

## DOUBLY NULL CONCORDANT KNOTS HAVE HYPERBOLIC STABLE ISOMETRY STRUCTURES

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### ABSTRACT

We shall show that the stable isometry structure determined by a suitable Seifert hypersurface of a doubly null concordant knot is hyperbolic and we prove a converse for stable knots. This suggests a “universal” source for the known homological invariants of DNC-equivalence. As an application of our main result we shall show that if the homology of the universal cover of the complement of a stable  $n$ -knot is torsion, involving only primes  $> (n + 10)/6$ , and is 0 in the middle dimensions then the knot is doubly null concordant.

*Keywords:* antisimple, doubly null concordant, fibred knot, hyperbolic, Moore space, stable.

### 1. Introduction

An  $n$ -knot is a locally flat PL embedding  $K$  of  $S^n$  in  $S^{n+2}$ . (We shall assume that all spheres have fixed orientations). The set of ambient isotopy classes of  $n$ -knots is a commutative semigroup with respect to connected sum; we obtain a group on factoring out concordance. The construction which shows that the reflected inverse  $-K$  of an  $n$ -knot  $K$  represents the inverse of the class of  $K$  in the knot concordance group actually shows more:  $K \# -K$  is not merely a slice knot, but is a slice of the 1-twist spin of  $K$ , which is a trivial  $(n + 1)$ -knot, and so is doubly null concordant [26]. Thus we may factor out the semigroup of  $n$ -knots by a finer equivalence relation (DNC-equivalence) and obtain a group which measures the obstructions to an  $n$ -knot being doubly null concordant. Most of the hitherto known homological conditions for a knot to be doubly null concordant assert that some pairing is hyperbolic (cf. [16, 18, 20, 25, 26]). We shall show that there is a universal homomorphism from the group of DNC-equivalence classes of  $n$ -knots

into a “Witt group” of  $n$ -isometries from which the homological conditions should derive.

We begin in Sec. 2 by establishing our notation and reviewing the key definitions. In Theorem 1 we show that the  $n$ -isometry determined by a Seifert hypersurface of a null concordant  $n$ -knot is metabolic, and in Theorem 2 this result is used to show that if the knot is doubly null concordant and the Seifert hypersurface is the transverse intersection of an  $(n+2)$ -disc with the equator in  $S^{n+3}$  then the corresponding  $n$ -isometry is hyperbolic. When the knot is fibred the fibre is such a Seifert hypersurface (Theorem 3). Theorems 4 and 5 lead up to Theorem 6, in which we show that if the  $n$ -isometry associated to some highly connected Seifert hypersurface of a stable knot is hyperbolic then the knot is doubly null concordant. In Sec. 5 we consider the algebraic invariants, and in Sec. 6 we consider simple knots. As an application of our main results we show in Theorem 8 that any fibred stable  $n$ -knot whose fibre is  $\mathbb{Z}/p\mathbb{Z}$ -acyclic for all primes  $p \leq (n+10)/6$  and has trivial integral homology in the middle dimensions must be doubly null concordant.

## 2. Knots and Stable Isometry Structures

The exterior  $X = X(K)$  of the knot is the complement of an open regular neighbourhood, and is a compact oriented  $(n+2)$ -manifold with boundary homeomorphic to  $S^n \times S^1$ . By Alexander duality  $X$  has the homology of  $S^1$ , and the inclusion of  $\partial X$  into  $X$  induces an isomorphism on homology below degree  $n$ . The knot is  $r$ -simple if this inclusion is  $r$ -connected, and is *stable* if it is  $r$ -simple for some  $r$  with  $3r > n \geq 5$ . The infinite cyclic cover  $\tilde{X}$  is the pullback of the universal cover of  $S^1$  by the map generating  $[X; S^1] = H^1(X; \mathbb{Z}) \cong \mathbb{Z}$ . The knot is *fibred* if there is a fibre bundle projection  $p: X \rightarrow S^1$ ; in this case  $\tilde{X}$  is homeomorphic to  $V \times \mathbb{R}$  where  $V = p^{-1}(1)$  is the fibre. A *Seifert hypersurface* for  $K$  is an oriented locally flat codimension 1 submanifold of  $S^{n+2}$  with (oriented) boundary  $\partial V = K$ . By a standard transversality argument, these always exist. An  $r$ -simple  $n$ -knot  $K$  has an  $r$ -connected Seifert hypersurface (cf. [10]). If  $3r > n$  we shall say that such a Seifert hypersurface is *stably connected*.

An  $n$ -knot  $K$  in  $S^{n+2}$  is *doubly null concordant* (or DNC) if it is the transverse intersection of a trivial  $(n+1)$ -knot in  $S^{n+3}$ , bounding an  $(n+2)$ -disc  $\Delta$  say, with the equator  $S^{n+2}$  in  $S^{n+3}$ . We shall say that the Seifert hypersurface  $\Delta \cap S^{n+2}$  is a *disc section*. Two  $n$ -knots  $K$  and  $K'$  are *DNC-equivalent* if there are doubly null concordant knots  $L$  and  $L'$  such that  $K \# L$  and  $K' \# L'$  are ambient isotopic. This is an equivalence relation. Since  $K \# -K$  is always doubly null concordant [26], the set of DNC-equivalence classes of  $n$ -knots is an abelian group (with respect to knot sum); we shall let  $DNC_n$  denote this group. The knot concordance group  $C_n$  is a natural factor group of  $DNC_n$ . (It is not known to the authors whether a knot which is DNC-equivalent to the unknot must be doubly null concordant. This has been proven for simple odd-dimensional knots by Bayer-Flückiger and Stoltzfus [2]).

Let  $\mathbf{S} = \text{Stab}_0$  be the stable category of finite complexes and their formal desuspensions considered in [4, 7] and let  $S$  denote suspension. If  $P$  and  $P^*$  are finite subcomplexes of  $S^N$  such that  $P^*$  is a deformation retract of  $S^N \setminus P$  then  $v_P$  shall denote the Spanier-Whitehead duality pairing from  $P \wedge P^*$  to  $S^{N-1}$ . Let  $D(P) = S^{1-N}(P^*)$  be the normalised dual of  $P$ . These pairings determine natural isomorphisms  $\psi_P : \{X, D(P)\} \rightarrow \{P \wedge X, S^0\}$  by  $\psi_P(k) = S^{1-N}(v_P(1_P \wedge S^{N-1}k))$  for any stable map  $k : X \rightarrow D(P)$ . If  $f : Q \rightarrow P$  is a stable map between finite stable complexes then  $\psi_P(k)(f \wedge 1_X) = \psi_Q(D(f)k)$ , and so these pairings are also natural with respect to the variable  $P$ .

A *stable isometry structure* of dimension  $n$  (or *n-isometry*, for short) is by definition a triple  $(V, u, z)$ , where  $V$  is an object of  $\mathbf{S}$  and  $u : V \wedge V \rightarrow S^{n+1}$  and  $z : V \rightarrow V$  are  $\mathbf{S}$ -maps such that:

- (a)  $u$  is a duality;
- (b)  $u' = (-1)^{n+1}u$  (where  $u' = u\gamma$  with  $\gamma$  interchanging the factors of  $V \wedge V$ ); and
- (c)  $u(z \wedge 1) + u(1 \wedge z) = u$ .

(There is an equivalent formulation based on the triple  $(V, z, \phi = S^{-1}h)$  [15], where  $h$  is defined in the next paragraph, and which we shall use in Secs. 5–7 below as it has some advantages on the algebraic side).

A *Seifert hypersurface*  $V$  for an  $n$ -knot  $K$  determines an  $n$ -isometry  $(V, u, z)$  as follows. If  $i_+$  and  $i_-$  are small translations of  $V$  into its complement  $Y = S^{n+2} \setminus V$  along positive and negative normal vector fields then the map  $h = Si_+ - Si_-$  is a homotopy equivalence from  $SV$  to  $SY$ , and the *carving map*  $z$  defined by  $hSz = Si_+$  is a stable homotopy class of self maps of  $V$ . The pairing  $u$  is then determined by the equation  $u = v_V(1 \wedge S^{-1}h)$ . The relation of *R-equivalence* between  $n$ -isometries associated to Seifert hypersurfaces of isotopic knots is described in [5]. The *R-equivalence* class of the  $n$ -isometry is a complete invariant for stable knots, and any such  $n$ -isometry with the connectivity of  $V$  greater than its homotopy length (= dimension – connectivity) may be realized by such a knot [5–9]. A stable *fibred* knot  $K$  is determined up to isotopy by the *isomorphism* class of the triple  $(V, u, z)$  where  $V$  is the fibre. In this case  $z$  is also a stable homotopy equivalence.

A *kernel* for an  $n$ -isometry  $(V, u, z)$  is a triple  $(U, z_U, f)$  where  $U$  is a based finite complex and  $z_U : U \rightarrow U$  and  $f : U \rightarrow V$  are  $\mathbf{S}$ -maps such that (i)  $fz_U = zf$  and (ii) for every finite complex  $X$  the sequence

$$\{X, U\} \rightarrow \{X, V\} \rightarrow \{U \wedge X, S^{n+1}\}$$

is exact, where the map on the left is given by composition with  $f$  and the map on the right sends  $\beta : X \rightarrow V$  to  $u(f \wedge \beta)$ . The  $n$ -isometry is *metabolic* if it has a kernel. We will say that a kernel  $(U, z_U, f)$  is *strong* if in the above exact sequence one may add zeroes preserving the exactness:

$$0 \rightarrow \{X, U\} \rightarrow \{X, V\} \rightarrow \{U \wedge X, S^{n+1}\} \rightarrow 0.$$

The  $n$ -isometry  $(V, u, z)$  is *hyperbolic* if there are two kernels  $(U_1, z_1, f_1)$  and  $(U_2, z_2, f_2)$  such that  $f_1 \vee f_2 : U_1 \vee U_2 \rightarrow V$  is a stable homotopy equivalence. In

this case we shall say that the  $n$ -isometry has the *hyperbolic decomposition*

$$V = U_1 \vee U_2.$$

In this case both kernels  $(U_1, z_1, f_1)$  and  $(U_2, z_2, f_2)$  are necessarily strong. With respect to the hyperbolic decomposition  $V = U_1 \vee U_2$  the  $\mathbf{S}$ -maps  $u$  and  $z$  have the following form

$$u = \begin{pmatrix} 0 & v \\ (-1)^{(n+1)}v' & 0 \end{pmatrix}, \quad z = \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix},$$

where  $v : U_1 \wedge U_2 \rightarrow S^{n+1}$  is a duality. (Here  $v' = v\gamma$  where  $\gamma$  interchanges the factors of  $U_1 \wedge U_2$ ).

The terminology has been chosen to underline the analogies between  $n$ -isometries and hermitean pairings.

### 3. Double Null Concordance Implies Hyperbolicity

The following lemma extends an argument used in the proof of Theorem 1.4 of [5].

**Lemma 1.** *Let  $M$  be a compact  $(n+2)$ -dimensional submanifold of  $D^{n+3}$  such that  $\partial M = V \cup W$ , where  $V = M \cap \partial D^{n+3}$ ,  $V \cap W = \partial V = \partial W$  and  $W$  is homeomorphic to  $D^{n+1}$ . Let  $Z$  denote the complement of  $M$  in  $D^{n+3}$ . Let  $i_+, i_- : M \rightarrow Z$  be the maps given by small normal shifts to either side. Then  $i_+ - i_- : M \rightarrow Z$  is an  $\mathbf{S}$ -equivalence.*

**Proof.** Consider the isomorphism  $\psi : H_k(Z) \rightarrow H_k(M, W)$ , for  $k > 0$ , given by the following composition of isomorphisms:

$$H_k(Z) \xrightarrow{\partial^{-1}} H_{k+1}(D^{n+3}, Z) \rightarrow H_{k+1}(N, \partial_+ N) \rightarrow H_k(M, W)$$

where  $N$  is a tubular neighbourhood of  $M$  in  $D^{n+3}$  and  $\partial_+ N = \partial N \cap \text{int} D^{n+3}$ . Since  $(N, \partial_+ N) \cong (M, W) \times (I, \partial I)$  we obtain the last isomorphism.

Observe that the composite  $\psi(i_+ - i_-) : H_k(M) \rightarrow H_k(Z) \rightarrow H_k(M, W)$  coincides with the homomorphism induced by the inclusion of  $M$  into  $(M, W)$ . Since  $W$  is a disc, this induced homomorphism is an isomorphism and hence so is  $i_+ - i_- : H_k(M) \rightarrow H_k(Z)$ , for all  $k > 0$ .  $\square$

**Theorem 1.** *Let  $K$  be a null concordant  $n$ -knot. Then the  $n$ -isometry corresponding to any connected Seifert hypersurface  $V$  for  $K$  is metabolic.*

**Proof.** Let  $W \subset D^{n+3}$  be a slicing  $(n+1)$ -disk for  $K$ . (Thus  $W \cap \partial D^{n+3} = \partial W = K$ ). By a relative transversality argument we may assume that there is a ‘‘Seifert hypersurface’’ for  $W$  which extends  $V$ , i.e. that there is a compact oriented  $(n+2)$ -dimensional submanifold  $M$  of  $D^{n+3}$  such that  $\partial M = V \cup W$ ,  $V = M \cap S^{n+2} = \partial M \cap S^{n+2}$  and  $K = \partial V = \partial W$ . Let  $U = S^{-1}(M/V)$  and

$f : U \rightarrow V$  be the desuspension of the canonical map from  $M/V$  to  $SV$ . We shall show that there is a self-map  $z_U$  of  $U$  such that  $(U, z_U, f)$  is a kernel for the  $n$ -isometry corresponding to  $V$ .

Let  $N$  be a regular neighbourhood of  $M$  in  $D^{n+3}$  such that  $N_o = N \cap S^{n+2}$  is a regular neighbourhood of  $V$  in  $S^{n+2}$ . Let  $Z = D^{n+3} \setminus \text{int} N$  and  $Y = S^{n+2} \setminus \text{int} N_o$ . Let  $J : V \rightarrow M$  and  $j : Y \rightarrow Z$  be the inclusions and let  $I_+, I_- : M \rightarrow Z$  and  $i_+, i_- : V \rightarrow Y$  denote small translations along positive and negative normal vectorfields. We may assume that  $I_+ J = j i_+$  and  $I_- J = j i_-$ . By Lemma 1 and the argument of Sec. 1.1 of [6] the homomorphisms  $I_+ - I_-$  and  $i_+ - i_-$  induce isomorphisms on homology. In particular the stable map  $I_+ - I_-$  is a stable homotopy equivalence and so we may define a self-map  $z_M : M \rightarrow M$  by the equation  $(I_+ - I_-)z_M = I_+$ . Let  $z$  be the carving map for  $V$ . Then we also have  $(i_+ - i_-)z = i_+$  and so  $(I_+ - I_-)z_M J = I_+ J = j i_+ = j(i_+ - i_-)z = (I_+ - I_-)Jz$ . Hence  $z_M J = Jz$  and so  $z_M$  induces a self-map of  $SU = M/V$ . We define  $z_U$  as the desuspension of the latter map. The maps  $z, z_M$  and  $Sz_U$  determine a map from the cofibration sequence of the map  $J$  to itself. In particular,  $(Sf)(Sz_U) = (Sz)(Sf)$  and so  $fz_U = zf$ . It remains for us to prove that for any finite complex  $X$  the sequence  $\{X, U\} \rightarrow \{X, V\} \rightarrow \{U \wedge X, S^{n+1}\}$  is exact. We shall deduce this from the following lemma.

**Lemma 2.** *There is a duality pairing  $w : U \wedge M \rightarrow S^{n+1}$  such that  $w(1_U \wedge J) = v_V(f \wedge (i_+ - i_-)) = u(f \wedge 1_V)$ .*

Let  $S^{n+3} = D_\alpha \cup D_\beta$  be the decomposition of  $S^{n+3}$  into two hemispheres and let  $p$  and  $p'$  be the centres of  $D_\alpha$  and  $D_\beta$  respectively. Identify  $D^{n+3}$  with  $D_\alpha$ . Thus we assume that  $W$  and  $M$  lie in  $D_\alpha$ . The set  $M \cup C'(V)$  is a subcomplex of  $S^{n+3}$ , where  $C'(V)$  is the cone over  $V$  with vertex  $p'$ . This subcomplex is homotopy equivalent to  $M/V$  and its complement in  $S^{n+3}$  has  $Z$  as a strong deformation retract. Therefore there is a Spanier-Whitehead duality pairing  $v_{M/V} : (M \cup C'(V)) \wedge Z \rightarrow S^{n+2}$ . Similarly,  $Y$  is a strong deformation retract of the complement of  $SV = C(V) \cup C'(V)$  (here  $C(V)$  denotes the cone over  $V$  with vertex  $p$ ) in  $S^{n+3}$  and the Spanier-Whitehead duality pairing  $v_{SV} : SV \wedge Y \rightarrow S^{n+2}$  is the suspension of  $v_V$ . It follows easily from the definitions that  $v_{M/V}(1_{M \cup C'(V)} \wedge j) = v_{SV}(Sf \wedge 1_Y)$ . Identify  $M \cup C'(V)$  with  $SU$  and set  $w_1 = S^{-1}v_{M/V}$ . Then  $w_1$  is also a duality pairing, and  $v_V(f \wedge 1_Y) = w_1(1_U \wedge j)$ . Hence  $w = w_1(1_U \wedge (I_+ - I_-)) : U \wedge M \rightarrow S^{n+1}$  is also a duality pairing. Since  $w(1_U \wedge J) = w_1(1_U \wedge (I_+ - I_-)J) = w_1(1_U \wedge j(i_+ - i_-)) = v_V(f \wedge (i_+ - i_-)) = u(f \wedge 1_V)$  the lemma is proven.

We may now finish the proof of Theorem 1. The cofibration sequence  $U \rightarrow V \rightarrow M$  gives an exact sequence  $\{X, U\} \rightarrow \{X, V\} \rightarrow \{X, M\}$ . The duality pairing  $w$  defines an isomorphism from  $\{X, M\}$  to  $\{U \wedge X, S^{n+1}\}$ . Let  $F : \{X, V\} \rightarrow \{U \wedge X, S^{n+1}\}$  be the composition of the latter two homomorphisms. If  $\delta$  is a stable map in  $\{X, V\}$  then by the lemma  $F(\delta) = w(1_U \wedge J\delta) = u(f \wedge 1_V \delta)$ , and hence the theorem is proven.  $\square$

**Theorem 2.** *Let  $K$  be a doubly null concordant  $n$ -knot. Then the  $n$ -isometry corresponding to any Seifert hypersurface for  $K$  which is a disc section is hyperbolic.*

**Proof.** Let  $\Delta$  be an  $(n+2)$ -disc in  $S^{n+3}$  which meets the equatorial zone  $S^{n+2} \times I$  transversely in  $V \times I$ , where  $V$  is a disc section for  $K$ . Let  $D_\alpha$  and  $D_\beta$  be the two hemispheres of  $S^{n+3}$  and let  $M_\alpha = \Delta \cap D_\alpha$  and  $M_\beta = \Delta \cap D_\beta$ . Then  $M_\alpha \cap M_\beta = V$ , while  $M_\alpha \cup M_\beta = \Delta$ . By virtue of Theorem 1 we have two kernels for  $(V, u, z)$ , namely  $(U_\alpha, z_\alpha, f_\alpha)$  and  $(U_\beta, z_\beta, f_\beta)$ , where  $U_\alpha = S^{-1}(M_\alpha/V)$  and  $U_\beta = S^{-1}(M_\beta/V)$ , and  $f_\alpha$  and  $f_\beta$  are desuspensions of the canonical maps from  $M_\alpha/V$  and  $M_\beta/V$  to  $SV$ . Now  $\Delta/V$  is homeomorphic to  $(M_\alpha/V) \vee (M_\beta/V)$  and there is a homotopy equivalence from  $\Delta/V$  to  $SV$ . Therefore the map  $(f_\alpha, f_\beta) : U_\alpha \vee U_\beta \rightarrow V$  is a stable homotopy equivalence, which satisfies all necessary conditions.  $\square$

The above two theorems require the choice of a Seifert hypersurface, and in order to obtain a more intrinsic result we must work with  $R$ -equivalence classes. On the level of stable homotopy this may be achieved by localization. (See Sec. 5 below). It would be of interest to have formulations and proofs that worked directly with the  $\mathbb{Z}$ -equivariant SW-duality of the infinite cyclic cover of the knot exterior (cf. Sec. 3 of [22]). In the fibred case the situation is simpler as there is then a Seifert hypersurface, unique up to  $h$ -cobordism, which is homotopy equivalent to  $\tilde{X}(K)$  (namely, the fibre) and the appropriate equivalence relation is just isomorphism.

**Theorem 3.** *Let  $K$  be a 1-simple fibred  $n$ -knot which is doubly null concordant. Then the  $n$ -isometry determined by the fibre and its associated maps  $u$  and  $z$  is hyperbolic.*

**Proof.** We may assume that  $n \geq 3$ , for otherwise the fibre is contractible. Let  $\Sigma$  be an unknotted  $(n+1)$ -sphere in  $S^{n+3}$  such that  $K$  is the transverse intersection of  $\Sigma$  with  $S^{n+2}$  in  $S^{n+3}$ , and let  $\Xi_\alpha = D_\alpha \setminus \Sigma$  and  $\Xi_\beta = D_\beta \setminus \Sigma$ . Then the universal cover of  $S^{n+3} \setminus \Sigma$  restricts to infinite cyclic covers  $\tilde{X}$ ,  $\tilde{\Xi}_\alpha$  and  $\tilde{\Xi}_\beta$  of  $X$ ,  $\Xi_\alpha$  and  $\Xi_\beta$  respectively. By Van Kampen's theorem and the Mayer-Vietoris sequence, we see that  $\tilde{\Xi}_\alpha$  and  $\tilde{\Xi}_\beta$  are simply-connected and have finitely generated homology, and so  $\Xi_\alpha$  and  $\Xi_\beta$  fibre over  $S^1$  by maps extending the fibration of  $X$  [3]. Thus there is a fibration  $\phi$  of  $S^{n+3} \setminus \Sigma$  over  $S^1$  extending the fibration of  $S^{n+2} \setminus K$ . The fibre  $\Delta$  of  $\phi$  is a homotopy disc with boundary  $S^{n+1}$ , and so is an  $(n+2)$ -disc. Clearly the disc section  $\Delta \cap S^{n+2}$  is a fibre for  $K$ . The theorem now follows from Theorem 2.  $\square$

#### 4. Hyperbolic Implies Doubly Null Concordant for Stable Knots

In this section we shall provide a converse for Theorem 2 above, for stable knots. Our argument uses the following relative version of Wall's embedding theorem [27].

**Theorem 4.** *Suppose that  $M$  is an  $m$ -manifold,  $P$  a codimension-0 submanifold of  $\partial M$  with  $\pi_1(\partial P) = \pi_1(P)$ ,  $(K, L)$  is a finite CW-pair of relative dimension  $k$  and*

$$f : (K, L) \rightarrow (M, P)$$

is a map. Assume that

- (1)  $f|_L : L \rightarrow P$  is a simple homotopy equivalence;
- (2)  $f : K \rightarrow M$  is  $(2k - m + 1)$ -connected; and
- (3)  $k \leq m - 3$ .

Then there is a compact codimension-0 submanifold  $N$  of  $M$  and a simple homotopy equivalence  $g : K \rightarrow N$  such that

- (a)  $N \cap \partial M = P$ ;
- (b)  $\pi_1(\partial N \setminus P) \cong \pi_1(N)$ ;
- (c)  $g|_L = f|_L$ ; and
- (d)  $f$  and  $g$  are homotopic relative to  $L$  as maps from  $K$  to  $M$ .

**Proof.** The proof is similar to that given by Wall on pages 84-86 of [27]. The only difference is that we start from a collar of  $P$  in  $M$  and then add handles inside  $M$  to the part of the boundary of  $N$  lying in the interior of  $M$  by embedding subsequently cells of  $K \setminus L$ .  $\square$

**Theorem 5.** Let  $V^{n+1} \subset S^{n+2}$  be a stably connected Seifert hypersurface of a knot  $K = \partial V \subset S^{n+2}$ , and suppose that the corresponding  $n$ -isometry  $(V, u, z)$  has a hyperbolic decomposition  $V = X_1 \vee X_2$ . Realize  $S^{n+2}$  as the equatorial sphere of  $S^{n+3}$  which separates  $S^{n+3}$  into two discs  $D_1^{n+3}, D_2^{n+3} \subset S^{n+3}$ . Then for  $i = 1, 2$  there is a submanifold  $M_i^{n+2} \subset D_i^{n+3}$  with

- (a)  $M_i \cap \partial D_i^{n+3} = M_i \cap S^{n+2} = V$ ;
- (b)  $\partial M_i$  has a corner along  $\partial V \subset \partial M_i$ ; and
- (c)  $M_i$  and  $\partial M_i$  are simply connected and there is a stable homotopy equivalence  $s_i : X_i \rightarrow M_i$  such that the composite

$$(s_i) \circ (pr_i) : V = X_1 \vee X_2 \rightarrow X_i \rightarrow M_i$$

is homotopic to the inclusion.

**Proof.** Recall that to say  $V = X_1 \vee X_2$  is a hyperbolic decomposition for the  $n$ -isometry  $(V, u, z)$  means that  $u$  and  $z$  are given by matrices of the form

$$u = \begin{pmatrix} 0 & v \\ (-1)^{(n+1)v'} & 0 \end{pmatrix}, \quad z = \begin{pmatrix} z_1 & 0 \\ 0 & z_2 \end{pmatrix},$$

where  $v : X_1 \wedge X_2 \rightarrow S^{n+1}$ ,  $z_1 : X_1 \rightarrow X_1$  and  $z_2 : X_2 \rightarrow X_2$ .

It is sufficient to treat the case  $i = 1$ , as the case  $i = 2$  is similar.

We may assume that  $V = X_1 \vee X_2$  is  $r$ -connected, where  $3r \geq n + 1 \geq 6$ , and hence that  $X_1$  and  $X_2$  are  $r$ -connected true complexes, by Corollary 1.6 of [8]. Consider an embedding of  $V \times I$  in  $S^{n+2}$  extending  $V = V \times \{1\}$ ; denote its image by  $N_o$ . We are going to apply Theorem 4 with  $L = V = X_1 \vee X_2$ ,  $K = V \cup CX_2$  (homotopy equivalent to  $X_1$ ),  $P = N_o$ ,  $M = D_1^{n+3}$ ,  $f : (K, L) \rightarrow (D_1^{n+3}, N_o)$  a map with  $f|_L : L \rightarrow P$  the inclusion of  $V$  as  $V \times \{1\}$ , and  $f : K \rightarrow D_1^{n+3}$  being an extension of it.

By Theorem 4 we obtain a submanifold  $N^{n+3} \subset D_1^{n+3}$  and a homotopy equivalence  $g : X_1 \rightarrow N$  such that  $g \circ pr_1 : V = X_1 \vee X_2 \rightarrow X_1 \rightarrow N$  is homotopic to the inclusion  $V \subset N_o \subset N$ . Let  $\partial_+ N = \partial N \setminus \text{int} N_o$  be the side surface of  $N$ . Let also  $Y = D_1^{n+3} \setminus N$  and let  $i : \partial_+ N \rightarrow Y$ ,  $j : \partial_+ N \rightarrow N$  be the inclusions.

**Claim.** There exists a map  $f : X_1 \rightarrow \partial_+ N$  such that  $f \circ pr_1 : V = X_1 \vee X_2 \rightarrow X_1 \rightarrow \partial_+ N$  represents the inclusion of  $V$  into  $\partial_+ N$  in  $\mathbf{S}$ .

As Lemma 1 implies that the map  $i \vee (-j) : \partial_+ N \rightarrow N \vee Y$  is a stable homotopy equivalence, to prove the claim it is enough to show the existence of two maps  $f_1 : X_1 \rightarrow N$  and  $f_2 : X_2 \rightarrow Y$  such that the composites  $f_1 \circ pr_1 : V \rightarrow N$  and  $f_2 \circ pr_1 : V \rightarrow Y$  are each homotopic to the corresponding inclusion.

It is clear that we may take  $f_1 = g$ , where  $g$  is as defined above. It remains for us to construct  $f_2$ . Since  $V = X_1 \vee X_2$ , the existence of  $f_2$  is equivalent to the statement that the map  $k : X_2 \rightarrow Y$  given by the composite of the inclusions  $X_2 \rightarrow V \rightarrow Y$  is null-homotopic. To do this consider the canonical Spanier-Whitehead duality  $w : (N/V) \wedge Y \rightarrow S^{n+2}$ . Let  $Y_o = Y \cap S^{n+2}$  and  $w_o : V \wedge Y_o \rightarrow S^{n+1}$  be the canonical duality pairing, and let  $\delta : N/V \rightarrow SV$  be the map from the Puppe sequence. Then  $(Sw_o)(\delta \wedge 1_{Y_o}) = w(1_{N/V} \wedge \text{incl})$  as  $\mathbf{S}$ -maps (here  $\text{incl} : Y_o \rightarrow Y$ ).

By definition, the composite  $w_o(1_V \wedge \text{incl}) : V \wedge V \rightarrow V \wedge Y_o \rightarrow S^{n+1}$  is the homotopy Seifert pairing  $\theta$  of  $V$ . Thus we obtain  $w(1_{N/V} \wedge k) = (S\theta)(\delta \wedge i_2)$ , where  $i_2 : X_2 \rightarrow V = X_1 \vee X_2$  is the inclusion. Since  $\theta = u(1_V \wedge z)$  the last formula might be written as  $w(1_{N/V} \wedge k) = (Su)(\delta \wedge zi_2)$ . The next stage is to understand  $\delta$ . From the equation  $g \circ pr_1 = \text{incl} : V \rightarrow N$  we obtain a commutative diagram of maps between the Puppe sequences for  $pr_1$  and for  $\text{incl}$ :

$$\begin{array}{ccccccc} V & \longrightarrow & X_1 & \longrightarrow & SX_2 & \longrightarrow & SX_1 \vee SX_2 \\ \downarrow & & g \downarrow & & h \downarrow & & = \downarrow \\ V & \longrightarrow & N & \longrightarrow & N/V & \xrightarrow{\delta} & SV \end{array}$$

As  $g$  is an equivalence it follows that  $h$  is an equivalence. Hence  $\delta \circ h = Si_2$  and we obtain  $w(h \wedge k) = (Su)(\delta h \wedge zi_2) = (Su)(Si_2 \wedge zi_2)$ , which is 0 (since  $zi_2 = i_2 z_2$  and  $u(i_2 \wedge i_2) = 0$ , by assumption). Since  $h$  is an equivalence and  $w$  is a duality we conclude that  $k \sim 0$  and this proves the claim. We may now finish the proof of Theorem 4. We have constructed a map  $f : X_1 \rightarrow \partial_+ N$  such that  $f \circ pr_1 : V = X_1 \vee X_2 \rightarrow X_1 \rightarrow \partial_+ N$  is homotopic to the inclusion  $V \rightarrow \partial_+ N$ . Let us apply Theorem 4 again. We obtain a submanifold  $M_1^{n+2} \subset \partial_+ N$  with  $M_1 \cap S^{n+2} = V$  and a homotopy equivalence  $\alpha : X_1 \rightarrow M_1$  such that  $\alpha \circ pr_1$  is homotopic to the inclusion  $V \rightarrow M_1$ . This completes the construction of  $M_1 \subset D_1^{n+3}$ . The other manifold  $M_2 \subset D_2^{n+3}$  may be constructed similarly.  $\square$

**Theorem 6.** Let  $K$  be a stable  $n$ -knot with a stably connected Seifert hypersurface  $V$  such that the  $n$ -isometry  $(V, u, z)$  is hyperbolic. Then  $V$  is a disc section, and so  $K$  is doubly null concordant.



**Proof.** Let  $V = X_1 \vee X_2$  be a hyperbolic decomposition of  $(V, u, z)$ . Let  $M_i \subset D_i^{n+3}$  be corresponding submanifolds, given by Theorem 5, and let  $\Delta = M_1 \cup M_2$ . Using condition (c) of Theorem 5 and the Mayer-Vietoris sequence we find that  $\Delta$  is contractible and so it is a disc of dimension  $(n+2)$ . Since  $V = \Delta \cap S^{n+3}$ , the theorem is proven.  $\square$

To what extent is this theorem independent of the choice of Seifert hypersurface? In particular, if the  $n$ -isometry determined by some Seifert hypersurface of a stable knot is hyperbolic, is there a stably connected Seifert hypersurface with hyperbolic  $n$ -isometry?

Combining Theorems 3 and 6 with the arguments used in the proof of Theorem 3 we obtain:

**Corollary.** *A stable fibred knot  $K$  is doubly null concordant if and only if the stable isometry structure determined by the fibre is hyperbolic.*  $\square$

## 5. Algebraic Invariants

In this section we shall use localization as an algebraic substitute for dealing directly with equivariant SW-duality. The category  $\mathbf{S}$  is additive, i.e., the *Hom*-sets in  $\mathbf{S}$  are  $\mathbb{Z}$ -modules and composition of morphisms is bilinear. Similarly, the category  $\mathbf{A}$  of endomorphisms of objects of  $\mathbf{S}$  is  $P$ -linear, where  $P = \mathbb{Z}[z]$ , for the *Hom*-sets in  $\mathbf{A}$  are  $P$ -modules and composition of morphisms is  $P$ -bilinear. We may localize these modules to obtain a category of fractions  $\mathbf{LA}$  which has the same objects as  $\mathbf{A}$  and in which  $\text{Hom}_{\mathbf{LA}}(M, N) = L \otimes_P \text{Hom}_{\mathbf{A}}(M, N)$ , where  $L = \mathbb{Z}[z, z^{-1}, (1-z)^{-1}]$ .

Spanier-Whitehead duality in  $\mathbf{S}$  gives rise to a family of duality functors  $D_k$  on  $\mathbf{A}$ . The stable map  $\phi = S^{-1}h \in \{V, Y\}$  associated to an  $n$ -knot with Seifert hypersurface  $V$  may be viewed as giving a  $(-1)^{n+1}$ -hermitian isomorphism of the object  $(V, z)$  of  $\mathbf{A}$  with its dual  $D_n(V, z)$  [15]. The duality functor  $D_n$  on  $\mathbf{A}$  induces a duality on  $\mathbf{LA}$ , giving another additive category with involution.

An additive functor  $F$  from the stable category  $\mathbf{S}$  to (a subcategory of) the category  $\mathbf{Mod}(\mathbb{Z})$  of  $\mathbb{Z}$ -modules gives rise to a  $P$ -linear functor  $PF$  from  $\mathbf{A}$  to  $\mathbf{Mod}(P)$  in an obvious way (i.e., by letting  $PF(V, z) = F(V)$  with  $z \in P$  acting via the endomorphism  $F(z)$ ). This in turn extends to an  $L$ -linear functor  $L \otimes F$  from  $\mathbf{LA}$  to  $\mathbf{Mod}(L)$  (by  $L \otimes F(V, z) = L \otimes_P PF(V, z)$ ). As in [9], if  $F$  is defined on a larger category including all stable finite dimensional complexes, is covariant and commutes with direct limits then we have  $L \otimes F(V, z) = F(\tilde{X}(K))$ . (This is not true for all additive functors.)

For instance, we may take the  $q^{\text{th}}$  singular homology functor, given by  $H_q(W) = H_q(W; \mathbb{Z})$ , for the space  $W$ . Let  $t_q(W)$  be the  $\mathbb{Z}$ -torsion subgroup of  $H_q(W)$  and  $B_q(W) = H_q(W)/t_q(W)$ , the  $q^{\text{th}}$  Betti group of  $W$ . The subgroup  $t_q(V)$  is preserved by any self-map  $z$  of  $V$ , and so the exact sequence  $0 \rightarrow t_q(V) \rightarrow H_q(V) \rightarrow B_q(V) \rightarrow 0$  is then a sequence of  $P$ -modules. Since localization is exact we then have an exact

sequence of  $L$ -modules  $0 \rightarrow L \otimes t_q(V, z) \rightarrow L \otimes H_q(V, z) \rightarrow L \otimes B_q(V, z) \rightarrow 0$ . Now  $L \otimes t_q(V, z)$  is finite while  $L \otimes B_q(V, z)$  is torsion free. Therefore  $L \otimes t_q(V, z)$  is the maximal finite sub- $L$ -module of  $L \otimes H_q(V, z)$ . (In the knot theoretic case this is just  $t_q(\tilde{X}(K))$ , and hence  $L \otimes B_q(V, z) = B_q(\tilde{X}(K))$ .)

Let  $WQ_0^\epsilon(\mathbf{LA}; D_n)$  be the Witt group of the additive category  $\mathbf{LA}$  with respect to the duality  $D_n$  and sign  $\epsilon = (-1)^{n+1}$ , as defined in [21].

**Theorem 7.** *The function which sends the ambient isotopy class of an  $n$ -knot  $K$  to the isomorphism class of the localization of the  $n$ -isometry determined by (any) one of its Seifert hypersurfaces is well-defined, and carries knot sums to orthogonal sums of  $n$ -isometries. The Witt class of the  $n$ -isometry determines a homomorphism from  $DNC_n$  to  $WQ_0^\epsilon(\mathbf{LA}; D_n)$ , where  $\epsilon = (-1)^{n+1}$ .*

**Proof.** It is clear from the definition of contiguity that the localizations of contiguous  $n$ -isometries are isomorphic. Since  $R$ -equivalence is the equivalence relation generated by contiguity it follows that the isomorphism class of the localization of an  $n$ -isometry of an  $n$ -knot is a well defined invariant of the knot. If  $V_1$  and  $V_2$  are Seifert hypersurfaces for  $K_1$  and  $K_2$ , respectively, then the boundary connected sum  $V_1 \natural V_2$  is a Seifert hypersurface for  $K_1 \# K_2$ , and hence the invariant is additive. Theorem 2 implies that the image of such an  $n$ -isometry in the Witt group  $WQ_0^\epsilon(\mathbf{LA}; D_n)$  is an invariant of  $DNC$ -equivalence.  $\square$

Stolz has defined Witt groups  $CH^\epsilon(\mathbb{Z})$  and  $CH^\epsilon(\mathbb{Q}/\mathbb{Z})$  of  $\epsilon$ -hermitean forms, corresponding to the Seifert forms and Farber-Levine torsion linking pairings of knot theory [25]. Let  $\mathbf{M}$  be the category of torsion  $L$ -modules of projective dimension 1 which are finitely generated as modules over  $\Lambda = \mathbb{Z}[t, t^{-1}]$ , where  $t = 1 - z^{-1}$ , and define a duality  $*$  on  $\mathbf{M}$  by  $M^* = \text{Hom}_L(M, \mathbb{Q}(z)/L)$ , with the  $L$ -module structure determined by  $zf(m) = f((1-z)m)$  for all  $f$  in  $M^*$  and  $m$  in  $M$ . Then the correspondance between Seifert forms and Blanchfield pairings determines an isomorphism of  $CH^\epsilon(\mathbb{Z})$  with the Witt group  $WQ_0^\epsilon(\mathbf{M}, *)$ , cf. [16]. Similarly, the group  $CH^\epsilon(\mathbb{Q}/\mathbb{Z})$  may also be interpreted as the Witt group of the category of finite  $L$ -modules, with respect to the duality given by  $N^* = \text{Hom}_{\mathbb{Z}}(N, \mathbb{Q}/\mathbb{Z})$ , where  $zg(n) = g((1-z)n)$  for all  $g$  in  $N^*$  and  $n$  in  $N$ .

**Corollary.** (i) [16, 25, 26] *The Blanchfield pairing determines a homomorphism from  $DNC_{2q+1}$  to  $CH^\epsilon(\mathbb{Z}) = WQ_0^\epsilon(\mathbf{M}, *)$ , where  $\epsilon = (-1)^{q+1}$ , and which is onto if  $q > 2$ .*

(ii) [25] *The Farber-Levine pairing determines a homomorphism from  $DNC_{2q}$  to  $CH^\epsilon(\mathbb{Q}/\mathbb{Z})$ , where  $\epsilon = (-1)^{q+1}$ , and which is onto if  $q > 2$ .*

**Proof.** The Betti functor  $B_q$  is an additive functor from  $\mathbf{S}$  to  $\text{Mod}(\mathbb{Z})_{f.g.f.}$ , the category of finitely generated free abelian groups, which is compatible with the dualities  $D_{2q+1}$  of  $\mathbf{S}$  and  $\text{Hom}_{\mathbb{Z}}(-, \mathbb{Z})$  of  $\text{Mod}(\mathbb{Z})_{f.g.f.}$ , by Alexander duality in  $S^{2q+3}$ . On applying  $B_q$  to a  $(2q+1)$ -isometry  $(V, z, \phi)$  of a  $(2q+1)$ -knot we obtain the corresponding Seifert form for the knot. This gives rise to the Blanchfield pairing

of the knot as in Sec. 14 of [19]. Theorem 2 implies that the Witt class of the Blanchfield pairing of a doubly null concordant  $(2q - 1)$ -knot must be trivial. If  $q > 2$  every  $(-1)^{q+1}$ -hermitean symmetric Blanchfield pairing may be realised by some simple  $(2q + 1)$ -knot and so the homomorphism is onto.

The torsion functor  $t_q$  is an additive functor from  $\mathbf{S}$  to  $\mathbf{Mod}(\mathbb{Z})_{finite}$ , the category of finite abelian groups which is compatible with the dualities  $D_{2q}$  of  $\mathbf{A}$  and  $Hom_{\mathbb{Z}}(-, \mathbb{Q}/\mathbb{Z})$  of  $\mathbf{Mod}(\mathbb{Z})_{finite}$ , by Alexander duality in  $S^{2q+2}$ , and which gives rise to the Farber-Levine pairing on  $t_q(\tilde{X}(K))$ . Theorem 2 implies that the Witt class of the Farber-Levine pairing of a doubly null concordant  $2q$ -knot must be trivial. If  $q > 2$  every  $(-1)^{q+1}$ -symmetric Farber-Levine pairing may be realized by some simple  $2q$ -knot and so the homomorphism is onto.  $\square$

## 6. Simple Knots

If a duality preserving additive functor from a subcategory of  $\mathbf{LA}$  to an additive category with duality is fully faithful then it determines a complete invariant for stable knots with Seifert manifolds in the given subcategory, and Theorem 2 implies that the invariant of a doubly null concordant knot must be hyperbolic.

For instance, simple  $(2q - 1)$ -knots have Seifert manifolds which are homotopy equivalent to wedges of  $q$ -spheres; the  $q^{th}$  Betti functor  $B_q$  is a complete invariant for such spaces and maps between them. A simple odd-dimensional knot is doubly null concordant if and only if its Blanchfield pairing is hyperbolic [16, 26]. Moreover a Blanchfield pairing is hyperbolic if and only if its Witt class in  $CH^e(\mathbb{Z}) = WQ_0^e(\mathbf{M}, *)$  is trivial [2].

Simple  $2q$ -knots have Seifert manifolds which are  $(q - 1)$ -connected and have homological dimension  $q + 1$ , i.e., which are so-called  $A_q^1$  spaces. Such spaces are determined by their homology [1: p. 287], but to detect maps between them other invariants are needed. These are described and used in [7] to construct a complete invariant for simple  $2q$ -knots; the invariant is reformulated as an “ $L$ -quintuple” in [8]. (For both odd and even-dimensional simple knots there are realization theorems characterizing the possible values of the invariants.)

In the remainder of this section we shall assume that  $K$  is a simple  $2q$ -knot. Let  $A = H_q(\tilde{X}(K))$ ,  $B = H_{q+1}(\tilde{X}(K))$ ,  $C = H_{q+1}(\tilde{X}(K); \mathbb{Q}/\mathbb{Z})$ ,  $\Pi_{q+1} = \pi_{q+1}^{st}(\tilde{X}(K)) \otimes \mathbb{Z}/2\mathbb{Z}$  and  $\Pi = \pi_{q+2}^{st}(\tilde{X}(K))$ . (Note that if  $q \geq 4$  the latter two groups agree with the corresponding unstable homotopy groups, i.e.,  $\Pi_{q+1} = \pi_{q+1}(X(K)) \otimes \mathbb{Z}/2\mathbb{Z}$  if  $q \geq 3$  and  $\Pi = \pi_{q+2}(X(K))$  if  $q \geq 4$ .) The maps  $\eta : A/2A \rightarrow \Pi_{q+1}$  and  $\alpha : A/2A \rightarrow \Pi$  given by composition with the stable Hopf map and with its square, respectively, are monomorphisms. These groups have natural  $L$ -module structures, determined by the action of the covering group  $Aut(\tilde{X}(K)/X(K)) \cong \mathbb{Z}$ , and the homomorphisms  $\eta$  and  $\alpha$  are  $L$ -linear. Let  $\ell$  be the Farber-Levine pairing on the  $\mathbb{Z}$ -torsion submodule  $tA$  and let  $\psi : \Pi \times \Pi \rightarrow \pi_3^{st}$  be the nondegenerate  $(-1)^{q+1}$ -symmetric pairing determined by SW-duality, as in [6, 7]. The pairing  $\psi$  determines a homomorphism  $\beta : \Pi \rightarrow Hom_{\mathbb{Z}}(A, \mathbb{Z}/2\mathbb{Z})$  by  $\beta(b)(a) = \psi(b, \alpha(a))$  for all  $a$  in  $A$  and  $b$  in  $\Pi$ . This

homomorphism is onto, its kernel is the image of  $\alpha$  and if  $\beta(b)(x) = \ell(a, x)$  for all  $x$  in  $tA$  then  $2b = \alpha(a)$ . (This property is established in [6].) If  $N$  is an  $L$ -module, let  $e^i N = \overline{Ext}_L^i(N, L)$ , where the overbar denotes conjugation with respect to the involution of  $L$  determined by  $\bar{z} = 1 - z$ .

The  $L$ -quintuple is the quintuple  $(A, \Pi, \alpha, \ell, \psi)$ . It is a complete invariant for simple  $2q$ -knots with  $q \geq 4$  [8], and subsumes both the Farber-Levine pairing  $\ell$ , which is a complete invariant for simple  $2q$ -knots with  $q \geq 4$  and  $H_q(\tilde{X}(K))$  finite of odd order, and the  $F$ -form, which is a complete invariant for simple  $2q$ -knots with  $q \geq 3$  and  $t_q(\tilde{X}(K)) = 0$  [14, 17]. (When there is no 2-torsion the  $L$ -quintuple is determined by the module  $A$  together with the Farber-Levine pairing on  $tA$  and an  $F$ -form based on  $A/tA = B_q(\tilde{X}(K))$ .) Since the interrelations between the two pairings  $\ell$  and  $\psi$  are somewhat involved, we may attempt to reformulate the  $L$ -quintuple as a self dual object of an additive category with duality (cf. [21]).

We shall give such reformulations in two important special cases: when the knot is fibred (i.e.,  $A = H_q(\tilde{X}(K))$  is finitely generated as an abelian group) and when it is torsion free (i.e.,  $tA = t_q(\tilde{X}(K)) = 0$ ).

In the fibred case we may obtain such a formulation on applying Pontrjagin duality to the invariants of [7]. We take as our invariants the sextuple  $(A, C, \Pi, \alpha, \delta, \gamma)$ , where  $\delta : C \rightarrow A$  is the homology Bockstein and  $\gamma : \Pi \rightarrow C$  is the map  $\alpha_{34}$  of [7]. There is a natural isomorphism from  $B \otimes \mathbb{Q}/\mathbb{Z}$  to  $\ker(\delta)$  and so the standard topology on  $\mathbb{Q}/\mathbb{Z}$  (as the torsion subgroup of  $S^1$ ) determines a topology on  $C$ . The composite  $\eta\alpha\delta\gamma$  is multiplication by 2 on  $\Pi$  and  $2\gamma = 0$ . Morphisms between such sextuples are systems of homomorphisms of the underlying modules such that all relevant diagrams commute. If  $M$  is an abelian topological group which is also an  $L$ -module, let  $M^*$  be the group of continuous homomorphisms from  $M$  to  $S^1$ , with the  $L$ -module structure determined by  $zf(m) = f(\bar{z}m)$  for all  $f$  in  $M^*$  and  $m$  in  $M$ . We define the dual sextuple by  $(A, C, \Pi, \alpha, \delta, \gamma)^* = (C^*, Hom_{\mathbb{Z}}(A, \mathbb{Q}/\mathbb{Z}), \Pi^*, \hat{\gamma}, \hat{\delta}, \hat{\alpha})$ . (The maps are given by  $\hat{\gamma}(f + 2C^*) = f\gamma$  for  $f$  in  $C^*$ ,  $\hat{\delta}(g) = g\delta$  for  $g : A \rightarrow \mathbb{Q}/\mathbb{Z}$  and  $\hat{\alpha}(h) = h\alpha p$  for  $h$  in  $\Pi^*$ , where  $p$  is the projection of  $A$  onto  $A/2A$ .)

When  $B_q(\tilde{X}(K)) = 0$  then  $A = tA$  and is finite,  $B = 0$  and  $\gamma$  is an isomorphism, and this invariant simplifies to a triple  $(A, \Pi, E)$  where  $E$  is an exact sequence  $0 \rightarrow A/2A \rightarrow \Pi \rightarrow_2 A = \ker(2 : A \rightarrow A) \rightarrow 0$  such that the composition  $\Pi \rightarrow_2 A \rightarrow A/2A \rightarrow \Pi$  is multiplication by 2, together with an isomorphism  $\phi$  to the dual triple. (The dual is given by  $(A, \Pi, E)^* = (e^2 A, e^2 \Pi, e^2 E)$ , for if  $M$  is finite then  $M^* = Hom_{\mathbb{Z}}(M, \mathbb{Q}/\mathbb{Z})$  and is naturally isomorphic to  $e^2 M$ .) In this case, stably hyperbolic implies hyperbolic and the corresponding Witt group is infinitely generated and of exponent 4 [13]. If moreover the finite group  $A$  has odd order then the invariant simplifies further to be just the Farber-Levine pairing.

If  $t_q(\tilde{X}(K)) = 0$  we may take as our invariant the  $F$ -form, which can be formulated as the quintuple  $(A, B, \Pi_{q+1}, \eta, \omega)$  where  $\omega : \Pi_{q+1} \rightarrow B/2B$  is the Hurewicz homomorphism, together with an isomorphism  $\phi$  to the dual quintuple. (The dual is given by  $(A, B, \Pi_{q+1}, \eta, \omega)^* = (e^1 B, e^1 A, e^2 \Pi_{q+1}, e^2 \omega, e^2 \eta)$ . Note that if  $M$  has

no 2-torsion multiplication by 2 gives a short exact sequence  $0 \rightarrow M \rightarrow M \rightarrow M \otimes \mathbb{Z}/2\mathbb{Z} \rightarrow 0$ , and so  $e^2(M \otimes \mathbb{Z}/2\mathbb{Z}) \cong (e^1 M) \otimes \mathbb{Z}/2\mathbb{Z}$ . Here we need not assume that  $K$  is fibred, i.e., that  $A$  is finitely generated as an abelian group. To relate the invariants in the fibred case, note that if  $tA = 0$  then  $\delta = 0$  and  $C = B \otimes \mathbb{Q}/\mathbb{Z}$ , and the following lemma implies that composition with the stable Hopf map from  $\Pi_{q+1}$  to  $\Pi$  is onto if  $q \geq 4$  and  $A$  has no 2-torsion. Since these two groups have the same order this map must be an isomorphism.

**Lemma 3.** *Let  $X$  be a  $(q-1)$ -connected complex such that  $A = H_q(X; \mathbb{Z})$  has no 2-torsion and  $H_{q+2}(X; \mathbb{Z}) = 0$ . Then composition with the stable Hopf map  $\eta$  maps  $\pi_{q+1}^{st}(X)$  onto  $\pi_{q+2}^{st}(X)$ .*

**Proof.** After suspending if necessary, we may assume that  $q \geq 4$ , i.e., that we are in the stable range. Let  $X^{[q+1]}$  be the  $(q+1)$ -skeleton of  $X$ . The pair  $(X, X^{[q+1]})$  is  $(q+1)$ -connected, so the Hurewicz homomorphism from  $\pi_{q+2}(X, X^{[q+1]})$  to  $H_{q+2}(X, X^{[q+1]}; \mathbb{Z})$  is an isomorphism. Since  $H_{q+2}(X; \mathbb{Z}) = 0$  the connecting homomorphism from  $H_{q+2}(X, X^{[q+1]}; \mathbb{Z})$  to  $H_{q+1}(X^{[q+1]}; \mathbb{Z})$  is a monomorphism. Therefore the connecting homomorphism from  $\pi_{q+2}(X, X^{[q+1]})$  to  $\pi_{q+1}(X^{[q+1]})$  is also a monomorphism, and so the natural map from  $\pi_{q+2}(X^{[q+1]})$  to  $\pi_{q+2}(X)$  is onto. Since  $X^{[q+1]} \simeq M(A, q) \vee \bigvee S^{q+1}$  [1: p. 287], the Hilton-Milnor theorem implies that  $\pi_{q+2}(X^{[q+1]})$  is generated by the images of  $\pi_{q+2}(M(A, q))$  and  $\pi_{q+2}S^{q+1}$ , for as  $2q-1 > q+2$  there are no nontrivial Whitehead products. As  $A * \mathbb{Z}/2\mathbb{Z} = 0$  the composition  $\eta^2 : A \rightarrow \pi_{q+2}(M(A, q))$  is onto, by 3a.7 of [1: p. 269]. Thus  $\eta : \pi_{q+1}(X) \rightarrow \pi_{q+2}(X)$  is onto.  $\square$

This lemma can also be proven by means of the Atiyah-Hirzebruch spectral sequence for stable homotopy.

In all these cases, Theorem 2 implies that if such a knot is doubly null concordant its invariant is hyperbolic. Kearton has shown that a torsion free simple  $2q$ -knot is doubly null concordant if and only if its  $F$ -form is hyperbolic ([18] - see also [23]).

## 7. $\mathbb{Q}$ -Acyclic Stable Knots

We shall say that a 1-simple knot  $K$  is  $\mathbb{Q}$ -acyclic if  $\tilde{H}_*(\tilde{X}; \mathbb{Q}) = 0$  or equivalently if  $H_i(\tilde{X}; \mathbb{Z})$  is finite for  $2 \leq i \leq [(n+1)/2]$ . If  $K$  is any  $\mathbb{Q}$ -acyclic stable knot then  $2K = K \# K$  is  $-$ amphicheiral [15]; it follows that  $4K$  is doubly null concordant, and hence the subgroup of  $DNC_n$  represented by  $\mathbb{Q}$ -acyclic stable  $n$ -knots is a countable group of exponent 4. On the other hand there are countably many  $(q-1)$ -simple  $2q$ -knots with  $H_q(\tilde{X}; \mathbb{Z})$  finite of odd order (which are thus  $\mathbb{Q}$ -acyclic) whose Farber-Levine pairings are independent elements of order 4 in the appropriate Witt group [13].

If  $A$  is an abelian group and  $j \geq 2$  then  $M(A, j)$  shall denote the Moore space with homology  $A$  in degree  $j$ , which is well defined up to homotopy equivalence. In particular, if  $A = \mathbb{Z}/q\mathbb{Z}$  then  $M(A, j)$  is the cofibre  $S^j \cup_q e^{j+1}$  of the degree  $q$  self-map of  $S^j$ . (Cf. Chapter V.3a of [1] or Chapter 2.1 of [4].) Since  $M(A_1 \oplus A_2, j) \simeq$

$M(A_1, j) \vee M(A_2, j)$  the general Moore space is a wedge of such cofibres. (Note also that the suspension  $SM(A, j)$  is an  $M(A, j+1)$ -space.)

**Lemma 4.** *Let  $A$  and  $B$  be finite abelian groups. Then if  $j > 2$*

- (a)  $[M(A, i); M(B, j)] = 0$  if  $1 < i < j-1$ ;
- (b)  $[M(A, j-1); M(B, j)] \cong \text{Ext}(A, B)$ ;
- (c)  $[M(A, j); M(B, j)] \cong \text{Hom}(A, B)$ ;
- (d)  $[M(A, k); M(B, j)] = 0$  if  $j < k < 2j-3$  and the  $p$ -primary summand of  $B$  is 0 for primes  $p \leq j/2$ .

**Proof.** We may assume that  $A$  is cyclic. Since in all cases  $M(A, k)$  has dimension at most  $2(j-1)$  (i.e.,  $k \leq 2j-3$ ) the homotopy classes are stable and so we may also assume that  $B$  is cyclic, of prime power order. We shall prove only (d). (The other cases may be proven in a similar fashion. See also p. 268 of [1].)

Associated to the cofibration  $S^j \rightarrow S^j \rightarrow M(\mathbb{Z}/q\mathbb{Z}, j)$  there is a homotopy sequence  $\pi_i(S^j) \rightarrow \pi_i(S^j) \rightarrow \pi_i(M(\mathbb{Z}/q\mathbb{Z}, j)) \rightarrow \pi_{i-1}(S^j) \rightarrow \dots$  which is exact for  $i \leq 2j-3$  (Theorem 1.6 of [4]), and where the maps between the homotopy groups of  $S^j$  are given by multiplication by  $q$ . Since multiplication by a prime  $p$  is invertible on  $\pi_i(S^j)$  for  $j < i < j+2p-3$  by Corollary 9.7.13 of [24], it follows that  $\pi_i(M(\mathbb{Z}/p^e\mathbb{Z}, j)) = 0$  for  $j < i < \min\{2j-2, j+2p-3\}$ . We can now use the Barratt-Puppe sequence for the cofibration  $S^k \rightarrow S^k \rightarrow M(\mathbb{Z}/t\mathbb{Z}, k)$  to show that  $[M(\mathbb{Z}/t\mathbb{Z}, k); M(\mathbb{Z}/p^e\mathbb{Z}, j)] = 0$  if  $j < k < \min\{2j-3, j+2p-4\}$ . This proves (d).  $\square$

**Theorem 8.** *Let  $K$  be a  $\mathbb{Q}$ -acyclic  $n$ -knot which is  $r$ -simple for some  $r > n/3$  and such that the  $p$ -primary component of  $H_*(\tilde{X}; \mathbb{Z})$  is trivial for  $p \leq (n-2r+3)/2$  and  $H_i(\tilde{X}; \mathbb{Z}) = 0$  for  $i = [n/2]$  or  $[(n+1)/2]$ . Then  $K$  is doubly null concordant.*

**Proof.** By the remarks above  $K$  is a stable fibred knot. The fibre  $V$  is an  $r$ -connected  $(n+1)$ -manifold with boundary  $S^n$  and so has the homotopy type of a finite complex of dimension  $n-r$ . Since it is  $\mathbb{Q}$ -acyclic and has homotopy length  $(n-r)-r = n-2r$  which is less than its connectivity it decomposes up to homotopy as a wedge of its  $p$ -primary components for primes  $p$ , and this decomposition is respected by the maps  $z$  and  $\phi$ . (Cf. Theorem 4.40 and Corollary 4.29 of [4].) As this decomposition corresponds to knot sum we may henceforth assume that  $V$  is  $p$ -primary for some prime  $p > (n-2r+3)/2$ . As the homotopy length of  $V$  is less than  $2p-3$ ,  $V$  is homotopy equivalent to a wedge of Moore spaces (cf. Proposition 1.1 of [12]). In particular, we may write  $V \simeq V(-) \vee V(+)$ , where  $V(-)$  has dimension  $\leq [n/2]$  and  $V(+)$  is  $[(n+1)/2]$ -connected.

Lemma 4 implies that  $[V(-); V(+)] = [V(+); V(-)] = 0$ . Therefore the carving map  $z$  has matrix  $\begin{pmatrix} z(-) & 0 \\ 0 & z(+) \end{pmatrix}$  with respect to the decomposition  $V = V(-) \vee V(+)$ . Similarly  $S^{n+1}D(V)$  is homotopy equivalent to  $S^{n+1}D(V(-)) \vee S^{n+1}D(V(+))$  where the summands are again wedges of  $p$ -primary Moore spaces, the first summand is  $[(n+1)/2]$ -connected and the second summand has dimension at most  $[n/2]$ , and  $D(z)$  has matrix  $\begin{pmatrix} D(z(-)) & 0 \\ 0 & D(z(+)) \end{pmatrix}$  with respect to this decomposition. The lemma

also implies that  $[V(-); S^{n+1}D(V(-))] = [V(+); S^{n+1}D(V(+))] = 0$ . Therefore the duality map  $\phi$  must have matrix  $\begin{pmatrix} 0 & \psi \\ \theta & 0 \end{pmatrix}$  with respect to these decompositions, and since  $\phi$  is  $(-1)^{n+1}$ -hermitean  $\theta = (-1)^{n+1}D(\psi)$ . It now follows easily that the map from  $V(-) \vee V(+)$  to  $V(-) \vee S^{n+1}D(V(-))$  with matrix  $\begin{pmatrix} 1 & 0 \\ 0 & \psi \end{pmatrix}$  determines an isometry from the stable isometry structure  $(V, z, \phi)$  of  $K$  to the hyperbolic stable isometry structure  $(V(-) \vee S^{n+1}D(V(-)), (\begin{smallmatrix} z(-) & 0 \\ 0 & D(z(-)) \end{smallmatrix}), (\begin{smallmatrix} 0 & 1 \\ (-1)^{n+1} & 0 \end{smallmatrix}))$ . The result now follows from the Corollary to Theorem 6 above.  $\square$

The lowest dimension in which there are nontrivial examples satisfying the hypotheses of the theorem is  $n = 14$ . The class of knots to which the theorem applies includes all antisimple  $\mathbb{Q}$ -acyclic stable  $n$ -knots with trivial  $p$ -primary torsion for all primes  $p < (n+10)/6$ . (An  $n$ -knot is *antisimple* if its exterior has a handlebody decomposition with no handles in dimensions  $q+1$  (if  $n = 2q$ ) or  $q+1$  and  $q+2$  (if  $n = 2q+1$ ). A 1-simple  $n$ -knot is antisimple if and only if  $H_i(\tilde{X}; \mathbb{Z}) = 0$  for  $[n/2] \leq i \leq [(n+3)/2]$  [11]. The lowest dimension in which there are nontrivial antisimple examples is  $n = 20$ .) In all cases the homology of  $\tilde{X}$  has odd order. Can the condition on the middle dimensional homology be relaxed to "the Farber-Levine pairing on  $H_{n/2}(\tilde{X}; \mathbb{Z})$  is hyperbolic" if  $n$  is even, and dropped completely if  $n$  is odd? In particular, are  $\mathbb{Q}$ -acyclic  $(q-1)$ -simple  $(2q+1)$ -knots with  $H_q(\tilde{X}; \mathbb{Z})$  of odd order always doubly null concordant? (Such knots are stable if  $q \geq 4$ .)

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