## A Topological Interpretation of the Atiyah-Patodi-Singer Invariant

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**0.** Let M be a closed, connected, oriented manifold of dimension n=2l-1and let  $\pi = \pi_1(M)$ . For any unitary representation  $\alpha : \pi \to U_k$ , Atiyah, Patodi and Singer in [APS, II] define a numerical invariant  $\rho_{\alpha}(M) \in \mathbb{R}$  as follows. Choose a Riemannian structure for M and then consider the self-adjoint elliptic differential operator  $B_{\alpha}$  on the space of all differential forms of even degree with values in the flat bundle defined by  $\alpha$  by the formula  $B_{\alpha} = i^{l}(-1)^{p+1}(*d_{\alpha} - d_{\alpha}*)$ on forms of degree 2p, where  $d_{\alpha}$  is the covariant derivative of the flat bundle defined by  $\alpha$  and \* is the duality operator defined by the Riemannian structure. They then consider the eta-function  $\eta_{\alpha}(s) = \sum_{\lambda \neq 0} (\operatorname{sign} \lambda) |\lambda|^{-s}$ , where  $\lambda$  runs over all nonzero eigenvalues of  $B_{\alpha}$  counting multiplicities. Atiyah, Patodi and Singer show, in [APS, I, II], that  $\eta_{\alpha}(s)$  defines an analytic function for  $\Re(s)$ large, which can be analytically continued to have a finite value at s=0. They then define  $\rho_{\alpha}(M) = \eta_{\alpha}(0) - k\eta(0)$ , where  $\eta(s)$  is the eta-function of the trivial representation. It is an immediate consequence of their Index Theorem that  $\rho_{\alpha}(M)$  is independent of the choice of metric and that the reduction of  $\rho_{\alpha}(M)$ to  $\mathbb{R}/\mathbb{Z}$  depends only on the oriented bordism class of M.

INDEX THEOREM ([APS,II]). If M is the oriented boundary of an oriented compact Riemannian manifold V, such that the Riemannian structure on V is a product near M, and the representation  $\alpha$  extends to a unitary representation  $\beta$  of  $\pi_1(V)$ , then

$$\operatorname{sign}_{\alpha}(V) = k \int_{V} L(p) - \eta_{\alpha}(0).$$

In this formula, L(p) is the Hirzebruch polynomial in the Pontriagin forms of V and  $\operatorname{sign}_{\alpha}(V)$  is the signature of the intersection form on V over the twisted coefficient system defined by  $\alpha$ .

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COROLLARY. Given  $M = \partial V$ , with  $\alpha = \beta|_M$ , we have  $\rho_{\alpha}(M) = k \operatorname{sign}(V) - \operatorname{sign}_{\alpha}(V)$ , where  $\operatorname{sign}(V)$  is the ordinary signature of V.

We address two problems in this work.

- (1) Give an intrinsic topological definition of  $\rho_{\alpha}(M)$ .
- (2) To what extent is  $\rho_{\alpha}(M)$  an invariant of homotopy type?

Viewing  $\rho_{\alpha}(M) = \rho(M) \cdot \alpha$  as a real-valued function on the variety of unitary representations of  $\pi = \pi_1(M)$ , our results consist of:

- (a) a formula for the jumps in  $\rho(M)$  at discontinuities, and
- (b) a formula for the "differential" of  $\rho(M)$ , reduced mod  $\mathbb{Z}$ .

Both of these formulae depend only on the homotopy type of M and give an intrinsic homotopy invariant definition of  $\rho(M)$ , up to a locally constant function (which vanishes on the component of the trivial representation). It is known that homotopy invariance fails if  $\pi$  is finite [**W**] and in many cases when  $\pi$  has torsion [**We**].

Earlier work of Neumann [N] and Weinberger [We] showed that  $\rho(M)$  is a homotopy invariant for a large class of  $\pi_1(M)$ .

1. For any group  $\pi$  we can consider the set of k-dimensional unitary representations of  $\pi$ , denoted  $R_k(\pi)$ . If  $\pi$  is finitely generated this set is, in a natural way, a real algebraic variety – any representation of  $\pi$  (with m generators) leads to an obvious manifestation of  $R_k(\pi)$  as a subvariety of  $U(k) \times \cdots U(k)$  (m times). It is not hard to see that this algebraic structure is independent of the presentation of  $\pi$ . In [L], [L1] the Atiyah-Patodi-Singer invariant  $\rho_{\alpha}(M)$  is considered as a function  $\rho(M): R_k(\pi) \to \mathbb{R}$ , where  $\rho(M) \cdot \alpha = \rho_{\alpha}(M)$  and  $\pi = \pi_1(M)$ . It is shown in [L1] that there is a stratification of  $R_k(\pi)$  by subvarieties  $R_k(\pi) = \Sigma_0 \supseteq \Sigma_1 \supseteq \cdots \supseteq \Sigma_i \supseteq \cdots$  such that  $\rho(M)|(\Sigma_i - \Sigma_{i+1})$  is continuous, for  $i \ge 0$ . Specifically,  $\Sigma_i$  is defined as follows. Define  $d_{\alpha} = \sum_i \dim H_i(M; \alpha)$ , where  $H_i(M; \alpha)$  is homology with the twisted coefficient system defined by  $\alpha$ . If  $d = \min\{d_{\alpha}: \alpha \in R_k(\pi)\}$ , then  $\Sigma_i = \{\alpha: d_{\alpha} \ge d + i\}$ . Note that this stratification depends only on the homotopy type of M.

We propose to study the discontinuities of  $\rho(M)$ . In [APS-III] it is shown that the reduction  $\overline{\rho}_{\alpha}(M)$  of  $\rho_{\alpha}(M)$  to  $\mathbb{R}/\mathbb{Z}$  depends continuously on  $\alpha$ . (In fact they give a (K-theoretic) formula for this reduction). This shows that the "jump" in  $\rho(M)$  at a discontinuity is integral.

Set  $\gamma$  be an analytic curve in  $R_k(\pi)$ . Analyticity means that  $\gamma$  lies in some  $\Sigma_i$  so that it intersects  $\Sigma_{i+1}$  in a discrete set of points. We may assume that, for some  $\epsilon > 0$  and  $|t| < \epsilon$ , the inclusion  $\gamma(t) \in \Sigma_{i+1}$  holds if and only if t = 0. Then  $\rho(M) \circ \gamma$  is continuous except for some (integer) jump at t = 0. More precisely,  $\rho(M) \circ \gamma$  is continuous at  $t \neq 0$  and, since  $\overline{\rho}(M) \circ \gamma$  ( $\overline{\rho}(M)$  is the reduction of  $\rho(M)$  to  $\mathbb{R}/\mathbb{Z}$ ) is continuous at t = 0, there is a well-defined limit of  $\rho(M) \circ \gamma(t)$  as  $t \to +0$ , which agrees with  $\rho(M) \circ \gamma(0)$  mod  $\mathbb{Z}$ . We propose to find a formula for the difference.

We first interpret  $\gamma$  as a representation of  $\pi$  over P, the ring of power series with a positive radius of convergence, since the entries of the matrix  $\gamma(t)$  are elements of P. We can then use  $\gamma$  to define, for example, a right action of  $\pi$  on the free P-module  $P^k$  of rank k, by regarding  $P^k$  as row vectors over P and using right multiplication by  $\gamma(t)$ . That each  $\gamma(t)$  is unitary means that this action preserves the canonical P-valued Hermitian form on  $P^k$ . If  $P^k_{\gamma}$  denotes the right  $\mathbb{C}\pi$ -module defined by  $\gamma$ , then the conjugate left  $\mathbb{C}\pi$ -module  $\overline{P}^k_{\gamma}$  (recall  $\overline{P}^k_{\gamma} = P^k_{\gamma}$  with  $\pi$ -action defined by  $g \cdot \alpha = \alpha \cdot g^{-1}$ , for any  $g \in \pi$ ,  $\alpha \in P^k_{\gamma}$ ) is isomorphic to the module defined by regarding  $P^k$  as column vectors and using left multiplication by  $\gamma(t)$ .

We may use  $P_{\gamma}^{k}$  as a local coefficient system over  $M(\pi = \pi_{1}(M))$  and define  $H_{*}(M;\gamma) = H_{*}(P_{\gamma}^{k} \otimes_{\pi} C(\tilde{M}))$  and  $H^{*}(M;\gamma) = H_{*}(\operatorname{Hom}_{\pi}(C(\tilde{M}), \overline{P}_{\gamma}^{k}))$ . Now Poincaré duality applied to M shows that the intersection pairing over  $\mathbb{Z}\pi$  on  $\tilde{M}$  induces an isomorphism:

$$\overline{H_i(M;A)} \approx H^{n-i}(M;\bar{A}), \qquad 0 \le i \le n,$$

where A is any  $(R, \mathbb{Z}\pi)$ -bimodule (R any ring with involution) and, generally,  $A \to \bar{A}$  denotes the usual passage from  $(R, \mathbb{Z}\pi)$ -bimodules to  $(\mathbb{Z}\pi, R)$ -bimodules. The duality isomorphism is one of right R-modules. We apply duality with  $A = \bar{P}_{\gamma}^k$ , R = P with involution defined by complex conjugation.

Since P is a discrete valuation ring with fundamental ideal generated by t, we see that  $H_i(M;\gamma)$  is determined by its rank  $r_i$  over P and its torsion submodule  $T_i(M;\gamma)$ . The universal coefficient theorem shows that  $H^j(M;\gamma)$  has rank  $r_j$  and its torsion-module is the "dual module" of  $T_{j-1}(M;\gamma)$  – if T is a (left) torsion P-module then the dual module is  $T^* = \operatorname{Hom}_P(T;\hat{P}/P)$ , where  $\hat{P}$  is the quotient field of P, a (right) P-module. Now Poincaré duality tells us that  $r_i = r_{n-i}$ ,  $\bar{T}_i(M;\gamma) \approx T_{n-i-1}(M;\gamma)^*$  and, furthermore, there is a non-singular, sesquilinear,  $\pm \operatorname{Hermitian pairing} \langle \; , \; \rangle$ :

$$T_q(M;\gamma) \times T_q(M;\gamma) \to \hat{P}/P \quad (n=2q+1) \quad \text{with}$$
  
 $\langle \lambda \alpha, \beta \rangle = \lambda \langle \alpha, \beta \rangle, \ \langle \alpha, \beta \rangle = \pm \overline{\langle \beta, \alpha \rangle}.$ 

Now, non-singular, sesquilinear,  $\pm$ Hermitian pairings of a torsion P-module T can be classified by a collection of signatures. Specifically, let  $\langle \ , \ \rangle : T \times T \to \hat{P}/P$  be such a pairing and define  $\Delta_i(T)$  to be quotient  $\ker t^i/(t \ker t^{i+1} + \ker t^{i-1})$ . It is easy to check that  $\langle \ , \ \rangle$  induces a non-singular, bilinear,  $\pm$ -Hermitian pairing (over  $\mathbb{C}$ )  $\langle \ , \ \rangle_i$ :  $\Delta_i(T) \times \Delta_i(T) \to \mathbb{C}$  by the formula:

$$t^i\langle\alpha,\beta\rangle_i\equiv\langle[\alpha],[\beta]\rangle \mod tP.$$

Note  $\langle \alpha, \beta \rangle \in t^{-i}P/P$ . Now we can define  $\sigma_i(\langle , \rangle) = \text{sign}(\langle , \rangle_i)$  and it is not hard to prove:

PROPOSITION 1. Suppose that  $\langle \ , \ \rangle$  and  $\langle \ , \ \rangle'$  are two non-singular, sesquilinear,  $\epsilon$ -Hermitian pairings ( $\epsilon = \pm 1$ ) defined on the same torsion P-module T as above. Then  $\langle \ , \ \rangle$  and  $\langle \ , \ \rangle'$  are congruent if and only if  $\sigma_i(\langle \ , \ \rangle) = \sigma_i(\langle \ , \ \rangle')$  for all i.

Now, we go back to our analytic curve  $\gamma$  in  $R_k(\pi)$  and define  $\sigma_i(M;\gamma) = \sigma_i(\langle \ , \ \rangle)$ , where  $\langle \ , \ \rangle$  is the pairing defined above on  $T_q(M;\gamma)$ .

Our main result is:

THEOREM 1.

$$\lim_{t \to +0} \rho(M) \cdot \gamma(t) - \rho(M) \cdot \gamma(0) = \sum_{i=1}^{\infty} \sigma_i(M; \gamma).$$

The first order part of the linking pairing described above was considered in [KK]; cf. also [KK1], where the first order part of Theorem 1 is proven in the case of manifolds with boundary. In [KK1] there is a discussion of an alternative version of the higher order part of Theorem 1 which would use cup products and higher Massey products.

We remark that it is a consequence of the *curve selection lemma* that the discontinuities of  $\rho(M)$  along analytic curves determine  $\rho(M)$  up to a continuous function on  $R_k(\pi)$ . More explicitly, suppose we define:

$$\sigma(M; \gamma) = \lim_{t \to +0} \rho(M) \cdot \gamma(t) - \rho(M) \cdot \gamma(0)$$

where  $\gamma$  is an analytic curve in  $R_k(\pi)$  defined on a neighborhood of 0. In fact, if  $\rho$  is any real-valued function on  $R_k(\pi)$  whose reduction mod  $\mathbb Z$  is continuous and which is "piecewise-continuous" in the sense that, for some stratification of  $R_k(\rho)$  by subvarieties  $R_k(\pi) = \Sigma_0 \supseteq \Sigma_1 \supseteq \cdots \supseteq \Sigma_i \supseteq \ldots$ , the function  $\rho(M)|(\Sigma_i - \Sigma_{i+1})$  is continuous for all  $i \geq 0$ , then we can define  $\sigma(\rho, \gamma)$  by the above formula. Then we have:

PROPOSITION 2. If  $\rho_1$ ,  $\rho_2$  are two piecewise-continuous real-valued functions on  $R_k(\pi)$  which are continuous mod  $\mathbb{Z}$ , then  $\rho_1 - \rho_2$  is continuous if and only if  $\sigma(\rho_1, \gamma) = \sigma(\rho_2, \gamma)$  for every analytic curve  $\gamma$  in  $R_k(\pi)$ .

The proof of Theorem 1 begins with a general formula for the spectral jump at t=0 of a path  $A_t$  of elliptic differential operators in terms of the associated signatures of a "linking" pairing on a torsion P-module, with values in  $\hat{P}/P$ , defined directly from  $A_t$ . Using a parametrized Hodge decomposition [K: Ch. VII, Th. 3.9] it is then shown that these signatures, for  $A_t = B_{\gamma(t)}$ , coincide with the signatures of the "topological" linking pairing  $\langle \ , \ \rangle$ . The details will appear in a future paper  $\mathbf{FL}$ .

Originally we proved the formula of Theorem 1 under the rather stringent hypothesis that  $\gamma(t)$  extends to an analytic path in  $R_k(\pi_1(V))$  for some compact oriented manifold V bounded by M. We then use the Index Theorem to give a purely topological proof as follows. We put ourselves in a more general context.

Let (V,M) be an algebraic Poincaré (AP) pair of finite type over the ring P, in the sense of Misčenko [M], with dimension V=2q+2. Then  $H_q(M)$  supports a non-singular torsion pairing, on its torsion submodule, with value in  $\hat{P}/P$ , and we can define  $\sigma_i(M)$  to be the signatures of the associated  $\pm \text{Hermitian forms}$ , as above. For any  $\epsilon \geq 0$ , let  $P_\epsilon$  be the subring of P consisting of all power series with radius of convergence  $> \epsilon$ . We may assume that (V,M) comes from an AP-pair over  $P_\epsilon$ , for some  $\epsilon > 0$ . Then we can define  $(V_c,M_c)=(V,M)\otimes_c\mathbb{C}$ , for  $0\leq c\leq \epsilon$ , where  $\mathbb{C}$  is regarded as a  $P_\epsilon$ -module via the ring homomorphism  $P_\epsilon \to \mathbb{C}$  defined by  $f\mapsto f(c)$ , and let  $\sigma_c(V)$  be the signature of the intersection pairing on  $V_c$ . In fact, it is not hard to see that  $\sigma_c(V)$  is constant for c in the interval  $(0,\delta)$ , for some  $0<\delta\leq \epsilon$  - let us denote this constant value by  $\sigma_+(V)$ . Then, our general result is  $\sigma_+(V)-\sigma_0(V)=\sum_{i\geq 1}\sigma_i(M)$ .

To prove this, first consider the special case in which  $H_i(V) = 0$  for  $i \leq q$ . Then  $H_{q+1}(V)$  is a free module and the intersection pairing is represented by a matrix B which can be decomposed into a block sum of matrices of the form  $t^i B_i(t)$ , where  $B_i(0)$  is non-singular over  $\mathbb{C}$ , plus a 0 matrix. Thus for  $0 < c \leq \epsilon$ ,  $\sigma_c(V) = \sum_{i \geq 1} \operatorname{sign} B_i(c)$  and  $\sigma_0(V) = \operatorname{sign} B_0(0)$ . If  $B_i(c)$  is non-singular in an interval  $0 \leq c < \delta$ , then, in this interval,  $\sigma_c(V) = \sum_{i \geq 1} \operatorname{sign} B_i(0)$ .

We now turn to the torsion pairing on  $H_q(M)$ . It is a standard fact, in this situation, that a matrix representative B of the intersection pairing on  $H_{q+1}(V)$  is also a presentation matrix for  $H_q(M)$  and the inverse of the non-degenerate part of B represents the torsion-pairing. Thus we see that  $t^iB(t)$  presents the free  $P/t^i$  summand of  $H_q(M)$  and, in addition, the associated Hermitian pairing on  $\Delta_i(TH_q(M))$  is represented by the matrix  $B_i(0)^{-1}$ , for  $i \geq 1$ . Putting all these observations together gives the desired result.

For the general case we reduce to the special case by doing algebraic surgery on V as in  $[\mathbf{M}]$ . It is not hard to see that we may kill all the homology of V of dimension < q without changing M, but it is necessary to check that  $\sigma_+(V)$  and  $\sigma_0(V)$  are not changed by these surgeries. In fact, the only surgeries which change  $H_{q+1}(V)$  are those to kill  $H_q(V)$ . When a class  $\alpha \in H_q(V)$  is killed by a surgery then the effect on  $H_{q+1}(V)$  and the intersection pairing are as follows:

- Case 1.  $\alpha$  is a torsion class of  $H_q(V)$  say  $t^r\alpha=0$ ; then a rank 2 orthogonal summand is added to  $H_q(V)$  with the intersection pairing on this new summand represented by the matrix  $\begin{bmatrix} 0 & t^r \\ \pm t^r & 0 \end{bmatrix}$ .
- Case 2.  $\alpha$  has "infinite order" in  $H_q(V)$ , but its image in  $H_q(V,M)$  is torsion: then the rank of  $H_{q+1}(V)$  is increased by one but the new element is totally isotropic, i.e. its intersection with all elements of  $H_{q+1}(V)$  is zero.
- Case 3. The image of  $\alpha$  in  $H_q(V, M)$  has infinite order: then  $H_{q+1}(V)$  is unchanged.

But in all three cases neither  $\sigma_+(V)$  nor  $\sigma_0(V)$  is changed and so the theorem follows.

2. We now study the reduction to  $\mathbb{R}/\mathbb{Z}$  of  $\rho(M)$ . Denote by  $\bar{\rho}(M): R_k(\pi) \to \mathbb{R}/\mathbb{Z}$  the function  $\bar{\rho}(M) \cdot \alpha = \bar{\rho}_{\alpha}(M)$ . As remarked above, it is proved in [APS, III] that  $\bar{\rho}(M)$  is continuous. Moreover, they obtain a formula for  $\bar{\rho}(M)$  as follows. For any unitary representation  $\alpha$  of a discrete group  $\Gamma$ , there is associated an element  $\beta(\alpha) \in K^{-1}(B_{\Gamma}; \mathbb{R}/\mathbb{Z})$ , where  $B_{\Gamma}$  is the classifying space of  $\Gamma$ . Then, if  $\alpha \in R_k(\pi)$  and  $\phi: M \to B_{\pi}$  is the classifying map for  $\pi \approx \pi_1(M)$ , we have  $\phi^*\beta(\alpha) \in K^{-1}(M; \mathbb{R}/\mathbb{Z})$ . Now let  $\sigma \in K^1(\tau M)$  be the "self-adjoint symbol" of the signature operator on M ( $\tau M$  is the Thom space of the cotangent bundle). The "index theorem for flat bundles" of [APS, III] then asserts that  $\bar{\rho}_{\alpha}(M) = \phi^*\beta(\alpha) \cdot \sigma$ , using the product

$$K^{-1}(M; \mathbb{R}/\mathbb{Z}) \times K^{1}(\tau M) \to K^{0}(\tau M; \mathbb{R}/\mathbb{Z}) \xrightarrow{\mathrm{ind}} \mathbb{R}/\mathbb{Z}.$$

Using the ideas of this general result we can obtain a more explicit, though less definitive, determination of  $\bar{\rho}(M)$ . If  $\alpha \in R_k(\pi)$  then let  $\det \alpha \in R_1(\pi)$  be the obvious representation  $(\det \alpha)(g) = \det \alpha(g)$ . We can then define arg  $\det \alpha \in H^1(M; \mathbb{R}/\mathbb{Z})$  by the formula  $\det \alpha(g) = \exp(2\pi i (\arg \det \alpha)(g))$ , for any  $g \in \pi$ . We now define  $\tilde{\rho}(M): R_k(\pi) \to \mathbb{R}/\mathbb{Z}$  by the cohomological formula:

$$\tilde{\rho}(M) \cdot \alpha = -(2(\arg \det \alpha) \cup L(M))[M]$$

where L(M) is the Hirzebruch L-polynomial in the Pontriagin classes of M, defined by the generating series  $x/\mathrm{tanh}(x)$ . This makes sense, since the component of L(M) in  $H^{2l-2}$  lifts to an integral class [No], but depends on the particular lift (here we assume that  $\dim M = 2l-1$ ). However, we note that changing the lift will only change  $\tilde{\rho}(M)$  by a locally constant  $\mathbb{Q}/\mathbb{Z}$ -valued function on  $R_k(\pi)$ . An alternative definition of  $\tilde{\rho}(M)$  goes as follows. Chooose a basis  $z_1', \ldots, z_m'$  of  $H^1(M; \mathbb{Z})$  and  $z_1, \ldots, z_m \in H_1(M; \mathbb{Z})$  such that  $z_i' \cdot z_j = \delta_{ij}$ . Let  $\tau_i$  be the signature of an oriented closed submanifold of M representing the Poincaré dual of  $z_i'$  in  $H_{2l-2}(M; \mathbb{Z})$ . Then, up to a locally constant function on  $R_k(\pi)$ :

$$ilde{
ho}(M) \cdot lpha = -2 \sum_{i=1}^m au_i rg \det lpha(z_i)$$

See [L1] for a special case.

THEOREM 2.  $\bar{\rho}(M) - \tilde{\rho}(M)$  is constant on connected components of  $R_k(\pi)$ . For example, we have  $\bar{\rho}(M) = \tilde{\rho}(M)$  on the component of the trivial representation.

Sketch of the proof. Suppose  $\alpha_t$   $(0 \le t \le 1)$  is a path in  $R_k(\pi)$ . Then we can associate to  $\alpha_t$  a "Hermitian" bundle  $\xi$  (i.e. unitary bundle with a connexion) over  $I \times M$ , such that on  $t \times M$  the induced connexion is flat and has monodromy  $\alpha_t$ . The curvature form  $\xi$  has the form  $\Omega = dt \wedge \omega$ , where  $\omega$  is a 1-form on  $I \times M$  with coefficients in Hom  $(\xi, \xi)$ . Now the Index Theorem of [APS, I] applied to

the generalized signature operator  $D_{\xi}$  on  $I \times M$  with coefficient in  $\xi$  gives the formula:

$$\operatorname{Index} D_{\xi} = \int\limits_{I imes M} 2^l \operatorname{ch} \xi \cdot \mathcal{L}(I imes M) - (\eta_{lpha_1}(M) - \eta_{lpha_0}(M))$$

where  $\mathcal{L}$  is the Hirzebruch form with generating series:  $\frac{x/2}{\tanh(x/2)}$ ,  $\dim(I \times M) = 2l$  and  $\det \xi$  is the Chern character form of  $\xi$ . But this form reduces to  $k + \frac{1}{2\pi i}\operatorname{Trace}(\Omega)$ , since  $\Omega = dt \wedge \omega$ , and so we can derive from the Index Theorem the equation:

$$ho_{lpha_1}(M) - 
ho_{lpha_0}(M) \equiv rac{2^{l-1}}{\pi i} \int\limits_{I imes M} dt \wedge \operatorname{Trace}\left(\omega
ight) \wedge \mathcal{L}(M) \qquad \mod \mathbb{Z}$$

Now the 1-form  $\operatorname{Trace}(\omega)$  on  $I \times M$  defines a 1-parameter family of cohomology classes  $(\operatorname{Tr} \omega)_t \in H^1(M;\mathbb{R})$  and so we have

$$\rho_{\alpha_1}(M) - \rho_{\alpha_0}(M) \equiv \frac{-2^{l-1}}{\pi i} \left[ \left[ \int_0^1 (\operatorname{Tr} \omega)_t dt \right] \cup \mathcal{L}(M) \right] \cdot [M] \mod \mathbb{Z}$$

where we use  $\mathcal{L}(M)$  as above to denote the Hirzebruch polynomial in the Pontriagin classes of M. We now use the fact that

$$(\operatorname{Tr}\omega)_t(g) = \operatorname{Trace}\left(\frac{d\alpha_t}{dt}(g) \circ \alpha_t^{-1}(g)\right) = \frac{d}{dt}\left(\log \det \alpha_t(g)\right)$$

to obtain our final formula:

$$\rho_{\alpha_1}(M) - \rho_{\alpha_0}(M) \equiv -2((\arg\det\alpha_1(g) - \arg\det\alpha_0(g)) \cup L(M)) \cdot [M]$$

which implies the Theorem.

A formula for  $\rho(M) \mod \mathbb{Q}$ , in terms of Cheeger-Chern-Simons classes is given in  $[\mathbf{CS}]$ , Corollary 9.3.

3. We now discuss the implication of these Theorems for the question of the homotopy invariance of the  $\rho$ -invariant. Suppose M, M' are homotopy equivalent manifolds (odd-dimensional closed, oriented) and  $\pi_1(M) \approx \pi_1(M')$  identified by the homotopy equivalence. Let  $\Delta(M,M') = \rho(M) - \rho(M')$ :  $R_k(\pi) \to \mathbb{R}$ . Now  $\tilde{\rho}(M) = \tilde{\rho}(M')$  (by Novikov [No]) and so, by Theorem 2,  $\Delta(M,M')$  reduced mod  $\mathbb{Z}$  is constant on each component of  $R_k(\pi)$ . Furthermore, it is clear that  $\sigma_i(M,\gamma) = \sigma_i(M',\gamma)$ , for any analytic curve  $\gamma$  in  $R_k(\pi)$ , and so it follows from Theorem 1 and Proposition 2 that  $\Delta(M,M')$  is continuous. Now putting these together we conclude that  $\Delta(M,M')$  is constant on each component of  $R_k(G)$  (0 at the trivial representation). (Weinberger [We]) preves this under the assumption that M and M' are rationally cobordant over G or, alternatively, whenever G satisfies the Novikov conjecture).

The analysis of homotopy lens spaces in Wall [W] gives many examples where  $\Delta(M, M')$  takes non-zero rational values when  $\pi$  is finite cyclic. Weinberger shows in [We] that  $\Delta(M, M')$  is rational if  $\pi$  satisfies the Novikov conjecture,

and has now announced a proof that  $\Delta(M, M')$  is always rational, using the results of this paper.

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