifting of  $\operatorname{ad}(\beta')$ , where  $(H', \beta') = W^{\epsilon}(f)(H, \beta)$ , is the composite  $\Lambda' \otimes_{\Lambda} H \to^{1 \otimes \psi_{\beta}} \Lambda' \otimes_{\Lambda} \mathscr{P}_1 \to^{\hbar_1} \mathscr{M}_1$ . Using the fact that h is a chain map  $\mathscr{R} \to \mathscr{S}$ , one may now verify the commutativity of the diagram, using this specific choice of  $\psi_{\beta}'$  to compute  $W(H', \beta')$ .

#### V. QUADRATIC FORMS

Recall from [3] or [6] the definition of the Wall group  $L_0^{\epsilon,q}(\Lambda)$ . This is the Grothendieck group of *quadratic* forms over  $\Lambda$ , factored by split quadratic forms. There is a natural map

 $L_0^{\epsilon,q}(\Lambda) \to W^{\epsilon}(\Lambda)$ 

which forgets the quadratic structure and regards the quadratic space  $(H, \beta, \mu)$ as the Hermitian space  $(H, \beta)$ . The image of  $L_0^{\epsilon,q}(\Lambda)$  is the subgroup  $W_{\text{ev}}^{\epsilon}(\Lambda) \subseteq W^{\epsilon}(\Lambda)$  generated by even forms, i.e. by Hermitian spaces  $(H, \beta)$  so that  $\widehat{\operatorname{ad}}(\beta)(x) = 0$  in  $H^{\epsilon}(\Lambda)$  for all  $x \in H$ .

LEMMA 1. If  $x \in W_{ev}^{\epsilon}(\Lambda)$ , w(x) = 0.

*Proof.* It suffices to show  $w(H, \beta) = 0$  for  $(H, \beta)$  even. But if  $(H, \beta)$  is even,  $\widehat{ad(\beta)}(x) = 0 \quad \forall x \in H$ , so  $\psi_{\beta}$  may be taken to be the zero map, hence  $\xi_{\beta} = \psi_{\beta} \circ ad(\beta)^{-1} \circ \psi_{\beta}^* \equiv 0$ .

It is the object of this section to characterize the kernel of w, i.e. to prove the converse of Lemma 1.

Theorem 2.  $w(x) = 0 \Rightarrow x \in W_{ev}^{\epsilon}(\Lambda)$ .

**Proof.** We recall from [3] or [5] that if  $(H, \beta)$  is a Hermitian space, and  $K \subseteq H$  is a self-annihilating summand, (i.e.  $\beta(x, y) = 0 \ \forall x, y \in K$ ), then  $K^{\perp}/K$  becomes a Hermitian space, by restricting the form  $\beta$  to  $K^{\perp} \times K^{\perp}$ . Also, an equivalent formulation for K to be self-annihilating is that the composite

$$K \to H \xrightarrow{\operatorname{ad}(\beta)} H^* \to K^*$$

be zero.

We suppose we are given a Hermitian space  $(H, \beta)$  with  $w(H, \beta) = 0$  in  $Q^{\epsilon}(\Lambda)$ . We may suppose that the map  $\psi_{\beta}: H \to \mathscr{P}_{1}$  is surjective, for if it is not, add on a split space  $(H', \beta')$  so that  $\psi_{\beta'}$  is surjective. Since  $w(H, \beta) = 0$ , we have

$$\psi_{m{eta}} \circ \operatorname{ad}(m{eta})^{-1} \circ \psi_{m{eta}}^* = \partial \circ \chi + \epsilon \chi^* \circ \partial^* + \partial \circ \varSigma \circ \partial^*$$

where

$$\chi: \mathscr{P}_1^* \to \mathscr{P}_2, \Sigma: \mathscr{P}_2^* \to \mathscr{P}_2, \qquad \Sigma = \epsilon \Sigma^*, \qquad ext{and} \qquad \rho \circ \chi^* = \hat{\Sigma}$$

Form the Hermitian space  $(H \oplus \mathscr{P}_2^* \oplus \mathscr{P}_2, \tilde{\beta})$ , where  $\operatorname{ad}(\tilde{\beta}): H \oplus \mathscr{P}_2^* \oplus \mathscr{P}_2 \to H^* \oplus \mathscr{P}_2 \oplus \mathscr{P}_2^*$  is given by the matrix

$$\begin{bmatrix} \mathrm{id}_H & 0 & 0 \\ 0 & -\Sigma & \mathrm{id}_{\mathscr{P}_2} \\ 0 & \epsilon \, \mathrm{id}_{\mathscr{P}_2^*} & 0 \end{bmatrix}$$

 $(H \oplus \mathscr{P}_2^* \oplus \mathscr{P}_2, \overline{\beta})$  is clearly Witt equivalent to  $(H, \beta)$ . Define an inclusion  $i: \mathscr{P}_1^* \to H \oplus \mathscr{P}_2^* \oplus \mathscr{P}_2$  by  $i(x) = (\mathrm{ad}(\beta)^{-1} \circ \psi_{\beta}^*(x), \partial^*(x), -\epsilon \chi(x))$ .

Since  $\psi_{\beta}: H \to \mathscr{P}_1$  is surjective, we find that the map *i* is an inclusion on a direct summand. Also, the summand  $i(\mathscr{P}_1^*)$  is self-annihilating, for composite  $i^* \circ \mathrm{ad}(\bar{\beta}) \circ i$  can be computed to be

$$\epsilon(\psi_{\beta} \circ \operatorname{ad}(\beta)^{-1} \circ \psi_{\beta}^{*} - \partial \Sigma \partial^{*} - \partial \chi - \epsilon \chi^{*} \partial^{*}) = 0$$

Thus, by the above,  $(H, \beta)$  is Witt equivalent to

$$(i(\mathscr{P}_1^*)^{\perp}/i(\mathscr{P}_1^*), \beta \mid i(\mathscr{P}_1^*)^{\perp} imes i(\mathscr{P}_1^*)^{\perp})$$

If we can prove that  $\beta \mid i(\mathscr{P}_1^*)^{\perp} \times i(\mathscr{P}_1^*)^{\perp}$  is even, we will have proved the theorem. By the definition of  $\overline{\beta}$ ,

$$\widehat{\operatorname{ad}(ar{eta})}(x,\,y,\,z)=\widehat{\operatorname{ad}(eta)}(x)+\hat{\mathcal{L}}(y),$$

for  $(x, y, z) \in H \oplus \mathscr{P}_2^* \oplus \mathscr{P}_2$ . By the restrictions on  $\psi_\beta$  and  $\chi$ , however, we have

$$\widehat{\mathrm{ad}}(\beta)(x) = \rho \circ \psi_{\beta}(x), \qquad \rho \circ \chi^*(y) = \hat{\varSigma}(y)$$

It is clear that  $i(\mathscr{P}_1^*)^{\perp} = \ker(\operatorname{ad}(\bar{\beta}) \circ i^*)$ .  $(\operatorname{ad}(\bar{\beta}) \circ i^*)(x, y, z) = \psi_{\beta}(x) - \partial E(y) - \epsilon \chi^*(y) + \partial(z)$ . Applying  $\rho$ , we get  $\rho \circ \operatorname{ad}(\bar{\beta}) \circ i^* = \rho \circ \psi_{\beta}(x) + \rho \circ \chi^*(y)$ .

Thus, for  $(x, y, z) \in i(\mathscr{P}_1^*(x))^{\perp}$ ,  $\rho \psi_{\beta}(x) + \rho \circ \chi^*(y) = 0$ , so  $\operatorname{ad}(\beta)(x) + \hat{\mathcal{L}}(y) = 0$ , and the form is even.

## VI. Computing $Q^{\epsilon}(\Lambda)$

In this section, w compute  $Q^{\epsilon}(\Lambda)$  in some examples, thereby obtaining new proofs for the description of the Witt groups involved in the classical examples, and invariants which detect the Witt group in some non-classical examples.

(a)  $\Lambda = \mathbb{Z}, \epsilon = 1$ , trivial involution.

In this case  $H^1(\Lambda) = \mathbb{Z}/2$ , so a resolution is given by  $\mathbb{Z} \to^2 \mathbb{Z} \to \mathbb{Z}/2 \to 0$ . Since the involution acts trivially,  $A_1^1 = \mathscr{P}_1 = \mathbb{Z}$ .  $\Gamma = \mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}$ , where the involution \* permutes the last two factors and is trivial on the first

> $\alpha_1: \mathbb{Z} \to \mathbb{Z}/2$  is reduction mod 2  $\beta_1: \mathbb{Z} \to \mathbb{Z}/2$  is reduction mod 2.

By the above computation of  $\Gamma$ ,  $A_{2^1} = \{(x, y, z) \mid y = z\}$ 

$$egin{aligned} η_2(x,\,y,\,z)=x ext{ mod } 2( ext{Hom}(\mathscr{P}_2\,,\,\mathbb{Z}/2)=\mathbb{Z}/2) \ &lpha_2(x,\,y,\,z)=y ext{ mod } 2. \end{aligned}$$

Thus,  $B_1^1 = A_1^1$ , and  $B_2^1$  is generated by the elements (1, 1, 1) and (2, 0, 0) in  $A_2^1$ . Tracing through the definition of  $\delta$ , one obtains

$$\delta(1, 1, 1) = 4 + 2 + 2 = 8, \quad \delta(2, 0, 0) = 8,$$

which gives

$$Q^{\mathbf{1}}(\mathbb{Z}) = \mathbb{Z}/8\mathbb{Z}.$$

By evaluating on the space  $\langle 1 \rangle$ , we observe that our invariant is the signature mod 8.

(b) Let  $\mathcal{O}_K$  denote the ring of integers in a finite unramified extension K of  $\hat{\mathbb{Q}}_2$ ,  $\epsilon = 1$ , with trivial involution. Since the extension  $\hat{\mathbb{Q}}_2 \subseteq K$  is unramified,

 $2 \cdot \mathcal{O}_K$  is a prime ideal in  $\mathcal{O}_K$ . Moreover,  $H^1(\mathcal{O}_K) = \mathcal{O}_K/2\mathcal{O}_K$  is a finite field of characteristic 2, which we denote by  $\mathbb{F}$ . Note, however, that the module structure of  $H^1(\mathcal{O}_K)$  is not that obtained by regarding  $\mathbb{F}$  as a quotient of  $\mathcal{O}_K$ ; rather, the module structure is given by the map  $\rho: \mathcal{O}_K \to \mathbb{F}$ 

$$\rho(x) = x^2$$

The two module structures are isomorphic, under a non-trivial automorphism of  $\mathbb{F}$  as an abelian group, namely the inverse to the Frobenius. As in the case  $\Lambda = \mathbb{Z}$ , a resolution is given by

$$0 \to \mathcal{O}_K \xrightarrow{2} \mathcal{O}_K \xrightarrow{p} \mathbb{F} \to 0.$$

As above,  $A_1^1 = \mathscr{P}_1 = \mathscr{O}_K$ .  $\Gamma = \mathscr{O}_K \oplus \mathscr{O}_K \oplus \mathscr{O}_K$ ,  $(x, y, z)^* = (x, z, y)$ , giving  $A_2^1 = \mathscr{O}_K \oplus \mathscr{O}_K$ .

For  $x \in A_1^1$ 

$$\beta_1(x) = x^2 \mod 2, \qquad \alpha_1(x) = x \pmod{2}$$

For  $(x, y) \in A_2^1 = \mathcal{O}_K \oplus \mathcal{O}_K$ ,

This gives  $B_1^{1} = (1) + 2\mathcal{O}_K$ , where (1) denotes the *additive group* generated by 1. To see this, note that if  $x \in B_1^{1}$ ,  $x \equiv x^2 \pmod{2}$ . Consequently, the reduction of x must lie in the fixed field of the Frobenius automorphism, which is  $\mathbb{Z}/2 \subseteq \mathbb{F}$ . Similarly,  $B_2^{1}$  consists of  $(x, y) \in \mathcal{O}_K \oplus \mathcal{O}_K$  such that  $x \equiv y^2 \mod 2$ . Therefore,  $B_2^{1}$  is generated by elements of the form  $(y^2, y)$  and  $2 \cdot (\mathcal{O}_K \oplus \mathcal{O}_K)$ . It remains to evaluate  $\delta$ . It is immediate that  $\delta(y^2, y) = 4y^2 + 4y$ ,  $\delta(2x, 2y) = 8x + 8y$ . We have, then, that  $8\mathcal{O}_K \subseteq \delta(B_2^{1})$ . The quotient group

$$(1) + 2\mathcal{O}_{K}/8\mathcal{O}_{K}$$

is  $\mathbb{Z}/8 + (\mathbb{Z}/4)^{d-1}$ , where  $d = [K : \hat{\mathbb{Q}}_2]$ . We must compute the quotient by the subgroup generated by elements of the form  $4(y^2 + y)$ .

$$4\mathcal{O}_K/8\mathcal{O}_K = \mathcal{O}_K/2\mathcal{O}_K = \mathbb{F}$$

so to obtain the quotient of  $4\mathcal{O}_K/8\mathcal{O}_K$  by the subgroup generated by  $4y^2 + 4y$ , it suffices to compute the quotient of  $\mathbb{F}$  by elements of the form  $y + y^2$ . This is readily seen to be  $\mathbb{Z}/2$ , so we have an exact sequence

$$0 \to \mathbb{Z}/2 \to Q^{1}(\mathcal{O}_{K}) \to \frac{(1) + 2\mathcal{O}_{K}}{4\mathcal{O}_{K}} = \mathbb{Z}/4 \oplus (\mathbb{Z}/2)^{d-1} \to 0$$

In computing the extension, two cases appear:

(i)  $\mathbb{F}$  is an odd-degree extension of  $\mathbb{Z}/2$ . In this case,  $1 = x^2 + x$  for any  $x \in \mathbb{F}$ , hence  $4 \in 4\mathcal{O}_K$  represents a non-trivial element. In this case,

$$Q^{\mathbf{1}}(\mathcal{O}_{K}) = \mathbb{Z}/8 + (\mathbb{Z}/2)^{d-1}.$$

(ii)  $\mathbb{F}$  is an even degree extension of  $\mathbb{Z}/2$ . 4 is now trivial in  $4\mathcal{O}_K/8\mathcal{O}_K + \{4(y+y^2) \mid y \in \mathcal{O}_K\}$ . On the other hand, if  $x \in \mathcal{O}_K$  is such that its mod 2 reduction represents the non-trivial element in  $\mathbb{F}/\{y+y^2, y \in \mathbb{F}\}$ , then 4x is non-trivial in  $4\mathcal{O}_K/8\mathcal{O}_K + \{4(y+y^2) \mid y \in \mathcal{O}_K\}$ . We obtain

$$Q^{1}(\mathcal{O}_{K}) = \mathbb{Z}/4 + \mathbb{Z}/4 + (\mathbb{Z}/2)^{d-2}.$$

Combining these calculations with the well-known facts that  $W^1_{\text{ev}}(\mathcal{O}_K) = \mathbb{Z}/2$ , that  $W^1_{\text{ev}}(\mathcal{O}_K)$  is a summand in  $W^1(\mathcal{O}_K)$ , and that  $W^1(K) = W^1(\mathcal{O}_K) + \mathbb{Z}/2$ , we obtain, as in [4],

$$W(K) = \mathbb{Z}/8 + (\mathbb{Z}/2)^{d+1}$$
 in case (i)  
 $W(K) = \mathbb{Z}/4 + \mathbb{Z}/4 + (\mathbb{Z}/2)^d$  in case (ii).

**Remark.** The restriction to unramified extensions is made only in the interest of simplicity. In the ramified case, the module  $H^{\epsilon}(\mathcal{O}_{K})$  is no longer cyclic but is a direct sum of two cyclic modules, which makes the calculations more complicated, although not in principle more difficult. If one performs the calculations, one recovers the structure of  $W^{1}(K)$  for any finite extension K of  $\hat{\mathbb{Q}}_{2}$ .

(c) Let  $\Lambda = F$  be a field of characteristic two, so that  $[F:F^2] = n < \infty$ , where  $F^2$  is the subfield of all squares in F. n is a power of two. We will see that the invariant constructed in this paper detects the Witt classes of symmetric bilinear forms over F. Let F be endowed with the trivial involution.

LEMMA 1.  $W_{ev}^{1}(F) = 0.$ 

*Proof.* If  $f \in F$ ,  $f + \bar{f} = 2f = 0$ . Thus, if  $(H, \beta) \in W^{+1}_{ev}(F)$ ,  $\beta(x, x) = 0$  for all  $x \in H$ , so  $(H, \beta)$  is split.

This shows that the map  $w: W^{+1}(F) \to Q^{+1}(F)$  is injective, by Theorem IV.2. Since  $[F:F^2] = n$ , we obtain that

$$H^{+1}(F) \cong F^n$$

since the module structure on  $\mathscr{P}_1 = F$  is given by

$$x \cdot f = x^2 f.$$

A resolution of  $H^{+1}(F)$  is given by

$$0 \to F^n \to H^{+1}(F) \to 0$$

with  $\mathscr{P}_2 = 0$ . Accordingly,  $B_1^1 \cong Q^{+1}(F)$ . We find  $\operatorname{Hom}_F(\mathscr{P}_1^*, \mathscr{P}_1) = \bigoplus_{1 \leq i, j \leq n} F_{ij}$ , duality acts by permuting  $F_{ij}$  and  $F_{ji}$ , and acts trivially on  $F_{ii}$ . Thus,

$$A_1^{-1} \cong \bigoplus_{1 \leqslant i \leqslant j \leqslant n} F_{ij}$$

A direct calculation now gives

LEMMA 2.  $B_1^1 \subseteq A_1^1$  consists of those vectors  $(\alpha_{ij}) \in A_1^1$ ,  $1 \leq i \leq j \leq n$ , such that

$$lpha_{ii} = \sum_{j=1}^n lpha_{ij}^2 b_j$$

if  $\{b_j\}_{j=1}^n$  is a basis for F over  $F^2$ .

We now describe the map  $w: W^{+1}(F) \to Q^{+1}(F)$ . As above, let  $\{b_j\}_{j=1}^n$  be a basis for F over  $F^2$ . Let  $(H, \beta)$  be a symmetric bilinear form over F. Then

$$x \rightarrow \beta(x, x)$$

defines a homomorphism  $\varphi: H \to H^+(F) = \bigoplus_{i=1}^n F_i$ . This gives an *n*-tuple of linear maps  $\varphi_i: H \to F_i$ . Since the form  $\beta$  is non-singular, there exist unique elements  $\chi_i \in H$  so that  $\beta(x, \chi_i) = \varphi_i(x)$ . Equivalently, there exists a unique collection of elements  $\{\chi_1, \dots, \chi_n\}$  so that

$$\beta(x, x) = \sum_{i=1}^n \beta(x, \chi_k)^2 b_i$$

for all  $x \in H$ . The elements  $w_{ij}(H, \beta) = \beta(\chi_i, \chi_j) \in F$  are now invariants of the isomorphism type of  $(H, \beta)$ . In fact, they depend only on the Witt class, and may be identified with the (i, j)-th coordinate of  $w(H, \beta)$ , if  $A_1^1$  is identified with  $\bigoplus_{1 \leq i \leq j \leq n} F_{ij}$ , as above. The conclusion is, then,

THEOREM 3. The invariants  $w_{ij}(H, \beta) \in F$ ,  $1 \leq i \leq j \leq n$ , detect the Witt classes of symmetric bilinear forms over F, i.e.  $(H, \beta)$  is stably split if and only if  $w_{ij}(H, \beta) = 0$  for all i, j.

(d) We conclude the paper by computing  $Q^1$  in the case  $\Lambda = \mathbb{Z}/2\pi$ ,  $\pi = (\mathbb{Z}/2)^i$ , endowed with the trivial involution. Recall that  $\Lambda = E(x_1, ..., x_i)$ , the exterior algebra on *i* generators. Note that the square of every element *y* in  $\Lambda$ 

is 0 or 1, depending on whether y is in the maximal ideal  $m = (x_1, ..., x_n)$  or not. From this remark, it is immediate that

$$H'(\Lambda) \cong \bigoplus_{\mu} k_{\mu}$$

where  $\mu$  runs over all non-zero monomials in the  $x_i$ 's (including 1), and  $k_{\mu}$  denotes a copy of the cyclic module  $\Lambda/m$ . Thus,  $\mathscr{P}_1 \cong \bigoplus_{\mu} \Lambda_{\mu}$ , and

$$\operatorname{Hom}_{\Lambda}(\mathscr{P}_{1}^{*},\mathscr{P}_{1}) \cong \bigoplus_{\lambda,\mu} \Lambda_{\lambda\mu}$$

A straightforward computation identifies  $B_1^1$  as the subgroup of  $\bigoplus_{\lambda,\mu} \Lambda_{\lambda\mu}$  consisting of all vectors  $(\alpha_{\lambda\mu})$  such that

$$lpha_{\lambda\lambda}+lpha_{\lambda\lambda}^2\lambda=\sum\limits_{\mu
eq\lambda}lpha_{\lambda\mu}^2\mu\;arga\lambda$$

and

$$\alpha_{\lambda\mu} = \alpha_{\mu\lambda} \, \forall \lambda, \, \mu$$

For  $\lambda \neq 1$ , the map  $x \to x + x^2 \lambda$  is an isomorphism from  $\Lambda$  to itself, so for  $\lambda \neq 1$ ,  $\alpha_{\lambda\lambda}$  is determined by  $\{\alpha_{\lambda\mu}\}_{\lambda\neq\mu}$ . If  $\lambda = 1$ , the map  $x \to x + x^2$  has kernel  $\mathbb{Z}/2$ , so  $\alpha_{11}$  is determined up to an element of the subgroup  $\{0, 1\}$  by  $\{\alpha_{\lambda\mu}\}_{\lambda\neq\mu}$ . Another direct computation shows that  $(p \circ \delta)(B_2^{-1}) = m$ .  $\Gamma \subseteq \Gamma$ , where  $\Gamma \subseteq \bigoplus_{\lambda,\mu} A_{\lambda\mu}$ ,  $\Gamma = \{(x_{\lambda\mu}) \mid x_{\lambda\mu} = x_{\mu\lambda}\}$ , and  $p: B_1^{-1} \to \Gamma$  is the projection. This gives

 $Q^{1}(\Lambda) \simeq \mathbb{Z}/2^{2^{i}(2^{i}-1)+1}$ 

Using the well-known fact that  $W^1(\Lambda)$  is generated by one-dimensional spaces, one finds that on the image of w in  $Q^1(\Lambda)$ , the coordinates  $\alpha_{1\lambda}$  are determined by  $\{\alpha_{\lambda\mu}\}_{\lambda\neq\mu/\lambda,\mu\neq1}$ . Thus, we obtain

$$\frac{W^{\mathbf{i}}(\Lambda)}{W^{\mathbf{i}}_{\mathrm{ev}}(\Lambda)} \cong \mathbb{Z}/2^{(2^{i}-1)(2^{i}-2)+1}$$

As in case (c) above,  $W_{ev}^1(\Lambda) = 0$  for trivial reasons, so we obtain

**PROPOSITION 4.**  $W^{1}(\Lambda) \simeq \mathbb{Z}/2^{(2^{i}-1)(2^{i}-2)+1}$ . (This result was obtained by ad hoc methods in [1].)

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