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# CLASSIFICATION OF ORIENTED SPHERE BUNDLES OVER A 4-COMPLEX

BY A. DOLD AND H. WHITNEY
(Received April 4, 1958)

## 1. Introduction

The classification of (n-1)-sphere bundles with structure group SO(n) (special orthogonal group) over a complex K of dimension at most 4 has been carried out in several special cases. If n=2 or if the dimension of K does not exceed 3 then the characteristic class  $W_2$  is a complete invariant (see [17]; note that  $W_2$  is an integer class for n=2, and is a class mod 2 if  $n \geq 3$ ). 2-sphere bundles with vanishing class  $W_2$  were classified by the second author in an unpublished manuscript\* (1938; announced in [19], 4); these bundles are not determined by their characteristic classes (see our example in Section 3). Pontrjagin in 1945 (see [9]) gave a solution for arbitrary n provided that  $H^4(K; \mathbb{Z})$  has no 2-torsion; in this case (n-1)-sphere bundles are characterized by  $W_2$ ,  $W_4$  (for  $n \geq 4$ ) and  $P_4$  (see [9] or the Corollary in Section 3). In this paper we give the classification for the general case. Throughout the paper we assume  $n \geq 3$ .

The (n-1)-sphere bundles over a complex K are generated by mappings of K into some Grassmann manifold  $G_n$  (see [17]); in fact, they are in natural one-to-one correspondence with the homotopy classes (see [14], [8], and [15], 19). The manifold  $G_n$  has vanishing  $1^{\text{st}}$  and  $3^{\text{rd}}$  homotopy groups (see (3)); since we assume  $\dim(K) \leq 4$ , our problem reduces to the standard problem of classifying mappings of a complex into a space  $(G_n)$  with only two non-vanishing homotopy groups. This problem has been studied in many papers, beginning with special cases in [7], [11], and in general in [13], [4]. In particular there are explicit solutions if the homotopy groups of the image space are in dimensions m and m+2 (see [13]), but unfortunately not for m=2, the case in which we are interested. A partial solution in this case was given in [2].

<sup>\*</sup> This classification involves the cohomology operation  $\Psi\colon H^1(K;Z_2)\to H^4(K;Z)$  which is obtained by applying the Bockstein homomorphism first and then taking the cup square; see (23). In the manuscript  $\Psi$  was defined by a cochain formula (in a simplicial complex with ordered vertices) as follows. For any cochain  $y \mod 2$ , let  $\omega y$  be the corresponding integral cochain (compare [18, 11]) whose coefficients are 0 or 1. Then  $\omega(y\smile y')=\omega y\smile \omega y'$ , and for 1-cocycles  $c \mod 2$ , we see that  $\frac{1}{2}\delta\omega c=\omega' c\smile c$ . Therefore the function  $\psi(c)=\omega(c\smile c\smile c\smile c)$  coincides with  $\frac{1}{2}\delta\omega c\smile \frac{1}{2}\delta\omega c$  and induces the operation  $\Psi$ . Unfortunately the operation  $\omega$  was omitted in [19], giving a wrong statement, as noted by Pontrjagin [9].

The general result in [4, Theorem 14.2], leaves one with the task of expressing the k-invariant  $k(G_n)$  of  $G_n$  by familiar cohomology operations. To be more precise, it is only the suspension of  $k(G_n)$  and the deviation of  $k(G_n)$  from additivity which is needed in [4, Theorem 14.2]. In the following Section 2, we make these computations and formulate the corresponding classification theorem. In Section 3 we relate the resulting invariants to the characteristic classes of the bundles involved. As an application we give a short proof of a recent theorem of Massey (see [6, Theorem V]). The last Section contains a correction of Pontrjagin's Theorem 2 in [9].

## 2. The classification theorem $(n \ge 3)$

Let  $G_n$  be the Grassmann manifold of oriented *n*-planes through the origin in a euclidean space of dimension at least n+5. Then  $G_n$  is the base of a 5-universal SO(n)-bundle (see [15, 19.6-19.7]), and we have natural isomorphisms (see [15, 19.9])

(1) 
$$\pi_i(G_n) \approx \pi_{i-1}(SO(n)) , \qquad i \leq 4 .$$

According to [15, 24.11],

(2) 
$$egin{aligned} \pi_0(SO(n)) &pprox \pi_2(SO(n)) = 0 \;, \ \pi_1(SO(n)) &pprox Z_2 = ext{cyclic of order } 2 \;, \ \pi_3(SO(n)) &pprox egin{cases} Z = ext{ free cyclic for } n 
eq 4 \;, \ Z + Z ext{ for } n = 4 \;; \end{aligned}$$

hence

$$egin{align} \pi_{\scriptscriptstyle 1}(G_n) pprox \pi_{\scriptscriptstyle 3}(G_n) &= 0 \;, \ \pi_{\scriptscriptstyle 2}(G_n) pprox Z_2 \;, \ \pi_{\scriptscriptstyle 4}(G_n) pprox egin{cases} Z ext{ for } n 
eq 4 \;, \ Z + Z ext{ for } n = 4 \;. \end{cases}$$

We choose generators for  $\pi_3(SO(n))$  as in [15, 22.3, 22.7 and 23.6] and thereby identify this group with Z (for  $n \neq 4$ ) or Z + Z (for n = 4); similarly for the groups  $\pi_1(G_n)$ , using the isomorphisms (1).

The k-invariant of  $G_n$  is an element  $k(G_n) \in H^5(Z_2, 2; \pi_4(G_n))$  (see [4, 11]). We want to compute the suspension and the deviation from additivity of  $k(G_n)$ .

The suspension of  $k(G_n)$  is an element of  $H^4(Z_2, 1; \pi_4(G_n)) = H^1(Z_2, 1; \pi_3(SO(n)))$ . Up to sign it coincides with k(SO(n)), the k-invariant of SO(n) (see [16]).

Consider the case n=3 first. Now SO(3) is homeomorphic with real

projective 3-space  $P_3$ . A space  $K(Z_2, 1)$  is given by real projective space  $P_{\infty}$  of infinite dimension. The k-invariant of  $P_3$  is the first obstruction for retracting  $P_{\infty}$  onto  $P_3$ , i.e., the obstruction for retracting  $P_4$  onto  $P_3$ . Since such a retraction is not possible the invariant  $k(SO(3)) = k(P_3)$  is not zero; hence it is the only non-vanishing element in  $H^4(Z_2, 1; Z) = H^4(P_{\infty}; Z)$ . If v is the non-trivial element of  $H^1(Z_2, 1; Z_2)$ , then since  $\beta v \smile \beta v \neq 0$ , we have

$$k(SO(3)) = \beta v \smile \beta v ;$$

 $\beta$  is the Bockstein homomorphism associated with the coefficient sequence

$$0 \longrightarrow Z \xrightarrow{2} Z \longrightarrow Z_2 \longrightarrow 0.$$

Since v is the basic cohomology class of  $P_{\infty} = K(Z_2, 1)$ , the formula (4) also describes the cohomology operation defined by k(SO(3)) (see [4, 7] or [12, 4]): If X is any space and  $x \in H^1(X, Z_2)$ , then

(6) 
$$k(SO(3)) \vdash x = \beta x \smile \beta x .$$

For n=4 the situation is similar since SO(4) is homeomorphic with  $SO(3) \times S^3 = P_3 \times S^3$  (see [15, 22.6]). We have

$$egin{aligned} k(SO(4)) &\in H^4(Z_2,\,1\,;\,\pi_3(SO(4))) = H^4(Z_2,\,1\,;\,Z+\,Z) \ &= H^4(Z_2,\,1\,;\,Z) + H^4(Z_2,\,1\,;\,Z) \;. \end{aligned}$$

If we write  $k(SO(4)) = k_1(SO(4)) + k_2(SO(4))$  according to this decomposition, then

(7) 
$$k_1(SO(4)) \vdash x = \beta x \smile \beta x, \qquad k_2(SO(4)) \vdash x = 0.$$

For  $n \ge 5$  the invariant  $k(SO(n)) \in H^4(Z_2, 1; Z)$  is zero since there exists a mapping  $r: P_{n-1} \to SO(n)$  with  $r_*: \pi_1(P_{n-1}) \approx \pi_1(SO(n))$  (see [15, 23.3-23.4]);

(8) 
$$k(SO(n)) = 0 for n \ge 5.$$

We have now to compute the deviation of  $k(G_n)$  from additivity. We claim that

(9) 
$$k(G_3) + (y, z) = \beta(y \smile z),$$

(10) 
$$k_1(G_4) \vdash (y,z) = \beta(y \smile z) , \qquad k_2(G_4) \vdash (y,z) = 0 ,$$

(11) 
$$k(G_n) + (y, z) = 0 \qquad \text{for } n \ge 5.$$

Here y and z denote 2-dimensional cohomology classes mod 2 of an arbitrary space Y, and  $k_1(G_4)$ ,  $k_2(G_4)$  are the components of  $k(G_4)$  with respect to the decomposition

$$H^{5}(Z_{2},2\,;\,\pi_{4}(G_{4}))=H^{5}(Z_{2},2\,;\,Z+Z)=H^{5}(Z_{2},2\,;\,Z)+H^{5}(Z_{2},2\,;\,Z)$$
 .

The formulas (9)-(11) follow from our computation (6)-(8) of the suspension of  $k(G_n)$ , together with the

LEMMA. Take  $a \in H^{s}(Z_{2}, 2; Z)$  and let  $\sigma a \in H^{4}(Z_{2}, 1; Z)$  be the suspension of a. Then

$$a + (y, z) = \beta(y - z)$$
 or  $a + (y, z) = 0$  (for all y, z as above)

according to whether

$$\sigma a + x = \beta x \smile \beta x \text{ or } \sigma a + x = 0$$
 (for all x as in (6)).

We remark first that  $\beta(y - z)$  is a non-trivial cohomology operation, even when reduced mod 2: If we denote reduction mod 2 by a horizontal bar, then

$$\overline{\beta(y\smile z)}=Sq^1(y\smile z)=Sq^1y\smile z+y\smile Sq^1z,$$

and this is different from zero for instance in  $S^2 \times X$ , where  $S^2$  is a 2-sphere and X is a space consisting of a 2-sphere with a 3-cell attached by a mapping of its boundary of degree 2 (i.e., X is the suspension of the real projective plane).

To prove the lemma we look at the universal example

$$Y = K(Z_2, 2) \times K(Z_2, 2)$$

(see [4]). Let u be the basic class of  $H^2(\mathbb{Z}_2, 2; \mathbb{Z}_2)$ . Then in Y we have, by definition of  $a \vdash (y, z)$ ,

$$(13) a \vdash (u \otimes 1 + 1 \otimes u) = a \otimes 1 + 1 \otimes a + a \vdash (u \otimes 1, 1 \otimes u).$$

If we compute  $H^{5}(Y; Z)$  by applying the Künneth formula, using  $H^{2}(Z_{2}, 2; Z) = 0$ ,  $H^{3}(Z_{2}, 2; Z) = Z_{2}$  (see [3, Ch. IV]), we find

$$(14) \hspace{1cm} H^{\scriptscriptstyle 5}(Y;\,Z) = H^{\scriptscriptstyle 5}(Z_{\scriptscriptstyle 2},\,2\,;\,Z) \otimes H^{\scriptscriptstyle 0}(Z_{\scriptscriptstyle 2},\,2\,;\,Z) \ + \, H^{\scriptscriptstyle 0}(Z_{\scriptscriptstyle 2},\,2\,;\,Z) \otimes H^{\scriptscriptstyle 5}(Z_{\scriptscriptstyle 2},\,2\,;\,Z) + Z_{\scriptscriptstyle 2} \;;$$

the cross term  $Z_2$  has only one non-zero element. This element must be

$$\beta(u \otimes 1 \smile 1 \otimes u) = \beta(u \otimes u),$$

since (15) is a cross term element of  $H^5(Y; Z)$  which is different from zero, as we have seen. (Cross term elements can be characterized as lying in the intersection of the kernels of the two homomorphisms  $H^*(Y) \to H^*(Z_2, 2)$  which are induced by the two natural inclusions  $K(Z_2, 2) \to Y$ .) Since  $a \vdash (u \otimes 1, 1 \otimes u)$  is also a cross term element, we have

(16) 
$$a \vdash (u \otimes 1, 1 \otimes u) = \lambda \beta(u \otimes u)$$
 with  $\lambda = 0$  or 1.

Reducing this equation modulo 2 gives

(17) 
$$\overline{a} + (u \otimes 1, 1 \otimes u) = \lambda Sq^{1}(u \otimes u) .$$

The element  $\bar{a}$  lies in  $H^5(Z_2, 2; Z_2)$ . This module (over  $Z_2$ ) has a base consisting of  $Sq^2Sq^1u$  and  $u \smile Sq^1u$  (see [12, 9]). Therefore

(18) 
$$\bar{a} = \mu Sq^2 Sq^1 u + \nu (u \smile Sq^1 u) \quad \text{with } \mu, \nu = 0 \text{ or } 1.$$

If we apply  $Sq^1$  to (18) the left side gives zero because  $\bar{a}$  comes from an integer class.  $Sq^1$  applied to either of  $Sq^2Sq^1u$  or  $u - Sq^1u$  gives  $Sq^3Sq^1u = Sq^1u - Sq^1u \neq 0$ . Therefore the coefficients  $\mu$  and  $\nu$  must be equal:

(19) 
$$\bar{a} = \mu(Sq^2Sq^1u + u - Sq^1u).$$

If we compute  $\bar{a} + (u \otimes 1, 1 \otimes u)$  from (19) we find  $\mu Sq^{1}(u \otimes u)$ , hence (by (17))  $\mu = \lambda$ , and

$$\bar{a} = \lambda (Sq^2 Sq^1 u + u - Sq^1 u) .$$

Now apply the suspension homomorphism  $\sigma$  to (20). It commutes with Steenrod squares and kills cup products; therefore

(21) 
$$\sigma \bar{a} = \lambda Sq^2 Sq^1 v = \lambda (Sq^1 v - Sq^1 v),$$

where v is the basic class in  $H^1(Z_2, 1; Z_2)$ . Comparing (16) and (21) now proves the lemma; we have only to remark that  $\sigma \bar{a} = \overline{\sigma a}$  and  $\overline{\beta x \smile \beta x} = Sq^1x \smile Sq^1x$ .

REMARK. With some more effort the invariant  $k(G_n)$  itself can be computed. It turns out that

$$k(G_3) = \pm \beta_4 \mathfrak{p}(u) ,$$

$$(22) \hspace{1cm} k_1(G_4) = \pm \beta_4 \mathfrak{p}(u) , \hspace{1cm} k_2(G_4) = 0 ,$$

$$k(G_n) = 2\beta_4 \mathfrak{p}(u) \hspace{1cm} \text{for } n \geq 5 .$$

where  $u \in H^2(Z_2, 2; Z_2)$  is the basic class,  $\mathfrak{p}: H^2(Z_2, 2; Z_2) \to H^4(Z_2, 2; Z_4)$  is the Pontrjagin square, and  $\beta_4$  is the Bockstein homomorphism associated with the coefficient sequence  $0 \longrightarrow Z \stackrel{4}{\longrightarrow} Z \longrightarrow Z_4 \longrightarrow 0$ . The formula for  $k(G_4)$  was given to the authors by F. Peterson.

Inserting our computations into [4, 14.2] gives the

THEOREM 1 (CLASSIFICATION THEOREM). Let  $\mathfrak{B}_1$ ,  $\mathfrak{B}_2$  be principal SO(n)-bundles,  $n \geq 3$  (or equivalently (n-1)-sphere bundles with structure group SO(n); see [15, 8.2]) over a complex K whose dimension is at most 4, and let  $h_i: K \to G_n$  be a classifying mapping for  $\mathfrak{B}_i$  (see [15, 19]). Assume  $W_2(\mathfrak{B}_1) = W_2(\mathfrak{B}_2) = w_2$ . This implies that the parts of the  $\mathfrak{B}_i$  over the 3-skeleton  $K^3$  of K are equivalent (see [17]); we can therefore assume (see [15, 19]) that  $h_1$  and  $h_2$  agree on  $K^3$ . Then the difference cocycle of  $(h_1, h_2)$  is defined; its cohomology class  $d(h_1, h_2)$  is an element of  $H^4(K; \pi_4(G_n))$ .

The bundles  $\mathfrak{B}_1$  and  $\mathfrak{B}_2$  are equivalent if and only if:

(a) for n=3 there exists a cohomology class  $x \in H^1(K; \mathbb{Z}_2)$  such that

(23) 
$$d(h_1, h_2) = \beta x \smile \beta x + \beta (x \smile w_2)$$

where  $\beta$  is the Bockstein homomorphism associated with the coefficient sequence (5), and  $\pi_4(G_3)$  has been identified with Z by (3);

(b) for n = 4 there is an  $x \in H^1(K; \mathbb{Z}_2)$  such that

(24) 
$$d_1(h_1, h_2) = \beta x - \beta x + \beta (x - w_2), \quad d_2(h_1, h_2) = 0,$$

where  $d(h_1, h_2) = d_1(h_1, h_2) + d_2(h_1, h_2)$  is the decomposition corresponding to  $\pi_4(G_4) = \pi_3(SO(4)) = Z + Z$ , using the chosen generators;

(c) for  $n \geq 5$  we have

(25) 
$$d(h_1, h_2) = 0.$$

Since for given  $w_2 \in H^2(K; \mathbb{Z}_2)$  and  $d \in H^1(K; \pi_4(G_n))$  one can always find bundles  $\mathfrak{B}_1$ ,  $\mathfrak{B}_2$  and classifying mappings  $h_1$ ,  $h_2$  with  $W_2(\mathfrak{B}_4) = w_2$  and  $d(h_1, h_2) = d$ , this theorem gives a complete classification.

REMARK. Since  $\pi_{6}(G_{n}) = \pi_{4}(SO(n)) = 0$  for  $n \geq 6$ ,  $\pi_{6}(G_{n}) = 0$  for  $n \geq 7$  (see [15, 24.11]), and  $\pi_{7}(G_{n}) = 0$  for  $n \geq 6$  (see [1, Proposition 19.3]), we see that the classification theorem extends to 5-complexes K for  $n \geq 6$ , and to 7-complexes for  $n \geq 7$  (for  $G_{n}$  we have now to take oriented n-planes in a euclidean space of dimension at least n+8).

## 3. Characteristic classes

The following theorem is essentially contained in Pontrjagin [9].

THEOREM 2. Let  $\mathfrak{B}_1$ ,  $\mathfrak{B}_2$  be bundles with classifying mappings  $h_1$ ,  $h_2$  as in Theorem 1. Let  $P(\mathfrak{B}_i) \in H^4(K; Z)$  denote the Pontrjagin class of  $\mathfrak{B}_i$ , and (for n=4)  $W_4(\mathfrak{B}_i) \in H^4(K; Z)$  respectively (for n>4)  $W_4(\mathfrak{B}_i) \in H^4(K; Z_2)$ , its 4<sup>th</sup> Stiefel-Whitney class.

(a) If n=3 then

$$P(\mathfrak{B}_{\scriptscriptstyle 1}) - P(\mathfrak{B}_{\scriptscriptstyle 2}) = -4d(h_{\scriptscriptstyle 1}, h_{\scriptscriptstyle 2}).$$

(b) If n = 4 then

$$P(\mathfrak{B}_1) - P(\mathfrak{B}_2) = -4d_1(h_1, h_2) - 2d_2(h_1, h_2),$$
  
 $W_4(\mathfrak{B}_1) - W_4(\mathfrak{B}_2) = d_2(h_1, h_2).$ 

(c) If  $n \geq 5$  then

$$P(\mathfrak{B}_1) - P(\mathfrak{B}_2) = -2d(h_1, h_2),$$
  $W_4(\mathfrak{B}_1) - W_4(\mathfrak{B}_2) \equiv d(h_1, h_2) \mod 2.$ 

PROOF. Let  $\rho_n \in \pi_4(G_n)$  for  $n \neq 4$  respectively  $\rho_4^1$ ,  $\rho_4^2 \in \pi_4(G_4)$  for n = 4

be the generators as in Section 2 (see (3)). We show that

$$\begin{array}{ll} \textit{(26)} & \langle P,\,\rho_{\scriptscriptstyle 3}\rangle = -4\,; \ \ \, \langle P,\,\rho_{\scriptscriptstyle 4}^{\scriptscriptstyle 1}\rangle = -4\,,\, \langle P,\,\rho_{\scriptscriptstyle 4}^{\scriptscriptstyle 2}\rangle = -2\,; \ \ \, \langle P,\,\rho_{\scriptscriptstyle n}\rangle = -2 \\ & \text{for } n \geq 5\,; \end{array}$$

$$(27) \quad \langle W_4, \rho_4^1 \rangle = 0, \quad \langle W_4, \rho_4^2 \rangle = 1; \ \langle W_4, \rho_n \rangle \equiv 1 \mod 2 \qquad \text{for } n \geq 5,$$

where P,  $W_4$  are the characteristic classes of the universal SO(n)-bundle over  $G_n$ , and  $\langle \rangle$  denotes the evaluation of a cohomology class on a homotopy class (considered as a homology class).

Consider  $W_4$  first. The generators  $\rho_4^1$ ,  $\rho_4^2$  correspond to the elements  $\alpha_3$ ,  $\beta_3 \in \pi_3(SO(4))$  (see [15, 22.7]) under the isomorphisms (1); therefore the equations (27) follow from the definition of  $W_4$  as an obstruction (see [15, 38.2]).

The class P is invariant under the inclusion  $SO(n) \subset SO(n+1)$ , i.e., the class  $P(\mathfrak{B})$  of an SO(n)-bundle  $\mathfrak{B}$  remains the same if we consider  $\mathfrak{B}$  as an SO(n+1)-bundle (see  $[5, \S 4]$ ). Since the element  $\alpha_3 - 2\beta_3$  goes into zero under the inclusion  $SO(4) \to SO(5)$  (see [15, 23.5 - 23.6]), this shows that  $\langle P, \rho_4^1 - 2\rho_4^2 \rangle = 0$ , or  $\langle P, \rho_4^1 \rangle = 2\langle P, \rho_4^2 \rangle$ . Next we show that  $\langle P, \rho_4^2 \rangle = -2$ . Then the remaining equations (26) follow since  $\rho_3$  goes into  $\rho_4$  and  $\rho_4^2$  goes into  $\rho_n$   $(n \ge 5)$  under the appropriate inclusions  $SO(m) \to SO(m+k)$  (see [15, 22.7 and 23.6]).

To prove  $\langle P, \rho_4^2 \rangle = -2$  we consider the inclusion  $U(2) \to SO(4)$ , where U(2) is the unitary group in 2 variables. Thereby every U(2)-bundle defines an SO(4)-bundle; if  $\mathfrak B$  is an SO(4)-bundle over the 4-sphere  $S^4$  which is obtained in this way then  $P(\mathfrak B) = -2W_4(\mathfrak B)$  (see [20, Theorem 9]). In particular this holds for the bundle which is defined by  $\rho_4^2$  (see [15, 25.1]), hence  $\langle P, \rho_4^2 \rangle = -2\langle W_4, \rho_4^2 \rangle = -2$ .

Using (26) we now prove the case (a) of the theorem; (b) and (c) follow similarly. Assume that  $h_1$ ,  $h_2$  are cellular mappings (i.e., map the *i*-skeleton of K into the *i*-skeleton of  $G_3$  for some cellular decomposition of K and  $G_3$ ), which agree on the 3-skeleton of K. Let  $\Delta(h_1, h_2)$  be their difference cocycle (its cohomology class is  $d(h_1, h_2)$ ), and let p be a cellular cocycle in the cohomology class P. We show that

(28) 
$$h_1^*(p) - h_2^*(p) = -4\Delta(h_1, h_2),$$

where  $h_i^*$  is the homomorphism on cellular cochains which is induced by  $h_i$ . This equation implies (a) since  $h_i^*(P) = P(\mathfrak{B}_i)$ .

It is sufficient to verify (28) on every 4-cell of K; we can therefore assume that K consists of a single (closed) 4-cell e, and  $h_1$ ,  $h_2$  are mappings of e into the 4-skeleton  $G_3^{(4)}$  of  $G_3$  which agree on the boundary  $\partial e$  of e. Then  $h_1$ ,  $h_2$  determine an element  $\alpha \in \pi_4(G_3^{(4)})$ , the "difference" of  $h_1$  and

 $h_2$ , which under the injection  $G^{(4)} \to G_3$  goes into  $\Delta(h_1, h_2) \cdot e$ .

Let  $e \vee S^4$  be the wedge (= union with a single common point) of e with a 4-sphere. Let  $f: e \to e \vee S^4$  be a mapping which is the identity on  $\partial e$ , and which covers both e and  $S^4$  with degree one. Finally let  $h: e \vee S^4 \to G^{(4)}_3$  be a mapping whose restriction to e is  $h_2$  and whose restriction to  $S^4$  represents the class  $\alpha$ . Then the composite mapping

$$e \xrightarrow{f} e \vee S^4 \xrightarrow{h} G_3^{(4)}$$

is homotopic rel  $\partial e$  to  $h_1$  (i.e., there is a homotopy which does not move the image of  $\partial e$ ); hence

(29) 
$$f^*h^*(p) = (hf)^*(p) = h_1^*(p) .$$

But  $h^*(p)$  restricted to e is  $h_2^*(p)$ , and the value of  $h^*(p)$  on  $S^4$  is  $-4\Delta(h_1, h_2) \cdot e$ , by (26). Since f covers both e and  $S^4$  with degree one this shows that  $f^*h^*(p) = h_2^*(p) - 4\Delta(h_1, h_2)$ , as asserted in (28).

COROLLARY (Pontrjagin [9]). If  $H^4(K; Z)$  has no 2-torsion, then oriented (n-1)-sphere bundles  $\mathfrak{B}$  over a 4-complex K are determined by their characteristic classes  $W_2(\mathfrak{B})$ ,  $W_4(\mathfrak{B})$  (if  $n \geq 4$ ) and  $P(\mathfrak{B})$ .

Indeed, if  $\mathfrak{B}_1$ ,  $\mathfrak{B}_2$  have the same characteristic classes then the left sides of the equations in Theorem 2 are zero, and hence  $4d(h_1, h_2) = 0$ , where  $h_1$ ,  $h_2$  are classifying mappings. If there is no 2-torsion in  $H^4(K; \mathbb{Z})$  this implies that  $d(h_1, h_2) = 0$ , and hence  $\mathfrak{B}_1$  and  $\mathfrak{B}_2$  are equivalent.

APPLICATION. We re-prove the following theorem ([6, Theorem V]) of Massey.

THEOREM 3 (Massey). Let  $\mathfrak{B}$  be a 2-sphere bundle with structure group SO(3) over a 4-complex K, and assume  $H^{4}(K; Z)$  has no 2-torsion. Then  $\mathfrak{B}$  admits a cross-section if and only if there exists an integer class  $\gamma \in H^{2}(K; Z)$  such that

- (a)  $\gamma \equiv W_2(\mathfrak{B}) \mod 2$ ,
- (b)  $\gamma \smile \gamma = P(\mathfrak{B})$ .

PROOF.  $\mathfrak{B}$  admits a cross-section if and only if its structure group can be reduced to SO(2) (see [15, 9.5]); For an SO(2)-bundle  $\mathfrak{B}$  the class  $W_2(\mathfrak{B})$  is an integer class whose cup-square  $W_2(\mathfrak{B}) \smile W_2(\mathfrak{B})$  is the Pontrjagin class  $P(\mathfrak{B})$  (see [20, Theorem 9] or [5, Satz 4.5.1 with q=1]). This proves the "only if" part of the theorem. Assume now that a class  $\gamma$  with the properties (a) and (b) exists, and let  $\mathfrak{B}'$  be a SO(2)-bundle over K with  $W_2(\mathfrak{B}') = \gamma$ . Then, as SO(3)-bundles,  $\mathfrak{B}$  and  $\mathfrak{B}'$  have the same characteristic classes, and hence are equivalent by the Corollary above.

EXAMPLE of a non-trivial SO(3)-bundle over a 4-complex with vanishing

characteristic classes: Let K be a complex consisting of a 3-sphere  $S^3$  with a 4-cell  $e^4$  attached by a mapping  $\varphi: \partial e^4 \to S^3$  of its boundary of degree 2. Then  $H^i(K; Z) = 0$  for  $i \neq 0$ , 4, and  $H^i(K; Z) = Z_2$ . Let  $h: K \to G_3$  be a mapping which sends  $S^3$  into a point and is such that d(h, c), the difference cohomology class with a constant mapping  $c: K \to G_3$ , is the non-zero element of  $H^i(K; Z)$ . The SO(3)-bundle  $\mathfrak B$  over K which is induced by h is non-trivial, by Theorem 1, but its characteristic classes are zero (see Theorem 2). A similar example can be constructed with the product  $P_2 \times P_2$  of two real projective planes as base.

# 4. Remark on Pontrjagin's Theorem 2 in [9]

This theorem can be formulated as follows. Let  $\mathfrak{B} = \{E, p, B, \Gamma, \Gamma\}$  be a principal bundle, with B a complex,  $\Gamma$  a connected topological group. Assume the part of  $\mathfrak{B}$  over the m-skeleton  $B^m$  of B is trivial, i.e., there exists a cross-section f over  $B^m$  (see [15, 9]). The obstruction for extending f over the (m+1)-skeleton  $B^{m+1}$  is an (m+1)-cocycle z(f) of B with coefficients in  $\pi_m(\Gamma)$ . Let  $\Sigma_m$  be the image of the Hurewicz homomorphism  $\Phi: \pi_m(\Gamma) \to H_m(\Gamma)$ ; we can factor  $\Phi$  as follows:

$$\Phi:\pi_{\mathit{m}}(\Gamma) \xrightarrow{\varphi} \Sigma_{\mathit{m}} \subset H_{\mathit{m}}(\Gamma) \ .$$

Now  $\varphi \circ z(f)$  is a cocycle with coefficients in  $\Sigma_m$ , and the theorem states that the cohomology class  $w_f^{m+1}$  of  $\varphi \circ z(f)$  is an invariant of  $\mathfrak{B}$ , i.e., does not depend on f. We show by a counter-example that this is not correct. However, following the indication for a proof as given by Pontrjagin one finds that the cohomology class of  $\varphi \circ z(f)$  is an invariant (see below).

For an example, let  $\Gamma$  be the group SO(3), take m=3, and let B be a 4-complex. Under the Hurewicz homomorphism the group  $\pi_3(SO(3))$  maps isomorphically onto the subgroup  $\Sigma_3 \subset H_3(\Gamma)$  of spherical homology classes. Therefore we may consider  $w_f^4$  as the cohomology class of z(f) which in turn can be identified with the class d(h,c) of Theorem 1, where  $h:B\to G_3$  is a classifying mapping for the given bundle  $\mathfrak{B}$ , and  $c:B\to G_3$  is a constant mapping. But if B is real projective 4-space  $P_4$ , and if d(h,c) is the non-zero element of  $H^4(P_4;Z)$ , then  $\mathfrak{B}$  is trivial by Theorem 1 (a); hence d(h,c) is not an invariant.

We now show that the cohomology class of  $\Phi \circ z(f)$  (in the notation above) does not depend on the choice of the cross-section f. Let  $g: B^m \to E$  be a second cross-section. Then for every  $b \in B^m$  there is a unique  $\gamma(b) \in \Gamma$  which (under right translation) transforms g(b) into  $f(b): g(b)\gamma(b) = f(b)$ . This defines a continuous mapping  $\gamma: B^m \to \Gamma$ ; let  $z(\gamma)$  be its obstruction cocycle. We claim that

$$(30) z(g) + z(\gamma) = z(f).$$

It is sufficient to verify (30) on every (m+1)-cell  $\sigma$  of B. But over  $\sigma$  the bundle  $\mathfrak{B}$  is trivial and (30) expresses the fact that the addition in  $\pi_m(\Gamma)$  is induced by the multiplication in  $\Gamma$  (see [15, 16.7]).

Next we show that  $\Phi \circ z(\gamma)$  is a coboundary; then the invariance of the class of  $\Phi \circ z(f)$  follows if we apply  $\Phi$  to (30). The group  $C_m(B)$  of cellular m-chains of B can be written as a direct sum  $C_m(B) = Z_m(B) + D_m$ , where  $Z_m(B)$  denotes the cycles and  $D_m$  is a complementary summand. Now define an m-cochain y of B with coefficients in  $H_m(\Gamma)$  as follows: y maps each cycle  $\mathfrak{Z}_m \in Z_m$  into the homology class of  $\gamma(\mathfrak{Z}_m)$ , and y maps  $D_m$  into zero. Then for every (m+1)-cell  $\sigma$ ,  $(\delta y) \cdot \sigma = y \cdot (\partial \sigma)$  is the homology class of  $\Gamma$  which is represented by  $\gamma \mid \partial \sigma$ , and this is nothing but  $(\Phi \circ z(\gamma)) \cdot \sigma$ ; hence  $\delta y = \Phi \circ z(\gamma)$ , q.e.d. (This is virtually the same proof as in [10, proof of Satz 3, § 3]).

In our example ( $\Gamma = SO(3)$ , m = 3) the inclusion  $Z = \Sigma_3 \rightarrow H_3(SO(3)) = Z$  is multiplication by 2; therefore 2d(h, c) (notation from above) is an invariant of  $\mathfrak{B}$ . This can also be deduced from Theorem 1.

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