

697 Topics in Topology

F. T. Farrell

1978, Fall

References

1. C.T.C. Wall, Surgery on Compact mfds, AP.
2. Kirby & Siebenmann, Princeton Annals of Math. Studies #88
3. Conner & Raymond, Deforming Hty Equivalences to Homeo in Asph. mfds
Bull. AMS. 83 (1977) 36-85
4. M. Cohen, Simple Homotopy Theory, Springer
5. J. Milnor, Whitehead Torsion, Bull. AMS. 1966, 358-426
6. J. Wolf, Spaces of Constant Curvature, Publ. or Preish.
7. Ferry,
8. Ferry & Chapman,
9. Mostow, IHES (blue) 1968, Constant negative curvature
10. Soulé, Cohomology of $SL_3(\mathbb{Z})$, Topology 1978 (17) 1-22
11. F. Quinn, Ends of Maps, 1978 (preprint)
12. Bass-Heller-Swan, The whitehead group of a polynomial extension, IHES 22 (1964) 545-563



X is aspherical if X is connected and $\pi_i X = 0$ for $i > 1$.

Thm If K and L are two aspherical CW complexes with isomorphic fundamental groups, then K and L are homotopy equivalent.

Conjecture I Two asph. closed mfds with isomorphic π_1 's are homeomorphic.

[Includes Poincaré conjecture of dim 3 and 4, see [3]]

More hopeful (smooth case)

? Are two asph. closed C^∞ -mfds with isomorphic π_1 's diffeomorphic?

e.g. $T^n = S^1 \times \dots \times S^1$, Σ^n = h'ty sphere. Then T^n and $T^n \# \Sigma^n$ are diffeomorphic iff Σ^n is diffeomorphic to S^n ($n > 4$).

Pf of Thm. We may suppose K and L have finitely many cells with one 0-cell. [When K has finite number of 0-cells, contract them to a point using 1-cells joining the 0-cells.]

Define $f: K \rightarrow L$ as follows from $\varphi: \pi_1 K \xrightarrow{\cong} \pi_1 L$.

0-cell

obvious

1-cell

use

$$\begin{array}{ccc} F_n & \xrightarrow{\hat{\varphi}} & F_m \\ \downarrow & & \downarrow \\ \pi_1 K & \xrightarrow[\cong]{\varphi} & \pi_1 L \end{array}$$

(not iso)

$$\text{Diagram: } \text{leaf } g_1 \mapsto \text{word in } F_m$$

g_1 (a leaf of K) $\xrightarrow{\hat{\varphi}}$ $\hat{\varphi}(g_1) = \text{word in } F_m$.



2-cell.

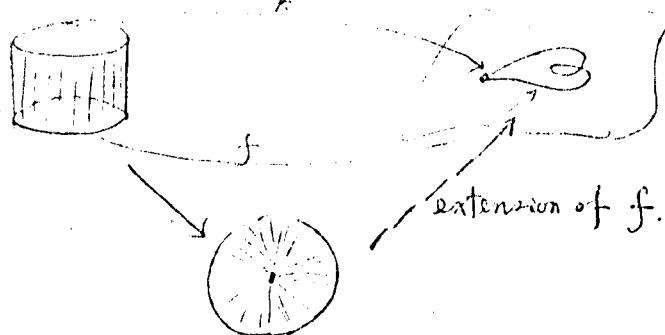
$$\begin{array}{ccc} \circ & & \circ \\ \downarrow & & \downarrow \\ F_x & \dashrightarrow & F_t \\ \downarrow & & \downarrow \\ F_m & \xrightarrow{\hat{\varphi}} & F_m \\ \downarrow & & \downarrow \\ \pi_1 K & \xrightarrow{\varphi} & \pi_1 L \\ \downarrow & & \downarrow \\ \circ & & \circ \end{array}$$

F_x, F_t : relocator group of $\pi_1 K, \pi_1 L$.

$\partial(\text{Any 2-cell of } K) \in F_x$ since K is asph., (so it is $o \in \pi_1 K$)

$$\hat{\varphi} \circ \partial(\text{2-cell}) = o \in \pi_1 L$$

$$f: S^1 \rightarrow L \text{ null type} \Rightarrow f \text{ extends to } D \rightarrow L$$



We get $f_{\#} = \varphi: \pi_1 K \rightarrow \pi_1 L$. Now can define $g: L \rightarrow K$ using $\varphi^{-1}: \pi_1 L \rightarrow \pi_1 K$ so that $g_{\#} = \varphi^{-1}$. ■

Defn. (J.H.C. Whitehead) Let K, L be two finite CW complexes.

K and L have the same simple homotopy type if \exists finite sequence of finite CW complexes K_1, K_2, \dots, K_n with

$$1^\circ \quad K_1 = K, \quad K_n = L$$

2° K_i and K_{i+1} are related via either elementary expansion or elementary collapse.



Elementary expansion

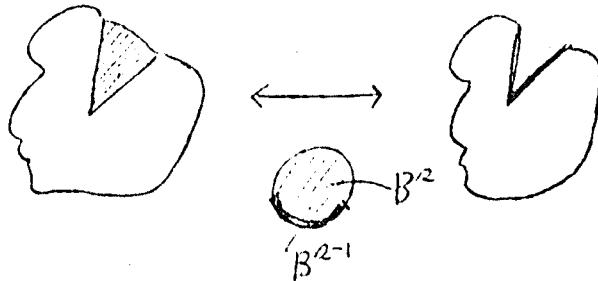
if $B = A \cup B^2$

B^{2-1}

$A \nearrow B$ (A is a sub-complex of B)

[B^{2-1} is $(z-1)$ dim'l fall in $S^{n-1} = \partial B^2$]

Elementary collapse $B \searrow A$ if $A \nearrow B$.



(Ref: M. Cohen, J. Milnor)

Sw

$K \xrightarrow{f} L$ is SHE if

$(K \cong L)$

$K \xrightarrow{f} L$
 \parallel \parallel
 $K_1 \rightarrow K_2 \rightarrow \dots \rightarrow K_n$

(Chapman) A homeomorphism (between finite CW complexes) is a SHE.

V ? Every closed mfld has finite SH type? (Kirby & Siebenmann)

Conjecture II

Two finite aspherical CW complexes with isomorphic
have the same simple homotopy type?

[Weaker than conjecture I by Chapman's result]



Algebraic Formulation of SHE - Whitehead torsion

R = ring with 1.

$GL_n(R)$ = gp of all invertible $n \times n$ matrices with entries in R

$$GL_n(R) \hookrightarrow GL_{n+1}(R)$$

$$A \longmapsto \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix}$$

$$\text{let } GL(R) = \bigcup_{n \geq 1} GL_n(R)$$

matrices of the form $\left(\begin{array}{c|c} \text{invertible} & 0 \\ \hline 0 & I \end{array} \right)$ infinite matrix.

$$(K_1 R) = GL(R)^{\text{ab}}$$

$$= GL(R) / [GL(R), GL(R)] \quad \text{abelianize.}$$

$$A \oplus B = \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} = \begin{pmatrix} AB & 0 \\ 0 & I \end{pmatrix} \text{ in } K_1(R)$$

$$(Wh(G)) = K_1(\mathbb{Z}G) / \pm G^{\text{group}}$$

$$\pm G \subset \text{units of } \mathbb{Z}G = GL_1(\mathbb{Z}G) \subset GL(\mathbb{Z}G)$$

$$\begin{cases} g \cdot g^{-1} = 1 \\ -g \cdot -g = 1 \end{cases}$$

Conjecture III If Γ is a torsion-free group, then $Wh\Gamma = 0$

conj I

(Chapman)

conj III

$(\pi_1(\text{asph. mfd}) \text{ is torsion free.})$
 $Wh(\pi_1 M) \xrightarrow{f: M \xrightarrow{HE} N} f: M \xrightarrow{HE} N$ If $f_* = 0 \in Wh\pi_1$, then
 f is SHE.



Example $\text{Wh } \Gamma \neq 0$ where Γ has torsion.

T_5 (cyclic group of order 5) $\text{Wh}(T_5) \neq 0$

Since T_5 is abelian, $\mathbb{Z}T_5$ is a commutative ring so that we can talk about determinant.

Let r be a generator of $T_5 = \{r, r^2, \dots, r^4 = 1\}$

$$\text{GL}_n(\mathbb{Z}T_5) \xrightarrow{\det} \text{Units}(\mathbb{Z}T_5)$$

commutators $\mapsto 1$ since det. is group homo.

$$\therefore [\text{GL}_n, \text{GL}_n] \subset \ker(\det).$$

$$\begin{array}{ccc} \text{GL}(\mathbb{Z}T_5) & \xrightarrow{\det} & \text{Units}(\mathbb{Z}T_5) \\ \downarrow & \dashrightarrow & \downarrow \\ K_1(\mathbb{Z}T_5) & \dashrightarrow & \text{Units}(\mathbb{Z}T_5) \\ \downarrow & & \downarrow \\ \text{Wh } T_5 & \dashrightarrow & \text{Units}(\mathbb{Z}T_5)/\pm T_5 \end{array}$$

Note that $1-r+r^2 \in \text{Units}(\mathbb{Z}T_5)$ with inverse $r+r^2=r^4$

$$\left(1-r+r^2 \neq 0 \in \text{Units}(\mathbb{Z}T_5)/\pm T_5 \right)$$

$\text{Wh } T_5 \rightarrow \text{Units}(\mathbb{Z}T_5)/\pm T_5$ is onto

Thus, $\text{Wh } T_5 \neq 0$. \blacksquare

* If G is an abelian group which contains an element of order $g \neq 1, 2, 3, 4, 6$, then $\text{Wh } G \neq 0$. [Cohen p44]



An $n \times n$ matrix A is elementary if

$$A = I + aE_{ij} \quad (\#) \quad [a \text{ may be } 0]$$

E_{ij} is the matrix which ~~non-zero~~ has only one non-zero entry at (i, j) .

$E(R) = \text{subgp of } GL(R)$ generated by elementary matrices.

Note: product of elementary matrices is not elementary.

Lemma $E(R) = [GL(R), GL(R)]$

$$\begin{pmatrix} 1 & a \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 & a \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & -a \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Conversely,

$$A \sim B \stackrel{\text{def.}}{\Rightarrow} \exists E_1, E_2 \in E(R) \text{ s.t. } A = E_1 B E_2$$

$$\text{Fr: } \begin{pmatrix} P_1 \\ P_2 \end{pmatrix} \sim \begin{pmatrix} P_1 + xP_2 \\ P_2 \end{pmatrix} \text{ by left mult. by } \begin{pmatrix} 1 & x \\ 0 & 1 \end{pmatrix}$$

$$\text{Fr: } \begin{pmatrix} P_1 \\ P_2 \end{pmatrix} \sim \begin{pmatrix} P_1 + P_2 \\ P_2 \end{pmatrix} \sim \begin{pmatrix} P_1 + P_2 \\ -P_1 \end{pmatrix} \sim \begin{pmatrix} P_2 \\ -P_1 \end{pmatrix}.$$

For $\forall A, B \in GL(R)$,

$$AB = \begin{pmatrix} AB \\ I \end{pmatrix} \sim \begin{pmatrix} AB & A \\ 0 & I \end{pmatrix} \sim \begin{pmatrix} 0 & A \\ -B & I \end{pmatrix} \sim \begin{pmatrix} 0 & A \\ -B & 0 \end{pmatrix}$$

$$BA \sim \begin{pmatrix} 0 & B \\ -A & 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & A \\ -B & 0 \end{pmatrix} \underset{\text{Fr}}{\sim} \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix} \underset{\text{Fr}}{\sim} \begin{pmatrix} 0 & B \\ -A & 0 \end{pmatrix} \therefore AB \sim BA.$$

If $E \in E(R)$, $X \in GL(R)$ then $(XE)X^{-1} \sim X^{-1}(XE) = E$

$$\therefore XEX^{-1} = E_1 E E_2 \in E(R).$$

$$(AB)(A^{-1}B^{-1}) = [E, BA]E^{-1}(BA)^{-1} = E, ((BA)E, (BA)^{-1}) \in E(R). \quad \blacksquare$$



$$K_1(R) = \frac{GL(R)}{E(R)}$$

$\checkmark K_1(\text{field}) = 0$ field \rightarrow \mathbb{A}

$K_1(\mathbb{Z}1) = \{\pm 1\}$ $\text{wh}(1) = K_1(\mathbb{Z}1)/\{\pm 1\} = 0$

any invertible matrix is diagonalizable via elem. matr.

Thm $\pi_1(\text{finite asph. CW comp})$ is torsion-free \rightarrow Poincaré duality group.

Pf.

Assume $\exists g \in \pi_1 X$ with $\text{ord}(g) = p$ prime. Let $\tilde{X} \rightarrow X$ be the universal cover. Then $\pi_1 X$ acts on \tilde{X} as covering transform. Let

$$T_p = \langle g \rangle \subset \pi_1 X.$$

T_p acts on (really) and cellularly on \tilde{X} . [cell-structure of \tilde{X} is given by that of X]. Let

$$\begin{aligned} C_i &= i\text{-th cellular chain group of } \tilde{X} \\ &= H_i(\tilde{X}^i; \tilde{X}^{i-1}) = H_i(\tilde{X}/\tilde{X}^{i-1}). \end{aligned}$$

claim C_i is a free $\mathbb{Z}T_p$ -module. [C_i is a free $\mathbb{Z}\pi_1 X$ module and $T_p \subset \pi_1 X$]

Since \tilde{X} is univ. cover of $X = \text{asph.}$, $\pi_1 \tilde{X} = 0$ all i . By Whitehead

\tilde{X} is contractible $\therefore 0 = H_i(\tilde{X}) = H_i(C_{\tilde{X}})$. Thus for $i > 0$. Thus

$$C_n \rightarrow C_{n-1} \rightarrow \cdots \rightarrow C_i \xrightarrow{d} C_{i-1} \rightarrow \cdots \rightarrow C_0 \rightarrow \mathbb{Z} \rightarrow 0$$

is exact. so it is a free $\mathbb{Z}T_p$ -resolution of $\mathbb{Z} = \text{trivial } \mathbb{Z}T_p$ -module

$$\therefore H_i(T_p; \mathbb{Z}) = 0 \text{ for large } i.$$

$$\text{But } H_i(T_p; \mathbb{Z}) = \begin{cases} \mathbb{Z}, & i = 0 \\ \mathbb{Z}_p, & i \text{ odd} \\ 0, & \text{otherwise} \end{cases}$$

$$\cancel{\text{if } H_i(T_p; \mathbb{Z}) = H_i(L(\Gamma'; \mathbb{Z}))} \quad \text{Get a contradiction. } \blacksquare$$



Calculation of $H_*(T_p, \mathbb{Z})$

$$\text{fp} = \dots \xrightarrow{\nu, \mathbb{Z}\overline{T_p} \xrightarrow{\cong}, \mathbb{Z}\overline{T_p} \xrightarrow{(\nu-g)^*} \mathbb{Z}\overline{T_p} \xrightarrow{1-g} \mathbb{Z}\overline{T_p} \xrightarrow{1} \mathbb{Z} \xrightarrow{\text{augmentation}} 0$$

$\nu = g + g^2 + g^3$

trivial $\mathbb{Z}\overline{T_p}$ -module

is a free $\mathbb{Z}\overline{T_p}$ resolution of \mathbb{Z} . Apply $\otimes_{\mathbb{Z}\overline{T_p}}(\mathbb{Z})$ to get

$$Q = \dots \rightarrow \mathbb{Z} \xrightarrow{c} \mathbb{Z} \xrightarrow{1-g} \mathbb{Z} \xrightarrow{0} \mathbb{Z} \xrightarrow{1} \mathbb{Z} \rightarrow 0$$

$$\therefore H_*(T_p, \mathbb{Z}) = H_*(\text{fp} \otimes_{\mathbb{Z}\overline{T_p}} \mathbb{Z}) = H_*(Q).$$

* How to associate a torsion elt to a homotopy equivalence

(Milnor's defn) $\pi C_x = \text{finite f.g. acyclic free chain complex with given basis over } A = \mathbb{Z}\pi.$

$$0 \rightarrow B_i \rightarrow C_i \xrightarrow{\partial} B_{i-1} \rightarrow 0$$

$\frac{0}{\mathbb{Z}_i}$

let c_i be the given base for C_i choose any bases $b_i^{b_i}$ for

Then $b_i b_{i-1} = b_i \delta(b_{i-1})$ is a base for C_i . Let

$$\tau(C_x) = \sum (-1)^i [c_i | b_i b_{i-1}] \in W_h(\pi)$$

This does not depend on the choice of b_i ($[a|b] = [a|b'] + [b'|b]$)

$$(\text{Cohen}) \quad C_{\text{even}} = \sum C_{2i} \xrightarrow{\partial + \delta} \sum C_{2i-1} = C_{\text{odd}}$$

$\tau(C_x)$ = matrix of $C_{\text{even}} \rightarrow C_{\text{odd}}$

wrt the preassigned bases.

For homotopy equivalences

Let $L \xrightarrow{f} K$ be cellular HE. Then $L \xrightarrow{\text{SHE}} M_f \cong K$
 (unit. coh ex)



Let $C_*(f) = C_*(\tilde{M}_f, \tilde{L})$ be the acyclic f.g. free chain complex over $\Lambda = \mathbb{Z}\pi_1 K$

$$\tau(f) \stackrel{\text{def}}{=} \tau(C_*(f))$$

special case

$$K \hookrightarrow L$$

Suppose K is a deformation retract of L , and $L-K$ consists of cells in 2-dimensions $s, s+1$ (by trading cells)

$\tilde{K} \subset \tilde{L}$, \tilde{K} is a deformation retract of \tilde{L} .

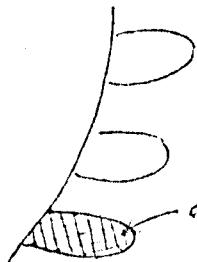
$$0 \longrightarrow C_{s+1}(\tilde{L}, \tilde{K}) \xrightarrow{\cong d} C_s(\tilde{L}, \tilde{K}) \longrightarrow 0$$

and there are free $\mathbb{Z}\pi_1 L$ -modules, d is $\mathbb{Z}\pi_1 L$ -linear

Let $e_1, \dots, e_n; \sigma_1, \dots, \sigma_n$ be $s; (s+1)$ -cells in $L-K$

let $\tilde{e}_1, \dots, \tilde{e}_n; \tilde{\sigma}_1, \dots, \tilde{\sigma}_n$ be a particular choice of cells in $\tilde{L}-\tilde{K}$ covering the above ones.

With these bases of $C_s(\tilde{L}, \tilde{K})$ and $C_{s+1}(\tilde{L}, \tilde{K})$, the matrix of d determines an elt of $Wh(\pi_1 L)$. Change of $\tilde{e}_i, \tilde{\sigma}_i$ corresponds to $\pm \pi_1(L)$ in $Wh(\pi_1 L) = K/\pm \pi_1 L$.



elementary expansion corresponds to $A \mapsto \begin{pmatrix} A & * \\ 0 & 1 \end{pmatrix}$

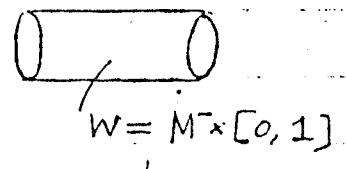
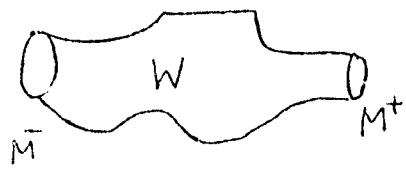
* Elt of $Wh(\pi_1 L)$ can be realized in this fashion "deformation retract".



Cobordism

Let W^{n+1} be a cpt (smooth) mfd with ≥ 2 ∂ -components M^- & M^+ .
 (W, M^-, M^+) is an h -cobordism if both of M^- and M^+ are deformation retract of W . (topological or smooth).

Kirby & Siebenmann



* M^+ is a deformation retract of W and $\pi_1 M^- \rightarrow \pi_1 W$ injective then (W, M^-, M^+) is an h -cobordism.

pf. Use Lefschetz Duality with compact support, & Whitehead thm ($\pi_* \rightarrow H_*$).

h -cobordism Thm

~~(if $\dim W \leq 4$)~~ let (W^n, V, V') be an h -cobordism with V and V' simply connected. If $n \geq 5$, then it is product and hence $V \cong V'$ diffeomorphic.

An h -cobordism (W, M^-, M^+) is called an s -cobordism if $\tau(M^- \subset W) = 0 \in Wh\pi_1 W$.

s -cobordism Thm

An h -cobordism (W, M^-, M^+) is product (diffeomorphic to $M^- \times [0, 1]$) iff $\tau(M^- \subset W) = 0 \in Wh\pi_1 W$. (for $\dim W \geq 5$)

* For known asph M^+ , construct another M^- using non-zero elt of $Wh\pi_1 M^+$. Then get $M^+ \not\subset M^-$ non SLE asph. mfd



§§ Wh (free abelian group) = 0

Let R be a ring with 1. "Grothendieck construction" for the class^{f.g.} of all f.g. projective R -modules is called $K_0(R)$.

$F(f)$ = free abelian group generated by isomorphism classes in f .

$H(f)$ = subgroup of $F(f)$ generated by $\{[M_2] - [M_1] - [M_3]$ where $0 \rightarrow M_1 \rightarrow M_2 \rightarrow M_3 \rightarrow 0$ exact.

Define $K_0(R) = F/H$.

1) Since $0 \rightarrow P \rightarrow P \oplus Q \rightarrow Q \rightarrow 0$ is exact, $[P \oplus Q] = [P] + [Q]$ in $K_0(R)$. i.e., $[P] + [Q] = [P \oplus Q]$

2) Universal property.

iso class $[f]$ $\longrightarrow K_0(R) = K_0(f)$

$$\begin{array}{ccc} x & \searrow & \exists \tilde{x} \\ x(M_0) = x(M_1) + x(M_2) & \downarrow G & \\ & \text{any abelian gp} & \end{array}$$

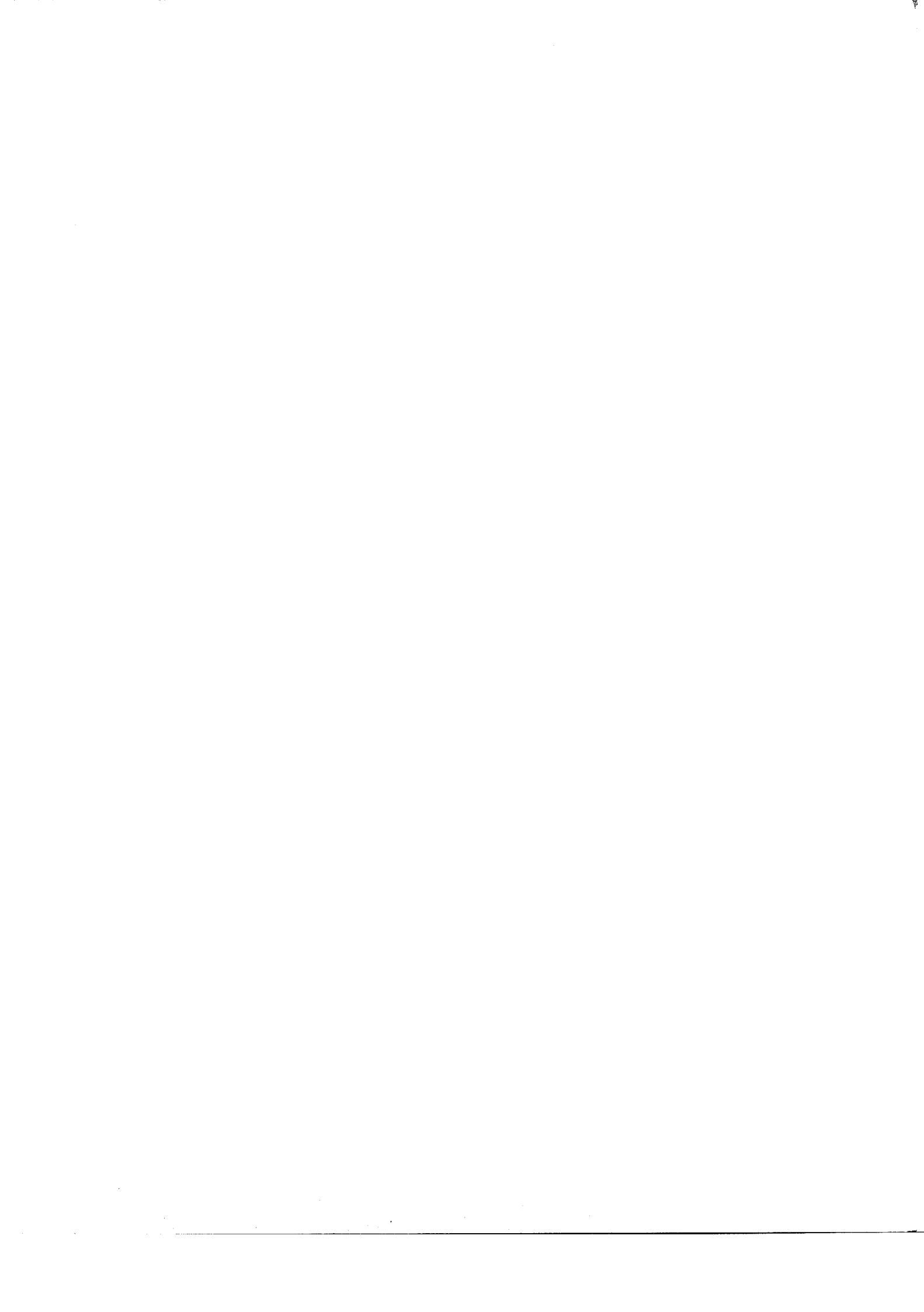
3) $K_0(\text{field}) \xrightarrow{\dim} \mathbb{Z}$

Def $\widetilde{K}_0(R) \stackrel{\text{def}}{=} K_0(R) / \text{subsp generated by the free modules}$
projective class group

elts of $\widetilde{K}_0(R)$ are weakly stable isomorphism classes of f.g. projective R -modules. i.e,

$[P] = [Q]$ in $\widetilde{K}_0(R)$ iff $P \oplus F_0 \cong Q \oplus F_1$ for some free F_0, F_1 .

Cf. $[P] = [Q]$ in $K_0(R)$ iff $P \oplus F \cong Q \oplus F$ for some free F .



I. (Bass-Heller-Swan) $\text{Wh}(\mathbb{Z} \oplus \mathbb{Z} \oplus \dots \oplus \mathbb{Z}) = 0$

II. (Serre) Let k be a field, $k[x_1, \dots, x_n]$ be the polynomial ring in n variables. Then

$$\tilde{K}_0(k[x_1, \dots, x_n]) = 0$$

i.e., for any projective f.g. $k[x_1, \dots, x_n]$ -module, \exists a free module F such that $P \oplus F$ is free.

[Due to Sullivan and Quillen, P is in fact free].

Another description of $K_0(R)$

$$K_0(R) = \text{idempotent matrices } A^2 = A \\ \text{proj. module} \xleftrightarrow{?} \text{idemp. matrix}$$

- Given proj. P , let $P \oplus Q = R^n$. Let $A = \text{matrix of } R^n \xrightarrow{\sim} P \hookrightarrow R^n$. Then A is idemp.
- Given idemp. matrix A , let $R^n \xrightarrow{\sim} A \rightarrow R^n$. Then $\text{im}(A)$ is a proj. module

$$A \oplus B = \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$$

$$\text{Nil } R = \{A \text{ f.g. } A^2 = 0 \text{ for some } n\}$$

= (iso class of nilpotent endo of f.g. free R -module)

Free abelian gp generated by $\{(F, \varphi) \mid F = \text{f.g. free } \varphi: F \xrightarrow{\sim} F \text{ is nilpt endo}\}$ $\xrightarrow{\sim}$ splitting do not commute w.r.t.

$$(F_1, \varphi_1) \sim (F_2, \varphi_2) \oplus (F_3, \varphi_3) \text{ if } 0 \rightarrow F_2 \rightarrow F_1 \xleftarrow{\varphi_1} F_3 \rightarrow 0 \quad \varphi_1 \circ \varphi_3$$

$$(F, \varphi) \mapsto \text{matrix of } \varphi = \text{nilpt.} \quad 0 \rightarrow F_2 \rightarrow F_1 \xleftarrow{\varphi} F_3 \rightarrow 0$$

$$\begin{pmatrix} A & 0 \\ 0 & R \end{pmatrix} \sim \begin{pmatrix} A & C \\ 0 & R \end{pmatrix} \text{ inverse } \xrightarrow{P, 20} \begin{pmatrix} A & 0 \\ 0 & 1 \end{pmatrix} \quad \begin{pmatrix} A & C \\ 0 & R \end{pmatrix} \sim \begin{pmatrix} A & C \\ 0 & 0 \end{pmatrix}$$



Thm 1 $K_1(R[x]) \cong K_1 R \oplus \text{Nil } R$

$R[x, x^{-1}] = \text{finite Laurent series}$

$T = \text{infinite cyclic group} \Rightarrow \mathbb{Z}[T] \cong \mathbb{Z}[x, x^{-1}]$

$$\mathbb{Z}[G \oplus T] \cong \mathbb{Z}[G][x, x^{-1}]$$
$$\sum n_i(g_i, x_i) \mapsto \sum (n_i g_i) x^{i_e}$$

Thm 2 $K_1(R[x, x^{-1}]) \cong K_1 R \oplus \text{Nil } R \oplus \text{Nil } R \oplus K_0 R$

(Atiyah-Bott pf of Bott Periodicity Thm)

$$X \longrightarrow C(X)$$

$$K_0(C(X)) \cong K^0(X) \leftarrow \text{topological } K^0$$

Thm 3 If R is a regular ring, then $\text{Nil } R = 0$

① R is Noetherian (\forall submodule is f.g.)

② $\forall R$ -module M has a proj. resol. of finite length.

Ex $\mathbb{Z}[\text{free abelian group}]$ is a regular ring.

Use Hilbert basis and Syzygy Thm.

$$\mathbb{Z}[\mathbb{Z} \times T] = \mathbb{Z}[G][x, x^{-1}]$$

Γ is free abelian in n variables $\Rightarrow \mathbb{Z}\Gamma = \mathbb{Z}[x_1, \dots, x_n, x_1^{-1}, \dots, x_n^{-1}]$

Thm 4 If $\text{Nil } R \neq 0$, then $\text{Nil } R$ is not f.g.

ex. of $R \ni \text{Nil } R \neq 0$

$\exists G = \text{f.g. abelian gp} \ni \text{Nil}(\mathbb{Z}G) = 0$
proc. AMS 1977.

① ② are corollaries of Thm 1 and 2.

In particular,

$G = \text{infinite cyclic} \oplus \text{cyclic of order 4}$

$\text{Nil}(\mathbb{Z}(T \oplus T_4))$ is not f.g.



$$f: R \rightarrow S$$

induces a group homo

$$f_*: K_1 R \rightarrow K_1 S$$

$$[A] \mapsto f[A] = [f(a_{ij})]$$

invertible matrix

$$[AB] \mapsto (f(A)B, \cdot)$$

$$(f(a_{ij}))f(b_{ij})$$

$$f_*: K_0 R \rightarrow K_0 S$$

$$[A] \mapsto [f(a_{ij})]$$

idempotent

For projective modules, this corresponds to the tensor product construction.

$$[P] \mapsto f_*[P] = [S \otimes_R P]$$

$$f_*: \text{Nil } R \rightarrow \text{Nil } S$$

$$[A] \mapsto [f(a_{ij})]$$

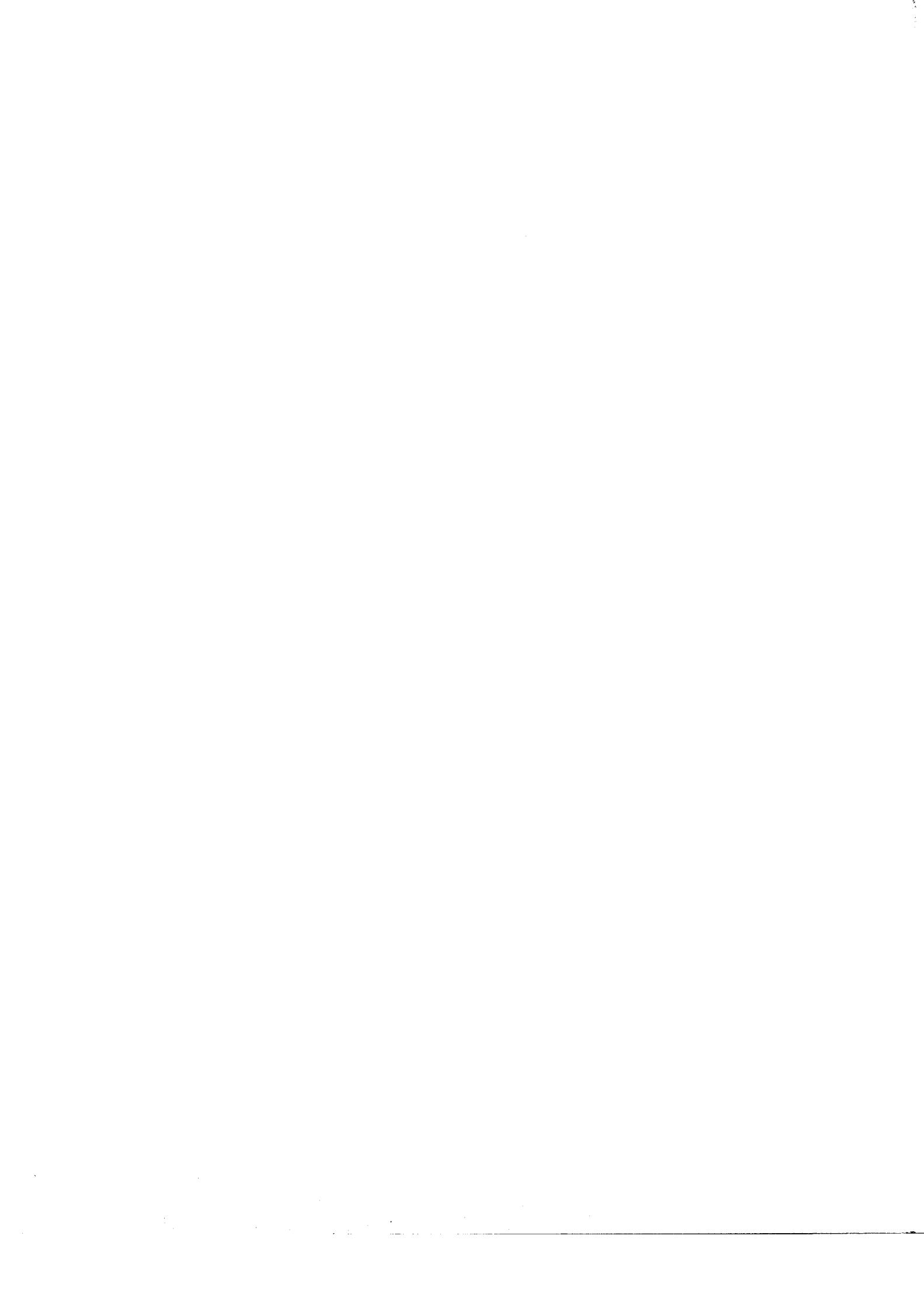
nilpot

For K_0 , suppose $P \oplus Q = R^n$ and let a matrix A corresponds to the map $R^n \rightarrow P \rightarrow R^n$. Then

$$S^n \cong S \otimes R^n \xrightarrow{\begin{smallmatrix} id \otimes A \\ f(A) \\ f(A) \end{smallmatrix}} S \otimes R^n \cong S^n$$

If $A = (a_{ij})$, then $(id \otimes A) = (1_S \otimes a_{ij})$

$$\therefore f(A) = (f(a_{ij})).$$



bf. of Thm 1

$$R[x] \xrightarrow{\epsilon} R \rightarrow 0$$

i : inclusion
 $\epsilon: p(x) \mapsto p(0)$
 $\epsilon \circ i = 1_R$ (spans)

$$\therefore R[x] = R \oplus \ker \epsilon.$$

so $K_i R$ is a direct summand of $K_i R[x]$.
(K_i preserves direct sum).

$$\text{Nil } R \longrightarrow K_1 R[x].$$

$$N \longmapsto I - Nx$$

$$(I - Nx)^{-1} = I + Nx + N^2x^2 + \dots + N^{n-1}x^{n-1} \quad (\text{if } N^n = 0) \quad \text{so that } I - Nx$$

is invertible.

Group homo; $(N) + (M) = \begin{pmatrix} N & 0 \\ 0 & M \end{pmatrix} \xrightarrow{\text{group hom}} \begin{pmatrix} I - Nx & 0 \\ 0 & I - Mx \end{pmatrix} = (I - Nx)(I - Mx)$

so we have embedded $K_i R$ and $\text{Nil } R$ into $K_1 R[x]$.

Want to show $\text{Nil } R$ and $K_i R$ generates $K_1 R[x]$.

For any $[A] \in K_1 R[x]$, where A is an invertible matrix with entries in $R[x]$,

$$A = A_0 + A_1x + A_2x^2 + \dots + A_nx^n, \quad (A_i = n \times n \text{ matrix in } R)$$

Use Higman's Trick:

$$A \sim \begin{pmatrix} A & 0 \\ 0 & I \end{pmatrix} \sim \begin{pmatrix} A & A_nx \\ 0 & I \end{pmatrix} \sim \begin{pmatrix} A - A_nx^n & A_nx \\ -x^{n-1} & I \end{pmatrix} = \begin{pmatrix} A_0 + A_1x + \dots + A_{n-1}x^{n-1} & A_nx \\ -x^{n-1} & I \end{pmatrix}$$

Thus, if $A \in GL_m(R[x])$ of degree n , then rhs $\in GL_{2m}(R[x])$ of degree $n-1$.

$$\begin{aligned} A &\equiv A_0 + A_1x + \dots + A_nx^n \sim \begin{pmatrix} A_0 & 0 \\ 0 & I \end{pmatrix} + \begin{pmatrix} A_1 & A_n \\ 0 & 0 \end{pmatrix}x + \begin{pmatrix} A_2 & 0 \\ 0 & 0 \end{pmatrix}x^2 + \dots + \begin{pmatrix} A_{n-2} & 0 \\ 0 & 0 \end{pmatrix}x^{n-2} + \begin{pmatrix} A_{n-1} & 0 \\ 0 & 0 \end{pmatrix}x^{n-1} \\ &\sim \dots \sim \begin{pmatrix} A_0 & & & \\ & I & & \\ & & \ddots & \\ & & & I \end{pmatrix} + \begin{pmatrix} A_1 & A_n & \dots & A_2 \\ 0 & & & 0 \end{pmatrix}x + \dots = \begin{pmatrix} A_0 + A_1x & A_nx & \dots & A_2x \\ 0 & I & \ddots & \dots \\ & & I & \dots \\ & & & I \end{pmatrix} \sim \begin{pmatrix} A_0 + A_1x \\ 0 \end{pmatrix} \end{aligned}$$



$\therefore [A] = [A_0 + A_1 x]$ with $A_0 \in GL(n, R)$.

$$(A(x) \cdot B(x) = I(x) \Rightarrow A(0) \cdot B(0) = I \Rightarrow A_0 B_0 = I \Rightarrow A_0 \text{ invertible})$$

$$[A_0 + A_1 x] = [A_0(I - B_1 x)], \quad B_1 = -A_0^{-1} A_1.$$

$$= [A_0] \oplus [I - B_1 x] \in K_1 R + \text{im Nil } R$$

$$I - B_1 x \in GL(R[x]) \subset GL(R[[x]]) \text{ power series.}$$

$$\left(GL(R[x]) \ni (I - B_1 x)^{-1} = I + B_1 x + B_1^2 x^2 + \dots \right. \\ \left. \text{in } GL(R[[x]]) \right)$$

This power series should terminate so that B_1 is nilpotent.

Pf of Thm 2

$$R \xrightarrow[i]{\sigma} R[x, x^{-1}] \quad \sigma: p(x) \mapsto p(1). \\ \sigma \circ i = 1_R$$

$$\text{Nil } R \longrightarrow K_1 R[x, x^{-1}]$$

$$N \longmapsto I - Nx$$

$$N \longmapsto I - Nx^{-1}$$

$$K_0 R \longrightarrow K_1 R[x, x^{-1}]$$

$$[A] \longmapsto xA + (I - A)$$

idempotent

invertible with inverse
 $x^{-1}A + (I - A)$

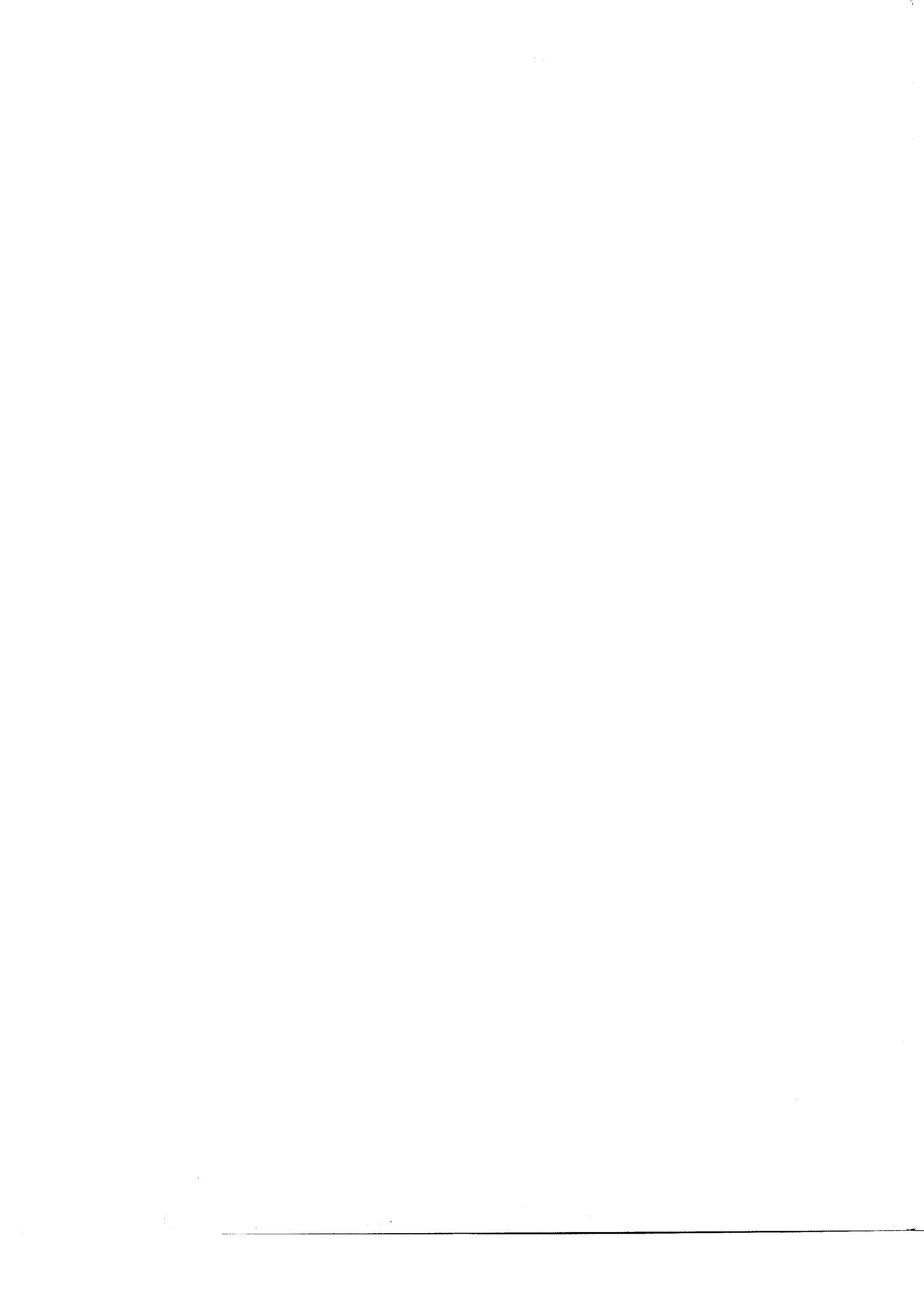
Construct maps the other way.

$$K_1 R[x, x^{-1}] \longrightarrow K_0 R$$

$$\left[\sum_{i=2}^{\infty} A_i x^i \right] \\ "A"$$

$$\exists n > 0 \text{ integer such that } x^n A = \left[\sum_0^m A_i x^i \right] \quad // B_{n \times n} \text{ matrix in } R[x]$$

$$\text{e.g. } (xI)(A_{-1}x^{-1} + A_0 + A_1 x + \dots) = A_{-1} + A_0 x + A_1 x^2 + \dots$$



B is not invertible (in $R[x]$) but determines an endomorphism of free $R[x]$ -module with n generators

$$B : (R[x])^n \rightarrow (R[x])^n.$$

claim $(R[x])^n / \text{im } B = \text{coker } B$ is a f.g. projective R -module
(has a nilpotent action of x).

(lemma) $M = R$ -module, $f, g : M \rightleftarrows R$ -endos. and f is 1-1. Then

$$0 \rightarrow \text{coh } g \rightarrow \text{coh } f \circ g \rightarrow \text{coh } f \rightarrow 0 \quad (R\text{-exact})$$

$$\therefore (f \circ g)(M) \subset f(M) \subset M.$$

$$0 \rightarrow \frac{f(M)}{f \circ g(M)} \rightarrow \frac{M}{f \circ g(M)} \rightarrow \frac{M}{f(M)} \rightarrow 0$$

\cong since f is 1-1

$$\frac{M}{g(M)}$$

□

Now, let $C = x^t B^{-1}$ ($B^{-1} \in R[x, x^{-1}]$), where t is sufficiently large so that C has entries in $R[x]$. Then

$$C B = x^t I = B C$$

Let $M = (R[x])^n$, $f = B$, $g = C$. B is 1-1 ?

$$0 \rightarrow \text{coh } C \rightarrow \text{coh } x^t I \rightarrow \text{coh } B \rightarrow 0$$

$\therefore \text{coh } B$ is f.g.

$$0 \rightarrow (R[x])^n \xrightarrow{\subset} (R[x])^n \rightarrow \text{coh } C \rightarrow 0$$

This is a free R -resolution (not f.g.) of $\text{coh } C$, so that

$$\dim_R (\text{coh } C) = 0 \text{ or } 1. \quad \therefore \text{coh } B \text{ is } R\text{-projective.}$$

$$0 \rightarrow \text{coh } B \rightarrow \text{coh } x^t I \rightarrow \text{coh } C \rightarrow 0$$

$\frac{1}{R^{nt}}$

□

Now define

$$K_1 R[x, x^{-1}] \longrightarrow K_0 R$$

$$[A] \longmapsto [\text{coh } B] - [R^{nt}]$$



To show $\text{N.R}, \text{Nil.R}, \text{N.R}, \text{k.R}$ generate $K_1(R[x, x'])$

Let $a = [A] \in K_1(R[x, x']), A \in \text{GL}(R[x, x'])$

$B = x^2 A$ has entries in $R[x]$.

$$B \sim B_0 + B_1 x \quad (B_0, B_1 \text{ matrix in } R)$$

$B_0 + B_1$ is invertible over R .

inv. is Laurent series w.r.t. x . $(\because R[x, x'] \xrightarrow{\text{def}} R, p(x) \mapsto p(1))$

$$(B_0 + B_1)^{-1} B = C + (I - C)x \quad R[x, x'] \xrightarrow{x \mapsto 1} B_0 + D$$

RHS is invertible on $R[x, x']$

Now $\exists m \text{ integer. } \Rightarrow C^m (I - C)^m = 0$.

$$R^n = \ker C^m \oplus \ker (I - C)^m$$

$$\begin{matrix} \text{onto} \\ \pi: R^n \end{matrix}$$

$$\text{top } \pi: R^n \xrightarrow{\pi} (R^n / C^m) \times (R^n / (I - C)^m)$$

$$C^m x = 0 \rightarrow (I - C)^m x = x \quad \mathbb{Z}[x]$$

$$g(a)x^m + g_{(a)}(1-x)^m = 1$$

$$g(C)C^m + g(C)(I - C)^m = 1$$

Thm 3 If R is regular, then $\text{Nil.R} = 0$.

Pf. $a \in \text{Nil.R. } a = [F, \varphi]$

f.g free module. $\varphi^e = 0$ for some e .

Filterate

$$0 = M_0 \subset M_1 \subset \dots \subset M_e \subset M_0 = F$$

$$M_i = \text{im}(\varphi^i)$$

Clearly, $\varphi(M_i) \subseteq M_{i+1}$.

$$0 \rightarrow M_1 \rightarrow F \rightarrow F/M_1 \rightarrow 0$$

$$\downarrow \hat{\varphi} \quad \downarrow \varphi \quad \downarrow \text{id}$$

$$0 \rightarrow M_1 \rightarrow F \rightarrow F/M_1 \rightarrow 0$$



If $M_1, F/M_1$ are f.g. free, then we would have

$$(F, \varphi) \sim (M_1, \hat{\varphi}) \oplus (F/M_1, 0) = (M_1, \hat{\varphi})$$

$$\text{so } (F, \varphi) \sim (M_1, \hat{\varphi}) \sim (M_2, \hat{\varphi}) \sim \dots \sim (0, 0).$$

~~that~~

We modify as follows for $(M_1, F/M_1, \text{f.g. free})$

case $s=2 \quad \varphi^2 = 0$.

$$0 \subset M = \text{im } \varphi \subset F.$$

Let $F/M = N$.

Since M, N are f.g., $\exists \hat{M}, \hat{N}$ [f.g.] free \Rightarrow

$$\begin{array}{ccc} \hat{M} & \xrightarrow{\quad \text{f.g.} \quad} & \hat{N} \text{ free} \\ \downarrow & \searrow & \downarrow \\ M & \xrightarrow{\quad \text{f.g.} \quad} & N \rightarrow 0 \\ \downarrow & \nearrow & \downarrow \\ 0 & & 0 \end{array}$$

$$\begin{array}{ccc} F = (\hat{M} \oplus \hat{N}) & \xrightarrow{\quad \text{f.g.} \quad} & \hat{M} \oplus \hat{N} \text{ in } A_M \\ p = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} & & \downarrow \\ F_0 & \xrightarrow{\quad \varphi \quad} & F \\ \downarrow & & \downarrow \\ 0 & & 0 \end{array}$$

$$\rightarrow \ker: F \xrightarrow{\quad \text{f.g.} \quad} F_0 \rightarrow 0$$

φ is nice nilpt ($\varphi^2 = 0$)

Can show F_0 free $(\hat{M} \oplus \hat{N}, \hat{\varphi}) = 0 \in \text{Nil } R$

$$\begin{array}{c} 0 \rightarrow \hat{M} \rightarrow \hat{M} \oplus \hat{N} \rightarrow \hat{N} \rightarrow 0 \\ \downarrow 0 \qquad \downarrow \hat{\varphi} \qquad \downarrow 0 \\ 0 \rightarrow \hat{M} \rightarrow \hat{M} \oplus \hat{N} \rightarrow \hat{N} \rightarrow 0 \end{array}$$

$$\begin{array}{ccc} \hat{\varphi}|_{\hat{M}} = 0 & & \text{lifting of } \varphi \\ (\hat{\varphi}|_{\hat{N}}) = \text{how define?} & & \\ \begin{array}{c} \hat{N} \xrightarrow{\quad \text{f.g.} \quad} \hat{M} \\ \downarrow \qquad \downarrow \\ N \xrightarrow{\quad \text{f.g.} \quad} M \\ \downarrow \qquad \downarrow \\ 0 \xrightarrow{\quad \text{f.g.} \quad} 0 \end{array} & & \begin{array}{c} N \xrightarrow{\quad \hat{\varphi}|_{\hat{N}} \quad} \hat{M} \\ \downarrow \qquad \downarrow \\ M \xrightarrow{\quad \varphi \quad} M \\ \downarrow \qquad \downarrow \\ 0 \xrightarrow{\quad \text{f.g.} \quad} 0 \end{array} \\ \text{lifting of } \varphi & & \end{array}$$

$$\hat{\varphi} = \begin{pmatrix} 0 & A \\ 0 & 0 \end{pmatrix}$$

Repeat the process, end up with M, N free.



Recall : inverse of (F_0, φ_0) in $\text{Nil } R$:

start with (F_0, φ_0) , make

$$0 \rightarrow (F_2, \varphi_2) \rightarrow (F_1, \varphi_1) \rightarrow (F_0, \varphi_0) \rightarrow 0$$

with $[(F_i, \varphi_i)]$ upper triangle ($\Rightarrow (F_1, \varphi_1) = 0$)

$$\begin{array}{ccccccc} 0 & \rightarrow & (F_2, \varphi_2) & \longrightarrow & (F_1, \varphi_1) & \longrightarrow & (F_0, \varphi_0) \rightarrow 0 \\ & & & & \parallel & & \parallel \\ & & (\hat{M} \oplus \hat{N}, \hat{\varphi}) & & (M, \varphi) & & \end{array}$$

(F_2, φ_2) is simpler than (F_0, φ_0) . That is,

claim \exists a submodule M' of F_2 such that $0 \subset M' \subset F_2$

$$\varphi_2(F_2) \subset M'$$

$$\varphi_2(M') = 0$$

and $\dim M' = \dim M - 1$

$$\dim F_2/M' = \dim F/M - 1.$$

$$F_2 = \ker p$$



$$M' = \ker p \cap M'$$

$$F_1 = \hat{M} \oplus \hat{N}$$



$$\varphi_2 = \hat{\varphi}/F_2$$

$$F_0 = F$$



$$0 \xleftarrow{\varphi} M' \subset F_2$$

$$\xleftarrow{\varphi} \quad \xleftarrow{\varphi}$$

$$0 \rightarrow M' \rightarrow \hat{M} \rightarrow M \rightarrow 0$$

$$0 \rightarrow F_2/M' \rightarrow \hat{N} \rightarrow N \rightarrow 0$$

Since \hat{M}, \hat{N} are free, $\dim M' = \dim M - 1$.

M is fg in a ring is regular.



Coro 1 (Bass-Heller-Swan, Serre, Grothendieck)

If R is a regular ring, then

$$K_0 R \cong K_0 R[x] \cong K_0 R[x, x^{-1}]$$

$$\begin{array}{ccccccc} 0 & \leftarrow & K_1 R[t, t^{-1}] & \xleftarrow{\delta_x} & K_1(R[t, t^{-1}][x]) & \leftarrow & \text{Nil } R[t, t^{-1}] \leftarrow 0 \\ & & \uparrow f \mid 1-1 & & \uparrow \text{II} & & \uparrow \text{II} \\ & & K_1(R[x][t, t^{-1}]) & & g \uparrow 1-1 & & 0 \\ & & \xleftarrow{\epsilon_x} & & & & \text{since } R[t, t^{-1}] \text{ is regular if} \\ & & K_0 R & & & & \text{so is } R \text{ by Hilbert Thm.} \\ & & p(t) & \xleftarrow{\epsilon} & p(x) & & \end{array}$$

$\therefore K_0 R \xleftarrow{\cong} K_0 R[x]$. if commutes.

Need to check commutativity of above diagram.

Let $a = [A] \in K_0 R[x]$, $A^2 = A$ matrix with entries in $R[x]$

$$A \stackrel{\text{let}}{=} A_0 + A_1 x + \cdots + A_n x^n$$

$$E_x[A] = [A_0]$$

$$f[A_0] = [xA_0 + (I - A_0)]$$

$$g[A] = [xA + (I - A)]$$

$$= [xA_0 + xA_1 x + \cdots + xA_n x^n + (I - A_0) + -xA_1 - x^2 A_2 - \cdots - x^n A_n]$$

$$\sigma_x[\quad] = [xA_0 + (I - A_0)]$$

Thus, $K_0 R \xleftarrow{\cong} K_0 R[x]$.

field.

$$\text{corr. } K_0 k[x_1, \dots, x_n] \cong 0$$

$$\therefore h[x_1, \dots, x_n] = h[x_1, \dots, x_{n-1}][x_n]$$

$$K_0 h[x_1, \dots, x_n] \cong K_0 h[x_1, \dots, x_{n-1}]$$

$$\cong K_0 k$$

$$= T.$$

$$R \longrightarrow R[x] \longrightarrow R[x, x^{-1}]$$

$$1 \longleftarrow x$$

$$K_0 R \longrightarrow K_0 R[x] \longrightarrow K_0 R[x, x^{-1}] \rightarrow 0$$

splitting

$$k \subset k[x_1, \dots, x_n]$$

$$ix: K_0 k \xrightarrow{\cong} K_0 h[x_1, \dots, x_n]$$

$$p \mapsto p \otimes h[x_1, \dots, x_n]$$

for any $a \in K_0 k[x_1, \dots, x_n]$

if $b = [F]$, then

$\Gamma \cap F = \Gamma_F$



Coro 1. If R is regular, then

$$K_0 R \cong K_0 R[x] \cong K_0 R[x, x']$$

It remains to show that $\sigma: R[x] \hookrightarrow R[x, x']$ induces
epi: $K_0 R[x] \rightarrow K_0 R[x, x']$

Let $a = [P] \in K_0 R[x, x']$ where P is f.g. proj. $R[x, x']$ -mod

Can find $F_i = \text{free } R[x, x']\text{-module} \ni$

$$F_i \xrightarrow{A} F_0 \rightarrow P \rightarrow 0$$

\xrightarrow{K} f.g. since $R[x, x']$ is Noetherian. P. II ??

$A = n \times m$ matrix over $R[x, x']$

$B = x^s A$ for ~~matrix~~ s sufficiently large, B has entries in

$\text{im } B = \text{im } A$ [\because multpl. by x^s is an iso.]

$$F_i \xrightarrow{B} F_0 \rightarrow P \rightarrow 0$$

$F_i = \text{free } R[x, x']\text{-module, } B$

Get \hat{F}_i be free $R[x]$ -module \ni

$$F_i = \hat{F}_i \otimes_{R[x]} R[x, x']$$

$\text{rk } F_i = \text{rk } \hat{F}_i$. Then

$$\hat{F}_i \xrightarrow{B} \hat{F}_0 \rightarrow M \rightarrow 0 \quad (- \otimes_{R[x]} R[x, x']) \text{ is right exact}$$

$M = \text{cok } B$ is f.g.

Claim $M \otimes_{R[x]} R[x, x'] \cong P$

Thus \exists resolution

$$0 \rightarrow P_n \rightarrow P_{n-1} \rightarrow \dots \rightarrow P_0 \rightarrow M \rightarrow 0$$

$\otimes M$ by f.g. proj. $R[x]$ -module

Claim $0 \rightarrow P_n \otimes_{R[x]} R[x, x'] \rightarrow \dots \rightarrow P_0 \otimes_{R[x]} R[x, x'] \rightarrow M \otimes_{R[x]} R[x, x'] \rightarrow 0$
is still exact.



(Pf. of claim) $R[x, x^{-1}]$ is a flat $R(x)$ -module.

$$\therefore N_0 = R[x] \subset R[x, x^{-1}]$$

S1

$$N_1 = \left\{ \frac{a_{-1}}{x} + a_0 + a_1 x + \dots \right\}$$

S1

$N_2 = \text{all Laurent series with lowest term of degree } -2$

S1

$$R[x, x^{-1}] \cong \bigcup_{i=0}^{\infty} N_i$$

$$\sigma_x[P] = [P \otimes_{R[x]} R[x, x^{-1}]]$$

From (*),

$$[P] = \sum (-1)^i [P \otimes_{R[x]} R[x, x^{-1}]] = \sum (-1)^i \sigma_x[P_i]$$

QED of cor.

Cor. 2 $\text{Wh}(\text{free ab. gp}) = 0$.

✓ Pf. Sufficient to show Γ is f.g.?

Assume Γ = free ab. gp in m generators.

$$\Gamma = \Gamma_0 \times T, \quad \Gamma_0 = \text{free of rk } n-1, \quad T = \text{infinite cyclic.}$$

$$\mathbb{Z}\Gamma = \mathbb{Z}(\Gamma_0 \times T) = (\mathbb{Z}[\Gamma_0]) [x, x^{-1}]$$

$$K_1 \mathbb{Z}\Gamma = K_1(\mathbb{Z}[\Gamma_0])(x, x^{-1}) = K_1 \mathbb{Z}[\Gamma_0] \oplus K_0 \mathbb{Z}[\Gamma_0] \oplus \text{Nil } \mathbb{Z}\Gamma_0 \oplus \text{Nil } \mathbb{Z}\Gamma_0.$$

By Hilbert Basis & Syzygy Thm, $\mathbb{Z}\Gamma_0$ is regular ($\Rightarrow \text{Nil } \mathbb{Z}\Gamma_0 = 0$)

$$\mathbb{Z}[x_1, \dots, x_{n-1}, x_1^{-1}, \dots, x_{n-1}^{-1}]$$

✓ $K_0 \mathbb{Z}\Gamma_0 = \infty$ -cyclic.

$$\therefore K_1 \mathbb{Z}\Gamma = K_1 \mathbb{Z}\Gamma_0 \oplus T. \quad \text{reduction formula}$$

(free ab. of n -gen.) (free ab. of $(n-1)$ -gen.)

$$K_1 \mathbb{Z}\Gamma = K_1 \mathbb{Z} \oplus T^n \quad K_1 \mathbb{Z} = \{\pm 1\}.$$

$$= K_1 \mathbb{Z} \oplus \Gamma = \pm \Gamma \quad (\Gamma \oplus \Gamma)$$

$$\therefore \text{Wh}(\Gamma) = K_1 \mathbb{Z}\Gamma / \pm \Gamma = 0.$$



Thm 4 If R is a ring with 1, then either $\text{Nil } R = 0$ or not f.g.

(By analyzing transfer maps)

$$\sigma: \mathbb{R} \subset S$$

$$K_1 R \xleftarrow{\sigma^*} K_1 S$$

Setting $U = \bigcup_{n \geq 1} R[x^n]$, $S = R[x]$

inclusion

$$R[x^n] \xrightarrow{\sigma} R[x] \xrightarrow{\epsilon: p(x) \mapsto p(0)}$$

Have

$$K_1 R[x^n] \xleftarrow{\sigma_n^*} K_1 R[x]$$

$$\begin{array}{ccc} & \downarrow (\epsilon_n)_* & \\ K_1 R & \xlongequal{\sigma_n^*} & K_1 R \end{array}$$

Nil R

(Fact 1°) $(\sigma_n)_*(\ker \epsilon_n) \subseteq \ker \epsilon_x$ (Swan) (In fact, \ker is nil g.p. 2)

(Fact 2°) $(\sigma_n)^*(\sigma_n)_*$ is multpl. by n

(Fact 3°) Given $a \in \ker \epsilon_x$, $\exists N > 0 \Rightarrow (\sigma_n)^*(a) = 0$ for all $n \geq N$
 'Special fact. (AMS. use matrix identity)'
paper

Pf of Thm. Assume $\text{Nil } R$ is f.g. and show $\text{Nil } R = 0$

$\exists N > 0 \Rightarrow (\sigma_n)^*(\ker \epsilon_x) = 0$ provided $N \leq n \geq N$

So, if $n > N$, $\ker(\epsilon_n)_*$ multpl. by n is 0-map.

$$\begin{array}{ccccc} \ker(\epsilon_n)_* & \xrightarrow{(\sigma_n)^*} & \ker \epsilon_x & \xrightarrow{(\sigma_n)^*} & \ker(\epsilon_n)_* \\ & \xrightarrow{\text{mult by } n} & & & \text{0-map} \end{array}$$

Exponent $\ker(\epsilon_n)_*$ divides n . ($n \geq N$) $\Rightarrow \text{Nil } R = 0$.

$$*\text{ Nil } (\mathbb{Z}(T \oplus T_4)) \neq 0$$



Nil (\mathbb{Z}/\mathbb{Z}_4) not known

Alt Wh (f.g gp) is not f.g.

Bass-Murphy
Annals of Math 1965

$$Wh(\pi_1 T^n) = 0 \quad T^n = S^1 \times \dots \times S^1 \text{ } n\text{-torus (asph mfd)}$$

\therefore asph. mfd with $\pi_1 \cong$ to $\pi_1 T^n$ is homeo. $n \geq 4$

Thm. Surgery
(Wall, Hsiang-Shanmou)

A closed asph. mfd with abelian fund. gp and $n > 4$,

then M^n is homeo to T^n .

π_1 (asph) = torsion-free

(Not true for diffeo, PL) $\leftarrow T^n \# \Sigma^n$.

free.

$\therefore M \overset{\text{homeo}}{\approx} T^n$

$S(M^n)$ set of equiv. classes of the following objects.

object: a homotopy structure on M is a mfd N^n together with
a homotopy equivalence $f: N \rightarrow M$. (N, f) .

equiv. relation: Two structures $N \xrightarrow{f} M$ & $K \xrightarrow{g} M$ are
equivalent if \exists (homeo) $F: N \rightarrow K \Rightarrow \begin{array}{c} N \xrightarrow{f} M \\ F \downarrow \cong \uparrow g \\ K \xrightarrow{g} M \end{array}$ hty comm.

If M has ∂ ,

object $N \xrightarrow{f} M^{(HB)}$ also requires $f|_{\partial N}$ is a homeo onto ∂M
relation $g \circ f|_{\partial N} \cong f|_{\partial N}$.

Thm says $S(T^n)$ has only one elt $T^n \xrightarrow{1} T^n$.

Milnor's examp

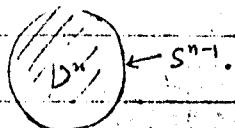
- 1° Alexander trick (good for top. PL-category but fails for)
- 2° Poincaré conjecture (difficulty dim 3 & 4)
- 3° Fibering thm. (..., dim 3, 4, 5).



Alexander trick

$$D^n = \{x \in \mathbb{R}^n \mid |x| \leq 1\}$$

$$S^{n-1} = \{x \in \mathbb{R}^n \mid |x| = 1\}$$



Any homeo $S^{n-1} \#$ extends to a homeo $D^n \#$ (also true)

Poincaré conjecture

$\pi_1(D^n)$ has only one elt. \leftarrow Alexander trick
 \downarrow
 Poincaré conj.

a homotopy S^n is homeo to S^n fails for smooth even for high
 \downarrow
 (+true also for pl) true if $n > 4$.

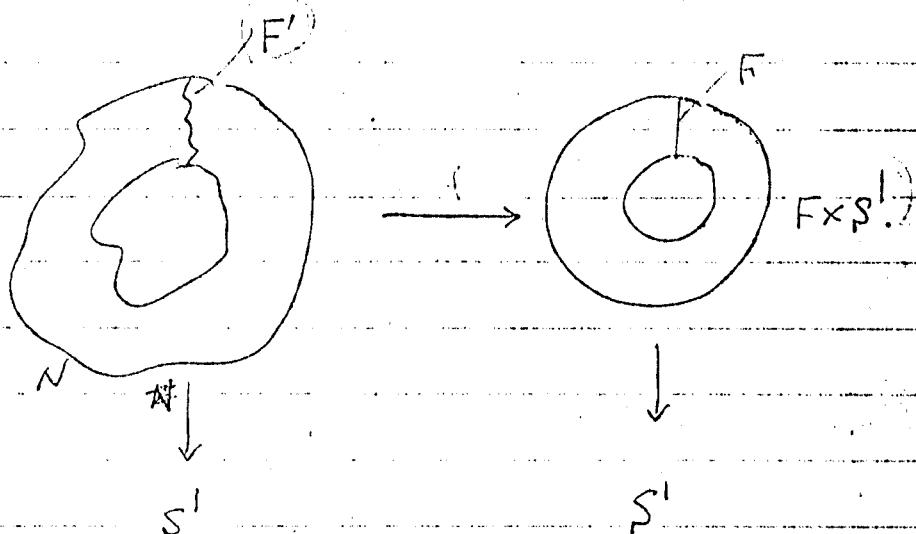
Fibering thm ($n > 5$) unknown $n = 4, 5$.

$$N \xrightarrow{f} F^{n-1} \times S^1$$

he. mfd

Then $N = F' \times S^1$? No, in general

$\Rightarrow N$ fibers over S^1 ?



When N is cut by some ~~submfld~~ codim 2 submfld, result is $F' \times [0, 1]$

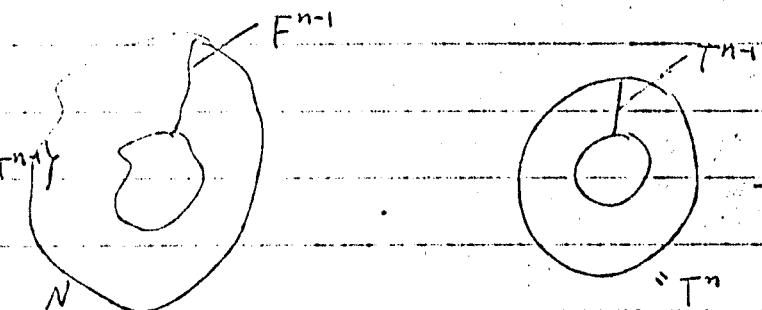
True if f is a simple hty equiv





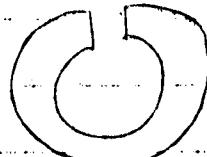
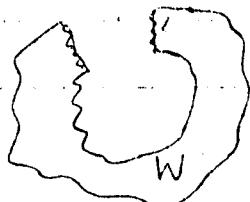
$$\begin{array}{ccc}
 F^{n-1} & \xrightarrow{\text{h.e.}} & T^{n-1} \\
 \downarrow f & & \downarrow \\
 N^n & \xrightarrow{\text{h.e.}} & T^n = T^{n-1} \times S^1 \\
 \downarrow & & \downarrow \\
 S^1 & & S^1
 \end{array}$$

By induction hypo,
 (assume $\delta(T^{n-1}) = \{T^{n-1} \rightarrow T^{n-1}\}$)



$$F^{n-1} \xrightarrow{f'} T^{n-1}$$

is htpic to a fibration $f: F^{n-1} \rightarrow T^{n-1}$



$$F^{n-1} \times [0,1] \rightarrow T^{n-1}$$

II

$$T^{n-1} \times [0,1]$$

Need $\delta(T^{n-1} \times [0,1]) = 1$.

(Statement)_n: $\delta(T^n \times D^n)$ has only one elt.

Exercise Using 1°, 2°, 3° prove above statement (use surgery theory)

$F^{n-1} \times [0,1] \xrightarrow{\text{if } f' \text{ is htpic to a fibration } F^{n-1} \times [0,1] \rightarrow T^{n-1} \times [0,1]} T^{n-1} \times [0,1]$ is htpic to a homeo $F^n \times [0,1] \rightarrow T^n \times [0,1]$.
 i.e. with a homeo.

↓ 2 - homeo

$$F^n \rightarrow T^n$$

is \cong to homeo.



(Proc. Cambridge Phil. Soc.) Matumoto - Siebenman.

Top's'l \$S\$-cobordism is false in either 4 or 5 with base a mfld-\$\partial\$.

$$Wh(T \times T_2) = Wh(T_2) + K_0(\mathbb{Z}T_2) + 2 \text{Nil}(\mathbb{Z}T_2)$$

Any. \$\mathbb{Z}_2\$-module is \$\mathbb{Z}[\mathbb{Z}_2], \mathbb{Z}

If \$G\$ is finite abelian & order divisible by a sign
 $0 \neq \text{nil}_n(\mathbb{Z}G) \subseteq \text{nil}(\mathbb{Z}G \otimes \mathbb{Z})$

1° is true for PL. So 2° or 3° is false for \$n=4, 5\$.

Thm \$J(T^n \times D^m)\$ has only one elt. if \$m > 4\$. [by induction on \$m\$]

\$R^{\text{ring}}\$, \$v_n\$, can define \$K_n R\$.

Def \$n < 0\$: \$K_{-1} R = \text{coker } (K_0 R[x] \oplus K_0 R[x^{-1}] \rightarrow K_0 R[x, x^{-1}])\$
 (Base) analogues of Bass-Heller-Swan Thm.

\$K_n R = \text{coker } (K_{n+1} R[x] \oplus K_{n-1} R[x^{-1}] \rightarrow K_{n+1} R[x, x^{-1}]) : n < 0\$.

$$\begin{array}{ccccc} K_1 R[x] \oplus K_1 R[x] & \longrightarrow & K_1 R[x, x^{-1}] & \longrightarrow & K_0 R \longrightarrow 0 \\ \overset{\oplus}{\downarrow} & & \overset{\oplus}{\downarrow} & & \overset{\oplus}{\downarrow} \\ K_1 R & & K_1 R & & K_0 R \\ \oplus & & \oplus & & \oplus \\ \text{Nil } R & & \text{Nil } R & & 2 \text{Nil } R \\ & & & & \oplus \\ & & & & K_0 R \end{array}$$

Def (topological)

$$K_{-1} X = K_0 \Sigma X$$

$$K_0 R \cong K_1 (\Sigma R)$$

$$K_n X = K_0 (\Sigma \Sigma \dots \Sigma X)$$

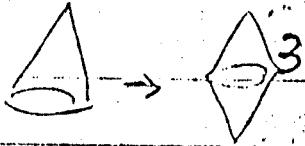
$$K_{-n} R \cong K_0 (\Sigma \Sigma \dots \Sigma R)$$

Difficult to define higher \$K_i\$'s

Suspension of \$R\$



topl suspension. $X \subset \Sigma X \rightarrow \Sigma X / X = \Sigma X$



susp'n of Rings

$M_\infty(R)$ = infinite matrices

$$M_\infty(R) \cong \begin{pmatrix} 1 & 2 & \dots \\ 0 & 1 & \dots \\ 0 & 0 & \ddots \\ \vdots & \vdots & \vdots \end{pmatrix}$$

CR = matrixes locally-finite. (\forall row & column has finite non-zero entries)

Imbedding of R (as an ideal) in CR .

MR = const. matrixes with only a finite no. of non-zero entries

$M(R)$ is a two-sided ideal in CR

$\nsubseteq 1$

Ring with 1

$$\Sigma R = CR / MR.$$

Thm $K_0 R \cong K_1(\Sigma R)$

Can define $K_n R = K_0(E - \Sigma R)$.

Quillen's Defn

$K_i R$ ($i > 1$)

$R \xrightarrow{\text{associate}} GL(R)$ trivial

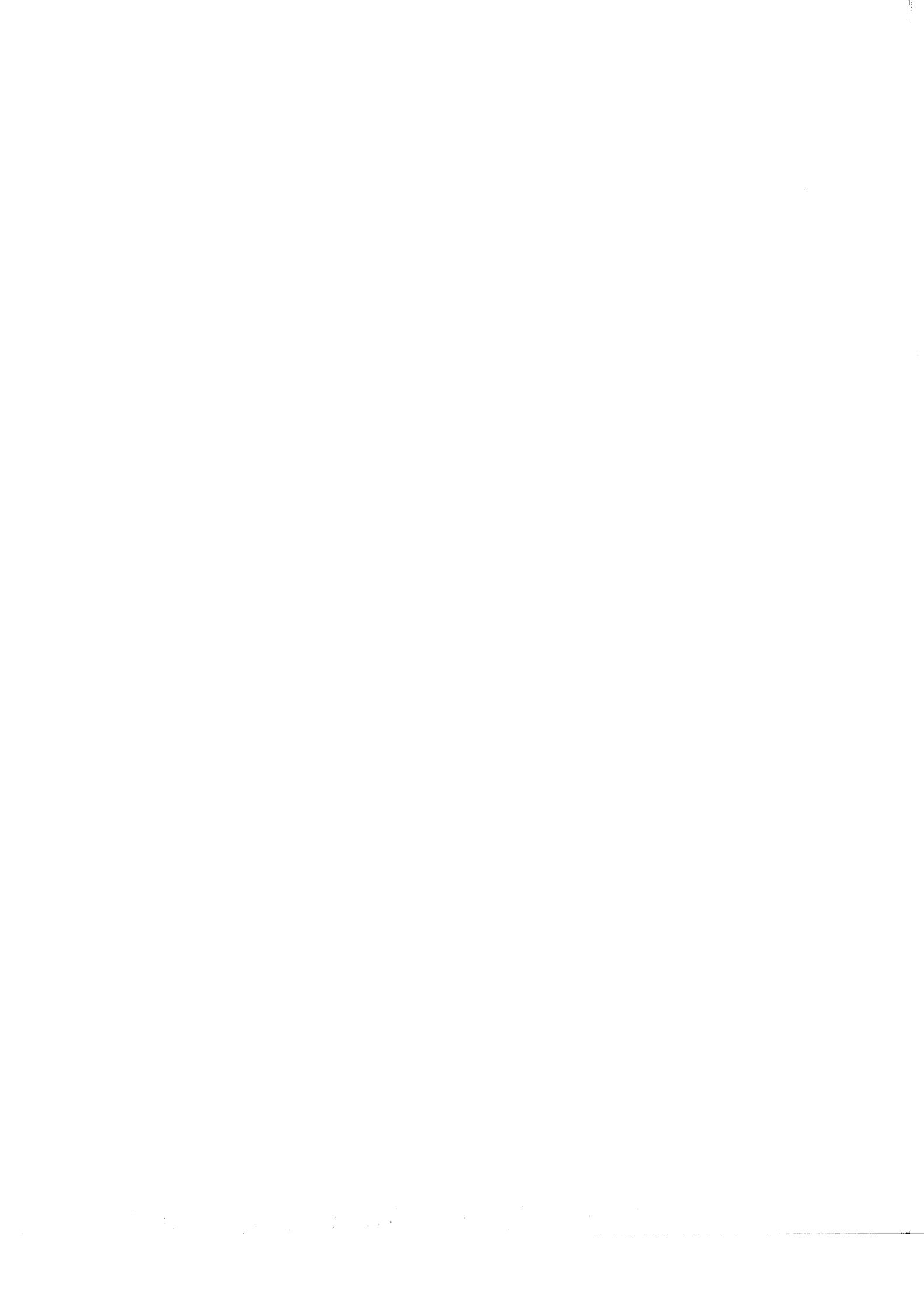
$$K_1 = GL(R) / [GL(R), GL(R)] = H_1(GL(R); \mathbb{Z})$$

(K_i looks like higher k'ty grps)

X : top'l space $[\pi_1(X), \pi_1(X)]$ is perfect ($A \subset \text{perf} - B, A \cap B = \emptyset$)

Can construct a space X^+ and a map $X \xrightarrow{\varphi} X^+ \supset$

$$\text{① } \pi_1 X^+ = \pi_1 X^{ab}$$



② η induces an iso on homology. (with \mathbb{Z} & twisted coeff)
With \vee local system of coeff. coming from $\pi_1 X^+$.

confr. $X \rightarrow X^+$

attach 2-cells to kill commutator, and add 3-cells
(same # of 2-cells \leftarrow perfect) and kill the appearing \mathbb{Z} homology
when adding 2-cells.

Note $[GL(R), GL(R)] = E(R)$ is perfect.

$$\pi_1 BGL(R) = GL(R)$$

Define $K_i R = \pi_i(BGL(R))^+$

C Wagoner — Topology 1972

Sketch

$K_i \Sigma R = K_0 R$. This is true for higher $K_{i+1} \Sigma R = K_i R$.

$$\Omega BGL(\Sigma R)^+ = BGL(R)^+ \times K_0 R \quad \text{Delooping prop}$$

$GL(CR) \simeq \text{unit } CR$

\rightarrow can show $H_i(\text{unit } CR, \mathbb{Z}) = 0, i > 0$

Cone ring is contractable.

Poly \mathbb{Z} -sp.



topological $\#$ -cobordism on either $S^1 \times P^2$ or $S^1 \times S^1 \times P^2$ which is not a product.

$\text{Nil } Z(T_2) = 0$? geometric meaning?

Thm (Wall, Hsiang-Shanm) $\delta_{\text{Top}}(T^n) = 0$ if $n > 4$. false for PL

Thm $\delta_{\text{Top}}(T^n \times D^m) = 0$ if $m > 4$ (without surgery.) geometrically Smale's Handlebody, Using Alex, Pois, Fiberif. (Thm + Bott periodicity) \Rightarrow Thm true for PL. one or two of them is false.

Periodicity Thm. false for PL

Surgery (i) If M is a mfd- ∂ , connected, then

$$\delta_{\text{Top}}(M \times D^4) \xleftarrow{\sim} \text{Topological } \delta(M) \quad (\dim M > 4)$$

(ii) If M is closed, $\dim M > 4$, then

$$|\delta_{\text{Top}}(M)| \leq \delta_{\text{Top}}(M \times D^4). \quad \begin{cases} \text{(Kirby \& Siebenrock)} \\ \text{or } \Sigma \in \phi \text{ mis-state} \end{cases}$$

False for PL-top.

Meaning of Periodicity Thm. (Wall, Sullivan)

(Sketch of periodicity)

Characteristic Variety.

$\delta(M)$ is ab. gp.

\exists exact seq. of ab. gps.

sort of H-type.

$$[M \times [0,1], \mathcal{G}_{\text{Top}}] \rightarrow L_n(\pi_1 M) \rightarrow \delta(M) \rightarrow [M, \mathcal{G}_{\text{Top}}] \rightarrow L_n(\pi_1 M)$$

(Wall)
Hermitian

$\partial(\cdot) \rightarrow *$

$\partial M \rightarrow *$

period 4 by def.

(Sullivan)

has period 4
alg. variety.

$$[M \times D^4, \mathcal{G}_{\text{Top}}]$$

map preserves

periodicity (has
Sullivan, Quillen)



$$[M, \mathbb{G}/\text{Top}] \otimes \mathbb{Q} = \bigoplus_{\substack{i \in \pi_1(M) \\ \text{mod } 4}} H^i(M, \mathbb{Q})$$

Sullivan's char variety theorem / simply connected.

Let M^n be a closed smfd ($n > 4$). Then \exists a collection of "submfds" \mathcal{S} of M (called characteristic variety for M) such that for \forall hty equivalence $f: N^n \xrightarrow{\sim} M^n$. If f can be split along each elt of \mathcal{S} , then $f|_{N \cap \text{small open disk}} \cong$ homotopic to a homo to $(M - \text{open disk})$

$$L_n(1) = \begin{cases} 0 & n \text{ odd} \\ \mathbb{Z}_2 & n=2 \\ \mathbb{Z} & n=4 \end{cases}$$

"split" $N \xrightarrow{f} M$, $\Sigma \in \mathcal{S}$ closed submfld of M .

$$\begin{array}{ccc} N & \xrightarrow{f} & M \\ \downarrow & & \downarrow \\ j^*(\Sigma) & \xrightarrow{j^*} & \Sigma \end{array}$$

don't need ambient
need transversality.

Since $L_c(\pi_1 \Sigma) \xrightarrow{\text{forget}} L_c(1) = \{ \pm \}$
surgery strat. of Σ

$\{S^n \times *, * \times S^m, S^n \times S^m, *\}$ is a char. var. of $S^n \times S^m$.

$$\{*, \mathbb{C}\mathbb{P}^1, \mathbb{C}\mathbb{P}^2, \dots, \mathbb{C}\mathbb{P}^{n-1}\} \quad \mathbb{C}\mathbb{P}^n$$

Poly Z-sp Johnson-Stasheff

Amsterdam Conf. 1970 Spring LN 197



Conjecture: Suppose Γ is π_1 of a closed asph. mfd. and Γ' is torsion-free gp containing Γ as a subgp of finite index, then Γ' is the π_1 of a closed asph. mfd.

$$\pi_1(M) = \Gamma \leq \Gamma' \xrightarrow{\text{finite}} \Gamma' = \pi_1(N) \xrightarrow{\text{torsion free}} \text{closed asph. mfd.}$$

for some N

Special case: Γ is π_1 of 2-mfd.

problem in Fuchsian gp. $SL_2(\mathbb{R})$

Next approach doesn't work for special case.

$$M^2 \xrightarrow{f} B\Gamma'/\text{poincare mfd}$$

2 dim coh. \mathbb{Z}

induces \cong on H^2 .

By surj. on high dim can get a

wylded $(M^2 \times S^1 \times S^1 \times S^1)^{\text{fixed}} \xrightarrow{\sim} B\Gamma' \times S^1 \times S^1 \times S^1$

$$L_5(\Gamma' \times T \times T \times T)$$

End up with \exists closed 5-mfd $N^5 \xrightarrow{\sim}$ $B\Gamma' \times S^1 \times S^1 \times S^1$.

$$F^4 \rightarrow N^5 \xrightarrow{\text{weld}} F^4 \times B\Gamma' \times S^1 \times S^1 \quad \text{No.}$$

Indication of Special case.

$$S \sim B\Gamma' \times S^1$$

(Wilder)

\downarrow (Stallings)

$\widetilde{B\Gamma'}$ \doteq one pt cptification. \cong conjecture may be true.

Torsion free case is o.k. (Raymond Taylor)

Teichmuller space

Given Fuchsian gp Γ , extn. of Γ by finite gp

$$I \rightarrow \Gamma \rightarrow H \rightarrow F \rightarrow I$$

Zieschang, Heiner

Math. Z 151 (1976)
no. 2 165-188

$\begin{cases} \text{pf monic} \\ \text{gen. gp} \end{cases} \xrightarrow{\text{outp}} \Rightarrow \Gamma' \text{ fuchsian?}$
detain exch.



poly \mathbb{Z} -group.

Γ is a poly- \mathbb{Z} -gp if it has a filtration

$$1 = \Gamma_0 \subset \Gamma_1 \subset \Gamma_2 \subset \dots \subset \Gamma_n = \Gamma$$

such that ① $\Gamma_i \triangleleft \Gamma_{i+1}$

② Γ_{i+1}/Γ_i is infinite cyclic.

A virtual poly \mathbb{Z} -group is a gp containing a poly- \mathbb{Z} subgp of finite index.

1° $\Gamma = \text{poly } \mathbb{Z} \Rightarrow \text{Wh}(\Gamma) = 0$.

2° (likely) Γ : torsion free virtual poly- \mathbb{Z} $\Rightarrow \text{Wh}(\Gamma) = 0$

e.g. torsion free virtual poly \mathbb{Z} which is not poly

$$\begin{array}{ccccccc} 1 & \rightarrow & \mathbb{Z}^3 & \rightarrow & \Gamma & \xrightarrow{\pi_1} & \text{Mfd of some 3-mfd.} \\ & & & & \downarrow & & \\ & & & & \mathbb{Z}_2 \oplus \mathbb{Z}_2 & \rightarrow & \\ & & & & H_1(\Gamma) = \mathbb{Z}_4 \oplus \mathbb{Z}_4 & & \text{(infra)} \end{array}$$

Thm 1 poly (finite or cyclic) is same as virtual poly- \mathbb{Z} .

defn Γ_{i+1}/Γ_i is finite or ~~infinite~~-cyclic.

Thm 2 (Tits) If Γ is a f.g. ^{discrete} subgp of $GL_n(\mathbb{C})$ [or Lie gp with fin many components?], then either Γ is virtually poly- \mathbb{Z} or it contains a non-abelian ^{free} subgp. (J. Alg. 72)

Indication of pf of 1°.

(Th 1) $\text{Wh} \Gamma = 0$ if Γ is poly- \mathbb{Z} .

(Th 2) ^{F.E.A} (Johnson & Anderson) For each virtual poly- \mathbb{Z} gp Γ , \exists closed

smooth top aspl. mfd with $\pi_1 = \Gamma$

(Th 3) Two aspl. mfd's ^{closed} (dim > 4) with $\cong \pi_1$ (not necessarily poly- \mathbb{Z})

Then the mfd's are homeo. (Wall)



(Thm 3') $\mathcal{S}(M \times D^m)$ consists of only one elt if M is a closed asph. w/ π_1 .
 with $\text{poly-Z } \pi_1$.

[By induction on $\dim M$]

$$\begin{aligned} \mathcal{S}(M) &\subset \mathcal{S}(M \times D^4) \xleftarrow{\text{periodicity Thm}} \text{for } \forall M \\ &\subset \mathcal{S}(M \times D^8) \end{aligned}$$

Wall.

(Thm 2') If Γ is poly-Z gp then \exists a closed asph. w/ $\pi_1 M = \Gamma$.

assume Thm 2' true if $\dim \Gamma = 5$, and let Γ' have $\dim \Gamma'$

$$\dots \subset T_5 \subset T_6 = \Gamma'$$

Thm 3' $\Rightarrow \exists \Gamma$ s.t. $\pi_1(N^5) = \Gamma$ by hyp.
 $\mathcal{S}(N)$ has only 1 elt

closed asph.

$N \xrightarrow{\varphi} N'$ of φ is self-homotopy equiv

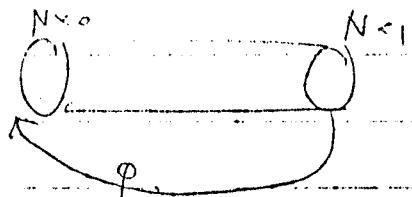
$$\begin{array}{ccc} f & \nearrow & \Gamma' \\ N & & \end{array}$$

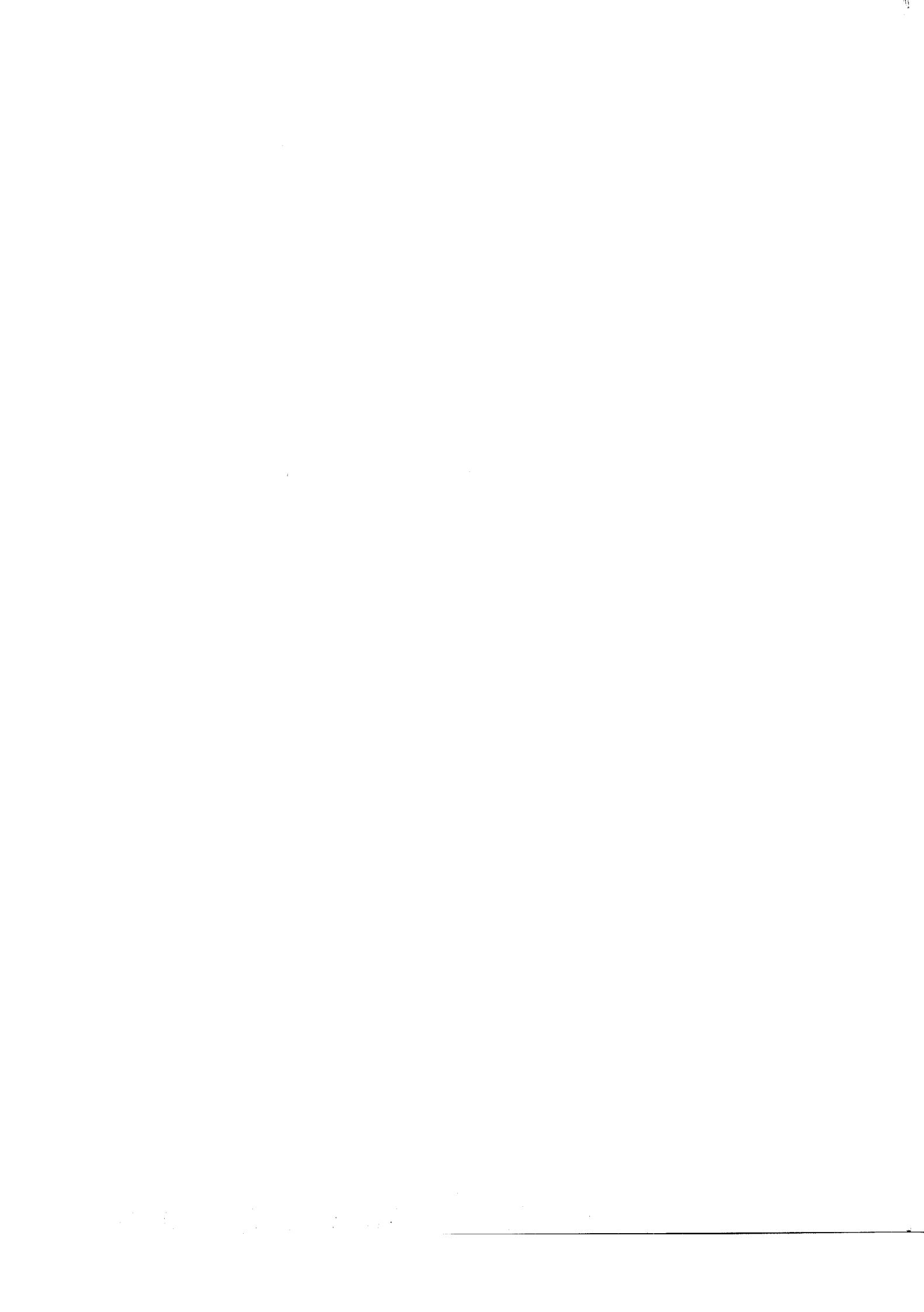
φ self-homotopy equiv

$$\Gamma' = \mathbb{Z} \times \Gamma$$

$$(i(m), x) \sim (i, a(m)x)$$

$$p\Gamma = N \times \Gamma$$





Zieschang (Bull AMS 1974)

Solved 26 Γ is a torsion free gp containing $\pi_1 M^2$ as a subgp of finite index $\Rightarrow \Gamma = \pi_1 N^2$ for some N^2 .

Example $1 \rightarrow \mathbb{Z}^3 \rightarrow \Gamma \rightarrow \mathbb{Z}_2 \oplus \mathbb{Z}_2 \rightarrow 1$ composite of transl. & orth. rot.

subgp of Rigid motions of $\mathbb{R}^3 = \{(x, y, z)\}$

generated by α, β, γ

$$\alpha(x, y, z) = (\frac{1}{2} + x, -y, -z)$$

$$\text{torsion-free } \beta(x, y, z) = (-x, \frac{1}{2} + y, \frac{1}{2} - z)$$

$$\gamma(x, y, z) = (\frac{1}{2} - x, \frac{1}{2} - y, \frac{1}{2} + z).$$

(Lyndon, Hochschild-Serre ; spectral seq) can calculate cohomology.

$\mathbb{Z}^3 \subset \Gamma$ via translation

$$(1, 0, 0) \xrightarrow{\alpha^2} (x+1, y, z) \quad \begin{cases} (1, 0, 0) \mapsto \alpha^2 \\ (0, 1, 0) \mapsto \beta^2 \\ (0, 0, 1) \mapsto \gamma^2 \end{cases}$$

$\mathbb{Z}_2 \oplus \mathbb{Z}_2$ is rotational part.

$$\alpha = \begin{pmatrix} 1 & & \\ & -1 & \\ & & -1 \end{pmatrix}, \quad \beta = \begin{pmatrix} -1 & & \\ & 1 & \\ & & -1 \end{pmatrix}, \quad \gamma = \begin{pmatrix} 1 & & \\ & 1 & \\ & & 1 \end{pmatrix}$$

α, β, γ together with I is $\mathbb{Z}_2 \oplus \mathbb{Z}_2$

$\mathbb{Z}_2 \oplus \mathbb{Z}_2$ acts on \mathbb{Z}^3

$$E_{p\ell}^1 \Rightarrow H_\ell(\Gamma, \mathbb{Q})$$

$$E_{p\ell}^2 = H_p(\mathbb{Z}_2 \oplus \mathbb{Z}_2; H_\ell(\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}; \mathbb{Q}))$$

rational vector sp.

$= 0$ if $p > 0$.

$$\text{So } E_{0\ell}^2 \cong H_\ell(\Gamma; \mathbb{Q})$$

$$H_1(\Gamma, \mathbb{Q}) \cong H_0(\mathbb{Z}_2 \oplus \mathbb{Z}_2; H_1(\mathbb{Z} \oplus \mathbb{Z} \oplus \mathbb{Z}; \mathbb{Q}))$$

$$H_0 = (\mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q})_{\mathbb{Z}_2 \oplus \mathbb{Z}_2} =$$

$$= H_0(\text{Finite}; \mathbb{Q} \oplus \mathbb{Q} \oplus \mathbb{Q})$$

twisted action of $\mathbb{Z}_2 \oplus \mathbb{Z}_2$

long induction of $\mathbb{Z}_2 \oplus \mathbb{Z}_2$



f.g.

$$\checkmark \quad H_1(\Gamma, Q) = 0 \Rightarrow \Gamma \text{ is not poly-Z}$$

Suppose $\Gamma \text{ is poly-Z}.$

$\xrightarrow{\text{contradiction}}$ $\Gamma/\langle \Gamma, \Gamma \rangle \cong \mathbb{Z}$

$$0 = H_1(\Gamma, Q) = H_1(\Gamma; \mathbb{Z}) \otimes Q = \Gamma/\langle \Gamma, \Gamma \rangle \otimes Q$$

$\therefore \Gamma/\langle \Gamma, \Gamma \rangle$ is finite gp.

If Γ is poly-Z; $0 \subset \Gamma_n \subset \dots \subset \Gamma_1 \subset \Gamma \subset \Gamma$

then $\langle \Gamma, \Gamma \rangle \subseteq \Gamma_n$.

$|\Gamma/\langle \Gamma, \Gamma \rangle| \geq |\Gamma/\Gamma_n| \cong \mathbb{Z}$. not finite contra

Th1. When $\Gamma = 0$ if Γ is poly-Z

$R_\alpha[x] =$ twisted polynomial ring

Let $\alpha: R \otimes \mathbb{Q}$ be an auto of the ring R

(ring with 1,
and $\alpha(1)=1$)

$$R_\alpha[x] = \{a_0 + a_1x + a_2x^2 + \dots \text{ polynomials}\}$$

If $a \in R$, $ax = x\alpha(a)$ \leftarrow multipl.

$$a\bar{x} = \bar{x}\bar{\alpha}(a)$$

$\Gamma \cong \mathbb{Z}^\Gamma$ & $\alpha \in \text{Aut}(\Gamma)$.

$\mathbb{Z}\Gamma \ncong \mathbb{Z}^\Gamma$

Suppose Γ is a poly-Z

$$\Gamma \rightarrow \mathbb{Z}$$

$$\dots \subset \Gamma_i \subset \Gamma$$

$$1 \rightarrow \pi \rightarrow \Gamma \rightarrow \mathbb{Z} \rightarrow 1$$

$$\Gamma \rightarrow \Gamma/\Gamma_i \cong \mathbb{Z}$$

$\Gamma = T \times \pi$ semi-direct product.

$\mathbb{Z}\Gamma = R_\alpha[x, x^{-1}]$, where $R = \mathbb{Z}\pi$. & $\alpha: \mathbb{Z}\pi \rightarrow \mathbb{Z}\pi$ induced by

(Thm1)

$$K_\alpha R_\alpha[x] = K_\alpha R \oplus (\text{Nil}_\alpha(R))$$

$V(F, \varphi)$ F: f.g. free R-module nilpt

$\varphi: F \otimes_R \mathbb{Z} \rightarrow \mathbb{Z}$ $\xrightarrow{\text{reg}} \alpha$ -semi linear R-mo

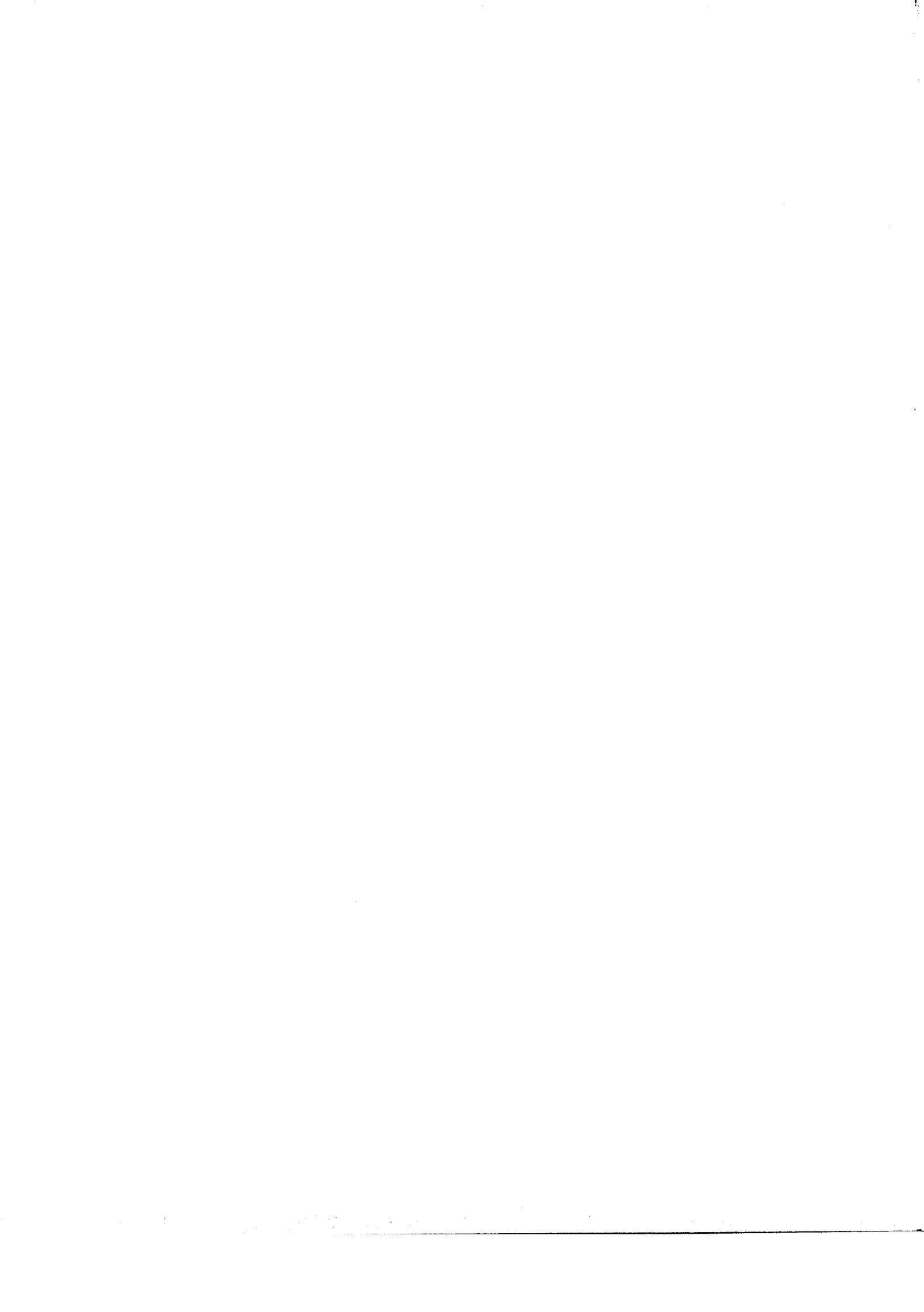
$$\varphi(a+b) = \varphi(a) + \varphi(b)$$

$$\varphi(ra) = \alpha(r)\varphi(a)$$

(Thm2) If R is a regular ring. $\text{Nil}_\alpha(R) = 0$ for any α .

(Thm3) $K_\alpha K_\alpha[x, x^{-1}] = \text{Nil}_\alpha(R) \oplus \text{Nil}_{\alpha^{-1}}(R) \oplus X$

where X fits into an exact seq



$$K_1 R \xrightarrow{1-\alpha_x} K_1 R \longrightarrow X \longrightarrow K_0 R \xrightarrow{1-\alpha_x} K_0 R$$

application.

(Farrell & Hsiang) \swarrow Symposia Pure Math. 1970

corr $Wh(\pi_1 M^2) = 0$ if M is 2-mfd.

Pf. (Thm 1, 2, 3) w/ Stalling's "Wh(free sp) = 0",
Bass " $K_0(\mathbb{Z}[\text{free sp}]) = 0$ "
 $\pi_1(\text{open 2-mfd}) = \text{free}$.

$S^2 -$

For higher genus closed 2-mfd, $\chi(M^2) \leq 0$

$$H^1(M; \mathbb{Z}) \neq 0$$

$\Rightarrow \text{Hom}(\pi_1 M, \mathbb{Z})$

$\pi_1 M = T \times_{F_i, \text{int-free}} \text{free}$ but not f.g.

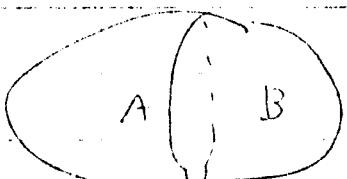
$$\begin{cases} \text{int. cycle} \\ \hookrightarrow \pi_1 M \longrightarrow T \longrightarrow 1 \\ I \rightarrow F \end{cases}$$

Apply Thm 3, $R = \mathbb{Z}F$.

coherent ring.

* (Waldhausen), goes up to 3-mfd

Mayr-Vietoris for homology sp's



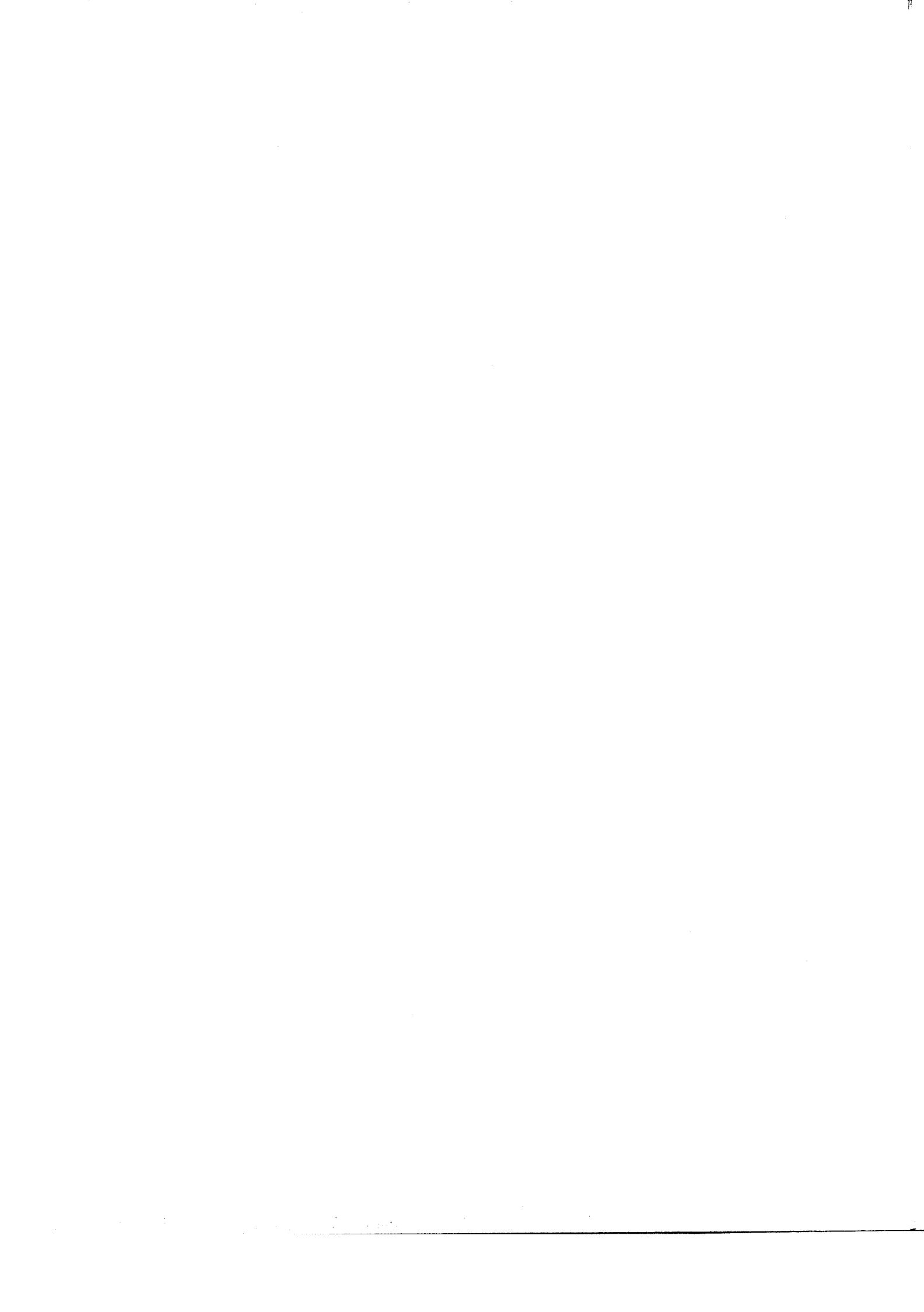
$$C = A * B$$

\hookrightarrow amalgamated free product

$$K_1 \mathbb{Z}C = C(\mathbb{Z}C, \mathbb{Z}A, \mathbb{Z}B) \oplus X$$

From amalg. free product

$$K_1 \mathbb{Z}C \longrightarrow \frac{K_1 \mathbb{Z}A}{K_1 \mathbb{Z}B} \longrightarrow X \longrightarrow \frac{K_0 \mathbb{Z}C}{R} \longrightarrow \frac{K_0 \mathbb{Z}C}{\oplus} \longrightarrow \frac{K_0 \mathbb{Z}B}{K_0 \mathbb{Z}B}$$



Walhausen Springer LN vol 342 §5.

Let $P = A \underset{C}{\ast} B$ nilpt type

$$K_1 ZP = X \oplus C(ZC; \overline{ZA}, \overline{ZB})$$

category of gp's \rightarrow

(A gp is constructed by
and HNN constr.)

i) $\bar{A} = A - C$ (set)

there are ∞ natural actions of C on \bar{A} (multipl. on each side)

$Z\bar{A}$ (formal sum) is a C -bimodule.

a nil-object is $(P, Q; f, g)$, P and Q are f.g. free ZC -module

$f: P \rightarrow Q \otimes_{ZC} \overline{ZB}$ C -module map

$g: Q \rightarrow P \otimes_{ZC} \overline{ZA}$ //

(Require some nilpt conditions on f, g . To do that need filtration)

\exists filtrations $0 = P_0 \subset P_1 \subset P_2 \subset \dots \subset P = P$

$0 = Q_0 \subset Q_1 \subset Q_2 \subset \dots \subset Q = Q$

such that

$$f(P_i) \subseteq Q_{i+1} \otimes_{ZC} \overline{ZB}$$

$$g(Q_i) \subseteq P_{i+1} \otimes_{ZC} \overline{ZA}$$

In the category of $(P, Q; f, g)$, exact sequence

take iso-class, factor out by $P = Q + R$ if $0 \rightarrow (Q \rightarrow P) \rightarrow$

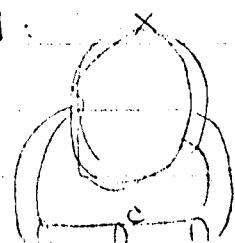
This is $C(ZC; \overline{ZA}, \overline{ZB})$.

2) X ~~co~~ fits M-V sequence

$$\begin{array}{ccccccc} K_1 ZC & \longrightarrow & \frac{K_1 ZA}{\oplus} & \longrightarrow & X & \longrightarrow & K_0 ZC \\ & & K_1 ZB & & & & K_0 ZB \end{array}$$

* $C \otimes Q = 0$

* HNN:



$$\pi_1(C \times \mathbb{S}) \longrightarrow \pi_1(X)$$

injects.



conjecture (Novikov)

Does $\Gamma \subseteq \pi_1(M)$ aph. determine tangent bdl?

$$B\Gamma \longrightarrow BO(n)$$

$B\Gamma$ & M has same hty type

$$1 \rightarrow \mathbb{Z}^3 \rightarrow \Gamma \rightarrow \mathbb{Z}_2 \oplus \mathbb{Z}_2 \rightarrow 1$$

$\Gamma \hookrightarrow GL_3(\mathbb{Z}) \hookrightarrow GL_3(\mathbb{R})$. determines a tangent bdl (in this case +

conjecture

Let $f: N^n \rightarrow M^n$ be preserves homotopy classes?

$$f^*(p_i(M)) = p_i(N) \in H^{4i}(N; \mathbb{R})$$

No, in general

$$L_i(M) \in H^{4i}(M; \mathbb{R})$$

(L_i is a poly of p_i 's and vice versa with rational coeff.)

$$p_0 = L_0 = 1 \in H^0$$

$$p_1 = \frac{1}{3} P_1$$

$$L_2 = \frac{1}{45} (7P_2 - P_1^2).$$

So preserv. of p_i is the same as preserv. of L_i . (not +)

But when $n=4s$, L_n is a hty invariant:

$$f^*(L_s(M)) = L_s(N) \text{ if } f: N \rightarrow M \text{ hty esr.}$$

$$L_s(M) \in H^{4s}(M; \mathbb{R}) = \mathbb{R}$$

$$\langle L_s(M), [M] \rangle \in \mathbb{R}.$$

$$H^{2s}(M; \mathbb{R}) \otimes H^{2s}(M; \mathbb{R}) \xrightarrow{U} H^{4s}(M; \mathbb{R}) = \mathbb{R}$$

non-sing. sym. bil. form

signature



conjecture (Novikov)

Given $\forall \text{gp } \Gamma$, \forall cohomology class $\alpha \in H^i(\Gamma; \mathbb{R})$ and
a map $M^n \xrightarrow{\varphi} B\Gamma$ (^{homeo of fundamental gp} $\pi_1(M) \rightarrow \Gamma$)
then $L_\alpha(M) \cup \varphi^*(\alpha)$ is a htg invariant provided $4s + i = \dim \alpha$

i.e., given $f: N^n \rightarrow M^n$ a htg equiv.

$$f^*(L_\alpha(N) \cup \varphi^*(\alpha)) = L_\alpha(M) \cup f^*\varphi^*(\alpha).$$

Thm A solution due to Mischenko: ^{redundant?}

Novikov's conjecture is true for $(\forall f, g)$ discrete subgp of $GL_n(\mathbb{C})$ (
pf uses infinite dimensional bundle. (Lusztig's thesis))

Coro If Γ is a discrete subgp of $GL_n(\mathbb{C})$ and $\Gamma = \pi_1(M)$ where M a closed asph mfd, then up to stable homeomorphisms, there are only finite number of equivalence classes of closed asph mfds with π_1 as Γ .

[$\pi_1(\text{closed } \dots)$ is f.g.]

def $N \& M$ are stably homeo — $N \times \mathbb{R}^n$ is homeo to $M \times \mathbb{R}^n$ for sufficiently large n . [$n=3$ enough?]

$$\text{i.e., } N \times \mathbb{R}^5 \cong M \times \mathbb{R}^5 \Rightarrow N \times \mathbb{R}^3 \cong M \times \mathbb{R}^3.$$



$\mathbb{R}^n \rightarrow \mathbb{R}^n$ $A \in O(n)$ is called a rigid motion
 $x \mapsto Ax + v$

$$\text{Rigid}(n) = \mathbb{R}^n \rtimes O(n).$$

Γ is crystallographic if Γ is a discrete cocompact (=uniform) subgroup of $\text{Rigid}(n)$.

cocompact — $\text{Rigid}(n)/\Gamma$ is compact.

Thm A torsion-free f.g. virtually abelian group Γ is crystallographic

Pf. Let $\mathbb{Z}^n < \Gamma$ with $(\Gamma; \mathbb{Z}^n) < \infty$. Since $\bigcap \gamma^{-1} \mathbb{Z}^n \gamma$ is normal w.r.t. finite index, we may assume $\mathbb{Z}^n \trianglelefteq \Gamma$. Then

$$1 \rightarrow \mathbb{Z}^n \rightarrow \Gamma \rightarrow G \rightarrow 1$$

is exact and G is finite. This gives a map

$$G \xrightarrow{\quad} \text{Aut } \mathbb{Z}^n = GL_n(\mathbb{Z}) \subset GL_n(\mathbb{R})$$

(by conjugation $z^g = \gamma^{-1} z \gamma$ for $\gamma \mapsto g$).
 Well-defined since \mathbb{Z}^n is abelian.

This gives a representation α of G on $GL_n(\mathbb{R})$

$$\alpha: G \xrightarrow{\quad} GL_n(\mathbb{R}) \quad (\text{may not effective}),$$

\uparrow
 $\text{Aut}(\mathbb{R}^n)$

Form $\mathbb{R}^n \rtimes_{\alpha} G$ and embed Γ into $\mathbb{R}^n \rtimes_{\alpha} G$ by

$$\begin{array}{ccccccc} 1 & \rightarrow & \mathbb{R}^n & \rightarrow & \mathbb{R}^n \rtimes_{\alpha} G & \rightarrow & G \rightarrow 1 \\ & & \uparrow i & & \uparrow f & & \uparrow \text{id} \\ 1 & \rightarrow & \mathbb{Z}^n & \rightarrow & \Gamma & \rightarrow & G \rightarrow 1 \end{array} \leftrightarrow \theta \in H^2(G; \mathbb{R})$$

The existence of f corresponds to $i_*(\theta) = 0$. But since \mathbb{R} is a field



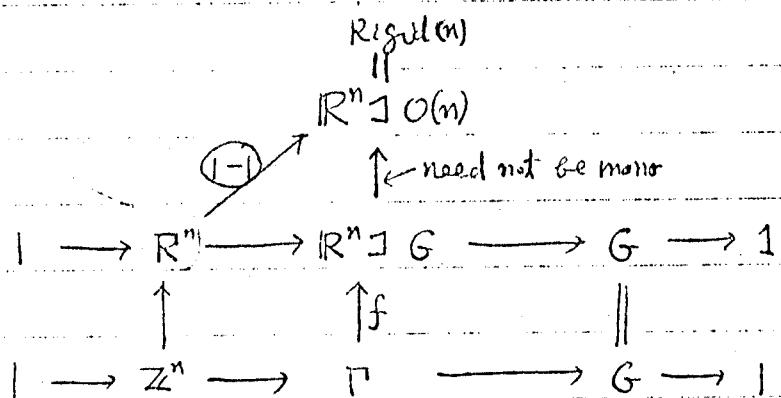
(divisible) and $|G| < \infty$, $H^2(G; \mathbb{R}^n) = 0$, so that $i_*(0) = 0$.
 Thus f exists. Then f is mono.

There exists an inner product on \mathbb{R}^n such that G preserves it. i.e., G acts on \mathbb{R}^n as isometry.

(Given any inner product on \mathbb{R}^n , $\langle \cdot, \cdot \rangle$, define

$$[x, y] = \frac{1}{|G|} \sum_{g \in G} \langle gx, gy \rangle \text{ average}$$

Get a representation $G \rightarrow O(n)$.



$\Gamma \subseteq \mathbb{R}^n \rtimes O(n)$ discrete, cocompact

Bieberbach Theorems

B-Thm1 (converse of above Thm)

A crystallographic group Γ is f.g. virtually abelian.

Hard part will be:

$$[\Gamma : \Gamma \cap \text{Translation gp}] < \infty$$

B-Thm2 If Γ, Γ' are 2 crystallographic groups which are isomorphic $f: \Gamma \xrightarrow{\cong} \Gamma'$ then f is the restriction of conjugation by an affine motion. [Rigidity Theorem]

$$\text{Rigid}(n) \subset \text{Affine}(n) = \mathbb{R}^n$$

i.e., $\exists \alpha \in \text{Affine}(n)$ such that $f(r) = \alpha^{-1}r\alpha$ for all $r \in \Gamma$.

✓ Pf of B-Thm2 Want to find $\alpha(x) = \begin{pmatrix} M \\ A \end{pmatrix}x + v$, $M \in GL_n(\mathbb{R})$, $v \in \mathbb{R}^n$
(assuming B-1)

$$\text{Let } \Gamma \cap \mathbb{R}^n = A \cong \mathbb{Z}^n$$

$$\Gamma' \cap \mathbb{R}^n = A' \cong \mathbb{Z}^n$$

Since $f: \Gamma \xrightarrow{\cong} \Gamma'$, $f|A: A \xrightarrow{\cong} A'$ or f determines $\begin{pmatrix} M \\ A \end{pmatrix} \in GL_n(\mathbb{Z}^n)$

and hence an elt $\eta \in GL_n(\mathbb{R}) \supset M$, $M: \mathbb{R}^n \rightarrow \mathbb{R}^n$.

$$\text{Let } \underbrace{\Gamma \xrightarrow{M} \Gamma \xrightarrow{i} \text{Affine}(n) \xrightarrow{M} \text{Affine}(n)}_h$$

h is id. on first coordinate

$$g = j \circ f.$$

$$\begin{array}{ccccccc} 1 & \longrightarrow & \mathbb{Z}^n & \longrightarrow & \Gamma' & \longrightarrow & G' \longrightarrow 1 \\ & & \nearrow f & & \nearrow g & & \\ 1 & \longrightarrow & \mathbb{Z}^n & \longrightarrow & \Gamma & \xrightarrow{j} & G \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \mathbb{R}^n & \longrightarrow & \text{Affine}(n) & \longrightarrow & GL_n(\mathbb{R}) \longrightarrow 1 \end{array}$$



Define $\varphi: G \rightarrow \mathbb{R}^n$ by

$$\varphi(\bar{r}) = h^{-1}(r) g(r)$$

Then φ is a crossed homo. [$\varphi(ab) = \varphi(a) + a\varphi(b)$]

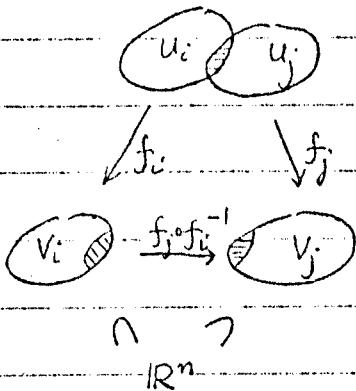
Want to find $v \in \mathbb{R}^n$ so that

$$\alpha(x) = Mx + v.$$

$[\varphi] \in H^1(G; \mathbb{R}^n) = 0$. So φ is a principal crossed homo so

$\exists v \in \mathbb{R}^n$ such that $\varphi(\bar{r}) = \bar{r}v - v$.

A closed mfd M^n is Riemannian flat if \exists open cover $\{U_i\}$ together with homeo $f_i: U_i \rightarrow V_i \subset \mathbb{R}^n$ such that each $f_j \circ f_i^{-1}$ is restriction of a rigid motion.



If M^n is flat riem. mfd, then M is aspherical, $\tilde{M} = \mathbb{R}^n$ and $\pi_1 M$ is a discrete cocompact torsion-free subgroup of Rigid(n), i.e., $\pi_1 M$ is a torsion-free crystallographic group.

Conversely, let Γ be a torsion-free crystallographic group. Then we can construct a riem. flat mfd M with $\pi_1 M = \Gamma$. Since $\text{Rigid}(n)$ acts on \mathbb{R}^n , so does \mathbb{R}^n/Γ , and $\mathbb{R}^n/\Gamma = M$.

- Γ : cocompact $\Rightarrow \mathbb{R}^n/\Gamma$ is compact
- Γ : torsion free, discrete \Rightarrow mfd
- chart from universal cover \Rightarrow flat.

a differentiable map
a diffeomorphism $f: M \rightarrow \mathbb{R}^n$ is expanding if $|df(x)| > |x|$ for

(Expanding map does not preserve angle)

Thm (Epstein and Schub) Roughly, any compact flat Riem mfd supports an expanding endo.



$$\begin{array}{ccccccc} M \text{ supports } f: M^2 & \longrightarrow & \text{Rigid}(n) \\ | \longrightarrow \mathbb{Z}^n \longrightarrow \pi_1 M \longrightarrow G \longrightarrow | & & \\ \text{multiply by } s & \downarrow f_{\#} & || \\ | \longrightarrow \mathbb{Z}^n \longrightarrow \pi_1 M \longrightarrow G \longrightarrow | & & \end{array} \quad (*)$$

for $s \equiv 1 \pmod{|G|}$.

Thm (Franks) If M^n supports an expanding endo, then M is aspherical and $\pi_1 M$ has polynomial growth.

A f.g. group Γ has polynomial growth: For generators $\gamma_1, \gamma_2, \dots, \gamma_m$ of Γ , define a function $\sigma(\cdot): \mathbb{Z} \rightarrow \mathbb{Z}$ by

$$\sigma(n) = \#\text{ of group elts of } \Gamma \text{ expressible by words in } \gamma_1, \dots, \gamma_m \text{ of length } n \text{ or less.}$$

Then Γ has polynomial growth if $\sigma(n)$ is dominated by a polynomial function in n .

Question Does Γ have polynomial growth, then Γ is virtually nilpotent (solved).

V Pf of Epstein-Schub.

Let $s \equiv 1 \pmod{|G|}$. Filling $f_{\#}$ in $(*)$ is

$$\theta \in H^2(G; \mathbb{Z}^n)$$

$$\downarrow \quad \left\{ \begin{array}{l} s_* = \text{multpl. by } s. \\ \theta \in H^2(G; \mathbb{Z}^n) \end{array} \right.$$

$$s\theta = \theta. \quad [\text{ord } H^2(G; \mathbb{Z})]$$

So we get $\varphi = f_{\#}$

$$\varphi(\pi_1 M) \subset \pi_1 M \subseteq \text{Rigid}(n)$$

$\exists a \in \text{Affine}(n) \quad a(x) = Ax + v \quad A \in GL_n(\mathbb{R}), \quad v \in \mathbb{R}^n$
such that $a \circ a^{-1} = \varphi(r)$, for $\forall r \in \pi_1(M)$.

claim $A = \rho I$.

Now $\mathbb{R}^n/\pi_1(M) \rightarrow \mathbb{R}^n/\varphi(\pi_1(M)) \xrightarrow{P} \mathbb{R}^n/\pi_1(M)$

f

covering map.

We will prove

(Auslander-Kuranishi) \forall finite group is a holonomy of some torsion-free crystallographic gp. (Charlap, Annals)

(Bieberbach) $I \rightarrow R \rightarrow F \rightarrow G \rightarrow I$ free resol. of G .

$$\Rightarrow 0 \rightarrow R/(k, k) \rightarrow F/(k, k) \rightarrow G \rightarrow I \quad R/(k, k) \cong \mathbb{Z}^n, \quad F/(k, k) \text{ torsion-free crystallogr}$$

For a fixed integer n , \exists only a finite # of \cong classes of crystallographic groups in $\text{Rigid}(n)$.

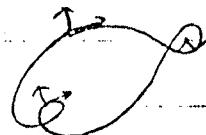
[Given G , $G \rightarrow \text{Aut } \mathbb{Z}^n$ is finite. Now work in $H^2(G)$]

Thm If G is \vee finite group, then \exists a flat mfd with holonomy G
i.e., \exists a torsion-free group F and an extension

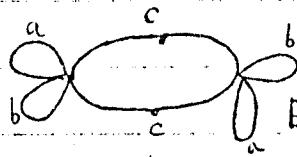
$$I \rightarrow \mathbb{Z}^n \rightarrow F \rightarrow G \rightarrow I$$

such that the holonomy representation is faithful. [$G \xrightarrow{\cong} \text{Aut } \mathbb{Z}^n$
is 1-1]

holonomy in differential geometry



Lemma: A subgroup S of a free group F is free with $\text{rk}(S) - 1 = (F:S)(\text{rk}(F) - 1)$.



$E = (F:S)$ -sheeted covering: $\pi_1 E = S$

$$\downarrow p$$

$$\circlearrowleft \quad a \quad b$$

$$X = \bigvee_{n(F)} S^1$$

$$\circlearrowleft \quad 1-1 \quad \downarrow$$

$$\pi_1 X = F$$

$$H_0 X = \mathbb{Z}$$

$$H_1 X = \pi_1 X^{ab} = \mathbb{Z} \oplus \dots \oplus \mathbb{Z} \quad \left. \begin{array}{l} \text{rk}(E) \\ \vdots \end{array} \right\} \quad \therefore \chi(X) = 1 - \text{rk}(F).$$

$$H_2 X = 0$$

$$H_0 E = \mathbb{Z}$$

$$H_1 E = \pi_1(\pi_1 E)^{ab} = S^{ab} = \mathbb{Z} \oplus \dots \oplus \mathbb{Z} \quad \left. \begin{array}{l} \text{rk}(S) \\ \vdots \end{array} \right\} \quad \therefore \chi(E) = 1 - \text{rk}(S)$$

$$H_2 E = 0$$

Since p is $(F:S)$ -sheeted, $\text{rk}(S) - 1 = (F:S) \cdot (\text{rk}(F) - 1)$

P.S. If

$$\boxed{\text{If } F = \langle a, b, c \rangle, \text{ then } S = \langle a, b, cac^{-1}, cbc^{-1}, c^2 \rangle \\ 5-1 = 2 \cdot (3-1).}$$

Pf of Thm let

$$1 \longrightarrow R \longrightarrow F \xrightarrow{q} G \longrightarrow 1$$

be a free presentation. Then

$$1 \longrightarrow R/[R,R] \longrightarrow F/[R,R] \longrightarrow G \longrightarrow 1$$

\Downarrow
 \mathbb{Z}^n



We may assume that G is cyclic of prime order. Since G is abelian, $[R, R] \subset [F, F] \subset R \subset F$

$$\begin{cases} [\bar{x}, \bar{y}] \rightarrow [\varphi x, \varphi y] = 0 \in G \\ \therefore [\bar{x}, \bar{y}] \in \text{Ker } \varphi = R \end{cases}$$

Since $R/[R, R]$ is torsion free, so is $[F, F]/[R, R]$ ($\Leftarrow R \supseteq [F, F]$)

Now from the exact sequence

$$1 \rightarrow [F, F]/[R, R] \rightarrow F/[R, R] \rightarrow F/[F, F] \rightarrow 1$$

the middle term is torsion-free.

Now we want to show the holonomy repr is faithful.

Assume the repr. is not faithful. Then $F/[R, R]$ is abelian.

$\exists g \in G$ such that $[rg]^g = [r]$ for all $r \in R$. Since G is cyclic of prime order, the action of G on $R/[R, R]$ is trivial. $\therefore [F, R] \subset [R, R]$
 But $[F, F] \subset [F, R]$ ($[F, g] = (\bar{f}, e)$).

$$\therefore [F, F] \subset [R, R]$$

Thus, $[F, F] = [R, R]$. Then

$$1 \rightarrow R^{\text{ab}} \rightarrow F^{\text{ab}} \rightarrow G \rightarrow 1$$

with $\text{rk } R > \text{rk } F$, which is impossible. \square

* open problems #1

** when $G = T_2$

51-

①

$$1 \rightarrow \mathbb{Z}^n \rightarrow \Gamma \rightarrow G \rightarrow 1$$

$$\text{Int } M = \mathbb{R}^n / \Gamma \quad M = \text{a compact manifold} \quad \tilde{M} = \text{a connected sum}$$



$$\begin{array}{c} F = F(R, R) \\ \downarrow \\ \overline{R} = R/(R, R) \\ \downarrow \\ ZG \quad \text{---} \quad \overline{Z} \\ \downarrow \quad \quad \quad \downarrow \\ 1 \end{array}$$

F splits over ZG ($\Leftarrow G$ finite & $H^2(G, \text{prj}) = 0$)

$$\begin{array}{c} \checkmark \quad \begin{array}{c} F \\ \downarrow \\ E = \bar{a}Z \\ \downarrow \\ G = \mathbb{Z}/2 \\ \downarrow \\ ZG \end{array} \quad \begin{array}{c} 1 \rightarrow \overline{R} \rightarrow F \rightarrow G \rightarrow 1 \\ \downarrow \\ 1 \rightarrow ZG \rightarrow \overline{F} \rightarrow E \rightarrow 1 \end{array} \\ \text{and } E \text{ is generated by } \bar{a} \text{ and is } \infty\text{-cyclic} \\ \text{ZG = free ab. grp of rk 2 with tors. } 1, \bar{a} \\ f. \bar{a} \end{array}$$

$$\therefore \overline{F} = F/R = A \underset{\substack{\parallel \\ E}}{\circlearrowleft} T$$

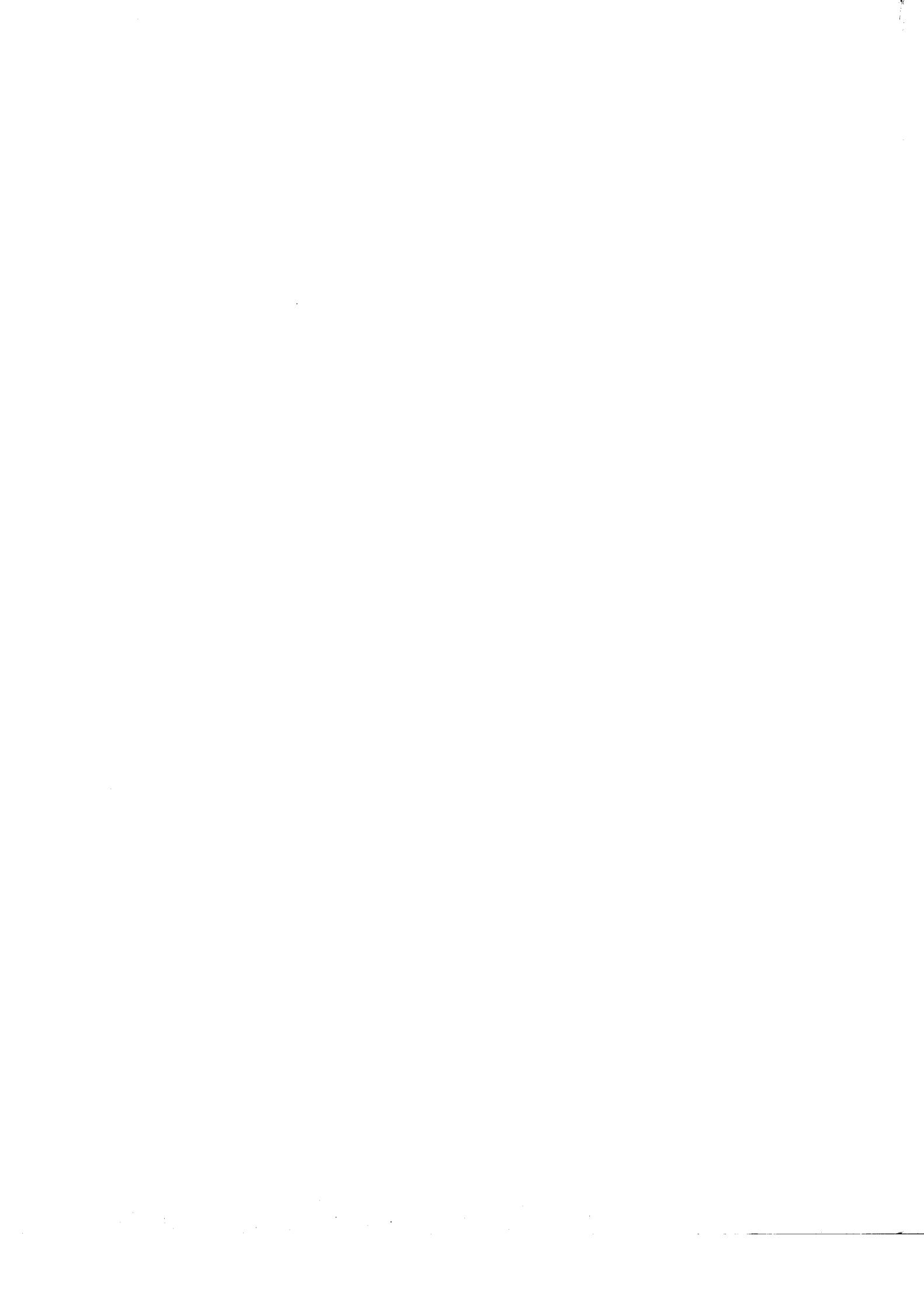
T operates on A by $t: 1 \mapsto a$
 $a \mapsto 1$

$T^2 = (\bar{a}^2)$ operates trivially on A

$$\begin{array}{c} 1 \rightarrow A \underset{\substack{\parallel \\ R}}{\circlearrowleft} T^2 \subset A \underset{\substack{\parallel \\ F}}{\circlearrowleft} T \rightarrow G \rightarrow 1 \\ \text{torsion free} \end{array}$$

YOK 34

LOR 21



Algebraic Vanishing Criteria

Let $\Gamma \xrightarrow{\varphi} F \rightarrow 1$ with $F = \text{finite group}$.

Suppose $x \in \text{Wh}(\Gamma)$ or $K_0(\mathbb{Z}\Gamma)$. Then

$x = 0$ iff $\sigma_S(x) = 0$ for all $S < F$ hyper-elementary subgroups
where σ_S is the transfer ~~image~~ of $\sigma_S : \Gamma_S \hookrightarrow \Gamma$
 $\text{PGL}(S)$ " $\varphi|_S$

S is hyper-elementary if \exists exact seq. $1 \rightarrow C \rightarrow S \rightarrow P \rightarrow 1$
e.g. $S = A_5$ alternating gp. cyclic. P -group

✓ \Rightarrow This splits

$$S = C \times P$$

(Swan) let F be a finite group

$G(F) =$ Grothendieck construction on $\mathbb{Z}F$ -lattices

($\mathbb{Z}F$ -module \Rightarrow f.g. free as \mathbb{Z} -

commutative ring associated to F

$$[N_0] + [N_1] = [N_0 \oplus N_1] \quad (\Leftarrow 0 \rightarrow N_0 \rightarrow N_0 \oplus N_1 \rightarrow N_1 \rightarrow 0)$$

$$[N_0] \cdot [N_1] \stackrel{\text{def}}{=} [N_0 \otimes N_1] \text{ with } F\text{-action on } N_0 \otimes N_1 \quad (a \otimes b)g = ag \otimes bg$$

$$1 = [\mathbb{Z}] \text{ where } \mathbb{Z} \text{ is trivial } F\text{-module}$$

If N_0, N_1 are F -lattices, then so is $N_0 \otimes N_1$.

Clearly " \cdot " gives a ring structure on $G(F)$.

claim $K_i(\mathbb{Z}\Gamma)$ is a $G(F)$ -module ($i=0,1$)

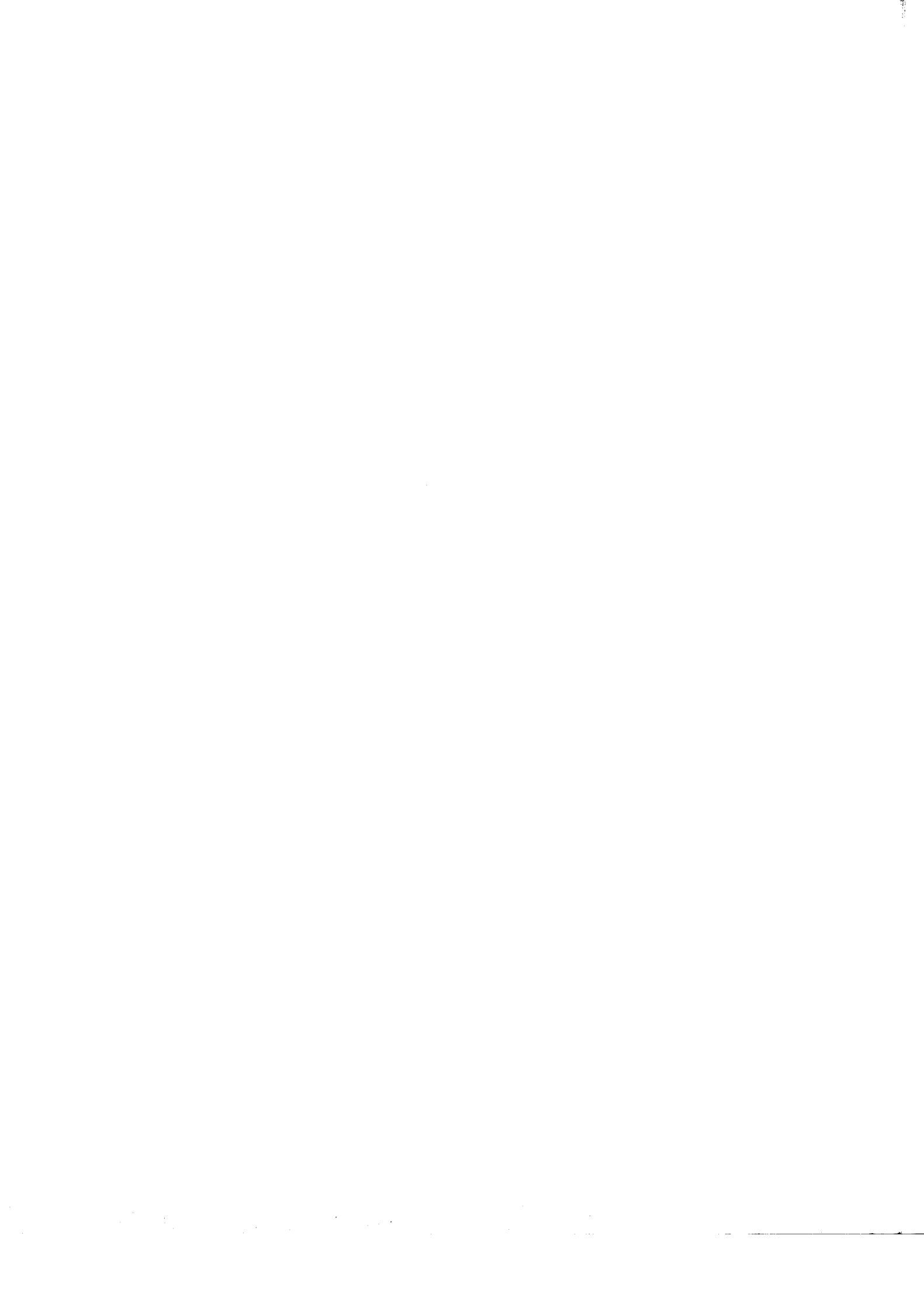
For K_0 $K_0(\mathbb{Z}\Gamma \times G(F)) \longrightarrow K_0(\mathbb{Z}\Gamma)$

$$[P] \cdot [N] \stackrel{\text{def}}{=} [P \otimes N] \text{ with } (p \otimes x)\delta = p\delta \otimes x\varphi(\delta).$$

$P \otimes N$: f.g. proj? Need to check only for free.

$$\mathbb{Z}\Gamma \otimes N \xrightarrow{\cong} \mathbb{Z}\Gamma \otimes N_0, \quad N_0 = N \text{ with trivial } F\text{-action}$$

$$x \otimes x' \longrightarrow x \otimes x'$$



For K_i ,

$$[(P, \alpha)] \in K_i \otimes \Gamma, \quad P = \text{f.s. free } \mathbb{Z}\text{-module}$$

$\alpha: P \otimes \Gamma \rightarrow P$ auto.

$$[(P, \alpha)] \cdot [N] = [P \otimes N, \alpha \otimes \text{id}]$$

Thm 1 (Frobenius Reciprocity Thm)

If $x \in K_i \otimes \Gamma$ ($i=0,1$) and $\sigma \in G(S)$, where $\Gamma \xrightarrow{\Phi} F = \text{finite}$.

$S \subseteq F$, $\Gamma_S = \Phi^* S \xrightarrow{\sigma_S} \Gamma$. Then

$$\sigma_x(r, \sigma^*(x)) = \sigma_x(r)x.$$

$S \not\subseteq F$ set

$$[F \times Y, Z] \xrightarrow{\sigma^*} [S \times Y, Z]$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$[F, \Sigma Y] \longrightarrow [S, Z^Y]$$

$$\begin{array}{ccc} K_i \otimes \Gamma_S & \xleftarrow{\sigma^*} & K_i \otimes \Gamma \\ \downarrow G(S) \ni \gamma & & \downarrow \sigma_\gamma \in G(F) \\ K_i \otimes \Gamma_S & \xrightarrow{\sigma_S} & K_i \otimes \Gamma \end{array}$$

$$\begin{array}{ccc} H^n(X) & \xleftarrow{f^*} & H^n(Y) \\ \downarrow \pi_X & & \downarrow \cap f^{-1}(x) \in H_m(Y) \\ H_{m-n}(X) & \xrightarrow{f_*} & H_{m-n}(Y) \end{array}$$

$$S \xhookrightarrow{\sigma} F$$

$$X \xrightarrow{f} Y$$

Thm 2 (Swan)

$$G(F) \ni 1 = r_1 + r_2 + \dots + r_n$$

where r_i is induced from $G(S_i)$,

$S_i = \text{hyper-elementary subgroup of } F$

$$r_i = (\sigma_i)_*(1), \quad \sigma_i: S_i \hookrightarrow F$$

Let $G(F, \mathbb{C}) = \text{set of finite dim'l complex vector space with } F\text{-act}$
 $\mathbb{C}^X = \text{the commutative ring of all functions } X \rightarrow \mathbb{C} \text{ without topology.}$

$\hat{F} = \text{set of conjugacy classes of elements in } F.$

If $[\alpha] \in G(F, \mathbb{C})$, then α induces a representation $F \rightarrow GL_n(\mathbb{C})$.

$$F \xrightarrow{\alpha} GL_n(\mathbb{C}) \xrightarrow{\text{trace}} \mathbb{C}$$

Since $\text{tr}(A^{-1}BA) = \text{tr}B$, the above induces $\hat{F} \rightarrow \mathbb{C}$. Get

$$G(F, \mathbb{C}) \longrightarrow \mathbb{C}^{\hat{F}}$$

For sets,

$$Y \xleftrightarrow{\sigma} X$$

$$\Downarrow$$

$$\mathbb{C}^Y \xleftarrow{\sigma^*} \mathbb{C}^X \quad \text{restriction}$$

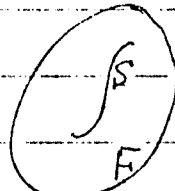
For abelian groups,

$$S \hookrightarrow F$$

$$\Downarrow$$

$$\mathbb{C}^S \xleftarrow{\sigma^*} \mathbb{C}^F \text{ is defined by}$$

$$\sigma^*(f)(x) = \begin{cases} 0, & x \notin S \\ [F:S] f(x), & x \in S \end{cases}$$



If F is abelian,

$$G(F, \mathbb{C}) \otimes \mathbb{C} \simeq \mathbb{C}^F \text{ algebra over } \mathbb{C}.$$

$$\mathbb{C}^{S_1} \oplus \dots \oplus \mathbb{C}^{S_n} \quad S_i \text{ cyclic subgp of } F$$

$$l = r_1 + r_2 + \dots + r_n \iff l = 1 + 1 + \dots + 1.$$

Pf. of algebraic vanishing Criteria.

(i) $x \in K_0 Z\Gamma$. Let $\bar{x} \in K_0 Z\Gamma$ such that $\bar{x} \mapsto x$.

$$\bar{x} = 1\bar{x} = r_1\bar{x} + r_2\bar{x} + \dots + r_n\bar{x}$$

Show that each $r_i\bar{x} \mapsto 0 \in K_0 Z\Gamma$ [$\Rightarrow \bar{x} \mapsto 0$ or that $x=0$]

For $r_i=r$, (work only with r_i) let $S \subseteq F$, $S = \text{hyperelementary}$

$$\gamma = \sigma_x(s), s \in G(S).$$

$$\sigma_x(s)\bar{x} = \sigma_x(s\sigma^*(\bar{x}))$$

$\sigma^*(x)=0$ in $K_0 Z\Gamma_S$ by hypothesis $\therefore \sigma^*(\bar{x})=[P]$, P is free $Z\Gamma_S$ -module.

$\therefore s\sigma^*(\bar{x})$ is represented by a free Γ_S -module
Since (induction map σ_x preserves "free") $\sigma_x(s\sigma^*(\bar{x}))$ is repr. by free.

$$\begin{aligned} \therefore r\bar{x} &= \sigma_x(s)\bar{x} \\ &= \sigma_x(s\sigma^*(\bar{x})) = 0. \end{aligned}$$

(ii) Must show $\pm\Gamma$ is invariant under transfer, induction,
 $G(F)$ action.

Lemma $G(F)(\pm\Gamma) \subset \pm\Gamma$.

Let $a=[M] \in G(F)$, $M: F$ -module.

Let $(\gamma) \in \pm\Gamma \subset K_0 Z\Gamma$. 1×1 matrix. Then γ induces an iso $Z\Gamma \xrightarrow{\gamma} Z\Gamma$
 $x \mapsto \gamma x$

$$Z\Gamma \otimes M \xrightarrow{\cong} Z\Gamma \otimes M_0$$

$$\gamma \otimes m \mapsto \gamma \otimes m\varphi(\gamma)^{-1}$$

$$d([\pm\gamma]): Z\Gamma \otimes M_0 \longrightarrow Z\Gamma \otimes M_0$$

$$x \otimes m \mapsto \gamma x \otimes m\varphi(x)^{-1}$$

is the composite of $x \otimes m \mapsto \gamma x \otimes m$
 $x \otimes m \mapsto x \otimes mg$.



Theorem If $\Gamma \subset \text{Rigid}(n)$ is discrete cocompact, then
 $[\Gamma : \Gamma \cap \text{Transl}(n)] < \infty$

Lemma (The identity component T of $\varphi(\Gamma)$ is abelian, where
 $\varphi : \text{Rigid}(n) \xrightarrow{\text{Proj}} O(n)$)

Pf of Thm using lemma

(i) Let $F = \varphi(\Gamma) \cap T$. Then $[\varphi(\Gamma) : F] < \infty$ and F is dense in $\varphi(\Gamma)$.
 $\because \varphi(\Gamma) \subset O(n)$ and $\varphi(\Gamma)$ is compact (Lie group). Since identity component of a compact Lie grp is open, $[\varphi(\Gamma) : T] < \infty$.
 $\therefore [\varphi(\Gamma) : F] = [\varphi(\Gamma) \cap \varphi(\Gamma) : T \cap \varphi(\Gamma)] = [\varphi(\Gamma) : T] < \infty$.

$$F = T \cap \varphi(\Gamma) = T \cap \overline{\varphi(\Gamma)} = \overline{T}_{\varphi(\Gamma)} \quad \text{so } F \text{ is dense in } T.$$

T is open in $\varphi(\Gamma)$

(ii) T is torus. (P.26 Bredon)
 $\because T$ is connected abelian (\leftarrow lemma) Lie group, so it is $\cong T^k \times \mathbb{R}^m$.
Since T is component, it is closed (in this case also open) $\subset \varphi(\Gamma) < O(n)$ and hence compact. ✓

(iii) If $T = \{1\}$, then we are done.

\because Suppose $T = \{1\}$. Then $\varphi \in F = \{1\}$ and $\varphi(\Gamma)$ is finite from (i).
Then $[\Gamma : \Gamma \cap \text{Transl}(n)] = [\Gamma : \Gamma \cap \varphi(\Gamma)] \leq |\varphi(\Gamma)| < \infty$ ✓

Def

$$\begin{array}{ccccccc} I & \longrightarrow & \mathbb{R}^n & \xrightarrow{\nu} & O(n) \times \mathbb{R}^n & \xrightarrow{\varphi} & O(n) \\ & & U & & U & & U \\ & & & & & & \end{array}$$

$$\begin{array}{ccccccc} I & \longrightarrow & \Delta \equiv \Gamma \cap \varphi(\Gamma) & \longrightarrow & \Gamma^* \equiv \Gamma \cap \varphi(F) & \longrightarrow & F \\ & & = \Gamma \cap \text{Transl}(n) & & = \Gamma \cap \varphi(T) & & \end{array}$$

exact & commutes.

not split in general



algebraic

Let

Read next semi-direct product.

$$I \rightarrow A \rightarrow B \rightarrow C \rightarrow I \quad (\text{exact})$$

If A is abelian, then C acts on A by conjugation right.

i.e., $\exists C \xrightarrow{\cdot} \text{Aut } A$

group hom.

left by conjugation

∴ Define $c \cdot a = bab^{-1}$, where $b \in c$.

(Well-def'd) If $b \in o \in C$. Then $b \in A$ (by exactness)

$bab^{-1} = a$ for all a . (A is abelian)

(action) $(c_1 c_2) \cdot a = c_1 c_2 a (c_1 c_2)^{-1} = c_1 (c_2 a c_2^{-1}) c_1^{-1} = c_1 \cdot (c_2 \cdot a)$

In the exact sequence $O(n) \times \mathbb{R}^n$.

$$I \rightarrow \mathbb{R}^n \xrightarrow{\parallel} \text{Rigid}(n) \rightarrow O(n) \rightarrow I$$

$O(n)$ acts on \mathbb{R}^n naturally. i.e,

$$A \cdot v = (A, o) \cdot (I, v) = (I, A(v)) = A(v)$$

$$\parallel \qquad \qquad \qquad (A, o)(I, v)(A^{-1}, o)$$

So, we may consider $\mathbb{R}^n \ni v$ as point in \mathbb{R}^n or $(I, v) \in \text{Rigid}$.

* Same is true for

(iv) Let $W = \text{Fix}(T, \mathbb{R}^n) = (\mathbb{R}^n)^T$

$$A \cdot v = (A, o) \cdot (I, v) \quad ((A, o) \text{ may} \\ \text{act in } T, \text{ i.e. in } T) \\ = (A, o)(I, v)(A^{-1}, o) \\ = (I, A(v))$$

If $W = \mathbb{R}^n$, Then $T = \{I\}$, we are done

∴ T acts effectively on \mathbb{R}^n since so does $O(n)$.

Show: $W = \mathbb{R}^n$

claim 1 $\Delta \subseteq W \Rightarrow (I, \delta) \in T$

let $\delta \in \Delta$. Then

let $(A, o) \in T$

$$F(\delta) \subset \Delta \quad [A \in F \Rightarrow A \cdot \delta = (I, A(\delta)) = (A, o)(I, \delta)(A^{-1}, o) \in T]$$

$T(\delta)$ connected. [consider as orbit, T is connected]

$F(\delta)$ is dense in $T(\delta)$. by (i).

Since $T \subset T'$, it is discrete. $F(\delta) = \text{discrete, dense in } T(\delta) \subset_{\text{per}} \text{usual metric}$



crrational pt.

Since T is a torus, $\exists (B \subset T)$ such that $\{B^k | k \in \mathbb{Z}\}$ is dense

Claim 2 $\exists (A \in F) \subset T$ such that $(\mathbb{R}^n)^A = (\mathbb{R}^n)^T = W$
dense $(\mathbb{R}^n)^B$

so let $\mathbb{R}^n = W \oplus W^\perp$ stable under $T \subset B$

let $B = \begin{pmatrix} I & 0 \\ 0 & B \end{pmatrix}$. for any $A \in F$, $(\mathbb{R}^n)^A \supset (\mathbb{R}^n)^T = W$
 $A \in T$

so $A = \begin{pmatrix} I & 0 \\ 0 & A \end{pmatrix}$. Since B does not have eigen-value 1 (W is max

set which is fixed under B) if we take $A \in F$ close to B , then
 A does not have eigen-value 1.

Claim 3 Pick a $\phi = (A, a) \in \Gamma^* = \bar{\varphi}(F) \cap \Gamma$ with $\varphi(\gamma) = A$ in Γ
Then \exists splitting of ψ of φ

$$I \rightarrow \mathbb{R}^n \rightarrow O(n) \times \mathbb{R}^n \xrightarrow{f} O(n) \rightarrow I$$

such that translation part of f is in W .

$$I \rightarrow \mathbb{R}^n \rightarrow O(n) \times \mathbb{R}^n \xrightarrow{f} O(n) \rightarrow I$$

$$\parallel \qquad \qquad \qquad \parallel$$

$$(C, v) \qquad f \qquad \qquad \qquad (C, v)$$

$$I \rightarrow \mathbb{R}^n \rightarrow O(n) \times \mathbb{R}^n \xrightarrow{\psi} O(n) \rightarrow I$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$(C, v + (C-I)b) \qquad \qquad \qquad (C, (C-I)b)$$

(New coordinate)

Want to find $\alpha = b$ such that

$$f(A, a) \cdot \phi = (A, a + (A-I)\alpha), \quad a + (A-I)\alpha \in W.$$

$$(A-I)W^\perp = W^\perp \quad [\text{Let } x \in W^\perp, y \in W. \text{ Then}]$$

$$\langle (A-I)x, y \rangle = \langle Ax - x, y \rangle = \langle Ax, y \rangle - \langle x, y \rangle = \langle Ax, y \rangle = \langle x, y \rangle$$

$$\text{So } a + (A-I)\mathbb{R}^n = a + W^\perp, \text{ which meets } W.$$





Claim 4 If $\alpha \in \Gamma^*$, $\alpha(x) = Ax + b \Rightarrow b \in W$.

Since $\mathbb{R}^n \xrightarrow{x} \text{Rigid}(n) \rightarrow O(n)$ is independent of splitting, we may suppose $\text{Rigid}(n) = O(n) \times \mathbb{R}^n$ with new coordinate. \Rightarrow $a \in W$, $\gamma(x) = Ax + a$.

$$\gamma \alpha \gamma^{-1} \alpha^{-1} \in \Delta \quad \gamma \alpha(x) = ABx + Ab + a$$

$$\alpha'(x) = B'x - B'b.$$

$$\gamma \alpha'(x) = A'B'x - A'B'b - a. \quad (A \text{ fixes } a)$$

$$\therefore \gamma \alpha \gamma^{-1} \alpha^{-1}(x) = [A, B]x - [A, B]b - ABa + Ab + a$$

But $[A, B] = 1$ since T is abelian & A, B fixes a . So,

$$= x - b - a + Ab + a$$

$$= Ix + (I-A)(-b).$$

Thus, translation part of $[\gamma, \alpha] \in W^\perp \cap W$.

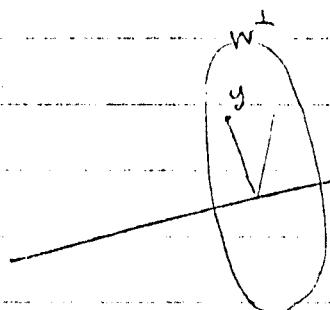
$$"x'(I) + (I-A)(-b) = (I-A)(-b) \in I\mathbb{R}^n$$

$$\therefore (I-A)(-b) = 0 \Rightarrow -b \in W.$$

$W \xrightarrow{T}$ T acts on W trivially

$$\begin{matrix} \circ & \rightarrow & W & \rightarrow & W \xrightarrow{T} & \longrightarrow T \rightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ \circ & \rightarrow & \Delta & \rightarrow & \Gamma^* & \longrightarrow F \rightarrow 1 \end{matrix}$$

Since $(\Gamma : \Gamma^*) < \infty$ and Γ is cocompact, so \mathbb{R}^n / Γ^* cpt.



suppose $w \in W^\perp$

$y = B\tilde{x} + b$ for some $Bx + b$ and $\tilde{x} \in \mathbb{R}^n$

$$\text{Let } \tilde{x} = x + \tilde{x}^\perp$$

$$\text{Then } y = Bx + B\tilde{x}^\perp + b = x + b + B\tilde{x}^\perp$$

$$\therefore y = B\tilde{x}^\perp \& x = -b$$

$\|y\| = \|\tilde{x}^\perp\|$. In other words, if $y \sim \tilde{x}$, then $\|y\| = \|W^\perp\|$ cpt.

so if $W^\perp \neq 0$, \mathbb{R}^n / Γ^* is not cpt.

$\therefore (\mathbb{R}^n)T - 1, r = \mathbb{R}^n$ unbounded set.



Step 1 \exists nbd U of $1 \in T$ such that: $\forall \alpha, \beta \in U \subset O(n)$

Let $\gamma_0 = \gamma$, $\gamma_{n+1} = [\alpha, \gamma_n]$. Then the sequence $\{\gamma_n\} \rightarrow 1$
 $B_0 \quad \quad \quad B_{n+1} \quad \quad \quad B_n \quad \quad \quad B_n \rightarrow I$

Recall: $\forall M \in \text{matrix}_{n \times n}(\mathbb{R})$, $\|M\| = \max_{v \neq 0} Mv$. Then

$$\|MN\| \leq \|M\|\|N\|, \quad \|M+N\| \leq \|M\| + \|N\|, \quad \|MA\| = \|M\|\|A\| = \|M\| \text{ if } A \in$$

We have $\exp: \text{Mat}_{n \times n}(\mathbb{R}) \rightarrow GL(n, \mathbb{R})$ differ in suff. small nbd of
 $\begin{pmatrix} 0 & \\ & I \end{pmatrix}$
 show sym. $\Rightarrow \diamond(n) \rightarrow O(n)$

$$M \rightsquigarrow \exp(M) = I + M + \frac{M^2}{2!} + \dots$$

Suppose $|A-I| < \frac{1}{4}$ & $|B-I| < \frac{1}{4}$. Let $\exp^{-1}A = a$, $\exp^{-1}B = b$.

$$A = I + a + a_1 \quad | \quad A^{-1} = I - a + a_2$$

$$B = I + b + b_1 \quad | \quad B^{-1} = I - b + b_2$$

$$\text{Then } |a_1| \leq |a|^2 \text{ & } |b_1| \leq |b|^2 \quad (\text{from } |A-I| < \frac{1}{4} \text{ & } |B-I| < \frac{1}{4})$$

Now show:

$$(A B A^{-1} B^{-1} - I) | B - I |$$

$$ABA^{-1} = I + ABA^{-1} + ABA^{-1}$$

$$\therefore (ABA^{-1})B^{-1} = (I + ABA^{-1} + ABA^{-1}) \cdot (I - B + B_1)$$

$$= I + (ABA^{-1} - B) + (ABA^{-1} - ABA^{-1}B - B_1) \quad \xrightarrow{\text{has } B_1 \text{ or } B^2} \quad 6 \text{ terms}$$

$$\textcircled{1} \quad |B| \leq 6 |B|^2$$

$$\textcircled{2} \quad (ABA^{-1})A^{-1} = (B + aB + a_1B) (I - a + a_2)$$

$$= B + (B(a + \dots)) \quad \xrightarrow[8 \text{ terms}]{S} \quad \text{has less than } |aB|$$

$$|ABA^{-1}B| \leq 8 |aB|$$

$$\therefore |ABA^{-1}B^{-1} - I| \leq |ABA^{-1}B| + |B| \leq 6 |B|^2 + 8 |aB| = (6|B| + 8|a|)$$

$$\leq \frac{1}{4} |B| \cdot |B - I|$$

$$\text{So } |B_1 - I| < \frac{1}{4} |B| \cdot \frac{1}{4} |B - I| < \left(\frac{1}{4}\right)^2 \quad (< \frac{1}{4})$$

$$|B_2 - I| < \frac{1}{2} |B_1 - I| < \left(\frac{1}{4}\right)^2$$

$$|B - I| = (B + a_1) \geq |B| - |a_1| \geq |B| - |B_1|$$

$$\geq \frac{1}{2} |B| \text{ if } |B| < \frac{1}{2}$$

$$|B_n - I| < \left(\frac{1}{4}\right)^{n+1} \quad B_n \rightarrow I$$

contradiction!



Step 2 \exists integer n in the above step so that $\gamma_n = 1$
 assume $\alpha, \gamma \in \varphi(\Gamma)$. [enough to show \exists dense subset of Γ which is abelian]

$$\text{Rigid}(n) = \text{Trans}(n) \sqsupseteq O(n)$$

Let $\hat{\alpha}, \hat{\gamma} \in \Gamma$ be such that $\varphi(\hat{\alpha}) = \alpha$ & $\varphi(\hat{\gamma}) = \gamma$.

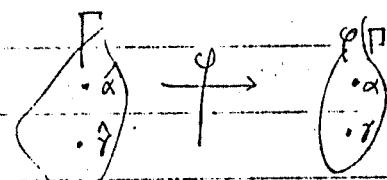
$$\hat{\alpha}(x) = \alpha(x) + a.$$

Define $\hat{\gamma}_0 = \gamma$, $\hat{\gamma}_i = [\hat{\alpha}, \hat{\gamma}_{i-1}]$ in Γ . Then and let $\gamma_i = \varphi(\hat{\gamma}_i)$ (1)

Then $\gamma_i = [\alpha, \gamma_{i-1}]$. $\hat{\gamma}_m(x) = \alpha$ at $\hat{\gamma}_i(x) = \gamma_i(x) + b_i$

Know (from step 1) : $\{\gamma_n\} \rightarrow I$.

Want to show $\gamma_n = I$ for some n .



① Show $\hat{\gamma}_n \rightarrow I$. $b_i \rightarrow 0$

$$\hat{\gamma}_n(x) = [\alpha, \gamma_{n-1}](x) = \alpha \gamma_{n-1} \alpha^{-1} \gamma_{n-1}^{-1}(x)$$

$$= \gamma_n(x) - \gamma_n(b_{n-1}) + \alpha(b_{n-1}) - \cancel{\alpha \gamma_{n-1} \alpha^{-1}(a)} + a$$

"a since $a \in W$.

$$= \gamma_n(x) + (\alpha(b_{n-1}) - \gamma_n(b_{n-1}))$$

$$\text{But } \|\alpha(b_{n-1}) - \gamma_n(b_{n-1})\| = \|(\alpha - \gamma_n)(b_{n-1})\| = \|(\alpha - \alpha \gamma_{n-1} \alpha^{-1} \gamma_{n-1}^{-1})(b_{n-1})\|$$

$$\leq \|\alpha\| (1 - \gamma_{n-1} \alpha^{-1} \gamma_{n-1}^{-1})(b_{n-1}) \leq \|\alpha\| \|\gamma_{n-1}(1 - \alpha^{-1})\| \|\gamma_{n-1}^{-1}\| \|\alpha\| \|\alpha^{-1}\| \|\beta_{n-1}\|$$

$$\cancel{\|\alpha\|} \cancel{(1 - \alpha^{-1})} = \|(1 - \alpha^{-1})\| \|\beta_{n-1}\| = \|\alpha^{-1}\| \|\beta_{n-1}\| < \frac{1}{4} \|\beta_{n-1}\|.$$

$\alpha \in O(n)$

So $\hat{\gamma}_n(x) = \gamma_n(x) + b_n$ where $\gamma_n \rightarrow I$ and $b_n \rightarrow 0$.

$$\therefore \hat{\gamma}_n \rightarrow I.$$

② Since Γ is discrete, $\hat{\gamma}_n = I$ for some n .

③ $\therefore \gamma_n = 1$.



Step 3 \exists nbd U of $I \in O(n)$ such that if $A, B \in U$ and if $[A, [A, B]] = I$
then $[A, B] = I$.

Consider $O(n) \subset O_n(\mathbb{C})$. Let

$$\mathbb{C}^n = V_1 \oplus V_2 \oplus \dots \oplus V_k$$

be eigen spaces of A . Suffices to show $BV_i = V_i$. But

$$\mathbb{C}^n = BV_1 \oplus BV_2 \oplus \dots \oplus BV_k$$

are eigen spaces of BAB^{-1} . [$BAB^{-1}(BV_i) = B(AV_i) = BV_i$] and
 A commutes with BAB^{-1} by $[A, [A, B]] = I$.

$$ABA^{-1}B^{-1}(V_i) = V_i \Rightarrow BA^{-1}B^{-1}(V_i) = V_i \Rightarrow V_i = \text{eigen space of } BA$$

$$\therefore A(BA^{-1}B^{-1}) = (BA^{-1}B^{-1})A$$

$$\therefore A(BA^{-1}B^{-1}) = A(BA^{-1}B^{-1})^{-1} = (BA^{-1}B^{-1})^{-1}A = (BA^{-1}B^{-1})A$$

So A should preserve eigen spaces of BAB^{-1} i.e., BV_i .

$$\therefore A(BV_i) = BV_i$$

BV_i is a sum of eigen vectors of A if B is close enough to I

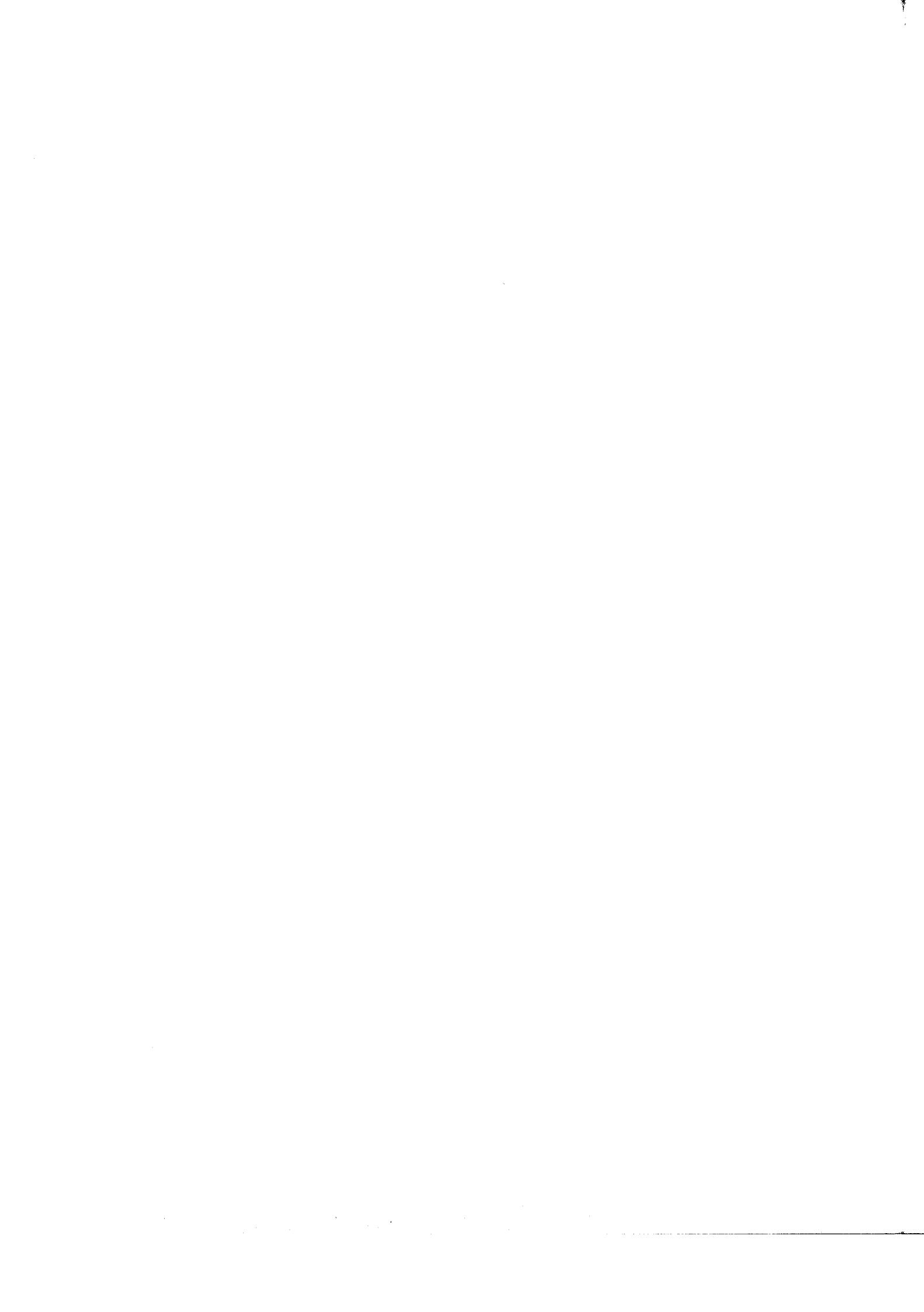
$$\therefore BV_i = V_i$$

$$BV_i = \sum_j (BV_i) \cap V_j$$

If $\|B - I\| < 1$, then B cannot map a unit vector to an orthogonal unit vector, $BV_i \cap V_j = \emptyset$

$$\therefore BV_i = V_i$$

QED.



§§ $Wh(\text{torsion-free crystallographic gp}) = 0$

Farrell - Hsiang

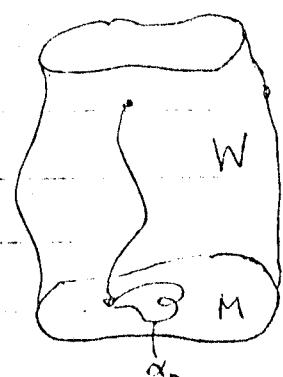
- (1) Lemma (~~Farrell-Hsiang~~) Let Γ be a torsion-free crystallographic group with holonomy group G , and let $x \in Wh\Gamma$ or $x \in K_0(\mathbb{Z}\Gamma)$. Then there exists N_x such that $\forall s > N_x$ and $s \equiv 1 \pmod{|G|}$ then the transfer of x to $Wh\Gamma_{G_s}$ (resp. $K_0\mathbb{Z}\Gamma_{G_s}$) vanishes. $\sigma^*(x) = 0$

$$\begin{array}{ccccccc}
 & & & & & 1 & \\
 & & & & & \downarrow & \\
 & & & & & \sigma: \Gamma_{G_s} \hookrightarrow \Gamma & \\
 & & & & & \text{↓} & \\
 1 & \longrightarrow & \mathbb{Z}^n & \longrightarrow & \Gamma & \longrightarrow & G \longrightarrow 1 \\
 & & & & \uparrow \oplus & & \\
 & & & & & & \\
 1 & \longrightarrow & (\mathbb{Z}^n)^m & \longrightarrow & \Gamma & \xrightarrow{\varphi} & \Gamma_{G_s} \longrightarrow 1 \\
 & & & & \varphi^*(G_s) = \Gamma_{G_s} & & \varphi(G) = G_s \\
 & & & & \uparrow & & \\
 & & & & (\mathbb{Z}_s)^n & & \text{vertical splits uniquely} \\
 & & & & \uparrow & & \text{up to inner auto of } G \\
 & & & & 1 & & \text{H}^2(G, (\mathbb{Z}_s)^n) = 0 \quad (\Rightarrow \text{split}) \\
 & & & & & & \text{H}^1(G, (\mathbb{Z}_s)^n) = 0 \quad (\Rightarrow \text{rigid})
 \end{array}$$

- (2) Lemma (Ferry, Annals of Math. 77) Hilbert Q-mfd.

Given closed Riemannian metric M , there exists $\varepsilon > 0$ such that (any ε -h-cobordism (W, M) with base M is a product.) i.e., $c(W, M) = 0 \in Wh(\pi_1 M)$.

Def. Pick a rem. metric on M^n . (W, N) is ε -h-cobordism if

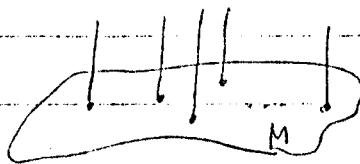


\exists a deformation retraction $h_t: W \times I \rightarrow W$ such that $h_0 = \text{id}$, $h_1 = \text{retraction}$, and the following family of curves

$$\alpha_p(t) = h_t \cdot h_t(p), \quad p \in W$$

All have arc length $< \varepsilon$.

Let M^n be a closed riem. mfld. Pick finite points a_1, a_2, \dots, a_m



The geometric group on these points $S = \{a_1, a_2, \dots, a_m\}$ is the free abelian group $G(S)$ generated by S .

$$\alpha: G(S) \rightarrow G(S) \text{ auto.}$$

need both α

✓ is called an ε -auto if $\alpha(a_i) \in G(S \cap D_\varepsilon(a_i))$

α is ε -blocked if \exists partition of S , $S = S_1 \cup \dots \cup S_t$ (disjoint)

where diameter $(S_i) < \varepsilon$ and $\alpha(G(S_i)) \subset G(S_i)$.

Connell - Hollingsworth Conjecture Proc. AMS (1969)

Given M^n and $\varepsilon > 0$, then $\exists \delta > 0$ such that any δ -auto of any geometric group over M^n can be expressed as a product of $(n+1)$ ε -blocked auto.

Thm (Quinn, Ends of Maps 1978)

If $R = \mathbb{Z}\Gamma$ such that $Wh(\Gamma \times \mathbb{Z}^n) = 0$. Then the generalized conjecture (replaced geometric group by geometric R -mod) is true for R and M^n .

In particular, if $\Gamma = \{1\}$ then $Wh(\mathbb{Z}) = 0$ so that C-H conj is true.

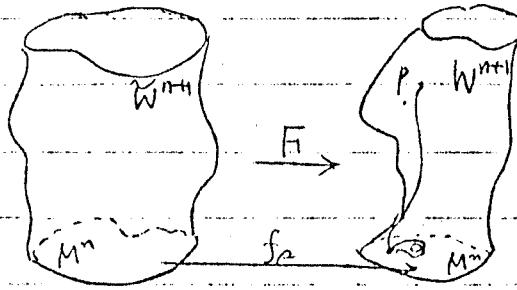
(originated from Kirby's work on annulus conjecture)



- (3) Lemma (Epstein-Schub) Let Γ be torsion-free crystallographic gp w holonomy G . [$1 \rightarrow \mathbb{R}^n \rightarrow \Gamma \rightarrow G \rightarrow 1$] (let $M^n = \mathbb{R}^n/G$)
 If $s \equiv 1 \pmod{\|G\|}$, \exists an expanding immersion $f_s: M^n \rightarrow M^n$
- (i) $|df_s(x)| = s|x|$
 - (ii) $(f_s)_*(\Gamma) = \Gamma_{G_s}$ ($\Gamma = \pi_1 M$) ($\Rightarrow f_s$ is s -sheeted)

Proof of (1) by (i), (ii) and (3). (Ferry & Epstein-Schub \Rightarrow Farrell)

\forall element $\in Wh\Gamma$ comes from an h -cobordism with base M , $\pi_1 M$
 so let $\tau(W, M) = x$.



Let $\alpha_p = h_1 h_t(p)$ and let arc length.

$$\|\alpha_x\| = \max_p \{|\alpha_p|\}$$

Let ε be a number given by (2). Let N_x be defined by

$$N_x = \frac{2\|\alpha_x\|}{\varepsilon} \quad (\Rightarrow \frac{\|\alpha_x\|}{N_x} < \varepsilon)$$

Let $s > N_x$ and $s \equiv 1 \pmod{\|G\|}$

Let

$$\tilde{W} \longrightarrow W$$

be corresponding covering to $\Gamma_{G_s} \subset \Gamma$.

claim Base space of \tilde{W} is again M & $F|M = f_s$

base of $W = M'$
 differ. G \downarrow
 $F|M'$ \searrow
 M
 f_s

\tilde{W}
 M'
 covering
 M

Get a covering \tilde{W} with base M .

\vee subgp of Bieberbach gp is also Bieberbach.

(4) algebraic Lemma } If Γ is a torsion-free crystallographic group. Then either
1° $\Gamma = \Gamma' \sqcup T$

2° $\Gamma = B * C$, where $[B; D] = 2 = [C, D]$

3° \exists an infinite sequence of numbers s such that $s \equiv 1 \pmod{|G|}$ and if S is a hyperelementary subgroup of Γ_s which projects onto G , then S is isomorphic to G . See (p.62)

e.g. $1 \rightarrow \mathbb{Z}^3 \rightarrow \Gamma \rightarrow \mathbb{Z}_2 \oplus \mathbb{Z}_2 \rightarrow 1$ is of type 2
(p.36)

proof of Thm using (1) & (4)

" $Wh\Gamma$ and $\tilde{K}_0 \mathbb{Z}\Gamma$ vanishes if Γ is torsion-free crystallographic by induction (lexicographic) on $rk\Gamma$ ($= rk A$ — maximal ab. subgp and $|G|$), $1 \rightarrow \mathbb{Z}^n \rightarrow \Gamma \rightarrow G \rightarrow 1$.

assume Thm is true for all Γ' where either

$$rk\Gamma' < rk\Gamma$$

or

$$rk\Gamma' = rk\Gamma \quad \text{and} \quad |\text{holonomy gp of } \Gamma'| < |G|.$$

when $|G|=1$, $\Gamma = \mathbb{Z}^n$. We know $Wh(\mathbb{Z}^n) = 0$. So assume $|G| > 1$, and prove $Wh\Gamma = 0 = \tilde{K}_0 \mathbb{Z}\Gamma$.

v Case 1° ① Since $\mathbb{Z}\Gamma$ is Noetherian, regular (p.11) ~~free abelian gp~~ → reg

Proj. Symp.
in Pers. Höll.
Vol 17

⑬ $Wh\Gamma' \rightarrow Wh\Gamma \rightarrow \tilde{K}_0(\mathbb{Z}\Gamma')$ exact

Since $Wh\Gamma' = 0$, $\tilde{K}_0 \mathbb{Z}\Gamma' = 0$ by induction hypo, get $Wh\Gamma = 0$.

v ② Recall Serre's Thm: If R is regular, $\tilde{K}_0 R \rightarrow \tilde{K}_0 R[x, x^{-1}] \rightarrow 0$

$$\tilde{K}_0 R \xrightarrow{\quad || \quad} \tilde{K}_0 R[x, x^{-1}] \xrightarrow{\quad || \quad} 0 \quad (\text{P.19})$$

$$\therefore \tilde{K}_0 \mathbb{Z}\Gamma' \rightarrow \tilde{K}_0 \mathbb{Z}\Gamma = \tilde{K}_0 \mathbb{Z}(\Gamma' \sqcup T) \xrightarrow{\quad || \quad} 0 \quad (\text{P.37 ch})$$

so that $\tilde{K}_0 \mathbb{Z}\Gamma = 0$.



case 2° ① By Waldhausen, (cf. p 39)

$$\Gamma = \underset{D}{B \times C} \Rightarrow \begin{matrix} \text{Wh } B \\ \oplus \\ \text{Wh } C \end{matrix} \longrightarrow \text{Wh } \Gamma \longrightarrow \widetilde{K}_0 Z D$$

By Induction hypo, $\text{Wh } B = \text{Wh } C = \widetilde{K}_0 Z D = 0$ $\therefore \text{Wh } \Gamma = 0$.

$$② \widetilde{K}_0 Z \Gamma \xrightarrow{1-1} \text{Wh}(\Gamma \times T)$$

enough to show $\text{Wh}(\Gamma \times T) = 0$

$$Z[G \times T] = ZG[x, x^{-1}]$$

$$\Gamma \times T = \underset{D \times T}{B \times T \times C \times T}$$

$$\therefore \begin{matrix} \text{Wh}(B \times T) \\ \oplus \\ \text{Wh}(C \times T) \end{matrix} \longrightarrow \text{Wh}(\Gamma \times T) \longrightarrow \widetilde{K}_0 Z(D \times T)$$

But $\text{Wh}(B \times T) = \text{Wh } B \oplus \widetilde{K}_0 Z B = 0$ by induction hypo.

Similarly

$$\text{Wh}(C \times T) = 0$$

Moreover, from Serre's Thm

$$\widetilde{K}_0 Z D \longrightarrow \widetilde{K}_0 Z(D \times T) \longrightarrow 0$$

$$\widetilde{K}_0 Z(D \times T) = 0 \quad \therefore \widetilde{K}_0 Z \Gamma = 0.$$

Case 3° Let $x \in \text{Wh } \Gamma$ or $\widetilde{K}_0 Z \Gamma$.

Pick $\epsilon > N_x$ so that 3° of algebraic lemma holds.

To show $i^* x = 0$ for all inclusion $i: \Gamma_S \hookrightarrow \Gamma$ where S is a hyperelementary subgrp of Γ .

Either $S = G_a$ or $|\text{holo } \Gamma_S| < |G|$.

$$S = G_a \Rightarrow i^* x = 0 \text{ by Lemma (1)}$$

$$|\text{holo } \Gamma_S| < |G| \Rightarrow \text{Wh } \Gamma_S = 0 \text{ by hypo.}$$

QED of Th



$$\begin{array}{ccc}
 (\tilde{W}, M^n) & \xleftarrow{\text{lift}} & (W, M^n) \\
 \tilde{h}_t & \longleftrightarrow & h_t \\
 \gamma_{\tilde{g}} & & \alpha_p
 \end{array}
 \quad
 \left(\begin{array}{ccc}
 \tilde{W} \times I & \xrightarrow{\tilde{h}_t} & \tilde{W} \\
 \downarrow \text{Fix id} & & \downarrow F \\
 W \times I & \xrightarrow{h_t} & W
 \end{array} \right)$$

Claim: $|\gamma_{\tilde{g}}| < \varepsilon$

$$f_p(\gamma_{\tilde{g}}) = \alpha_{F(\tilde{g})}$$

$$|\gamma_{\tilde{g}}| = \frac{1}{2} |\alpha_{F(\tilde{g})}| < \varepsilon \text{ by choice of } N.$$

So this is ε -h-cofordism.

By (2) Ferry's lemma, $\tau(\tilde{W}, M) = 0$

$\parallel \leftarrow$ Milnor's 'Whitehead torsion'

$\sigma^*(x)$, where $\sigma: \Gamma_{G_2} \hookrightarrow \Gamma$

✓ For $\tilde{K}_0 \mathbb{Z}\Gamma$,

$$\begin{array}{ccc}
 \text{Wh}(\Gamma \times T) & \xrightarrow{\text{trf}} & \text{Wh}(\Gamma_{G_2} \times \varepsilon T) \\
 \uparrow \begin{matrix} \cong \\ \text{by B-H-S} \end{matrix} & \xrightarrow{\circ} & \downarrow \begin{matrix} \cong \\ \text{id} \end{matrix} \\
 \tilde{K}_0 \mathbb{Z}\Gamma & \xrightarrow{\sigma^*} & \tilde{K}_0(\mathbb{Z}\Gamma_{G_2})
 \end{array}$$

Claim: If $\varepsilon \geq N_{\tilde{x}}$, then $\sigma^*x = 0$ where $\sigma: \Gamma_{G_2} \hookrightarrow \Gamma$.

$$\begin{array}{c}
 \tilde{K}_0 \mathbb{Z}\Gamma_{G_2} \rightarrow \text{Wh}(\Gamma_{G_2} \times T) \rightarrow \text{Wh}(\Gamma_{G_2} \times \varepsilon T) \xrightarrow{\text{id}}
 \end{array}$$

Pf. of algebraic Lemma (4) p. 65

Need following three facts.

(1) If $s \equiv 1 \pmod{|G|}$ and $A_s G \neq 0$, then $A^G \neq 0$.

$$0 \rightarrow A \xrightarrow{s} A \rightarrow A_{s2} \rightarrow 0$$

$$0 \rightarrow H^0(G, A) \rightarrow H^0(G, A) \rightarrow H^0(G, A_2) \rightarrow H^1(G, A) \xrightarrow{\cong} H^1(G, A) \rightarrow H^1(G, A_2) \rightarrow 0$$

↓ 0 ↑ ↓ torsion 0

Get

$$A^G \longrightarrow A_{s2}^G \longrightarrow 0 \quad |G| H^1(G, A) = 0$$

$$H^1(G, A_2) = H^1(G, \mathbb{Z}) \otimes A_2 \oplus H^2(G, \mathbb{Z}) * A_2 = 0 \quad \text{see Grunberg (6)}$$

$|G| \cdot H^1(G, \mathbb{Z}) = 0$
 $(|G|, s) = 1$

$$\text{coker } (\text{Hom}(ZG, A) \rightarrow \text{Hom}(G, A)) \xrightarrow{\cong} \text{coker } (\text{Hom}(ZG, A) \rightarrow \text{Hom}(G, A))$$

(2) \exists infinite sequence of prime p such that

$$(p, |G|) = 1 \quad \& \quad (p-1, |G|) = 1 \text{ or } 2. \quad |G| H^1(G, A) = 0 \quad \& \quad (|G|, s) = 1$$

\therefore Dirichlet's thm \exists infinitely many p 's. \Rightarrow

$$p = m|G| - 1$$

$$p-1 = m|G| - 2$$

(3) $F < G$ with $[G:F] = 1$ or 2 . $A^F \neq 0 \Rightarrow$

Then either $\Gamma = \Gamma' \wr T$ or $\Gamma = B \ast C$ with $[B:D] = 2 \doteq [C:D]$

* Torsion free subgp of cryct is cryct.

$$0 \rightarrow A \rightarrow \Gamma \xrightarrow{\varphi} G \rightarrow 1$$

$$0 \rightarrow A \rightarrow \Gamma_0 \xrightarrow{\varphi} F \rightarrow 1$$

$$\text{Let } \Gamma_0 = \varphi^{-1}F. \text{ Then } \Gamma_0 = \Gamma_0' \wr T.$$

$$\text{Hom}(\Gamma_0, T) = H^1(\Gamma_0, T) \text{ since action of } \Gamma_0 \text{ on } T \text{ is trivial.}$$

Show this is not trivial. g.p.

Using Lyndon-Serre-Hochschild spectral sequence, ($B T \rightarrow B T$)

$$0 \rightarrow A \rightarrow \Gamma_0 \rightarrow F \rightarrow 1$$



$$E_2^{p,q} = H^p(F, H^q(A, T))$$



$$H^{p+q}(\Gamma_0, T)$$

If $p > 0$, $E_2^{p,q}$ is finite.

$$E_2^{0,0} = (H^2(A, T))^F.$$

$$E_2^{0,1} = (H^1(A, T))^F = (A^*)^F \neq 0 \quad (\Leftarrow A^F \neq 0)$$



$(A^*)^F \cong \text{infinite. } (A \text{ is } \mathbb{Z}\text{-free})$

$$(A^*)^F = E_2^{0,1}$$

$$\text{Hom}(\Gamma_0, T) \cong H^1(\Gamma_0, T) = \sum_{p+q=1} E_2^{p,q} = E_2^{0,1} \oplus E_2^{1,0} \neq 0$$

So,

$\exists \Gamma_0 \rightarrow T$ non-trivial homo. cyclic

So far, $H^1(\Gamma_0, T)$ is infinite group.

$$\text{If } G/F = 2, \text{ then } \Gamma \rightarrow T \text{ since } \Gamma \cong \Gamma_0 \text{ and } \Gamma_0 \rightarrow T \text{ is non-trivial.} \quad \therefore \Gamma \cong T$$

There are two actions of T on T .

(T, T^+) trivial action of T on T .

(T, T^-) non-trivial action of T using T_2 action on T since $T \cong T_2$.

claim: one of $H^1(\Gamma, T^\pm)$ is infinite. [not trivial!]

If $H^1(\Gamma, T^+) \neq 0$

$\exists H^1(\Gamma, T) \neq 0 \Rightarrow$ as in the case G

$\varphi \in H^1(\Gamma, T^-) \Rightarrow \varphi: \Gamma \rightarrow T$ is crossed homo.

$$\varphi(a \cdot b) = \varphi(a) + a \cdot \varphi(b).$$

$\Gamma \xrightarrow{\hat{\varphi}} T \sqcup T_2 = \{ax + t \mid a \in T_2, t \in T\}$ infinite dihedral group.

$$\varphi \mapsto (\varphi(n), \bar{t}) = \bar{t}x + \varphi(n)$$

is a group homo.

? $\hat{\varphi}$ not onto $\Rightarrow \text{Im } \hat{\varphi} = T \Rightarrow (\text{Ker } \hat{\varphi} \rightarrow \Gamma \rightarrow T)$

refl. at 0

refl. at 1

Suppose $\hat{\varphi}$ is onto. Since $T \sqcup T_2 = T_2 * T_2$

generated by transl. 0 $\mapsto 2$

refl. at 0

$$\Gamma \xrightarrow{\hat{\varphi}} T_2 * T_2 \xrightarrow{\frac{1}{2}} \mathbb{Z}_2$$

$$\text{let } B = \hat{\varphi}^{-1}(T_2), C = \hat{\varphi}^{-1}(T_2); D = \hat{\varphi}^{-1}(1).$$

p.f. of claim
 Now use spectral sequence of $1 \rightarrow T_2 \rightarrow P \rightarrow T_2 \rightarrow 1$

$$E_2^{p,q} = H^p(T_2, H^q(P_0, T^\pm))$$

$$\downarrow$$

$$H^{p+q}(P, T^\pm) \quad \text{free abel. gp}$$

$$E_2^{0,1} = H^0(T_2, H^1(P_0, T^\pm)) = (H^1(P_0, T^\pm))^{T_2} = (H^1(P_0, I))^{T_2} \quad \text{free ab. gp. of rk } r$$

One of $\text{Hom}_{T_2}(P, T^-)$ & $\text{Hom}_{T_2}(P_0, T)$ is $\neq 0$. pf 3 (3)

pf of algebraic lemma.

Since $(p, |G|) = 1$ & $(p-1, |G|) = 1$ or 2 . Fix u so that $p^u \equiv 1 \pmod{p-1}$

$$r = p^{u+v} \quad (v=1, 2, \dots)$$

These r satisfy conditions of r in 3° of (4) alg. lemma.

Choose $S \subset P_0$

$$1 \rightarrow A_n \rightarrow P_2 \xrightarrow{\varphi} G \rightarrow 1$$

\cup
 S

$A_n = A/nA$, $S = \text{hyper elementary subgp of } P_2 \Rightarrow \varphi(S) = G$.

Want $\varphi|_S$ is iso. To show $\text{Ker}(\varphi|_S) = A_n \cap S = 1$;

Since S is hyper elementary, (\rightarrow always split)

$$1 \rightarrow K \rightarrow S \rightarrow Q \rightarrow 1 \quad \Rightarrow |Q| = 3?$$

cyclic $\beta\text{-group}$ $(|K|, |Q|) = 1 \quad \therefore S = K$

Case 2. $q \mid n$

$$S \subset P_2 \text{ and } A_n \rightarrow P_2 \xrightarrow{\varphi} G$$

$A_n \not\cong G$

$Q \subset A_n$ and K maps onto G

$$K \cap A_n \subset (A_n)^2$$

If $K \cap A_n \neq 0$, then this should be case (1) $\Rightarrow Q \triangleleft S$

$$\Rightarrow S = K \triangleleft Q = K \times Q \Rightarrow Q \subset A_n^G$$

$$a \in Q \cap A_n$$

$$a(ba^{-1}b^{-1}) \in A_n \cap K$$

normal

$$\therefore aba^{-1}b^{-1} = 1 \Rightarrow Q = 0.$$



case 2. $(q, n) = 1$

q maps Q into G isomorphically.

$$A_n \cap S = A_n \cap K$$

claim: for $\forall g \in G$, $g(A_n \cap K)g^{-1} = A_n \cap K$. \therefore

$A_n \cap K$ is a cyclic gp of order p^n .

$$F \xrightarrow{\text{ker}} G \longrightarrow \text{Aut}(A_n \cap K)$$

ker

\cong

\mathbb{Z}_2 since order of $A_n \cap K$ is $p^n - p^{n-1}$

$$= p^{n-1}(p - 1)$$

$$\therefore [G : F] = 1 \text{ or } 2$$

$$(A_n)^F \neq 0 \Rightarrow AF \neq 0$$

$$\therefore n = 0.$$

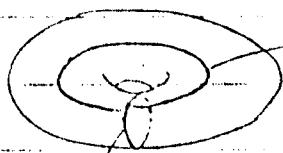
72

About Lens spaces (Rolfsen)

Let V_1, V_2 be solid tori.

$$h: \partial V_2 \rightarrow \partial V_1 \text{ (homeo)}$$

$$M_3 = V_1 \cup_{h^{-1}} V_2 = V_1 \sqcup V_2 / x \sim h(x) \text{ for } x \in \partial V_2.$$



K : longitude. (generator of $H_1(V) \cong \pi_1(V) \cong \mathbb{Z}$
intersects some meridian of V at
a single pt.)

J : meridian homologically trivial in V
(homotopically)

bounds a disk in V

$$\text{For some framing } h: S^1 \times D^2 \rightarrow V, \quad J = h(S^1 \times \partial D^2).$$

$$\text{longitude} = h(S^1 \times I)$$

$$\begin{cases} h_0 = \text{id} \\ h_f = \text{homeo} \end{cases}$$

Any two meridians of V are equiv. by an ambient isotopy
longitude are equiv. by a homeo.

But there are infinitely many ambient isotopy classes of longitude.

$$\partial V \xrightarrow{\cong} \partial V \text{ can be extended to } V \xrightarrow{\cong} V \iff f \text{ takes merd. to merd.}$$

$$\text{at } V \subset S^3, \quad X = (S^3 - V) \setminus \text{merid. mfld.} \subset S^3$$

$$H_1(X; \mathbb{Z}) = \mathbb{Z}, \mathbb{Z}, 0, 0, \dots$$

$$H_1(V; \mathbb{Z}) = \mathbb{Z}, \mathbb{Z}, 0, 0, \dots$$

longitude longitude

$$\exists \text{ framing } h: S^1 \times D^2 \rightarrow V \quad \Rightarrow \quad h(S^1 \times I) = \circ \in H_1(X).$$

preferred framing.

$M^3 = V_1 \cup_{h^{-1}} V_2$ is connected, orientable 3-mfd

$$V_1 \cup_{h^{-1}} V_2 \cong V_1 \cup_{h^{-1}} V_2 \iff h(m_1) \cong h(m_2)$$

$$h, h: \partial V_1 \rightarrow \partial V_1, \quad m_1: \text{meridian of } V_1, \quad m_2: \text{meridian of } V_2.$$

Let $l_1, m_1 ; l_2, m_2$ be longitude & meridian of V_1, V_2 .

$$h_{\ast}(m_2) = pl_1 + qm_1 \quad (p, q) = 1$$

Lens space of type $L(p, q)$

$$M^3 = \text{lens space} \Leftrightarrow M^3 = V_1 \# V_2.$$

$$L(1, q) \cong S^3$$

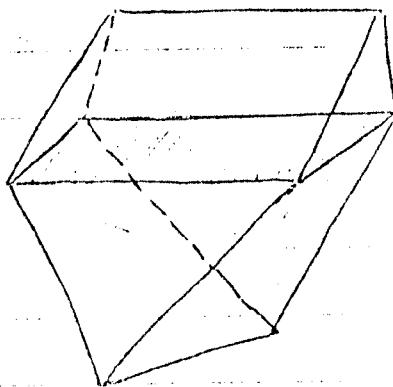
$$L(0, 1) \cong S^2 \times S^1$$

$$L(2, 1) \cong \mathbb{RP}^3$$

$$L(p, q) \cong L(p, -q) \cong L(-p, q) \cong L(-p, -q) \cong L(p, q + kp).$$

$L(p, q)$, $0 \leq q < p$ exhaust all lens sp. except $S^3, S^2 \times S^1$.

$$\pi_1(L(p, q)) = \mathbb{Z}/p.$$



Solid Torus + Solid Torus

(Boundary identification
by $l \rightarrow m' \leftarrow m \rightarrow d'$)

is S^3

Example

$$\text{Wh}(\mathbb{Z}^2 \wr \mathbb{Z}_3) = 0$$

$$\begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix}$$

$$\text{Wh}(\mathbb{Z}^2 \times \mathbb{Z}_3) = 0?$$

not known

$$A = \begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix} \quad \because A^3 \text{ satisfies } A^3 = I.$$

and A has min. polynomial $x^2 + x + 1$.

$$\begin{matrix} I & \rightarrow & A & \rightarrow & T & \rightarrow & G & \rightarrow & I \\ & & \downarrow & & & & & & \\ & & A \sqsupseteq G & & & & & & \end{matrix}$$

A becomes an orthogonal rotation when a new axis in \mathbb{R}^2 is chosen:
i.e., $\exists B \in GL_2(\mathbb{R})$
such that $B^{-1}AB \in O_2(\mathbb{R})$.
This B corresponds to a choice of new axis on \mathbb{R}^2 .

Rigid(n)

(If P has no torsion,
 $P \subset \text{Rigid}(n)$ is mono.)

$$\text{Let } P = \mathbb{Z}^2 \wr \mathbb{Z}_3.$$

$$\mathbb{R}^2/P = S^2$$

$$\mathbb{R}^2/\mathbb{Z}^2 = T^2$$

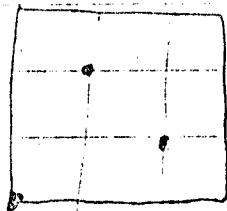
From

$$\begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} m \\ n \end{pmatrix} \quad m, n \in \mathbb{Z}$$

$$\begin{cases} -y = x + m \\ x - y = y + m \end{cases} \quad \begin{aligned} 3x &= m - 2m \\ y &= -\frac{1}{3}(m - 2m) + m = \frac{1}{3}(m + 2m) \end{aligned}$$

Fixed pts

$$\begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} \frac{1}{3} \\ \frac{2}{3} \end{pmatrix}, \begin{pmatrix} \frac{1}{3} \\ \frac{1}{3} \end{pmatrix}$$



By calculation or euler #, get $\mathbb{R}^2/P = S^2$

-another way:

$T \rightarrow \mathbb{Z}_3^3$ acts freely on S^3 so that

$$S^3 \longrightarrow S^3/\mathbb{Z}_3 = L^3 \text{ (Lens space)}$$

Γ acts on \mathbb{R}^2 and S^3 .

So Γ acts diagonally on $\mathbb{R}^2 \times S^3$

$\begin{cases} L & \text{at three fixed pts} \\ S^3 & \text{otherwise.} \end{cases}$

$$\begin{array}{ccc} T^2 & \longrightarrow & \mathbb{R}^2 \times S^3 \xrightarrow{\Gamma} S^3/\Gamma = L \\ & \searrow & \downarrow \\ & \text{torus} & S^2 \end{array}$$

Expanding map $\mathbb{R}^2 \times S^3$

$$f_a(x, a) = (ax, a)$$



$$\text{A} \quad \mathbb{Z}^2 \sqcup \mathbb{Z}_3 \quad \text{G}$$

$$\Gamma = \mathbb{Z}^2 \sqcup \mathbb{Z}_3 \rightarrow \Gamma = \Gamma' \sqcup T \text{ (impossible)} \quad \Gamma \neq 13 * C$$

\exists infinite sequence of positive numbers $r \equiv 1 \pmod{3}$

Let $S \subseteq \Gamma_2$ be a hyperelliptic subgroup.

$$A_2 \rightarrow \Gamma_2 \rightarrow G$$

\cup
 S

Either $S \subseteq A_2$ or $S = \text{conjugate of } G_2$. ($\mathbb{Q} \rightarrow 1 \in G$ or S is)

$$\begin{array}{c} \Gamma \xrightarrow{\varphi} \Gamma_2 \rightarrow 1 \\ \cup \\ \Gamma_S = \varphi|S \xrightarrow{\cup} S \end{array}$$

For $x \in \text{Wh}(\Gamma)$, want $\sigma^*(x) \in \text{Wh}(\Gamma_S)$

If $S \subseteq A_2$, then $\Gamma_S = \mathbb{Z}^2$. Know already. $\text{Wh}(\mathbb{Z}^2) = 0$. ✓

If $S = G_2$ $\sigma^*(x) \in \text{Wh}(\Gamma_{G_2})$ $\text{Wh}(\Gamma_S) \ni \sigma^*(x)$

$\Gamma \subseteq \text{Rigid}(2)$

$$\mathbb{R}^2/\Gamma = S^2 \quad \because \mathbb{R}^2/A = T^2$$

$$T^2/G = \mathbb{R}^3/\Gamma$$

\mathbb{R}^2/Γ is mfd. $\chi(\mathbb{R}^2/\Gamma) = 2$. ✓

$C > G = \mathbb{Z}_3$ acts $S^3 \subseteq C^4(\text{free}) \rightarrow$ get $S^3/\Gamma = \text{Lens esp.}$

$$\Gamma = \mathbb{Z}^2 \sqcup \mathbb{Z}_3$$

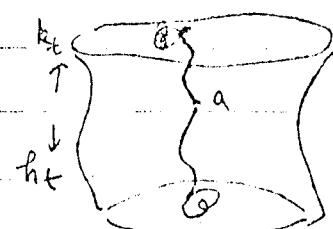
$$\begin{matrix} \downarrow \\ P^3 \times S^2 \end{matrix}$$

Γ acts freely on $\mathbb{R}^2 \times S^3 = \mathbb{R}^2 \times T^2 \times S^3$.

$$\pi_1(\mathbb{R}^2 \times S^3) = \Gamma.$$

Let W^6 be an h-cobordism with one end $\mathbb{R}^2 \times S^3 = T^2 \times G$

$$\rightarrow x = \tau(W).$$



$$\alpha_a = h_t h_{t+}(a)$$

$$\beta_a = h_t(h_{t+}(a))$$

def. retract of another end.

$$Wh(\pi_1 S^3) = 0 \text{ & } Wh(\pi_1 L) = 0.$$

$$\downarrow T^2 \times_{\mathbb{Z}} S^3$$

$$\begin{array}{ccc} p & & p \\ \downarrow & & \downarrow \\ \circ & T^2 \times_{\mathbb{Z}} S^3 & \end{array}$$

Generalize ε -h-cobordism by
 (h_t, k_t) is ε -h-cobordism if

$|p\alpha_t| < \varepsilon$ & $|p\gamma_t| < \varepsilon$.

((Q11m))

Thm $\exists \varepsilon > 0$ such that each ε -h-cobordism with base $T^2 \times_{\mathbb{Z}} S^3$ has
 \circ whitehead torsion.

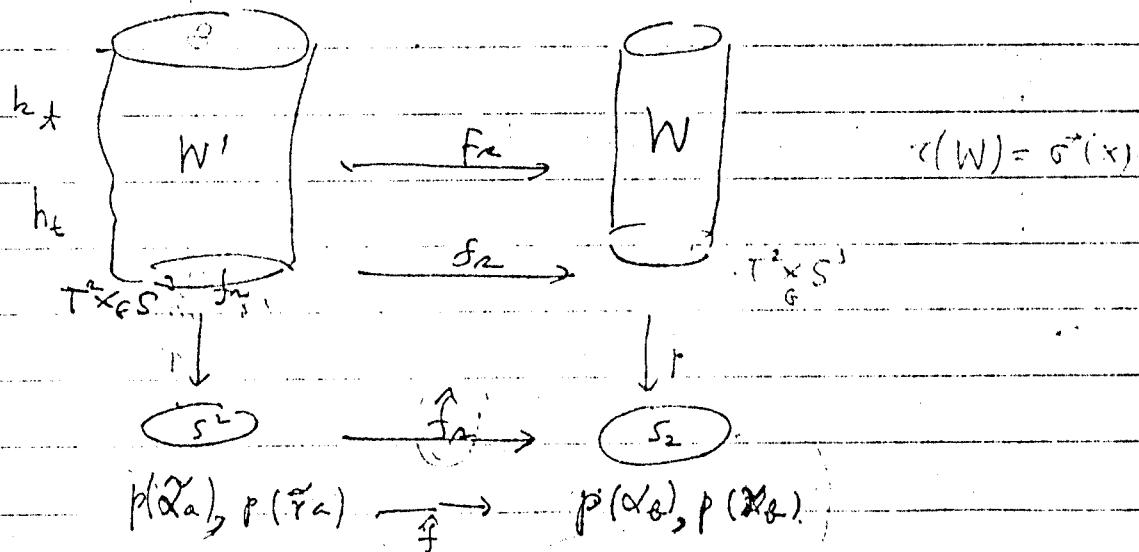
Find f_2 a smooth map (in fact covering proj.)

$$f_2: T^2 \times_{\mathbb{Z}} S^3 \rightarrow \circ$$

$$(f_2)_*(\Gamma) = \Gamma_{\sigma_2}$$

$$f_2(y, z) = (\kappa y, z)$$

Choose a covering proj $W' \xrightarrow{F_2} W$ st. corresponding $\tilde{\Gamma}_{\sigma_2}$



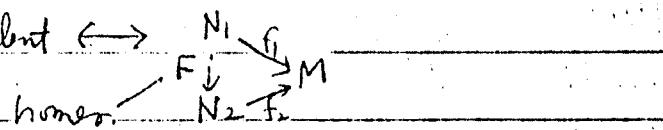
$$\langle s | \tilde{\Gamma}_{\sigma_2} \rangle = \langle s | \tilde{\Gamma}_2 \rangle$$

QED mod
Quinn - Th

$M = \text{cpt. mfd with } \partial$

$\mathcal{S}(M) = \text{homotopy structure set}$

objects: (N, f) where $N \xrightarrow{f} M$ & $f|_{\partial N}: \partial N \approx \partial M$

$(N_1, f_1) \sim (N_2, f_2)$ equivalent \Leftrightarrow 

* $\mathcal{S}(M)$ is an abelian group.

0-elt: $M \xrightarrow{\text{id}} M$

Thm If M^n is flat mfd, $n \neq 3, 4$ and holonomy group of M^n is

then $|\mathcal{S}(M^n \times D^m)| = 1$ [In fact $n+m > 4$ is enough] that's why

coro $m=0$: If N is htp equivalent to M^n , flat mfd, $n \neq 3, 4$ then $N \approx M$

? Thm (tentative) Let M^n be any mfd $n > 4$, $\pi_1 M = \Gamma$. Let

$$\varphi: \Gamma = \pi_1 M \longrightarrow F \xrightarrow{\quad} 1$$

finite group

Let $[f] \in \mathcal{S}(M)$ such that:

If $\sigma^*[f] = 0$ for all $\sigma: \Gamma_S \hookrightarrow \Gamma$ where S ranges over all the hyper elementary subgroup of Γ . ($\Gamma_S = \varphi^{-1} S$), then $[f] = 0$.

$\sigma^*[f]$ is defined by $[\tilde{f}_S]$:

$$\begin{array}{ccc} \tilde{N} & \xrightarrow{\tilde{f}} & M_S \\ \downarrow \text{pullback} & & \downarrow \text{covering corr. to } \Gamma_S \\ N & \xrightarrow{f} & M \end{array}$$

Sketch of pf of Thm. Induction on $\dim M$ and order of holonomy gr.

✓ (case i) $\Gamma = \Gamma' \wr T$. Then M is a Seifert fibration

$$M^{n-1} \longrightarrow M \longrightarrow S^1$$

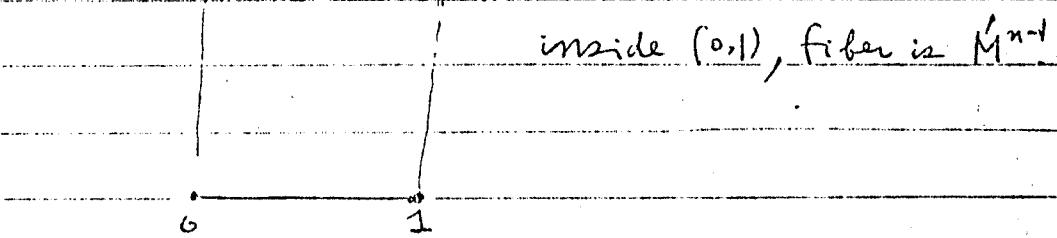


$$\check{S}(M^{n-1} \times D^m) \xrightarrow{\quad} \check{S}(M^n \times D^m) \xrightarrow{\quad} \check{S}(M^{n-1} \times D^m)$$

||
0 ||
 0

Difficulty in even dim'l case: Seifert fibering is
(case ii)

$$M^{n-1}/\mathbb{Z}_2 \quad M^n/\mathbb{Z}_2$$



(case iii) Use above tentatieve thm & analogue of Ferry's.

(case ii)

$$\begin{array}{c} \partial^{n-1} \\ \circlearrowleft B^n \cap e^n \circlearrowright M \\ \uparrow f \qquad \Gamma = B * C \\ N \end{array}$$

Can f be pulled back?

obstruction comes from $\text{Wh } \Gamma$

So, for $|G|$ even, to take care of case $\Gamma = B * C$, we need the following algebraic fact:

proposition Let Γ be a crystallographic group: $1 \rightarrow A \rightarrow \Gamma \rightarrow G \rightarrow 1$

Then either,

$$(i) \quad \Gamma = \Gamma' \sqcup T$$

(ii) $\Gamma \dashrightarrow \hat{\Gamma}^{k+1}$ crystallographic such that $1 \rightarrow \hat{\Gamma} \rightarrow \Gamma \rightarrow \hat{\Gamma}^k \rightarrow 1$

such that \exists infinite sequence of positive integers $k \equiv 1 \pmod{12}$ so that any hyper elementary subgp S of $\hat{\Gamma}^k$ which projects onto is isomorphic to \hat{G} .

(iii) G is elementary abelian 2-groups [$G = \oplus \mathbb{Z}_2$] and either

① $\Gamma = A \wr T_2$ or ② $\Gamma \rightarrow \bar{\Gamma}$ crystallograph $1 \rightarrow \mathbb{Z} \oplus \mathbb{Z} \rightarrow \bar{\Gamma} \rightarrow \mathbb{Z}_2 \oplus$
 with holonomy representations one of

$$\begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix}, \quad \pm I \text{ and } I \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}.$$

Pf) Assume $\Gamma \neq \Gamma' \wr T$. Then by Algebraic Lemma, either (ii)

(iii). Suppose $\Gamma = B \times C$. Then

$$\Gamma \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2 \rightarrow 1.$$

This implies: \exists an ∞ -cyclic subgroup S of A which is invariant under action of B . (A/S is again torsion-free)

Let $\Gamma/S = \Gamma'$ abelian by finite

Γ' may not be crystallograph.

$$\downarrow \\ \text{Rigid}(n+1)$$

Let the image of this map in $\text{Rigid}(n+1)$ be Γ_i . Then we get

$$\Gamma \rightarrow \Gamma_i \rightarrow 1, \quad rk \Gamma_i = rk \Gamma - 1.$$

Continue this process. $\Gamma_i \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2 \rightarrow 1 \rightarrow \Gamma_{i+1} \rightarrow \Gamma_i \rightarrow 1$. etc.

$$\underbrace{\Gamma \rightarrow \Gamma_i \rightarrow \Gamma_{i+1} \rightarrow \dots \rightarrow \Gamma_n}_{\varphi_i} \rightarrow 1$$

Let $K_i = \ker \varphi_i$. Then

$$1 \subset K_1 \subset K_2 \subset \dots \subset K_n \subset \Gamma.$$

Each $K_i \triangleleft \Gamma$. Put $A_i = K_i \cap A$. Then

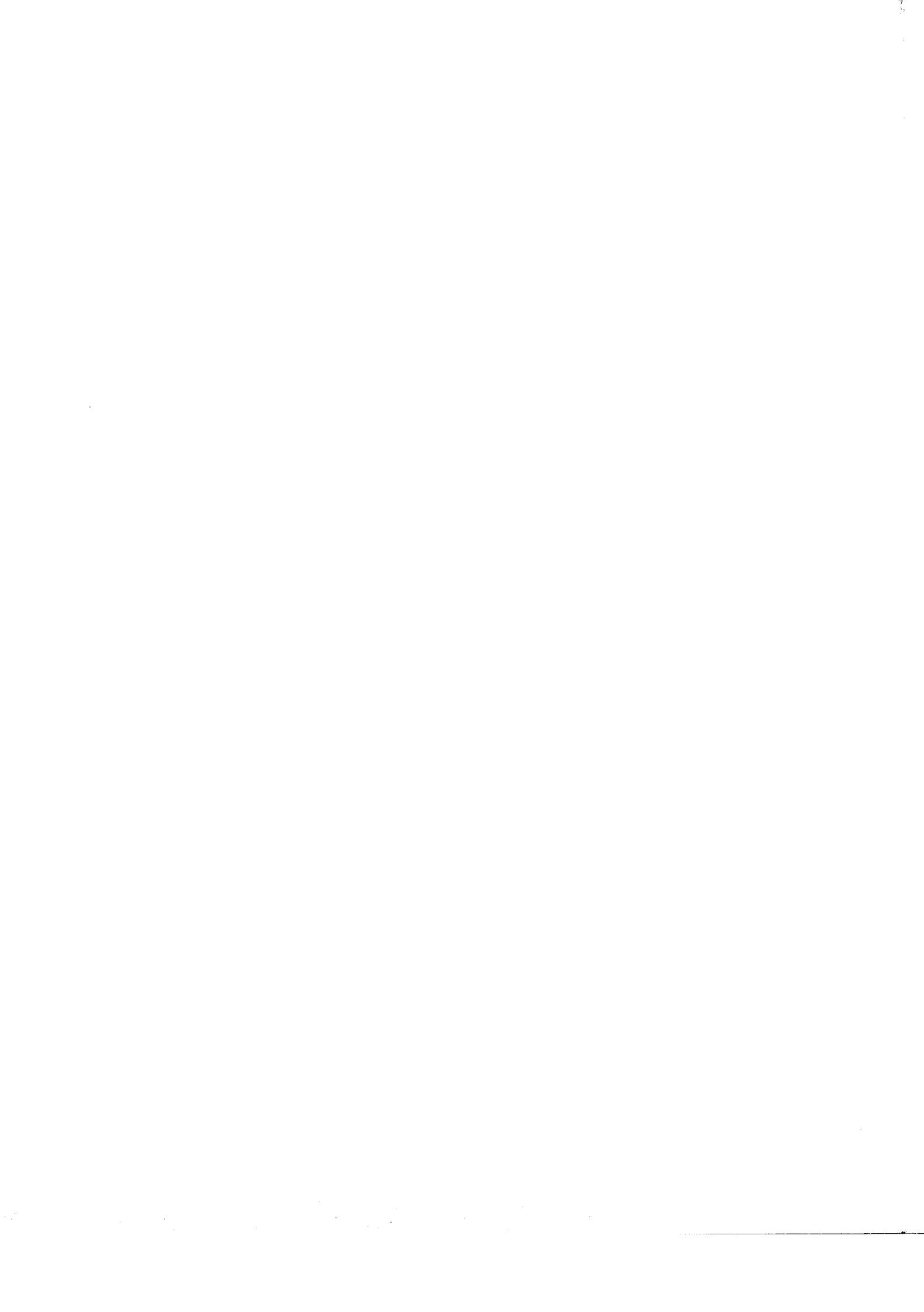
$$0 \subset A_1 \subset A_2 \subset \dots \subset A_n = A, \quad rk(A_n/A_0) = 1$$

Let

$$\alpha_i = \{x \in A \mid sx \in A_i \text{ for some } s \in \mathbb{Z}\}$$

$$0 \subset \alpha_0 \subset \alpha_1 \subset \dots \subset \alpha_n = A.$$

α_i is normal in Γ and α_{i+1}/α_i are infinite cyclic.



Pick a basis for A . $e_1, e_2, \dots, e_n; e_1, \dots, e_i$ span Ω_i .

$g \in G$,

$g \rightarrow M$ $n \times n$ matrix upper triangular

$$\begin{pmatrix} & & \\ & \ddots & \\ 0 & 0 & \end{pmatrix}$$

$$M_{ii} = \pm 1$$

$$(M^2)_{ii} = 1$$

$\therefore g^2 = 1 \in G$. (Every elt of holonomy gp has order 2)

$$G = \mathbb{Z}_2 \oplus \mathbb{Z}_2 \oplus \dots$$

Suppose $\text{hol. } \Gamma_i \rightarrow \text{hol. } \mathcal{G}_{i+1}$ (max. abelian)

Since $\Gamma_m = 1$, $\Gamma_{m-1} = \mathbb{Z} \wr \mathbb{Z}_2$ (There are only two 1-dim crystal sys
 \mathbb{Z} & dihedral.)

If $\Gamma_{m-1} = \mathbb{Z}_2$, then Γ would be of the form $\Gamma' \wr T$.

case 1. If $\text{hol. } \Gamma_i = \mathbb{Z}_2$, then $\mathcal{G} = A \wr \mathbb{Z}_2$

\therefore hol. repr. of $G = \mathbb{Z} \wr \mathbb{Z}_2$ on A .

$$A = \begin{pmatrix} T & & \\ & T & \\ & & \mathbb{Z}(\mathbb{Z}_2) \end{pmatrix}$$

If $A = T$ or $\mathbb{Z}(\mathbb{Z}_2)$, then $A^G \neq 0$. $\Rightarrow \Gamma = \Gamma' \wr T$ #

so $A = T$.

case 2. If $\text{hol. } \Gamma_i = T_2$ but $\bigcap_{\mathcal{G}_i} [\text{hol. } \Gamma_j] > 2$.

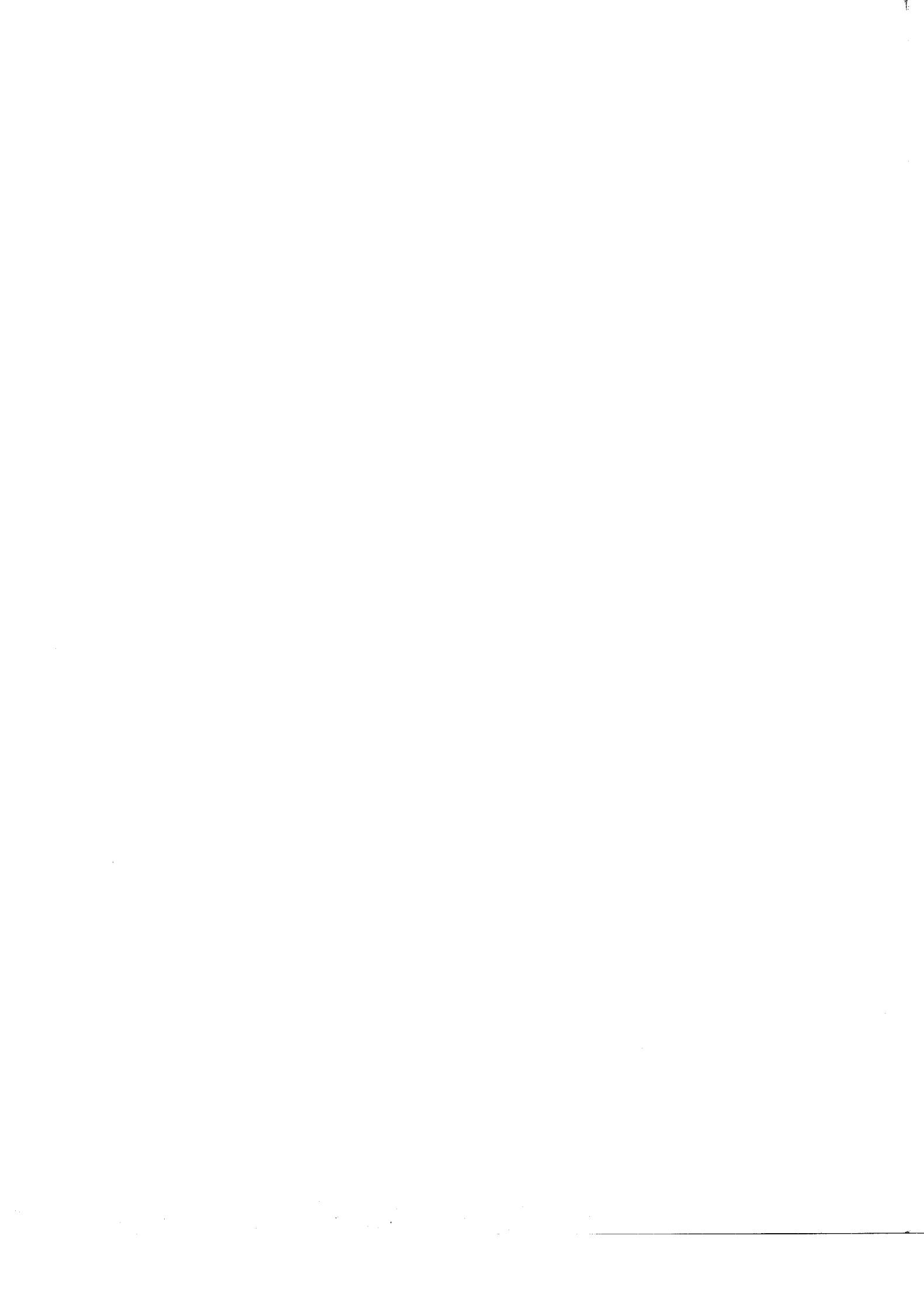
$$1 \rightarrow B_i \rightarrow \Gamma_i \rightarrow \mathcal{G}_i \rightarrow 1$$

$$1 \rightarrow B_{i+1} \rightarrow \Gamma_{i+1} \rightarrow T_2 \rightarrow 1$$

$$1 \rightarrow B_{i+1} \rightarrow \Gamma_{i+1} \rightarrow T_2 \rightarrow 1$$

$$1 \quad 1$$

K is ∞ -cyclic. and \oplus summand of \mathcal{B}_i . (as abelian gp)
 invariant under G_i .



$$M \in \hat{G}_i \text{ then } M = \begin{pmatrix} \pm 1 & * \\ 0 & I \end{pmatrix}$$

conclude $\hat{G}_i = T_2$

$$= \left\{ I, \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix} \right\}$$

$$G_i = T_2 \oplus T_2 = \left\{ I, -I, \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix}, \begin{pmatrix} +1 & -a \\ 0 & I \end{pmatrix} \right\}$$

Construct $\bar{F}: \Gamma_i \rightarrow \bar{\Gamma}$

First find $B_i \subset B_i$ invariant under G_i .

and let put $\bar{\Gamma} = \Gamma_i / B_i$.

$$\Rightarrow B_i / B_i = \mathbb{Z} \oplus \mathbb{Z}$$

Look at B_i as S -module, $S = \left\{ I, \begin{pmatrix} -1 & 0 \\ 0 & I \end{pmatrix} \right\} \subset \hat{G}_i$

$$B_i = \bigoplus_{T \in S} T$$

$$\pm I, \quad I \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \left(\begin{array}{cc} \pm 1 & 0 \\ 0 & \pm 1 \end{array} \right)$$

Connel - Hollingsworth. (p. 63)

Trans AMS (1969)

X = metric space

$S = \{p_1, p_2, \dots, p_n\}$ in X (may be the same). Form the free abelian group $G(S)$ generated by with these pts basis.

open problems

prob. 1 Does every flat Riemannian mfd bound?

This reduces to "A Riemann mfd has holonomy which has homology of order 2".
 Odd sheeted covering does not change the Stiefel-Whitney numbers.
 so. holonomy of $\mathbb{Z}_2 \oplus \dots \oplus \mathbb{Z}_2$. $M = (\Gamma / G) \times S^3 / M$

prob. 2 Suppose Γ acts freely, properly discontinuously on \mathbb{R}^n with compact quotient. Then is Γ virtually torsion free?

(Wall believes this is true. Cf. Groups acting freely on S^n are periodic, dihedral etc.)

prob. 3 Generalize Bieberbach Thm to Affine motions.

i.e. Let $\Gamma \subset \text{Affine} = \text{GL}(n) \times \mathbb{R}^n$ be discrete subgroup. \mathbb{R}^n / Γ compact. Then is Γ virtually abelian?

Milnor's Conjecture on Prob. 3.

$\Gamma \subset \text{Affine}(n)$ discrete with \mathbb{R}^n / Γ cpt $\Leftrightarrow \Gamma$ is virtually poly.

(Hard part) \rightarrow virt. ab.

Using Johnson's result, get (\Leftarrow) without compactness.



§ Quinn's Thm

set of ε -auto $\rightarrow \text{Wh}(\pi_1 X)$

i.e., $\exists \varepsilon > 0$ such that any ε -auto on a geometric group on determines an elt of $\text{Wh}(\pi_1 X)$.

Given an ε -auto $f: G(S) \supseteq S = \{p_1, p_2, \dots, p_m\}$.

$C_s = \text{Carrier } f(p_s) = \{p_i \mid p_i \text{ has non-zero coeff. when } f(p_s) \text{ expressed in terms of } p_i\}$

Since f is ε -auto, $C_s \subseteq S \cap D(p_s)$.

$f: G(S) \supseteq S$ is called a weak ε -auto if $C_s \subset D_\varepsilon$. [diam C_s almost "stalk to stalk"]

* = base pt

p_i = a path from * to p_i .

$Q_j = \text{" } C_j$

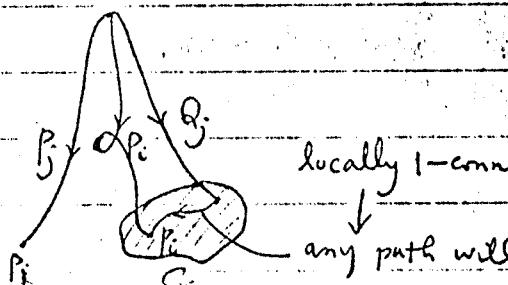
$$f(p_j) = \sum_i a_{ij} p_i \quad (a_{ij}) \in \text{GL}_n(\mathbb{Z})$$

Then define

$$\hat{f} = \left(a_{ij} \boxed{P_i^{-1} Q_j} \right) \in \text{Wh}(\pi_1 X)$$

$$P_i^{-1} Q_j \in \pi_1(X)$$

if $a_{ij} \neq 0$, then $p_i \in C_j$.



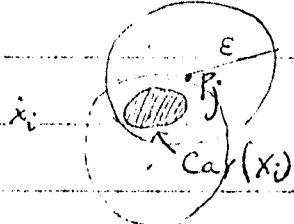
ε -basis for a geometric group $G(S)$ is a basis $\{x_1, \dots, x_n\}$ for $G(S)$, $S = \{p_1, \dots, p_m\}$ such that

$$\sum_i a_{ij} x_i = \sum_i a_{ij} p_i, \quad b_j = \sum_i A_{ij} x_i$$

$$Car(x_j) = \{p_i \mid a_{ij} \neq 0\}$$

\Rightarrow ① $\text{diam } Car(x_i) < \varepsilon$

② If $A_{ij} \neq 0$, then $Car(x_i) \subset D_\varepsilon(p_j)$.



$$x_1 = 2p_1 - 3p_3$$

$$p_1 = x_1 + 2x_2 - x_3$$

$$p_2 = x_1 - x_3$$

$$p_3 = x_2$$

* If we define

$$T: G(S) \ni$$

by $T(p_i) = x_i$, then T is a weak ε -auto.

(actually stronger than that)

$$\text{then } \{p_1, p_3\} = Car(x_1)$$

$$\text{① } \text{diam}(p_1, p_3) < \varepsilon$$

$$\text{② } \{p_1, p_3\} \subset \bigcap_{i=1, i \neq j}^m D_\varepsilon(p_i) \cap D_\varepsilon(p_j)$$

Lemma 1 a) If T is an ε -auto of $G(S)$, then $\{T(p_i)\}$ is a (4ε) -basis for $G(S)$.

b) If $\{x_1, \dots, x_n\}$ is an ε -basis for $G(S)$, then

\exists permutation $\sigma: \{1, 2, \dots, n\} \ni$ such that $T: G(S) \ni$ defines

by $T(p_i) = x_{\sigma(i)}$ is an ε -auto.

pf) a) $Car(T(p_i)) \subset D_\varepsilon(p_i)$ $\xrightarrow{\text{①}} \text{diam}(x_i) < 2\varepsilon$

Let $T(p_i) = x_i$. and let $p_j = \sum_i A_{ij} x_i$. Then $T^{-1}(p_j) = \sum_i A_{ij} p_i$ (apply T^{-1}).

$Car(T^{-1}(p_j)) \subset D_\varepsilon(p_j)$ by defn of ε -auto.

If $A_{ij} \neq 0$, then $p_i \in Car(T^{-1}(p_j))$

$$T(p_i) = x_i \in D_\varepsilon(p_j) \quad p_i \in D_\varepsilon(p_j)$$

$$x_i \in \mathbb{Z} + \left(\frac{1}{2}, \frac{1}{2}\right) \subset \mathbb{Z} + \left(\frac{1}{2}, \frac{1}{2}\right) = Car(x_i) \subset D_\varepsilon(p_j)$$



$$(b) X_j = \sum a_{ij} p_i, \quad a = (a_{ij}) \in GL_n(\mathbb{Z})$$

claim: \exists a permutation of the column of changing a to new matrix b so that $b_{ii} \neq 0$ for all i .

$$\det a \neq 0 \quad \left(\begin{array}{c|cc} * & & \\ \hline & & \end{array} \right) \rightarrow \left(\begin{array}{c|cc} * & 1 & 2 \\ \hline & & \end{array} \right)$$

$$\text{Then } p_i \in \text{Car}(x_i) \subset D_\varepsilon(p_i) \quad (\text{e.g., } \text{Car}(T(p_i)) \subset D_\varepsilon(p_i))$$

$$\text{Next, let } \bar{T}(p_j) = \sum A_{ij} p_i$$

if $p_i \in \text{Car}(\bar{T}(p_j))$, then $A_{ij} \neq 0$. Since $\mathbb{E}(x_i)$ is ε -base,
 $\text{Car}(x_i) \subset D_\varepsilon(p_i) \Rightarrow p_i \in D_\varepsilon(p_j)$

$$p_i \xrightarrow{A_{ij} \neq 0} \text{Car}(\bar{T}(p_j)) \subset D_\varepsilon(p_j)$$

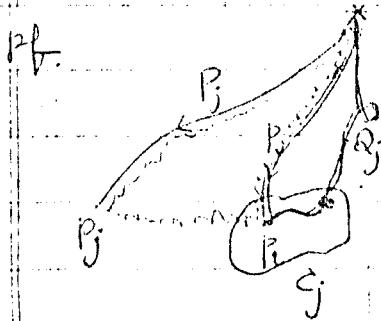
condition?

Lemma 2 If $\varepsilon = \varepsilon(X)$ small enough (depending only on X), then

Pf \exists a map $\{\varepsilon\text{-auto of geometric grp over } X\} \rightarrow \text{Wh}_\pi(X)$

$$f \longmapsto \hat{f}$$

such that $\hat{f}\hat{g} = \hat{f} + \hat{g}$.



choose a path P_j from $*$ to f_j

Q_j from $*$ to $\text{Car}(f_j) = g_j$

$$\text{Suppose } f(p_j) = \sum_i a_{ij} p_i \quad (a_{ij} \in GL_n(\mathbb{Z}))$$

Define

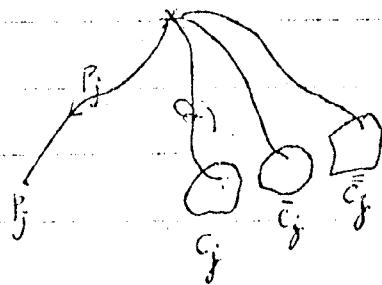
$$\hat{f} = (a_{ij} p_i Q_j) \quad (p_i Q_j \in \pi(X))$$

matrix in $\mathbb{Z}(\pi(X))$

8

To prove $\hat{f}\hat{g} = \hat{g}\hat{f}$, let

$$\text{car } f p_i = C_i, \quad \text{car } g p_i = \bar{C}_i, \quad \text{car } gf p_i = \bar{\bar{C}}_i.$$



$$f(p_j) = \sum a_{ij} p_i \quad f \mapsto \hat{f} = (a_{ij} P_i^{-1} Q_j)$$

$$g(p_j) = \sum b_{ij} p_i \quad g \mapsto \hat{g} = (b_{ij} P_i^{-1} Q_j)$$

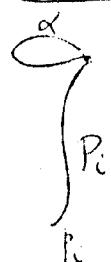
$$gf(p_j) = \sum c_{ij} p_i \quad gf \mapsto \hat{g}\hat{f} = (c_{ij} P_i^{-1} Q_j)$$

since $C_i, \bar{C}_i, \bar{\bar{C}}_i \subset D_k(p_j)$

$$(\hat{g}\hat{f})_{ij} = \sum_k b_{ik} P_i^{-1} Q_k a_{kj} P_k^{-1} P_j \quad \leftarrow P_k = Q_k ?$$

$$= (\sum_k b_{ik} a_{kj}) P_i^{-1} P_j \quad P_k \in C_k ?$$

$$= c_{ij} P_i^{-1} P_j = \hat{g}\hat{f}_{ij}.$$



$$(\hat{f})_{ij} = a_{ij} \alpha^{-1} P_i^{-1} Q_j.$$

$$a_{ij} P_i^{-1} Q_j = a_{ij} (P_i^{-1} \alpha^{-1} \beta)$$

if we used α' instead of α , we get

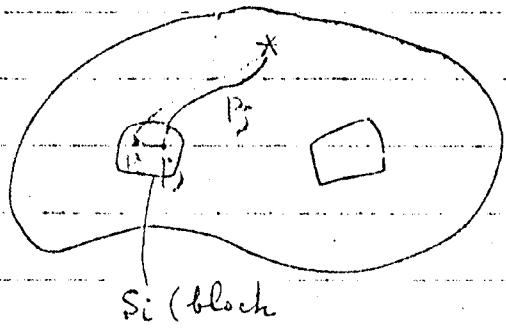
$$\begin{pmatrix} a_{ij} P_i^{-1} \alpha' \beta \\ \vdots \\ a_{ij} P_i^{-1} \alpha' \beta \end{pmatrix} \equiv \begin{pmatrix} a_{ij} P_i^{-1} \beta \\ \vdots \\ a_{ij} P_i^{-1} \beta \end{pmatrix}$$

$$\text{in which } \alpha' = k(\pi)/\pm \pi$$



condition?

✓ Lemma 3 If f is blocked δ -auto, then $\hat{f} = 0$.

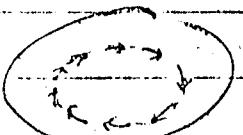


$$S = \cup S_i$$

In defining the matrix \hat{f} , pick P_i paths from $*$ to P_i . So if P_i, P_j are in the same partition set then $P_i = P_j$ (htp). Then (\hat{f}_{ij}) is a blocked matrix.

$$f(P_j) = \sum_i a_{ij} P_i$$

$$(a_{ij}) \hat{f} = \begin{matrix} S_1 & S_2 & \dots \\ \hline S_1 & \boxed{\square} & | & | & | & | & | \\ S_2 & | & \boxed{0} & | & | & | & | \\ \vdots & | & | & \ddots & | & | & | \\ S_n & | & | & | & \ddots & | & | \end{matrix}$$



not blocked,
but $0 \in Wh$.

$$\hat{f}_{ij} = \sum a_{ij} (P_i, P_j) \quad i \in \pi_1 X$$

$$\hat{f} = \begin{pmatrix} \square & 0 & & & \\ 0 & \square & & & \\ & & \ddots & & \\ & & & \square & \\ & & & & \ddots \end{pmatrix} \text{ is a blocked with } \mathbb{Z}\text{-entries}$$

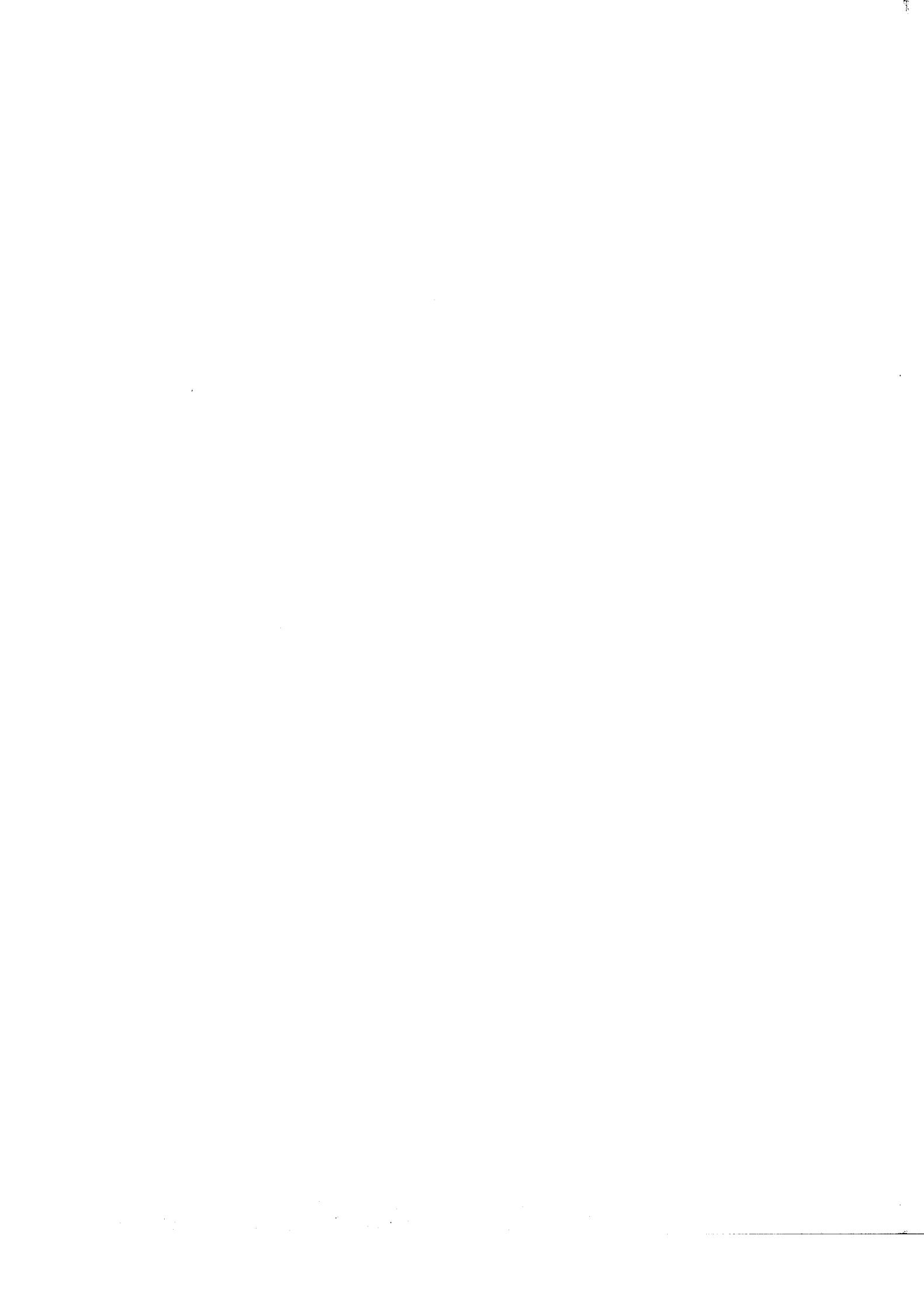
$$K_1(Z) \longrightarrow K_1(\mathbb{Z}\pi_1) \longrightarrow Wh\pi_1$$

$$\#(1) \longrightarrow \#(1) + \#(1) \longrightarrow 0$$

Connell-Hollingsworth

Conjecture Given X and $\varepsilon > 0$, $\exists \delta > 0$: $\forall \delta$ -auto over X is the product of $(n+1)$ blocked ε -auto where $n = \dim X$.

Conc $\exists \delta = \delta(X)$ such that if f is an δ -auto, then $\hat{f} = 0$.



pf. let $\varepsilon_i = (\varepsilon(x) \text{ in Lemma i}) \quad i=2,3.$

$$\varepsilon = \min \left(\frac{\varepsilon_2}{n+1}, \varepsilon_3 \right)$$

For this ε , $\exists \delta$ (by conj) such that $\boxed{\text{if } \delta < \varepsilon_2}$

$f: \delta\text{-auto} \Rightarrow f = f_1 \cdot f_2 \cdots f_{n+1}$, each f_i is blocked ε -auto.

Then

$$\begin{aligned} \hat{f} &= \hat{f}_1 \cdots \hat{f}_{n+1} = \hat{f}_1 \cdots \hat{f}_n + \hat{f}_{n+1} = \hat{f}_1 + \cdots + \hat{f}_{n+1} = 0 \\ \text{defined since } &\quad f_i: \varepsilon\text{-auto} \\ \cancel{f_1 \cdots f_n, f_{n+1}: n\varepsilon\text{-auto}} & \quad \varepsilon < \varepsilon_3 \\ \text{similarly } \delta &< \varepsilon_2 \end{aligned}$$

(Ferry) cf. p.62 Let $X \xleftarrow{\sim} K$ be a pair of finite CW-complexes deformation retract

Then $\exists \varepsilon > 0$

depending on X (not on K) such that $K^\varepsilon X \rightarrow \tau(KX)$

$(K^\varepsilon X)$ means: set $h_t: K \rightarrow$ such that $h_0 = \text{id}$
 $h_1: K \rightarrow X$ -retraction

Define $\alpha_p(t) = h_t \cdot h_1(p)$

$\|\alpha_p\| < \varepsilon$ for any ε . (cf. p.62)

✓ How to get h_t (t -retraction) from a hty equivalence $X \xrightarrow{\sim} K$?

for (Coro \Rightarrow Ferry), we need following lemma.

Lemma (Trading Cells)

$\checkmark \forall \varepsilon > 0, \exists \delta > 0$: If $K \xrightarrow{\sim} X$, there exists $L \xrightarrow{\sim} X$ such that

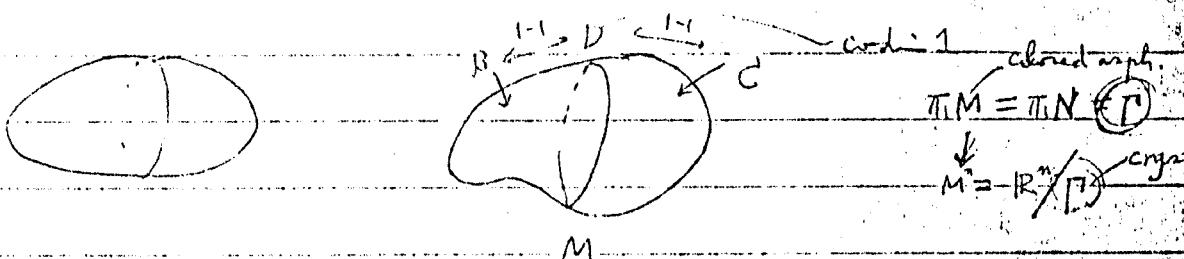
$K \xrightarrow{\text{she}} L$ and $L-X$ consists only of cells in 2 consecutive dim
 and cells are all $\varepsilon/10$ diam, and 2-cells are attached trivially.

(assuming $e_i \rightarrow \lambda \times e_i$
 $i.e., \pi_1(e_i) = e_i$)

We traded $e^0 \rightarrow E^0$
 $e^1 \rightarrow E^1$

(Remark on Conjecture I (p. 1))

Conjecture 76 If $N \times M$ are closed asph mfld with $\pi_1(N) \cong \pi_1(M)$, then $M \cong N$.



$$\Gamma = \Gamma' \sqcup \Gamma$$

$$\text{When } [B:D] = 2 = [C:D]$$

$\text{UNil}(D; C, B)$ obstr.

$$\Gamma = B * C \quad [B:D] = 2 = [C:D]$$

$B \cong \mathbb{Z} (b)$,

$$D=1, B=C=\mathbb{Z}_2 \quad (\text{ex. of realization of obstr.})$$

Find $\Gamma = C * B$ with C, D, B, Γ fundamental gps of asph.

Then this is a counter example.

$\text{UNil}(1; \mathbb{Z}_2, \mathbb{Z}_2)$

$$\Gamma = (B * C) \quad ([B:D] = 2 =)$$

(Question) $\exists x, y \in \mathbb{Z} C, x \in \mathbb{Z} C, y \in \mathbb{Z} B$ so that

$$a \in \mathbb{Z} \mathbb{Z}_2, b \in \mathbb{Z} \mathbb{Z}_2$$

Under the canonical map

$$\Gamma = B * C \xrightarrow{\quad} \mathbb{Z}_2 * \mathbb{Z}_2 \xrightarrow{\quad} 1$$

$\Rightarrow \begin{pmatrix} x - \bar{x} & 1 \\ 1 & y - \bar{y} \end{pmatrix}$ is invertible over $\mathbb{Z}[\Gamma]$

$$-\because \mathbb{Z} G \otimes \mathbb{Z} \Gamma, g \mapsto g^*$$

$$\sum n_j g \mapsto \sum n_j g^* \quad \text{anti-auto.}$$

where $B, C, D = \text{torsion free groups}$. ($\Rightarrow \Gamma$ becomes $\pi_1(\text{asph.})$)

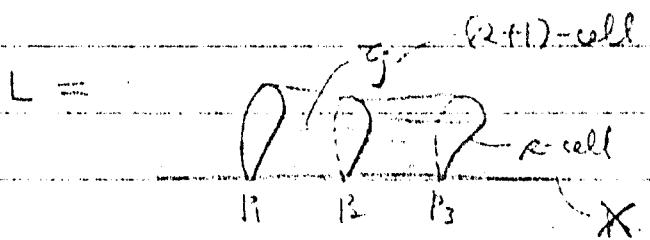
Cappell 1974, Topology (five papers)



Coro \Rightarrow Ferry
Lemma

Suppose $X \hookrightarrow K$ be given. (finite CW complexes)

Suppose $K \xrightarrow{\epsilon} X$. Then



$G(\mathbb{R}^n)$ geometric grp

$$C_{n+1} \longrightarrow C_n$$

$$r_j + \dots + \partial r_j = a_j$$

Then $\{a_j\}$ becomes a ε -basis of $G(\mathbb{R}^n)$.

$$p_j = \sum A_{ij} a_i$$

So $f: B \rightarrow a_j$ is an ε -auto ($\varepsilon = \delta$ in Cor), $\therefore \hat{f} = 0$

$$\tau(K, X) = \tau(L, X)$$

$X^n \subseteq \mathbb{R}^{2n+2}$ embed.

By adjusting metric on \mathbb{R}^{2n+2} , we can embed $X^n \subset D^{2n+1}$
with Lipschitz condition on both sides.

So we can assume $X = \text{Disk}_k$.

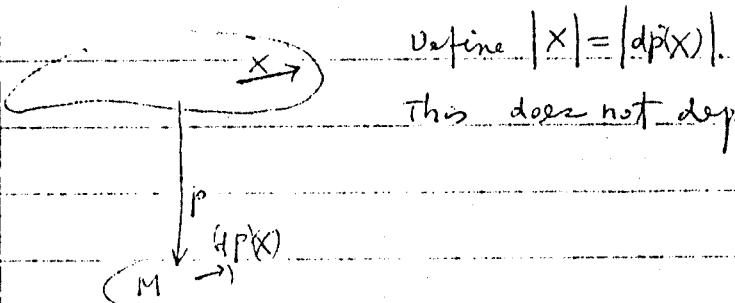


**

Problems (Pontrjagin class)

$$\pi_1 M = \Gamma$$

Suppose M^n is a closed asph. mfd/ \tilde{M} with universal cover \tilde{M} is diffeomorphic to \mathbb{R}^n , Is it always possible to pick the differ $f: \mathbb{R}^n \rightarrow \tilde{M}$ to be expanding. i.e., $\exists \varepsilon > 0$ such that $|df(X)| > \varepsilon$ for each tangent vector X to \mathbb{R}^n such that ~~the~~ if $|X| = 1$ where the metric $||$ on \tilde{M} is induced from a Riemann metric on M^n .



Define $|X| = |d(p)(X)|$.

This does not depend on ~~the~~ metrics on M .

Example (True) ... M : non-positive curvature valued mfd
Take exponential map.

② Homotopy invariance of pontrjagin class.

Result If N mfd with $\pi_1 N = \Gamma$

$$N' \xrightarrow{f} N \text{ hty equivalence} \quad f_* \rightarrow \beta\Gamma = M$$

(Novikov Conjecture)

$L(N) \cup \varphi^*[M^n]$ is hty invariant.

i.e., $\langle L(N) \cup \varphi^*[M^n], [N] \rangle \in \mathbb{Q}$ then $(L\text{-genus is homo-invariant by Novikov.})$

$$\langle L(N') \cup f^*\varphi^*(M), [N'] \rangle$$



$G(S) \supset f$. auto.

f gives rise to a set function $f : \underline{f}(S) \rightarrow S$

by $\underline{f}(p) = \text{car. } f(p)$ and $\underline{f}(T) = \bigcup_{p \in T} \underline{f}(p)$, for $T \subseteq S$.

$E : G(S) \supset$ is elementary if the matrix is either

- diagonal with ± 1 .
- $I + \epsilon E_{ij}$ (elementary matrix in the sense of def. of K_1)

$H : G(S) \supset$ is a deformation if $H = E_1 E_2 \cdots E_n$, E_i = elementary

(Even if $E_1 \cdots E_n = F_1 \cdots F_m$, we consider them differently under diam. of the deformation is \max)

$$\text{diam}(E_1 E_2 \cdots E_n) = \max_{p \in S} \text{diam } E_1(\cdots(E_n(E_n(p))\cdots))$$

eg. $P_1 P_2 P_3 \cdots P_m$

$$E_i = E_{i-1, i} = \begin{pmatrix} I & & & \\ & \ddots & & \\ & & I & \\ & & & I_{i-1, i} \end{pmatrix}$$

$$\text{Then } E_1(\cdots(E_n(P_m))\cdots) = S$$

$$E_1(\cdots(E_n(P_m))\cdots) \neq S$$

$E_1 \cdots E_n$ is an ϵ -deformation if $\text{diam}(E_1 \cdots E_n) < \epsilon$ & $\text{diam}(E_i \cdots E_j) <$

p. 73 no.

Thm (Quinn) Given $\epsilon > 0$ and X , there $\exists \delta > 0$ such that
 $\forall \delta$ -autoV is "stably" ϵ -deformation

$f : G(S) \supset$ stably ϵ -auto — $\exists G_0$ geometric gp such that
 $f \oplus \text{id} : (G(S) \oplus G_0) \supset$ is $E_1 \cdots E_n$ & this is ϵ -defo



(For Quinn's) \Rightarrow (Ferry's) ^{Conjecture} Take $\varepsilon = \delta$ and, and $E_1 \cdots E_n = \hat{E}$
 is guaranteed by ε -deformation. (No upper bound of n)

The pt is enough only to show $X = D^k$.

Def. Let C be a subset of X $S \subseteq X$. Then
 $f: G(S) \xrightarrow{\text{finite}} \text{homeo.}$

is an ε -auto (over C) if $\exists g: G(S) \xrightarrow{\text{group homeo}}$ such that
 $pfgi = id$ & $pifi = id_{G(S \cap C)}$ ^{not auto}

where $\pi: G(S \cap C) \xrightarrow{i} G(S) \xrightarrow{\text{proj}} G(S \cap C)$ $\dim f, \dim g \leq \varepsilon$

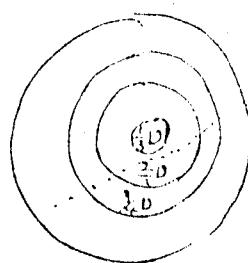
* $(f) = \begin{pmatrix} I & * \\ 0 & f \end{pmatrix}$ If A is invertible, then f is ε -auto over C .
 sufficient

The pt is:

$$g \circ f = \begin{pmatrix} I & * \\ 0 & f \end{pmatrix} \quad \& \quad f \circ g = \begin{pmatrix} I & * \\ 0 & f \end{pmatrix}$$

auto (over $\frac{3}{4}D^n$)

Lemma Given n and $\varepsilon > 0$, $\exists \delta > 0$ such that any δ -auto
 geometric gp $G(S)$ (based on D^n) over $\frac{3}{4}D^n$ is, after an
 ε -deformation, blocked wrt $\frac{2}{3}D$ and $D - \frac{1}{3}D$.
 and stabilization. $S_1 \cup S_2$



i.e., \exists a geom gp $G_0 = G(S')$

such that

i) where $S' \subseteq \frac{2}{3}D^n$ and an
 ε -deform. $H = E_1 \cdots E_m$ on $G(S) \oplus G(S')$

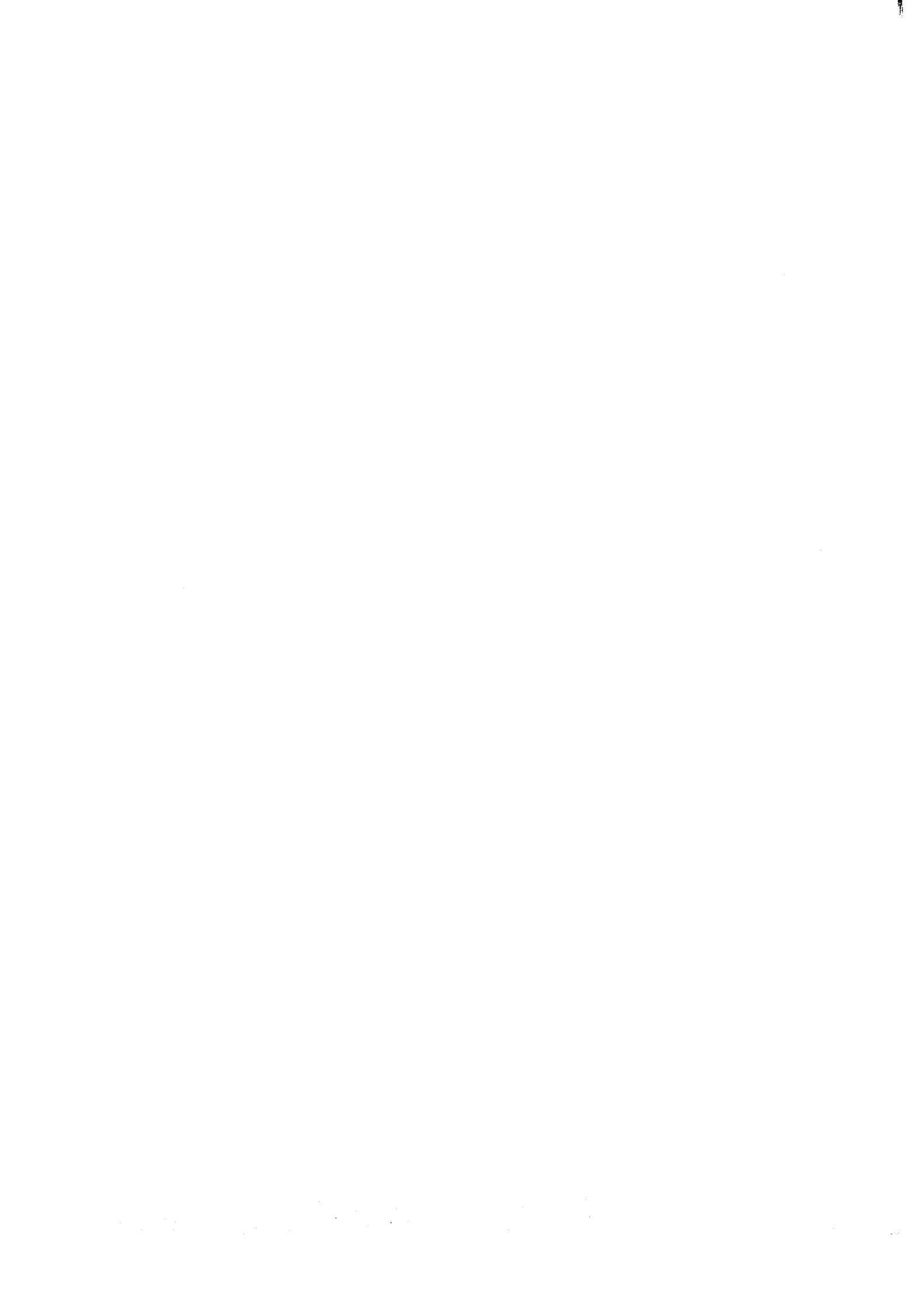
such that

$$H \circ (f \oplus id) = f_1 \oplus f_2$$

where $G(S \cup S') = G(S) \oplus G(S')$,

$$S' \subseteq \frac{2}{3}D^n, S_2 \subseteq D - \frac{1}{3}D.$$

and H leaves everything outside $\frac{2}{3}D$ fixed.



Pf. of Thm ($n=2$, i.e., on \mathbb{R}^2)

Call the integral lattice pts "vertices" on \mathbb{R}^2

line segments (horizontal or vertical) of length 1 connecting lattice pts "edges".

This gives a cell structure on \mathbb{R}^2

Now, we give "dual cell str. of this"

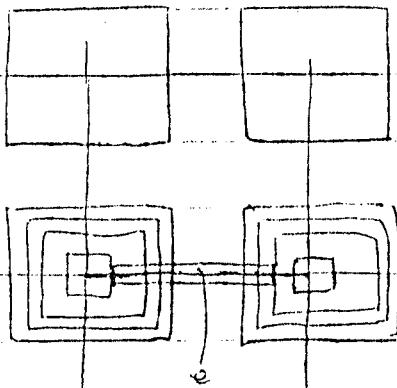
$D_v =$ the square centered at v with side-length $\frac{2}{3}$.

D'_v, D''_v, D'''_v , for other squares centered at v with side-lengths

$$\frac{3}{4}\left(\frac{2}{3}\right), \frac{2}{3}\left(\frac{2}{3}\right), \frac{1}{3}\left(\frac{2}{3}\right).$$

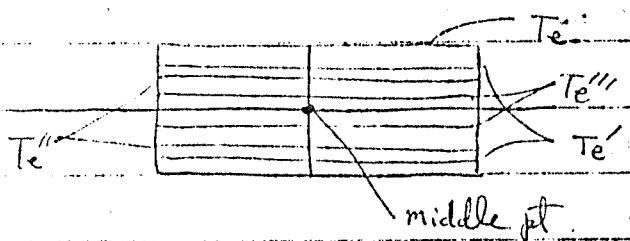
For each edge e , associate a rectangle T_e center connecting D_v to D''_{v_i} where v_i are the end pts of e and width of T_e is

$$\frac{1}{3} \text{ of } \frac{1}{3}\left(\frac{2}{3}\right) = \frac{2}{27}$$



also associate rectangles T'_e, T''_e, T'''_e with width $\frac{3}{4}\left(\frac{2}{27}\right)$,

$$\frac{2}{3}\left(\frac{2}{27}\right), \frac{1}{3}\left(\frac{2}{27}\right).$$



Dual 2-cell





Let D_s be the square with vertices $(0,0), (s,0), (0,s)$ and (s,s) , where s is an integer.

Pick s so large that $s \varepsilon > 1$

It is enough to find $\delta > 0$ such that any δ -auto (based on D_s) is stably 1-deformation.

$$\begin{array}{ccc} D_s & \longrightarrow & D \\ x & \longmapsto & \frac{x}{s} \end{array}$$

Then 1-auto becomes $\frac{1}{s}$ -auto ($\frac{1}{s} < \varepsilon$).

fixed

Idea of proof: For any $f: G(S) \rightarrow S\text{-auto}$, $H \circ f = f_1 \oplus f_2 \oplus f_3$

so that $G(S) = G(S_1) \oplus G(S_2) \oplus G(S_3)$ and $S_1 \subseteq (\bigcup_{v \in D_s} D_v'')$,

$S_2 \subseteq \bigcup_{v \in D_s} T_v''$, $S_3 \subseteq D_s - (\bigcup_{v \in D_s} D_v'' \cup T_v'')$

If $\delta < \frac{1}{s\varepsilon}$ (sufficiently small) then each $G(S \cap D_v'')$ is left invariant. But $W_h(1) = 0$. $f_{1,v}$ is a 1-deformation.

Suppress "stabilizing"

Given $\delta_1 > 0$, if δ is small enough then for each $v \in D_s$

$p \circ f_i: G(S \cap D_v) \hookrightarrow G(S) \xrightarrow{f} G(S) \rightarrow G(S \cap D_v)$ is a δ_1 -auto (based on D_v') over D_v' .

Given $\varepsilon > 0$, $\exists \delta_1 > 0$ (by the main Lemma) such that: if $p \circ f_i$ is δ_1 -auto over D_v' , then $\exists \varepsilon_i$ -deformation H_v such that

$$H_v \circ p \circ f_i = f_{v,1} \oplus f_{v,2}$$

where

$$S \cap D_v = S_{v,1} \cup S_{v,2}$$

$$S_{v,1} \subset D_v'' \text{ and } S_{v,2} \subset D_v - D_v''$$

and H_v fixing everything outside D_v'' .



ε_1 -deformation.

Let $H_1 = \prod_{v \in D_n} H_v$. Then

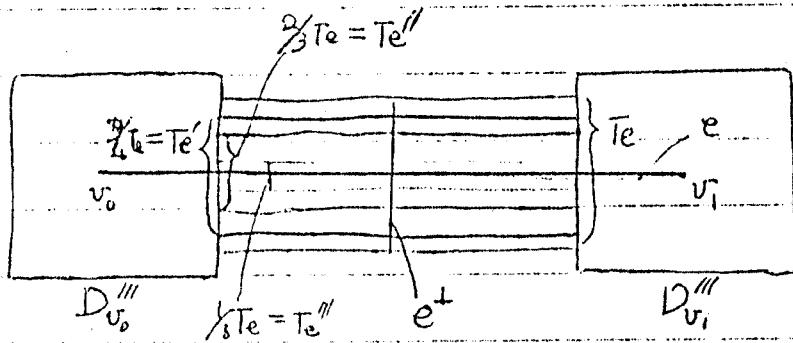
$$(H)f = f_1 \oplus f_2, \quad S = S_1 \cup S_2,$$

$$S_1 \subset \bigcup_{v \in D_n} S_{v,1}, \quad S_2 \subset \bigcup_{v \in D_n} S_{v,2}$$

ε_1 -deformation S -auto
(since each H_v is ε_1 -deform. & H_v fixes outside D_v'')

f_2 is $(\delta + \varepsilon_1)$ -auto

$G(S_2 \cap T_e) \hookrightarrow G(S_2) \xrightarrow{f_2} G(S_2) \xrightarrow{\perp} G(S_2 \cap T_e)$ is δ_2 -auto of T_e .



$$\begin{matrix} T_e''' & \subset & T_e'' & \subset & T_e & \subset & T_e \\ \parallel & & \parallel & & \parallel & & \\ \downarrow \text{irr} & & \downarrow \text{irr} & & \downarrow \text{irr} & & \end{matrix}$$

Let $\pi: T_e \rightarrow e^\perp$ projection

$$\pi_e = \pi(S_2 \cap T_e) \subseteq D^1 = e^\perp$$

Form $\pi_e \circ G(\pi_e)$.

Since $\pi \circ \pi_e: G(S_2 \cap T_e) \rightarrow G(S_2 \cap T_e)$ is δ_2 -auto of T_e , $\pi \circ \pi_e = \pi$.

$\exists \gamma \in \Gamma$ - auto in T_e such that $\pi \circ \pi_e = \gamma$.

$$T_e \cap \pi_e^{-1}(\gamma) = \emptyset, \quad e \in \pi_e$$

where $S_{1,e} \subset T_e'', \quad S_{2,e} \subset T_e - T_e''$

$$\beta \in S_{1,e} = S_1 \cap T_e$$

$$\tau_\gamma = \overline{\tau} - \gamma \quad (\text{-deformation})$$

ε_1 -deformation.

Let $H_1 = \bigcap_{v \in D_2} H_v$. Then

$$(H_1)f = f_1 \oplus f_2, \quad S = S_1 \cup S_2,$$

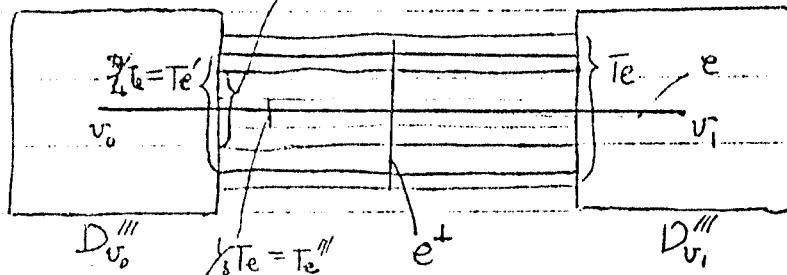
$$S_1 \subset \bigcup_{v \in D_2} S_{v,1}, \quad S_2 \subset \bigcup_{v \in D_2} S_{v,2}$$

ε_1 -deformation δ -auto
(since each H_v is ε_1 -deform. & H_v fixes outside D_v'' .)

f_2 is $(\delta + \varepsilon_1)$ -auto

$G(S_2 \cap T_e) \hookrightarrow G(S_2) \xrightarrow{f_2} G(S_2) \xrightarrow{\perp} G(S_2 \cap T_e)$ is ε_2 -auto of T_e

$$\frac{2}{3}T_e = T_e''$$



$$\begin{matrix} T_e''' & \subset & T_e'' & \subset & T_e' & \subset & T_e \\ \parallel & & \parallel & & \parallel & & \parallel \\ \{ & \{ & \{ & \{ & \{ & \{ & \{ \\ 1 & 1 & 1 & 1 & 1 & 1 & 1 \end{matrix}$$

Let $\pi: T_e \rightarrow e^\perp$ projection

$$S_e = \pi(S_2 \cap T_e) \subseteq D^1 = e^\perp$$

Form $\mathcal{G}(S_e)$.

Since $\pi \circ \pi^{-1}: G(S_2 \cap T_e) \rightarrow G(S_2 \cap T_e) \cong S_2$ -auto of T_e , $\pi \circ \pi^{-1}$

$\exists \gamma \in \mathcal{G}(S_2)$ such that $\pi \circ \pi^{-1}$ commutes with γ .

$$\pi \circ \pi^{-1} \circ \gamma = \gamma \circ \pi$$

where $S_{1,e} \subset T_e'', \quad S_{2,e} \subset T_e - T_e''$

$$\gamma \in S_{2,e} = S_2 \cap T_e$$

$$\gamma = \overline{\gamma} \circ \gamma \quad (\text{--- deformation})$$



$$H = H_2 \circ H_1$$

$$H \circ f = H_2 \circ H_1 \circ f = \varphi_1 \oplus \varphi_2 \oplus \varphi_3$$

$$\delta_1 \cup \delta_2 \cup \delta_3 = S$$

$\delta_1 \subset UD_v''$, $\delta_2 \subset UT_e'$, $\delta_3 \subset$ cplmt of $UD_v''' \cup UT_e'''$

and $\varphi_i : G(\delta_i) \rightarrow$

Let

$$H_3 = \varphi_1 \oplus \varphi_2 \oplus \varphi_3.$$

QED.



Lemma (Kirby's trick) 8.5 Quinn

Given $\epsilon > 0$ and n , $\exists \delta > 0$ such that any δ -auto of $\frac{2}{3}D^n$ is "almost" stably extendable to an ϵ -auto of D^n .

That is, \exists finite set $S' \subset \frac{2}{3}D^n$

$g: G(S) \supseteq \epsilon\text{-auto}$

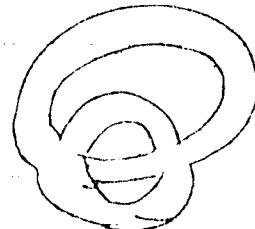
such that (i) $S \cap \frac{1}{2}D^n = S' \cap \frac{1}{2}D^n$

(ii) $f = g$ when restricted to $G(S \cap \frac{1}{2}D^n)$.

Pf. T^n -pt can be immersed in \mathbb{R}^n . Let

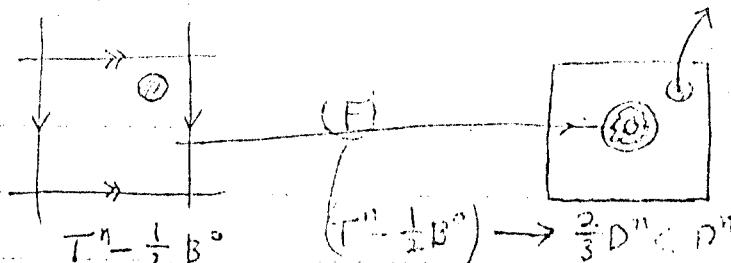
$$F: T^n\text{-pt} \rightarrow \mathbb{R}^n$$

be immersion (C^∞ local diff).

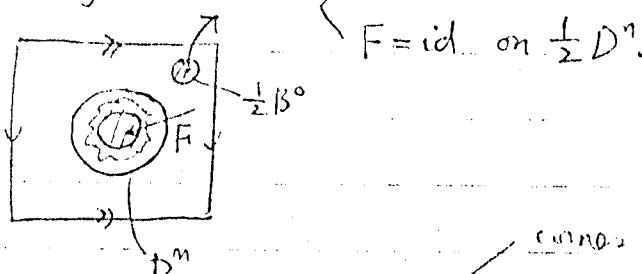


immersed punctured torus

$$T^n = \mathbb{R}^n / \mathbb{Z}^n \xrightarrow{F} \mathbb{R}^n$$



We may assume $\text{im } F \subset \frac{2}{3}D^n$



comes from F immersion.

Let $\epsilon > 0$ be given. $\exists \delta > 0$ such that: $\forall x \in T^n - \frac{1}{2}B^n$,

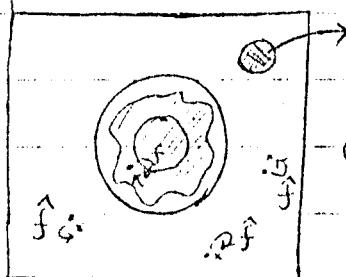
(*) $|y - F(x)| < \delta \Rightarrow \exists y' \in \text{Ball}_{\frac{\epsilon}{2}}(x)$ such that $F(y') = y$. (use local chart to change)

Let $G(S)$ and $f: G(S) \rightarrow$ be given geometric group and δ -auto over D^n over $\frac{2}{\delta}D^n$. Let

(\hat{S})
(\hat{f})

$$\hat{S} = F^{-1}(S) \quad \text{finite subset of } T^n - \frac{1}{2}B^0$$

Define $\hat{f}: G(\hat{S}) \rightarrow \frac{\varepsilon}{2}\text{-auto}$ as follows:



using the property (*).

In this way, f lifts to a $\frac{\varepsilon}{2}$ -auto (on $G(\hat{S})$) over $T^n - \frac{1}{2}B^0$
[F is not local diff on $\partial(\frac{1}{2}B)$, so \hat{f} cannot be $\frac{\varepsilon}{2}$ -auto
all $G(\hat{S})$]. Note that $\hat{f} = f$ on $G(S \cap \frac{1}{2}B)$

(\hat{f})

claim $\exists \bar{f}: G(\hat{S}) \rightarrow \varepsilon\text{-auto}$ such that $\bar{f} = \hat{f} = f$ on $\frac{1}{2}B$
 $\circlearrowleft \bar{f}|_{G(\hat{S} \cap T^n - \frac{1}{2}B^0)}$ is 1-1 group homo into $G(S)$
since $T^n - B^0$ is away ($\frac{1}{2} > \varepsilon \frac{\varepsilon}{2}$) from the fringe $\partial(\frac{1}{2}B)$.
 $\hat{f}(G(\hat{S} \cap T^n - \frac{1}{2}B^0))$ is a direct summand of $G(\hat{S})$ and contains
 $G(\hat{S} \cap T^n - \frac{3}{2}B)$, so complementary gp is free, part of geom
 $G(\hat{S}) = \hat{f}(G(\hat{S} \cap T^n - \frac{1}{2}B^0)) \oplus G(S')$.

(\hat{S})

Let $\hat{S} = p^{-1}(\hat{S})$, $p: \mathbb{R}^n \rightarrow T^n$ projection by universal cover
infinite set.

(\bar{f})

Define $\bar{f}: G(\hat{S}) \rightarrow \varepsilon\text{-auto}$ lifting of \bar{f} to \mathbb{R}^n

Note: $G(\hat{S})$ is free f.g. $\mathbb{Z}T^n$ -module (T^n = free abelian gp of rk
also \bar{f} is a $\mathbb{Z}T^n$ -iso

Pick a $m \times \mathbb{Z}T^n$ -basis $\{p_1, p_2, \dots, p_m\}$. Then \bar{f} is a m
matrix with $\mathbb{Z}T^n$ -entries.



$\bar{f} \in GL(\mathbb{Z}\Gamma^n)$ since $Wh(\Gamma^n) = 0$ (by Bass-Heller-Swan),
exist $D = \text{diagonal}$, $E_1, \dots, E_n = \text{elementary}$ such that

$$\bar{f}^{-1} = D E_1 E_2 \cdots E_n.$$

$$\begin{pmatrix} 1 & 2\alpha+3\beta \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix}$$

i.e., $D E_1 \cdots E_n \bar{f} = \text{id}$ on $G(\hat{S})$. Let

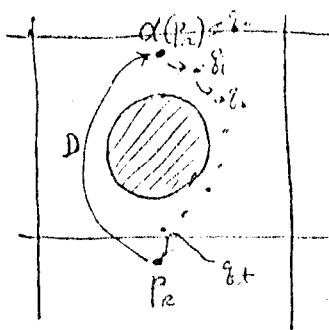


$$\bar{f}^{-1} = Q \bar{f}^{-1}$$

$$= (QD) E_1 \cdots E_n$$

where if

$$D = \begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & 1 & \alpha \\ & & & 1 \end{pmatrix}$$



Pick an ε -chain $\{g_0 = d(P_2), P_2, g_1, \dots, g_{t-1}, g_t\}$ with all translation

Let

$$Q = G(\hat{S}^{\varepsilon}) \subset G(S').$$

given by

$$Q = \varepsilon \begin{pmatrix} 1 & 0 & & & & & \\ 0 & 1 & 0 & & & & \\ & 0 & 1 & 0 & & & \\ & & 0 & 1 & 0 & & \\ & & & 0 & 1 & 0 & \\ & & & & 0 & 1 & \\ & & & & & 0 & 1 \end{pmatrix} \quad Q(g_t) = P_2$$

using the basis $\{g_0, g_1, \dots, g_{t-1}, g_t\}$

Then Q is ε -auto.

$\therefore \bar{f}^{-1}$ is also ε -auto.

$$\text{Now } QD = \left(\begin{array}{c|ccccc} D & & & & & \\ \hline & Q & & & & \\ \end{array} \right) = \left(\begin{array}{c|ccccc} 1 & 0 & & & & & \\ & 1 & \alpha & & & & \\ & 0 & 1 & 0 & & & \\ & & 0 & 1 & 0 & & \\ & & & 0 & 1 & 0 & \\ & & & & 0 & 1 & \\ & & & & & 0 & 1 \end{array} \right) \rightarrow \left(\begin{array}{c|ccccc} 1 & 0 & & & & & \\ & 1 & 0 & & & & \\ & 0 & 1 & 0 & & & \\ & & 0 & 1 & 0 & & \\ & & & 0 & 1 & 0 & \\ & & & & 0 & 1 & \\ & & & & & 0 & 1 \end{array} \right) = \left(\begin{array}{c|ccccc} D & & & & & & \\ \hline & & & & & & \\ \end{array} \right)$$

= preimage of f .

$$= (\overset{\mathbb{I}-D}{0} \overset{\mathbb{I}-0}{I}) (\overset{\mathbb{I}-0}{D} \overset{\mathbb{I}}{I}) (\overset{\mathbb{I}-D}{0} \overset{\mathbb{I}}{I}) (\overset{\mathbb{I}}{0} \overset{\mathbb{I}}{I}) (\overset{\mathbb{I}-0}{I} \overset{\mathbb{I}}{I}) (\overset{\mathbb{I}}{0} \overset{\mathbb{I}}{I}) \quad \text{product of matrices}$$

Let $\hat{S} = \hat{S}' \cup S'$. Then $\bar{f}: G(\hat{S}) \rightarrow \varepsilon\text{-auto.}$

$$\bar{f}^{-1} = (\text{preimage of } f) E_1 E_2 \cdots E_n$$



Let's denote \tilde{f}^{-1} again by $\sqrt{E_1 E_2 \cdots E_n}$

Let $H: \mathbb{R}^n \rightarrow (D^n)^\circ$ be a homeo such that $H|_{\frac{1}{2}D^n} = \text{id}$

$(f_x): G(H(\hat{S})) \ni \tilde{f}$ pushed into $G(H(\hat{S}))$

Note that $f_x = f$ on $\frac{1}{2}D^n$

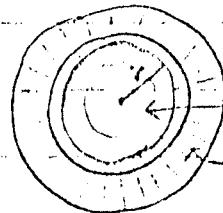
Let

$$\hat{E}_i(p) = \begin{cases} E_i(p) & \text{if } p \in D^n - rD^n \\ p & \text{if } p \in rD^n \end{cases}$$

⑨ Then $g \equiv \hat{E}_1 \hat{E}_2 \cdots \hat{E}_n (f_x)$ is the desired map

$$= \begin{cases} \hat{E}_1 \hat{E}_2 \cdots \hat{E}_n f_x & \text{on } (D^n - rD^n) \\ \text{id} f_x = f_x & \text{on } rD^n \end{cases}$$

So we can truncate $H(\hat{S})$ by $\frac{2}{3}D^n$, which is finite



$g = f_x$
 $g = \text{id} \Rightarrow \text{Can truncate.}$

Pf. of main Lemma

Start with $f: G(S) \ni \exists \hat{S} \subset \frac{2}{3}D^n$
 $\xrightarrow{\text{last lemma}} \exists g: G(\hat{S}) \ni r\text{-auto map}$
 $\quad \quad \quad g|_{\frac{1}{2}D} = f|_{\frac{1}{2}D}$

Then $\begin{pmatrix} I-g \\ 0 & I \end{pmatrix}$ is a r -deformation

$\therefore \begin{pmatrix} g & 0 \\ 0 & g^{-1} \end{pmatrix}$ is a r -deformation

$$\begin{pmatrix} I & -g \\ 0 & I_f \end{pmatrix} \xrightarrow[S'US'US]{} \begin{pmatrix} g & -g^{-1} \\ 0 & I_f \end{pmatrix}$$



$$S' = \hat{S} \cup \hat{S} \quad \text{slightly moved away.}$$

$$S \cup S' = S \cup \hat{S} \cup \hat{S}$$

$$f \oplus g^{-1} \oplus g : G(S \cup \hat{S} \cup \hat{S}) \ni$$

$$\exists H_1: \text{small deformation} \xrightarrow{68} H_1 \circ (f \oplus \text{id} \oplus \text{id}) = f \oplus g^{-1} \oplus g.$$

$$H_1 = \begin{pmatrix} 1 & & & & & \\ & 1 & -g^{-1} & & & \\ & & 1 & 1 & & \\ & & & 1 & -g^{-1} & \\ & & & & 1 & 1 \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & -g^{-1} & & \\ & & & 1 & 1 & \\ & & & & 1 & 1 \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} = \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix}$$

$$f \oplus g^{-1} \mid \frac{1}{2}D = f \oplus f^{-1} \mid \frac{1}{2}D \quad \text{since } f = g \text{ on } \frac{1}{2}D. \quad \text{auto on whole}$$

$$\exists H_2: \quad \rightarrow H_2(f \oplus g^{-1} \oplus g) = f_1 \oplus f_2 \quad \text{not auto on sum}$$

$$f_1 = H_2(f \oplus g^{-1} \mid \frac{1}{3}D^n \oplus g), \text{ with } S_1 = S \mid \frac{1}{3}D^n \cup S \mid \frac{1}{3}D^n \cup \hat{S} \subset \frac{2}{3}D^n$$

$$f_2 = H_2(f \oplus g^{-1} \mid D - \frac{1}{3}D) \quad (\text{id} \mid \frac{1}{3}D) \oplus g$$

$$\text{Let } \varphi = f \mid \frac{1}{2}D. \quad \left[\text{really, } \begin{array}{c} G(\hat{S}) \xrightarrow{\text{proj}} G(\hat{S}) \\ \downarrow \text{proj} \quad \text{f} \mid \frac{1}{2}D \\ G(S \cap \frac{1}{2}D) \end{array} \right]$$

$$H_2^{-1} = \begin{pmatrix} 1 & -\varphi & 0 & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & -\varphi & 0 & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix} = \begin{pmatrix} 1 & & & & & \\ & 1 & & & & \\ & & 1 & & & \\ & & & 1 & & \\ & & & & 1 & \\ & & & & & 1 \end{pmatrix}$$

$$(H_2)^{-1} \mid \frac{1}{3}D = \begin{bmatrix} f & \text{id} \\ \text{id} & 1 \end{bmatrix} \mid \frac{1}{3}D^n$$

$S \cup \hat{S} \cup \tilde{S}$

$$f \oplus id \oplus id \quad f \downarrow \quad id \downarrow \quad id \downarrow$$

$S \quad \hat{S} \quad \tilde{S}$

$$\varphi = f \mid f'(\frac{1}{2}D)$$

$$H_1 = id \oplus g \oplus g \quad id \downarrow \quad g' \downarrow \quad g \downarrow$$

$$\varphi' = f' \mid f(\frac{1}{2}D), \quad ff' = f$$

$S \quad \hat{S} \quad \tilde{S}$

$$= g' \mid g(\frac{1}{2}D) \quad g' = g^{-1}$$

$$H_2 = \varphi' \oplus \varphi \oplus id \quad (\varphi') \downarrow \quad \varphi \downarrow \quad id \downarrow$$

$S \quad \hat{S} \quad \tilde{S}$

$$S \supset f(\frac{1}{2}D) = g(\frac{1}{2}D) \subset \hat{S}$$

$$f_1 = \begin{cases} \text{on } \frac{1}{3}D & \frac{1}{3}D \\ id & id \end{cases} \quad D$$

$$f_2 = \begin{cases} \text{on } D - \frac{1}{3}D & D - \frac{1}{3}D \end{cases}$$

id. id
on $\frac{1}{2}D$, so can be flocked.

$$H_2 = \left(\begin{array}{c|c|c} S & \hat{S} & \tilde{S} \\ \hline S(\frac{1}{2}D) \cup \Delta & g(\frac{1}{2}D) \cup \Delta & \\ \hline \varphi & \varphi & \\ \hline & & \end{array} \right) \quad \begin{array}{l} S = f(\frac{1}{2}D) \cup \Delta \\ \tilde{S} = g(\frac{1}{2}D) \cup \Delta \end{array}$$

can be repr. by product of 6 ale matrices

Th 1 If Γ is torsion free & abelian f.g. group, then $Wh(\Gamma)$ (published)

Th 2 If M^n is a closed mfd ($n \neq 3, 4$), then M has a flat \mathbb{R} -structure iff (i) $\pi_1 M$ is v. abelian (ii) $\pi_i M = 0$, $i > 0$ (partially)

Th 3 (Extension of 1) If Γ is v. poly- \mathbb{Z} f.t. torsion-free, then

Th 4 (") If M is an infra-nil-mfd ($n \neq 3, 4$) and a closed asph mfd with $\pi_1 N = \pi_1 M$, then $N \cong M$.

G : conn. sc. nilpt Lie gp. ($\Rightarrow G$ is. non cpt).

G/K cpt Lie gp.

Γ discrete cocpt torsion free

$\Gamma \backslash G/K / K$ infra-nil mfd

Conjecture (Generalization of 4) $n \neq 3, 4$

If M, N^n are closed asph mfds with $\pi_1 N = \pi_1 M$ and $\pi_1 N$ poly- \mathbb{Z} , then $M \cong N$. (homeo). (when index is odd, it)

$$\Gamma = \pi_1(\text{arg} h)$$

If $\delta(B\Gamma) = 0$, then we can calculate $\delta(M)$ for $\pi_1 M = \Gamma$.

M = orientable mfd. closed.

$$\delta_{\text{Top}}(M)$$

; assume sheaf

$$\delta_0(M) \text{ smooth}$$

$$N \xrightarrow{f} M \Rightarrow \tau(f) = 0 \in \Omega^1$$

Wall-Sullivan Exact seq ($n \geq 5$)

$$\begin{array}{ccccc} L_{n+1}(Z\pi_1 M) & \xrightarrow{\delta_{n+1}} & L_n(M^n) & \xrightarrow{\delta_n} & L_n(Z\pi_1 M) \\ \downarrow & & \downarrow & & \downarrow \\ L_n(M^n) & \xrightarrow{\delta_n} & [M^n, G/\Gamma_0] & \xrightarrow{\delta_n} & L_n(Z\pi_1 M) \end{array} \quad \text{exact seq}$$

$$\alpha \in [X, G/\Gamma_0]$$

α is the equiv. class of stable orthogonal vector bundle together with a specific fiber by trivialization.

$$\begin{array}{ccc} \mathbb{R}^n & & f^{-1}(c_0) \text{ cpt} \\ \downarrow & f & \nearrow \\ E & \xrightarrow{f} & X \times \mathbb{R}^n \\ \downarrow & & \downarrow \\ X & & \end{array} \quad f: \text{properly equiv.}$$

$$G/\Gamma_0$$

$$\begin{array}{ccc} & & \downarrow \\ & & B G \\ \nearrow & & \downarrow \\ X & \xrightarrow{\quad} & B G \end{array}$$

$$KO(X)$$

equiv. iff

Vector bundle inv.

$$\begin{array}{ccc} \mathbb{R}^n & & X \times \mathbb{R}^n \\ \downarrow & \nearrow & \downarrow \\ E & \xrightarrow{f} & X \\ \downarrow & & \downarrow \\ X & & X \end{array}$$

Defn. of ψ (in the case $\mathcal{S}_0(M)$)

Given $N^n \xrightarrow{f} M^n$, to define $\psi(f)$

Let $g: N \leftrightarrow M$ be h'ty inverse of f .

$$g^*(\mathcal{E}(N)) = \mathcal{Z}(M)$$

by ^{submersion}
+ π_M

$$N^n \times D^{n+2} \xrightarrow{\quad} M \text{ embedding}$$

$\cup \quad g \quad \downarrow$

$D(v_g) \quad \mathcal{D}(v_g)$

\ dash bundle of v_g
 \downarrow normal bundle of g

$$N^n \times D^{n+2} - D(v_g)^o \quad (111)$$

$D(v_g)$

← \mathcal{E}
isomorphism $\quad |$
 $| \quad |$

$$D(v_g) = N^n \times D^{n+2} \rightarrow D^{n+2}$$

\downarrow
 M

$$D(v_g) \cong N^n \times D^{n+2}$$

\downarrow
like $\bigcirc \bigcirc \bigcirc$
 ∂V ∂X

get proper degree 1 map.

$$E(v_g) \rightarrow R^{n+2} \times M$$

\downarrow
 M

make f transverse to $M \times 0$.

Given $E \xrightarrow{f} M \times R^2$, get $N^c E \xrightarrow{f} M \times R^2$

\downarrow
 M

make $N^c E \xrightarrow{f} M \times 0$ deg 1

\exists a 0-fold η over M and a specific 0-fold γ
of $\text{fix}(\eta)$ to the stable tame normal 0-fold of $N^n \subset R$

$L_0(R)$ — ring with involution ($R = \mathbb{Z}P$, with $\gamma \mapsto \gamma^{-1}$)

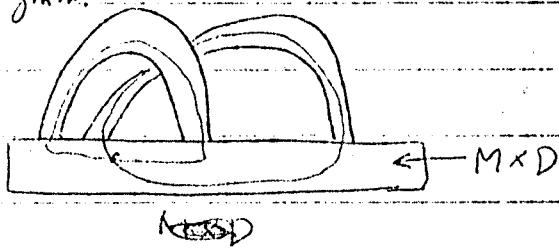
symm. invertible matrices of R

$$S_0 \sim S_1 \text{ if } \exists A \ni AS_0A^* = S_1$$

$$L_2(\mathbb{Z}(1)) = L_2(\mathbb{Z})$$

$$\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \text{ skew-symm.}$$

$$M = [-, +]$$



W^2 cobordism $X \xrightarrow{\text{top}} M$

top_2

$\text{top}(\text{Hom}_{\mathbb{Z}}(M))$
" $\text{top}(M)$

Let $M = S^n$. By isomale $\text{top}(S^n) = 0$

$$\text{only } \text{top}_n(G/\mathbb{Z}_p) = L_n(\mathbb{Z}) = \begin{cases} \mathbb{Z} & n \equiv 0 \pmod{4} \\ \mathbb{Z}_2 & n \equiv 2 \pmod{4} \\ 0 & \text{otherwise} \end{cases}$$

If $\text{top} M = 0$, the seq becomes short exact seq.

$$0 \rightarrow \text{top}(M) \rightarrow (M^n, G/\mathbb{Z}_p) \xrightarrow{\text{top}} L_n(\mathbb{Z}) \rightarrow 0$$

characteristic Variety Thm (Sullivan).

For V fin. cx X ,

$$(i) [X, G/\mathbb{Z}_p] \otimes \mathbb{Q} = \bigoplus_{i=1}^n H^i(X; \mathbb{Q})$$

$$(ii) [X, G/\mathbb{Z}_p] \otimes \mathbb{Z}\left[\frac{1}{2}\right] = KO(X) \quad \text{2-torsion vector 1-fds open}$$

(iii) $\text{top}_n(M) \cong \text{top}_n(M \wedge \mathbb{R}P^\infty)$ via $\text{top}_n(M \wedge \mathbb{R}P^\infty) \cong \text{top}_n(M) \otimes \mathbb{Z}\left[\frac{1}{2}\right]$

Let M^n be a closed mfd ($n \geq 5$), then there is a long sequence

$$\rightarrow \mathcal{S}(M \times D^1, \partial) \rightarrow [M \times D^1, G/\Gamma_{\text{top}}] \rightarrow L_{n+1}(\pi_1 M) \rightarrow \mathcal{S}_{\text{top}}(M) \rightarrow \\ \rightarrow [M, G/\Gamma_{\text{top}}] \rightarrow L_n(\pi_1 M)$$

✓

$$[M \times D^k, \partial, G/\Gamma_{\text{top}}] = \text{f.g. abelian gp. } (\text{H}^0)$$

$L_k(\pi_1 M)$ is not f.g. in general.

e.g. $\underset{\infty}{\underbrace{L_2(T_1 \sqcup T_2)}}$ not f.g. $= T_1 \oplus T_2 \oplus \dots \oplus$ (infinite) another stuff
infinite dihedral gp

so $\mathcal{S}_{\text{top}}(M)$ is not f.g. $\cong \mathcal{S}_{\text{top}}(p^n \# p^n)$.

$$L_{10}(\pi_1, p^9 \# p^9) \rightarrow \mathcal{S}_{\text{top}}(p^9 \# p^9) \rightarrow \text{f.g.}$$

$\begin{matrix} n=9 \\ \text{not f.g.} \end{matrix}$ $\begin{matrix} n=9 \\ \text{not f.g.} \end{matrix}$

Cappell Top. J.

may be

$$L(\pi) \otimes_{\mathbb{Z}} \mathbb{Z}\left[\frac{1}{2}\right] \text{ is f.g. over } \mathbb{Z}\left[\frac{1}{2}\right] ?$$

In L_{gp} , $L(\pi, \omega)$ orientation

no, in $L(\pi)$ we are using trivial orientation.

Thm 26. Γ is a virtually abelian torsion-free f.g. group

$B\Gamma$ is orientable flat mfd of dim n . Let $\pi_1 M^n = \Gamma$. Then

$\mathcal{S}(M)$ are calculable up to an extension. i.e,

\exists natural homomorphism $(f_a) : [M^n \times D^2, M \times S^{n-1}; G/\Gamma_{\text{top}}] \rightarrow [B\Gamma \times D^2, B\Gamma]$

defined when $m+2 > n$ and t such that $m+t = n+2$:

such that the following is exact

$$0 \rightarrow \text{coker } f_{a+1} \rightarrow \mathcal{S}(M \times D^2, M \times S^{n-1}) \rightarrow \text{ker}(f_a) \rightarrow 0.$$

$$BO \quad \text{extraordinary coh.} \\ [X, A; G/\Gamma_{top}] = \mathcal{H}^0(X, A)$$

$$(M^m, G/\Gamma_{top}) \xrightarrow{\sigma} L_m(\pi_1 M) \quad \text{surgery map}$$

$$\begin{array}{ccc} M^m & \xrightarrow{\sigma} & L_m(\pi_1 M) \\ \parallel & & \uparrow \\ \mathcal{H}_m(M^m) & \xrightarrow{\cong} & \sigma_m \text{ Mischor, Wall} \end{array}$$

$$\mathcal{H}_m(M^m) \xrightarrow{(f)} \mathcal{H}_m(B\Gamma) \quad \delta(B\Gamma \times D^k, B\Gamma \times S^{k-1}) = 0$$

(so, for $B\Gamma$,

$$(B\Gamma, G/\Gamma_{top}) \xrightarrow{\cong} L_n(\pi_1 M)$$

$$\begin{array}{ccc} \mathcal{H}_n(B\Gamma) & \xrightarrow{\cong} & L_n(\pi_1 M) \\ \downarrow & \nearrow \sigma_n & \uparrow \\ \mathcal{H}_n(B\Gamma) & \xrightarrow{\cong} & L_n(\pi_1 M) \end{array}$$

$\sigma_0, \sigma_1, \sigma_2, \dots$ are all iso

If $\pi_1 M = \Gamma$, then \exists natural map $f: M \rightarrow B\Gamma$. get f_*

When tensoring $\otimes \mathbb{Q}$ to $\mathcal{H}_m(M^m) \xrightarrow{f_*} \mathcal{H}_m(B\Gamma)$, this is computing $H_*(M, \mathbb{Q}), H_*(\Gamma, \mathbb{Q})$ and bcc and coker of f_* .

Chap. Varietithm. (Sullivan)

A CK

$$(i) \quad (X, A; G/\Gamma_{top}) \otimes \mathbb{Q} = \bigoplus H^i(X, A; \mathbb{Q})$$

$$(ii) \quad \otimes \mathbb{Z}\left[\frac{1}{2}\right] = KO(X, A) \otimes \mathbb{Z}\left[\frac{1}{2}\right]$$

$$(iii) \quad \otimes \mathbb{Z}_{(2)} = \bigoplus H^{4i}(X, A; \mathbb{Z}_{(2)}) \oplus \bigoplus H^{4i+2}(X, A; \mathbb{Z}_{(2)})$$

pf 7. (i).

$$\text{defining } G/\Gamma_{\text{top}} \xrightarrow{\delta} \prod_{i=1}^{\infty} K(Q, 4i)$$

is the same as defining

$$\delta \in \prod_{i=1}^{\infty} H^{4i}(G/\Gamma_{\text{top}}, Q)$$

$$\bigoplus_{i=1}^{\infty} \Omega(X) \otimes_{\Omega(\text{pt})} Q \cong \bigoplus_i H_{4i}(X, Q)$$

$\Omega_{4i}(\text{pt})$ acts on Q

$$M^{4n} \xrightarrow{\delta} I(M) \in \mathbb{Z} \subset Q$$

index.

$\therefore Q$ is $\Omega_{4i}(\text{pt})$ -module

So want to define

$$\Omega_{4i}(G/\Gamma_{\text{top}}) \xrightarrow{\delta} Q \quad [\text{put } X = G/\Gamma_{\text{top}}]$$

$$M \xrightarrow{p} G/\Gamma_{\text{top}}$$

fiberity equiv.

$$E \longrightarrow M^{4i} \times \mathbb{R}^n$$

$$\downarrow$$

 M^{4i}

$$N^{4i} \longrightarrow M^{4i}$$

$$\omega(E) = \langle L(E), L(N), \dots \rangle$$

Actually $G/\Gamma_{\text{top}} \rightarrow \prod K(Q, 4i)$ is hE .

$$\pi_*(G/\Gamma_{\text{top}}) \otimes Q = \begin{cases} \mathbb{Q} & i=0 \\ 0 & i \neq 0 \text{ (4)} \end{cases}$$

$$\begin{matrix} E \\ \downarrow \\ S^{4i} \end{matrix}$$

$$P_i(E) \neq 0$$

by Bott periodicity thm.

Easy to show δ is inv.



10/25/78:

We are interested in the following

Theorem: If Γ is a torsion-free crystallographic group then we have a s.e.s.

$$1 \rightarrow \mathbb{Z}^n \rightarrow \Gamma \rightarrow G \rightarrow 1;$$

\mathbb{Z}^n is the maximal abelian subgroup of Γ , and G is finite. For $s > 1$, $s \in \mathbb{Z}$, define $\Gamma_s = \Gamma / (s\mathbb{Z})^n$, a finite group.

There is then a s.e.s

$$1 \rightarrow (\mathbb{Z}_s)^n \rightarrow \Gamma_s \xrightarrow{\Phi} G \rightarrow 1.$$

\Rightarrow if $s \equiv 1 \pmod{|G|}$ then Φ splits uniquely up to inner automorphism.

For $H^2(G; (\mathbb{Z}_s)^n)$ is a \mathbb{Z}_s -module, but its exponent divides $|G|$ so $H^2(G; (\mathbb{Z}_s)^n) = 0$. Thus the sequence splits.

Similarly $H^1(G; (\mathbb{Z}_s)^n) = 0$ so every crossed homomorphism is principal (see p. 52). Let ψ_1, ψ_2 be two splittings, and define $\eta: G \rightarrow (\mathbb{Z}_s)^n$ by $\eta(g) = \psi_1(g)\psi_2(g)^{-1}$.

Then η is a crossed homomorphism (written multiplicatively, with the action of G by conjugation written as exponentiation, $\eta(gh) = \eta(h)h \cdot \eta(g)$).

Therefore ~~writing additive~~ $\exists t \in (\mathbb{Z}_s)^n$ st.

$$\eta(g) = t - gtg^{-1} \quad (\text{additively}), \text{ i.e. } \eta(g) = t^{''}(gtg^{-1})^{-1} =$$
$$\in \psi_1(g)t^{-1}\psi_2(g)^{-1}. \text{ But } \eta(g) = \psi_1(g)\psi_2(g)^{-1}, \text{ so}$$

$$\psi_1(g) = t\psi_2(g)t^{-1}. \text{ This proves } (*).$$

Let $G_s = \psi(G)$ for a splitting ψ . $G_s \cong G$, and G_s is unique up to inner automorphism.



Lemma: If $x \in \text{Wh}(\Gamma)$ or $x \in \tilde{\text{K}}_0(\mathbb{Z}\Gamma)$ then $\exists N_x \in \mathbb{Z}$ s.t.
 $\forall s > N_x$, $s \equiv 1 \pmod{|\Gamma|} \Rightarrow \sigma^* x = 0$, where $\sigma = \text{inclusion: } \Gamma_{G_s} \hookrightarrow \Gamma$
where $\Gamma_{G_s} = \varphi^{-1}(G_s)$, where φ is projection in the
short exact sequence $1 \rightarrow (s\mathbb{Z})^\times \rightarrow \Gamma \xrightarrow{\varphi} \Gamma_s \rightarrow 1$. (prf)

Note Γ_{G_s} is defined only up to inner auto, but it is
easy to see that if $\sigma^* x = 0$ for one choice of Γ_{G_s}
then $\sigma^* x = 0$ \forall conjugate choices.

The proof of the lemma will be based on the
Epstein-Shub Thm (p. 53) & the following.

Let W^n be an h-cobordism with base M^n .

Suppose W ($\therefore M$) has a riem. metric on it.

(W, M) is an ε -h-cobordism if the following
condition (*) is satisfied: for a deformation

retraction h_t of W onto M , where $h_0 = \text{id}_W$ and

$h_t(W) = M$, define $\gamma_x(t) = h_t(x)$ $\forall x \in W$. Let $\alpha_x = h_1 \circ \gamma_x$,
a loop in M . Then we demand that

(*) There is a choice of h_t s.t. the family of curves
 $\{\alpha_x : x \in W\}$ (where $\alpha_x(t) = h_1(h_t(x))$) all have
arc length $< \varepsilon$.

Thm (Steve Ferry): Given M , $\exists \varepsilon > 0$ s.t. any ε -h-cobordism W
with base space $M \cong$ a product, i.e. $\pi(W, M) = 0 \in \text{Wh}(\mathbb{Z})$

Rmk: This Theorem was conjectured by Connell and ^{prob. / k/k'} by Far
Hollingsworth sometime during the 60's. They reduced
to an algebraic result which they could not prove
but which was recently proved by Quinn. Ferry's
proof is different.



The references are

- Connell & Hollingsworth, Trans. AMS 1969

- Ferry, Annals 1977? (paper is concerned with mfd's mod
on the Hilbert cube)

The original conjecture & Quinn's result are stated as:
Let M^n be a closed riem. mfd, $S = \{a_1, \dots, a_m\} \subset M$ a finite set of points.

Def: The geometric group on $\{a_1, \dots, a_m\}$, denoted G_S ,
The free abelian group generated by S .

Rmk: Define a sheaf S over M to have stalk \mathbb{Z} over each a_i and 0 elsewhere. Then $G_S = \Gamma(M; S) =$ group of global sections of S . It may be fruitful to reformulate Quinn's work geometrically, & this view might be useful in such a reformulation.

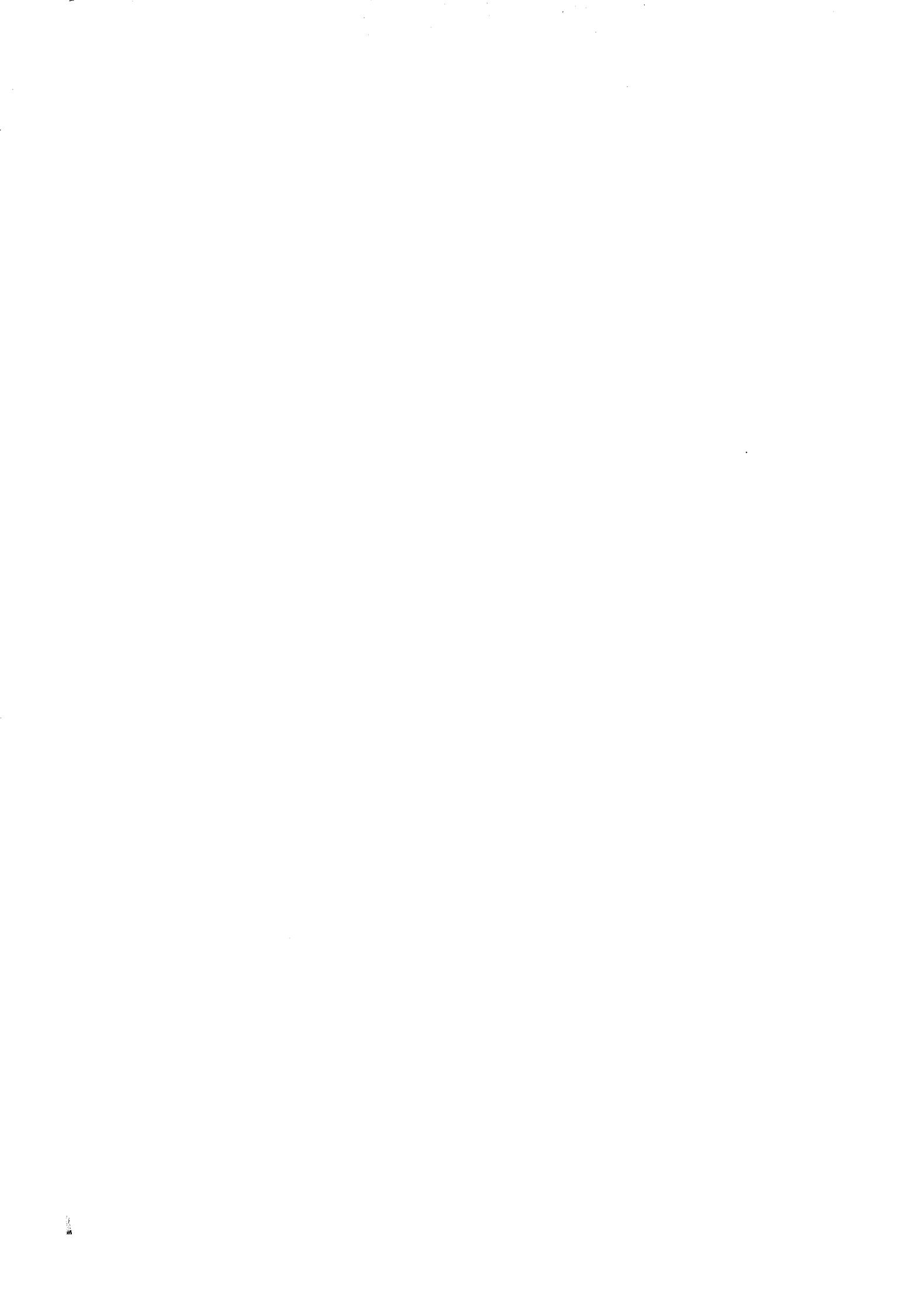
Def: $\alpha: G_S \xrightarrow{\sim} G_S$ is an ε -automorphism if $\alpha(a_i)$ is contained in $G_S \cap B_\varepsilon(a_i)$ where $B_\varepsilon(a_i)$ is the ε -d about a_i .

Def: $\alpha: G_S \xrightarrow{\sim} G_S$ is ε -blocked if \exists a partition of $S = S_1 + S_2 + \dots$ where $\text{diam } S_i < \varepsilon$, s.t. $\alpha(G_{S_i}) \subset G_{S_i}$.

In matrix terms, $\alpha (\because \alpha^{-1})$ has matrix = $\begin{bmatrix} I_b & & \\ & \ddots & \\ & & I_d \end{bmatrix}$,
where each block corresponds to $\alpha|_{G_{S_i}}$, $\text{diam } S_i < \varepsilon$.

Conj(Connell-Hollingsworth): Given M^n , $\varepsilon > 0$ then $\exists \delta > 0$ s.t. any δ -automorphism of any geometric group over M can be expressed as a product of $n+1$ ε -blocked automorphisms.

Rmk: This conjecture \Rightarrow Ferry's Thm.



- Quinn's recent result is slightly more general, using arbitrary coeffs. rather than just \mathbb{Z} .
 - One can clearly define a geometric R -module just as one defines a geometric group.
- Thm (Quinn): If $R = \mathbb{Z}\Gamma$ s.t. $\text{Wh}(\Gamma \times T^n) = 0$, where T^n denotes the free abelian group of rank n , then the "generalised conjecture" (i.e., with "free abelian group" replaced by "free \mathbb{Z} -module") is true for R .
 Quinn's Thm \Rightarrow C&H. Conjecture by letting $\Gamma = \{1\}$ and noting that $\text{Wh}(T^n) = 0$ by B.H.S.

10/27/78: We leave the Connell-Hollingsworth-Quinn material & return to the Thm, p. 77.

We will use:

Thm (Ferry): Given a closed riemannian manifold M^n , $\exists \varepsilon > 0$ s.t. any ε -h-cobordism with base M^n is a product.

Thm (Epstein-Shub): Given $s \equiv 1 \pmod{|G|}$, \exists an expanding immersion $f_s: M^n \rightarrow M^n$ where $M = \mathbb{R}^n / \Gamma$, s.t.

$$(i) \|df_s(X)\| = s\|X\|, \text{ and}$$

$$(ii) (f_s)_*(\pi_1 M) = \Gamma_{G_s} \quad (\text{see top p. 78, or below}).$$

Prf: A more careful version of the proof, pp. 53-55.

Lemma: Let Γ be a torsion-free crystallographic group with holonomy group G (so \exists a short exact sequence

$$1 \rightarrow \mathbb{Z}^n \rightarrow \Gamma \rightarrow G \rightarrow 1,$$

\mathbb{Z}^n : maximal free abelian $\subset \Gamma$, $|G| < \infty$).

Define Γ_s , where $s \equiv 1 \pmod{|G|}$, by the sequence

$$1 \rightarrow (s\mathbb{Z})^n \rightarrow \Gamma \xrightarrow{\varphi} \Gamma_s \rightarrow 1,$$

so we also get a sequence

$$1 \rightarrow (\mathbb{Z}_s)^\times \rightarrow \Gamma_s \rightarrow G \rightarrow 1 \text{ . (cf pp. 77-78).}$$

This splits uniquely, up to ^{inner} automorphism; let G_s be the image of G in Γ_s , determined up to inner automorphism. Let $\Gamma_{G_s} = \Phi^{-1}(G_s) \subset \Gamma$.

Let $x \in \text{Wh } \Gamma$ (resp. $\tilde{\mathbb{Z}}\Gamma$).

Then $\exists N_x \in \mathbb{Z}$ s.t. $\forall s \in \mathbb{Z}$ with $s > N_x$, $s \equiv 1 \pmod{|G|}$, the transfer of x to $\text{Wh } \Gamma_{G_s}$ (resp. $\tilde{\mathbb{Z}}\Gamma_{G_s}$) vanishes.

Prf: Case 1: $x \in \text{Wh } \Gamma$.

Let $M = \mathbb{R}^n / \Gamma$ and let ε be given by Ferry's Thm. $x \in \text{Wh } \Gamma$ defines an h-cobordism W^{n+1} with base M s.t. $r(W, M) = x$.

\exists deformation retract h_t of W onto M with $h_0 = \text{id}_W$, $h_1(W) = M$. $\forall p \in W$ let α_p be the curve $\alpha_p(t) = h_1(h_t(p))$.

Write $|d\alpha_p| = \text{length of the curve } \alpha_p$.

Let $\|\alpha_x\| = \max_{p \in W} \{ |d\alpha_p| \}$. Define N_x by $N_x > \frac{\|\alpha_x\|}{\varepsilon}$.

Let $s > N_x$, $s \equiv 1 \pmod{|G|}$.

Let \tilde{W}' be the covering space of W corresponding to $\Gamma_{G_s} \subset \Gamma = \pi_1(W)$; \tilde{W}' is a new h-cobordism, and there is

a covering map $\tilde{W}' \xrightarrow{f} W$.

Let M' be the base of \tilde{W}' . $f|_{M'}: M' \rightarrow M$ is the

covering projection corresponding to Γ_{G_s} ; but so is f_s (see below). ~~so $\exists G: M' \xrightarrow{\text{diffeo}} M$~~ So $\exists G: M' \xrightarrow{\sim} M$. Let

$\tilde{W} := \tilde{W}' \cup_G M$, so \tilde{W} is an h-cobordism with base M .

[f_s is an immersion of a cpt n-mfd to a cpt n-mfd. Such a map is locally 1-1; compactness \Rightarrow inverse image of each point is finite; by invariance of domain, the map is onto; \therefore a covering map.]

$$\begin{array}{ccc} \tilde{W} & \xrightarrow{F} & W \\ \tilde{h}_t \downarrow & & \downarrow h_t \\ M & \xrightarrow{f_s} & M \end{array} \quad \begin{array}{l} \text{Lift } h_t \text{ to a deformation retract} \\ \text{lift of } \tilde{h}_t \text{ onto } M. \end{array}$$

Let $\{\beta_q\}_{q \in \tilde{W}}$ be the family $\{\tilde{h}_t, (\tilde{h}_t(q))\}$

Now $f_s(\beta_q) = \alpha_{F(q)}$.

$$\therefore s|\beta_q| = |\alpha_{F(q)}|, \text{ so}$$

$$|\beta_q| = \frac{1}{s} |\alpha_{F(q)}| \leq \frac{1}{s} \|\alpha_x\| < \frac{\|\alpha_x\|}{N_x} \quad (\text{since } s > N_x)$$

$$< \varepsilon \quad (\text{def'n } N_x).$$

Since $|\beta_q| < \varepsilon$ for arbitrary q , \tilde{W} is an ε -h-cobordism, so by Ferry's Thm $\tau(\tilde{W}, M) = 0$.

But $\tau(\tilde{W}, M) = \sigma^* x$ where $\sigma: \Gamma_{G_s} \hookrightarrow \Gamma$ is incl'n (See, e.g., Milnor's survey article on Whitehead torsion, Bull. AMS, ≈ 1966).

This proves case (1).

Case 2: $x \in \tilde{K}_0 \mathbb{Z}\Gamma$.

By BHS (Thm 32, p. 12 or p. 14) we have an embedding $\tilde{K}_0 \mathbb{Z}\Gamma \hookrightarrow \text{Wh}(\Gamma \times T)$, where T is infinite cyclic.

Push x to $\bar{x} \in \text{Wh}(\Gamma \times T)$.

By Case 1, $\exists N_{\bar{x}} \in \mathbb{Z}$ s.t. $\sigma^* \bar{x} = 0$ where

$\sigma: \Gamma_{G_s} \times sT \hookrightarrow \Gamma \times T$ for $s > N_{\bar{x}}$. ($\Gamma_{G_s} \times sT = (\Gamma \times T)_{G_s}$)

We have the commutative diagram (since $\Gamma_{G_s} \times sT \subset \Gamma_{G_s} \times T \subset \Gamma$).

$$\begin{array}{ccccc} \text{Wh}(\Gamma_{G_s} \times sT) & \xleftarrow{\sigma^*} & \text{Wh}(\Gamma \times T) & & \\ \uparrow \beta & \nearrow \bar{x} & \uparrow & & \\ \text{Wh}(\Gamma_{G_s} \times T) & \xleftarrow{\alpha} & & & \\ & \nearrow & \uparrow & & \\ & & \tilde{K}_0 \mathbb{Z}\Gamma & & \end{array}$$

Where α is 1-1, ~~is not necessarily~~ the transfer map β is not necessarily so, but $\beta \circ \alpha$ is 1-1. (Comes from studying the ~~proj.~~ proj. map $\text{Wh}(\Gamma_{G_s} \times sT) \rightarrow \tilde{K}_0 \mathbb{Z}\Gamma_{G_s}$, & seeing this is a right



~~Most important theorem~~

inverse of the map $\tilde{K}_0 \mathbb{Z}\Gamma_{\mathbb{Z}} \rightarrow \text{Wh}(\Gamma_{\mathbb{Z}}, x \circ T)$?

$\therefore \sigma^* x = 0$. // lemma

Prop: A subgroup of a torsion-free crystallographic group is torsion-free crystallographic. (cf Rank, p. 93)

Prf: We have $1 \rightarrow \mathbb{Z}^n \rightarrow \Gamma \rightarrow G \rightarrow 1$.

Let $\Gamma' < \Gamma$. Let $\mathbb{Z}^m = \mathbb{Z}^n \cap \Gamma'$. Then we get a s.e.s. $1 \rightarrow \mathbb{Z}^m \rightarrow \Gamma' \rightarrow G \rightarrow 1$.

$\therefore \Gamma'$ is virtually abelian; by the Thm on bot. p. 49, Γ' is (torsion-free) crystallographic. // prop

IV/78: Recall: Γ a crystallographic group, so we have a

s.e.s. $1 \rightarrow A \rightarrow \Gamma \rightarrow G \rightarrow 1$,

A max'l abelian subgp of Γ , $|G| < \infty$. Define $\Gamma_s = \Gamma/sA$, where $s \in \mathbb{Z}$, so we have a s.e.s.

$$1 \rightarrow sA \rightarrow \Gamma \xrightarrow{\varphi} \Gamma_s \rightarrow 1.$$

Finally there is a s.e.s.

$$1 \rightarrow A_s \rightarrow \Gamma_s \rightarrow G \rightarrow 1,$$

where $A_s = A/sA$.

This last seq. splits uniquely up to inner auto; let

$$G_s = \text{im } G < \Gamma_s.$$

$$\text{Let } \Gamma_{G_s} = \varphi^{-1}(G_s) < \Gamma.$$

Def: The rank of Γ := the rank of the free abelian group A .

To prove the theorem, top p. 77, we need 2 lemmata

Lemma: Given $x \in \text{Wh}\Gamma$ (or $\tilde{K}_0 \mathbb{Z}\Gamma$), $\exists N_x \in \mathbb{Z}$ s.t.

$\forall s > N_x$, $s \in \mathbb{Z}$, with $s \equiv 1 \pmod{|G|}$, $\sigma^* x = 0$ where

$\sigma = \text{incl'n } \Gamma_{G_s} \hookrightarrow \Gamma$. // proved above



Algebraic Lemma: If Γ is a crystallographic group then either

- (1) $\Gamma = \Gamma' \times T$ where T is infinite cyclic, Γ' is crystallographic of rank $= \text{rk } \Gamma - 1$;
- (2) $\Gamma = \begin{smallmatrix} B \\ D \end{smallmatrix} * C$ where $[B:D] = 2 = [C:D]$;

or (3) \exists an infinite sequence of positive integers s , all $s \equiv 1 \pmod{|G|}$, s.t. if H is a hyperelementary subgroup of Γ_s which projects onto G then $S \cong G_s$ (i.e. if S projects onto G then it projects isomorphically onto G). (Note that this iso is an inner auto.)

Rank: Γ need not be torsion-free in this lemma.

Assuming this second lemma for now, we prove the

Thm: If Γ is a torsion-free crystallographic group, then $\text{Wh } \Gamma = 0 = \tilde{K}_0 \mathbb{Z}\Gamma$.

Prf: Induction on rank Γ and $|G|$.

To start the induction, note that the theorem is true for rank = 0 ($\Gamma = \{1\}$) and, given rank n , for $|G|=1$ for

($\Gamma = \boxed{T^n}$; $\text{Wh } T^n = 0 = \tilde{K}_0 \mathbb{Z}T^n$ by BHS).

choose Γ and \leftarrow So assume that the theorem is true for all Γ' where either (i) rank $\Gamma' < \text{rank } \Gamma$, or (ii) rank $\Gamma' = \text{rank } \Gamma$ and $|G'| < |G|$, where G' = holonomy group of

~~the manifold~~ In partic, assume $|G| > 1$.

Claim A: $\mathbb{Z}\Gamma$ is regular.

$\Leftrightarrow \Gamma = \pi_1 M$, M aspherical, so Γ is of finite cohomological dimension. $\mathbb{Z}\Gamma$ is Noetherian, & Γ is a finite extension of A , so $\mathbb{Z}\Gamma$ is Noeth. //

We use the Algebraic Lemma to split the proof into 3 cases.



(1) $\Gamma = \Gamma' \rtimes T$. We use the extended BHS Thm, pp 43-44. Since $\mathbb{Z}\Gamma$ is regular, the nilpotent groups vanish, and there is an exact sequence $\text{Wh } \Gamma' \rightarrow \text{Wh } \Gamma \rightarrow \tilde{K}_0 \mathbb{Z}\Gamma'$. Since $\text{rank } \Gamma' < \text{rank } \Gamma$, $\text{Wh } \Gamma' = 0 = \tilde{K}_0 \mathbb{Z}\Gamma'$, so $\text{Wh } \Gamma = 0$. ^{easy}

For $\tilde{K}_0 \mathbb{Z}\Gamma$, consider Serre's Thm (p. 24) : if R is regular then $\tilde{K}_0 R \xrightarrow{\text{epi}} \tilde{K}_0 R[x, x^{-1}]$. The corresponding theorem is true for twisted Laurent series rings as well: $\tilde{K}_0 R \xrightarrow{\text{epi}} \tilde{K}_0 R_\alpha(x, x^{-1})$.

In particular, there is an epi $\tilde{K}_0 \mathbb{Z}\Gamma' \rightarrow \tilde{K}_0 \mathbb{Z}\Gamma$. But $\tilde{K}_0 \mathbb{Z}\Gamma' = 0$ by induction, so $\tilde{K}_0 \mathbb{Z}\Gamma = 0$.

(2) $\Gamma = B \times_D C$. We use Waldhausen's Thm, pp. 46-7.

Again the "nilpotent" construction vanishes by regularity, so there is an exact sequence

$$\xrightarrow{\text{Wh } B} \xrightarrow{\text{Wh } C} \text{Wh } \Gamma \rightarrow \tilde{K}_0 \mathbb{Z}D \rightarrow \dots$$

B, C, D are crystallographic groups of smaller rank,

again $\text{Wh } \Gamma = 0$.

$$\text{Now } \Gamma = B \times_D C \Rightarrow \Gamma \times T = (B \times T) \times_{(D \times T)} (C \times T).$$

(can see geometrically using Van Kampen's thm & crossing a circle.)

By BHS, $\tilde{K}_0 \mathbb{Z}\Gamma \hookrightarrow \text{Wh}(\Gamma \times T)$, so we show $\text{Wh}(\Gamma \times T) = 0$.
Waldhausen \Rightarrow

$$\xrightarrow{\text{Wh}(B \times T)} \xrightarrow{\text{Wh}(C \times T)} \text{Wh}(\Gamma \times T) \rightarrow \tilde{K}_0 \mathbb{Z}(D \times T) \rightarrow \text{is exact.}$$

$\text{Wh}(B \times T) = \text{Wh}(C \times T) = 0$, since $\text{BHS} \Rightarrow \text{Wh}(B \times T) = \text{Wh } B \oplus \tilde{K}_0 \mathbb{Z}B$ (regularity here again) $= 0 = \text{Wh}(C \times T)$

For $K_0 \mathbb{Z}(D \times T)$: Seve's Thm $\Rightarrow K_0 \mathbb{Z}D \xrightarrow{\sim} K_0 \mathbb{Z}(D \times T)$.

But $\text{rk } D < \text{rk } T \Rightarrow \tilde{K}_0 \mathbb{Z}D = 0$.

$\therefore \text{Wh}(\Gamma \times T) = 0 \Rightarrow \tilde{K}_0 \mathbb{Z}\Gamma = 0$.

(3) Let $x \in \text{Wh}(\Gamma)$ (or $\tilde{K}_0 \mathbb{Z}\Gamma$).

Take N_x as in ~~Lemma~~^{p. 83}, & choose $s > N_x$ to be one of the s specified by the algebraic lemma, case (3).

Consider $1 \rightarrow sA \rightarrow \Gamma \xrightarrow{\varphi} \Gamma_s \rightarrow 1$.

We show $i^* x = 0$ & inclusion maps $i: \Gamma_H \rightarrow \Gamma$ where H is a hyperelementary subgroup of Γ_s .

~~we have the s.e.s. $1 \rightarrow sA \rightarrow \Gamma_H \xrightarrow{\varphi} \Gamma_s \rightarrow 1$, so~~

~~the holonomy of Γ_H the image of H in G~~
~~(under $\Gamma_s \rightarrow G$) to the holonomy of Γ_H (since~~
~~we have a s.e.s. $1 \rightarrow A \rightarrow \Gamma_H \xrightarrow{(m H \subset G)} 1$)~~

so by our choice of s (alg. lemma), either $H = G_s$ or

(holonomy $\Gamma_H \subset G$)

In this second case, by the induction hypothesis

$\text{Wh} \Gamma_H = \tilde{K}_0 \mathbb{Z} \Gamma_H = 0$, so of course $i^* x = 0$. In

The first case $\Gamma_H = \Gamma_{G_s}$, so by the Lemma, bot. p. 83

$$i^* x = 0.$$

We now apply the algebraic vanishing criterion, p. 6 with ~~F~~ $F = \Gamma_s$, to see that $x = 0$.

But x was an arbitrary elt. of $\text{Wh} \Gamma$ or $\tilde{K}_0 \mathbb{Z} \Gamma$

so $\text{Wh} \Gamma = 0 = \tilde{K}_0 \mathbb{Z} \Gamma$. ~~Thm~~, p. 84.

Rank: The group Γ of p. 42, with a s.e.s. $1 \rightarrow \mathbb{Z}^3 \rightarrow \Gamma \rightarrow \mathbb{Z}_2 \oplus \mathbb{Z}_2 \rightarrow 1$ is crystallographic torsion free, so $\text{Wh} \Gamma = 0$. Farrell believes he's got a proof that for any torsion-free virtually poly- \mathbb{Z} group Γ , $\text{Wh} \Gamma = 0$.

Proof of algebraic lemma: We have the seq. $1 \rightarrow A \rightarrow \Gamma \rightarrow G \rightarrow 1$.

Lemma 1: If $s \equiv 1 \pmod{|G|}$ and $A_s^G \neq 0$ (i.e. G has a nontrivial fixed point in A_s) then $A^G \neq 0$.

P.f.: We use group cohomology. $A^G = H^0(G; A)$.

Consider the s.e.s.

$$0 \rightarrow A \xrightarrow{\times s} A \xrightarrow{\Phi} A_s \rightarrow 0.$$

This induces a cohomology l.e.s.

$$0 \rightarrow H^0(G; A) \rightarrow H^0(G; A) \xrightarrow{\Phi_*} H^0(G; A_s) \rightarrow H^1(G; A) \xrightarrow{(\times s)_*}$$
$$H^1(G; A) \rightarrow H^1(G; A_s) = 0.$$

Since $(\times s)_*$ is onto and $H^1(G; A)$ is finite, $(\times s)_*$ must be a iso, so Φ_* is onto. \therefore if $A_s^G \neq 0$, $A^G \neq 0$ as well. /em

Lemma 2: \exists an infinite sequence of primes p s.t. $(p, |G|)$ and $(p-1, |G|) = 1$ or 2 .

Rank: We will need only one such p .

P.f.: We use Dirichlet's Thm.: in any arithmetic sequence there are infinitely many primes.

So consider $\{m|G| - 1 : m \in \mathbb{Z}\}$. Let $p = m|G| - 1$, for some m ; then $(p, |G|) = 1$, & $p-1 = m|G| - 2 \Rightarrow (p-1, |G|)$ divides 2 , so $(p-1, |G|) = 1$ or 2 . Lemma 2

11/3/28: Lemma 3: If F is a subgroup of G s.t. $A^F \neq 0$ and $[G:F] = 1$ or 2 , then either

(1) $\Gamma = \Gamma' \rtimes T$ or

(2) $\Gamma = B \circ C$ where $[B:D] = 2 = [C:D]$.

P.f.: We will use group cohomology. Notation: $H^i(\text{group}; \text{coeff})$ indicates arbitrary coeffs, while $H^i(\text{group}, \text{coeffs})$ mean trivial coeffs.



Prf: Let $\Gamma_0 = \Phi^{-1}(F) \subset \Gamma$.

We first show $\Gamma_0 = \Gamma'_0 \rtimes T$. To prove this, it suffices to show \exists a nontrivial homom $\Gamma_0 \rightarrow T$.
 Now $\text{Hom}(\Gamma_0, T) = H^1(\Gamma_0, T)$ (comes \Rightarrow trivial coeffs).
 To compute this group we write the Lyndon-Hochschild spectral sequence for $1 \rightarrow A \rightarrow \Gamma_0 \rightarrow F \rightarrow 1$.
(Rmk: This sequence is related to that of the fibration $BA \rightarrow B\Gamma_0 \rightarrow BF$)

$$E_2^{pq} = H^p(F; H^q(A, T))$$



$$H^{p+q}(\Gamma_0, T).$$

F is finite, so if $p > 0$, E_2^{pq} must be finite.

$$E_2^{0q} = H^0(F; H^q(A, T))$$

$= (H^q(A, T))^F$ (fixed points). In particular

$$E_2^{01} = (H^1(A, T))^F.$$

only possible infinite groups:
on q -axis

But $H^1(A, T) = \text{Hom}(A, T) = A^*$; so $E_2^{01} = (A^*)^F$

It is easy to see that $A^F \neq 0 \Rightarrow (A^*)^F \neq 0$.

Thus $E_2^{01} \neq 0$. Since E_2^{01} is a subset of the free abelian group A^* , E_2^{01} is free abelian nonzero, in particular E_2^{01} is infinite.

The only take $d_k \neq 0$ at ~~E_k^{01}~~ E_k^{01} is when $k = 2$.

But in $d_2 \subset E_2^{20}$ which is finite, so $\ker d_2$ is infinite (in fact, again a nonzero free abelian group).

Thus $H^1(\Gamma_0, T)$ is a nonzero free abelian group, & in particular $\Gamma_0 = \Gamma'_0 \rtimes T$.

Now if $F = G$, $\Gamma_0 = \Gamma$ and we're done. In fact, if $H^1(\Gamma, T) \neq 0$ we're done, as above (Case (1) holds).

assuming $\text{rk } T = 2$
 In general, we must consider the s.e.s.
 $1 \rightarrow \Gamma_0 \rightarrow \Gamma \xrightarrow{\pi} \Gamma_2 \rightarrow 1$.

Let T^+ be T with the trivial Γ -action, &
 Let T^- be T with the action defined as follows:
 if $T = \{nx : n \in \mathbb{Z}\}$, & $\Gamma_2 = \{\pm 1\}$, acting on T by
 mult'n, then for $\gamma \in \Gamma$, $\gamma(nx) := (\pi(\gamma)) \cdot (nx)$.

Claim: One of $H^i(\Gamma; T^\pm)$ is infinite and contains elts of order $\neq 2$.

(c) We apply the spectral seq. argument to the s.e.s. on the top of this page.

$$E_2^{pq} = H^p(\Gamma_2; H^q(\Gamma_0; T^\pm))$$

$$\Downarrow \\ H^{p+q}(\Gamma; T^\pm).$$

As before, it suffices to prove E_2^{01} is free abelian & nonzero.

$$E_2^{01} = H^0(\Gamma_2; H^1(\Gamma_0; T^\pm)) \\ = H^1(\Gamma_0; T^\pm)^{\Gamma_2}.$$

Now $\pi(\Gamma_0) = 1 \Rightarrow \Gamma_0$ acts trivially on both T^+ and T^- , so $H^1(\Gamma_0; T^\pm) = H^1(\Gamma_0; T)$ and $E_2^{01} = H^1(\Gamma_0; T)^{\Gamma_2}$. (But the Γ_2 -action depends on whether we have T^\pm .)

$H^1(\Gamma_0; T)$ is free abelian (proved on p. 88) so it suffices to show $E_2^{01} \neq 0$.

Any repn of Γ_2 on a free abelian group can be decomposed into a sum of T^+ 's, T^- 's, and $\mathbb{Z}(\Gamma_2)$'s; only the T^- repn has no (nontrivial) fixed point.

The Γ_2 -action on $H^1(\Gamma_0; T^-)$ is the negative

of the action on $H^1(\Gamma_0; T^+) = H^1(\Gamma_0, T)$ so even if one of these reprns consists of all T^+ 's, the other has a fixed point. This proves the claim. Claim

Now if $H^1(\Gamma; T^+) \neq 0$ we've got case (1), as mentioned above. If $H^1(\Gamma; T^+) = 0$ then $H^1(\Gamma; T^-) \neq 0$, & is in fact free abelian.

Now $H^1(\Gamma; T^{+-}) = \{ \text{crossed homoms} \} / \{ \text{principal crossed hu}$

So $\varphi \in H^1(\Gamma; T^-)$ is a map $\varphi: \Gamma \rightarrow T$ s.t.

$\varphi(a \cdot b) = \varphi(a) + a \cdot \varphi(b)$ (where $a \cdot \varphi(b)$ is the action of $a \in \Gamma$ on T).

Given φ , construct $\hat{\varphi}: \Gamma \rightarrow T \rtimes T_2$ as follows:

Let $ax + t \in T \rtimes T_2$ where $a \in T_2$, $t \in T$, x an indeterminate, and we multiply by composition of polynomials. ($a = \pm 1$).

Then $\hat{\varphi}(x) = \bar{f}x + \varphi(x)$ where $\bar{f} = \pi f \in T_2$.

It is easy to see that $\hat{\varphi}$ is a homomorphism.

If $\hat{\varphi}$ is of order 2, then $\text{im } \hat{\varphi}$ could be $\cong T_2$; so choose φ not of order 2, in which case $\text{im } \hat{\varphi}$ is an infinite subgroup, which must be $\cong T$ or $T \rtimes T_2$.

If $\text{im } \hat{\varphi} \cong T$ then we're in case (1) again. So

Suppose $\text{im } \hat{\varphi} \cong T \rtimes T_2$; assume wlog that $\varphi: \Gamma \rightarrow T \rtimes$ is epi.

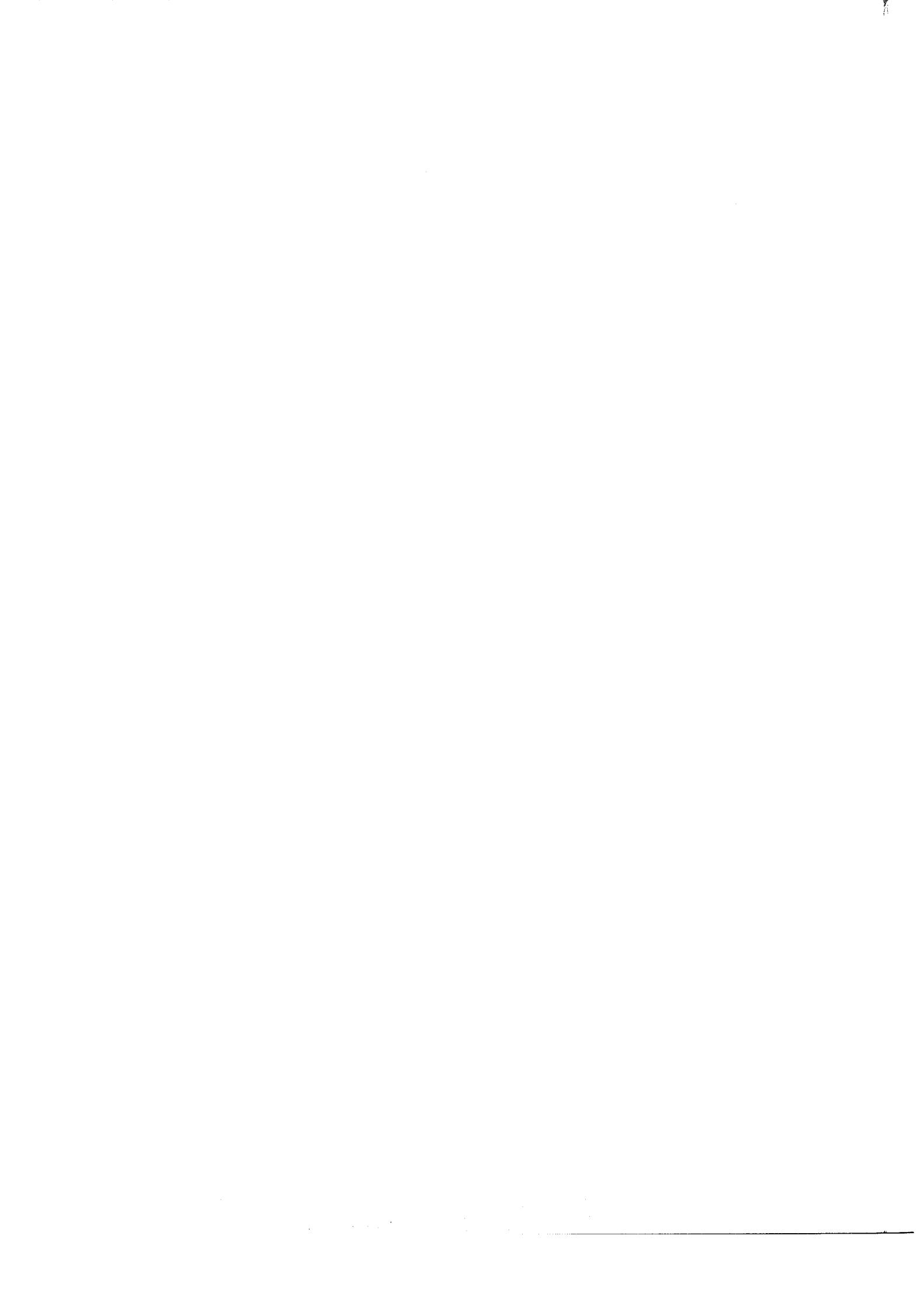
Claim: $T \rtimes T_2 \cong T_2 * T_2$.

(\because) Both act as subgroups of Rigid (1) on \mathbb{R} .

$T_2 * T_2 = \langle a: a^2=1 \rangle * \langle b: b^2=1 \rangle$ acts as follows:

a is reflection in 0.

b " " 1



This is an effective action.

$T \rtimes T_2 = \langle \infty \rangle \times \langle c : c^2 = 1 \rangle$ acts as follows:

∞ is translation by 2,
 c is reflection in 0.

This is also an effective action.

Now it is clear that $T \rtimes T_2 \cong T_2 * T_2$ by

$c \mapsto a, \infty \mapsto ba$ or, equivalently, $a \mapsto c, b \mapsto (\infty, c)$. //

So we have $\hat{\phi} : r \xrightarrow{\text{epi}} T_2 * T_2$.

Let $B = \hat{\phi}^{-1}(T_2)$, (1st copy)

$C = \hat{\phi}^{-1}(T_2)$, (2nd copy)

$D = \ker \hat{\phi}$.

Then $r = B *_D C$. // Lemma 3

Proof of algebraic lemma

Suppose (1) & (2) don't occur; must show (3) does.

Let p be a prime s.t. $(p, |G|) = 1$ & $(p-1, |G|) = 1$ or 2,
as in Lemma 2, p. 87. By picking an appropriate u ,
we can get $p^u \equiv 1 \pmod{|G|}$. Fix p, u ; let

~~s.e.s. $1 \rightarrow A_s \rightarrow P_s \xrightarrow{\varphi} G \rightarrow 1$ where~~
~~s be the infinite sequence of s.e.s.~~
 ~~$s \equiv 1 \pmod{|G|}$.~~

Consider s.e.s.'s $1 \rightarrow A_s \rightarrow P_s \xrightarrow{\varphi} G \rightarrow 1$ where

$$A_s = A / sA.$$

Let H be a hyperlementary subgroup of T_s , and
suppose $\varphi(H) = G$. We must show $H \cong G_s$; i.e. $A_s \cap H$

H hyperelem $\Rightarrow \exists$ s.e.s.

$$1 \rightarrow K \rightarrow H \rightarrow Q \rightarrow 1$$

where K is cyclic, Q a q -group (q prime).



We can always assume $(|K|, |Q|) = 1$ so $H = K \times Q$.

Case i : $q \nmid s$

Case ii : $q \nmid s$

Suppose case (i) holds. $(s, |G|) = 1$ so, since $q \nmid s$, we must have $\varphi(Q) = 1$; thus $Q \subset A_s$.

Thus $G = Q(K)$.

Claim A : $A_s \cap K \subset (A_s)^G$.

(i) G is a quotient of the cyclic group K , so G has K fixed. IA

If $K \cap A_s \neq 0$ then $(A_s)^G \neq 0$ & so by lemma 1 (p. 87) $A^G \neq 0$.
Now lemma 3 \Rightarrow Case (1) or (2) holds.

So ~~A_s~~ $K \cap A_s = 0$.

Suppose $a \in Q, b \in K$; then $aba^{-1}b^{-1} \in A_s$ since $a \in A_s$, $b \in A_s$ is normal $\Rightarrow aba^{-1}b^{-1} \in A_s$. But also $aba^{-1}b^{-1} \in K$ since $K \trianglelefteq H$;
 $\therefore [a, b] = 1$, & so $H = K \times Q$, i.e. K commutes

with Q . $\therefore Q \subset (A_s)^G$ and, again, ~~$Q \neq 1$~~ $\Rightarrow Q \neq 1$ \Rightarrow

$(A_s)^G \neq 1 \Rightarrow A^G \neq 1 \Rightarrow$ Case (1) or (2) holds \star , ~~$\therefore Q = 1$~~ ;

$\therefore H = K$ and $A_s \cap H = 1$.

Suppose case (ii) holds. $(s, q) = 1 \Rightarrow \ker(\varphi|_Q) = Q \cap A_s =$

~~$A_s \cap H = A_s \cap K$~~ . (Def. over)

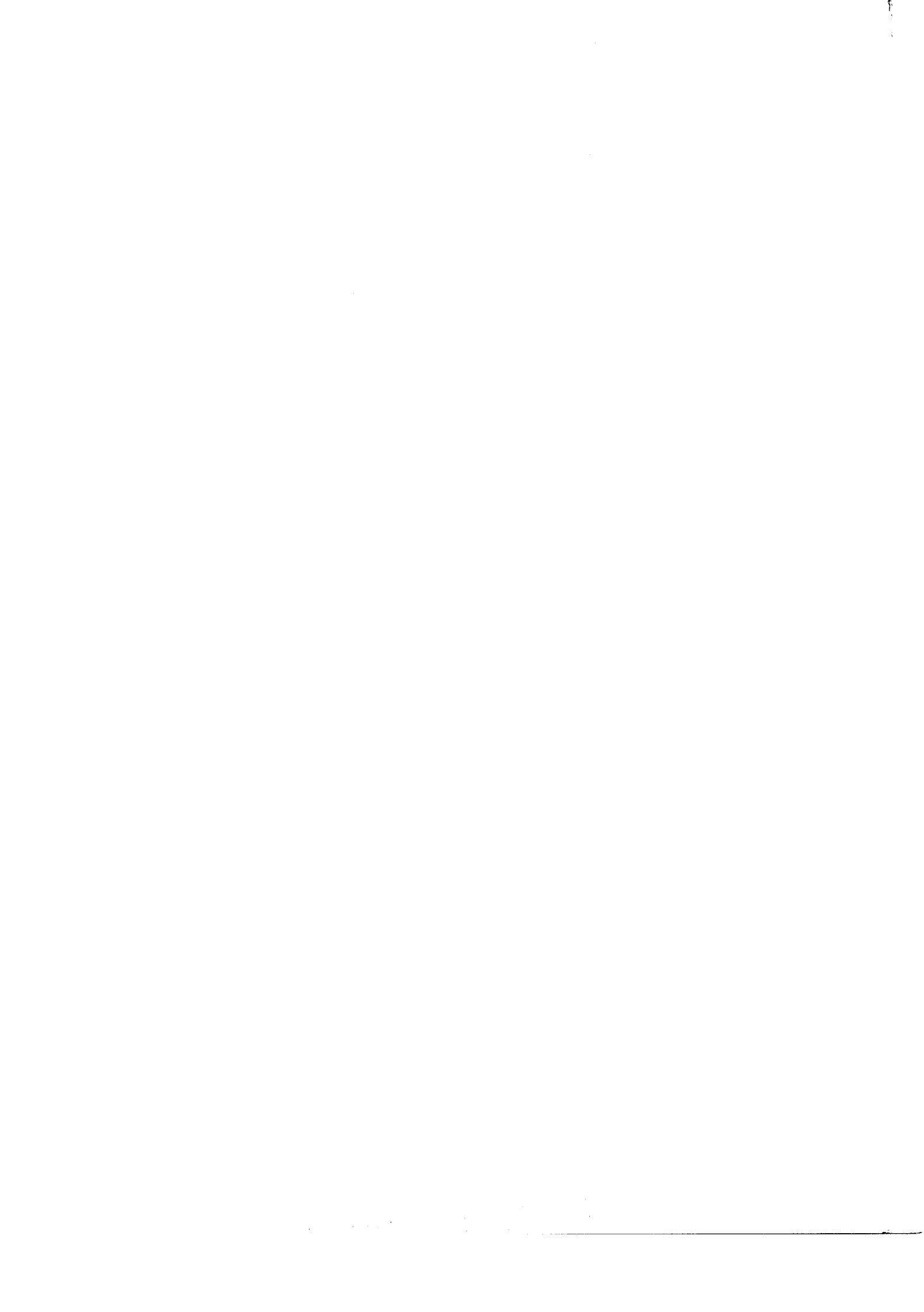
Claim B : $\forall g \in G, g(A_s \cap K)g^{-1} = A_s \cap K$.

(i) A_s is abelian, so $A_s \cap K$ is normalised by A_s ;
also, ~~$A_s \cap K = A_s \cap H \trianglelefteq H$~~ ; since H and A_s generate Γ_s , the claim follows. IB

Now $A_s \cap K$ is a ^{cyclic} group of order p^n for some $n \in \mathbb{N}$.

We have $\psi: G \rightarrow \text{Aut}(A_s \cap K)$.

If $n \neq 0$, $|\text{Aut}(A_s \cap K)| = p^n - p^{n-1} = p^{n-1}(p-1)$.



Since $(p-1, |G|) = 1$ or 2, $(p, |G|) = 1$, we have

$$|\psi(G)| \leq 2 \text{ (i.e. } \psi(G) \cong \mathbb{Z}_2 \text{ or } \psi(G) = 1\text{).}$$

Let $F = \ker \psi$; $[G : F] = 1$ or 2.

$(A_s)^F \neq 0$ ($(A_s)^F = A_s \cap K$) so $A^F \neq 0$, so again Case 1 or 2 must hold: \star .

This argument worked only for $n > 0$; so we must have $n = 0$, & $K \cap A_s = 1$. // Algebraic lemma.

This finally finishes the proof of the theorem on p. 84.

Rmk:^(cf Prop. p. 83) A subgroup of a crystallographic group need not be crystallographic. Ex: Let $\Gamma = \mathbb{Z} \oplus \mathbb{Z} \rtimes \mathbb{Z}_2$ where \mathbb{Z}_2 acts by $\alpha(-1) = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$. Then $\mathbb{Z} \oplus 0 \rtimes \mathbb{Z}_2 \subset \Gamma$; but $\mathbb{Z} \oplus 0 \rtimes \mathbb{Z}_2 = \mathbb{Z} \times \mathbb{Z}_2$ which is not crystallographic since the holonomy repn is not faithful.

Digression: Let $\Gamma \subset \text{Rigid}(n)$, $v_0 \in \mathbb{R}^n$.

$$\text{Let } c = \{x \in \mathbb{R}^n : (x, v_0) \leq \delta(x, \gamma v_0) \quad \forall \gamma \in \Gamma\}$$

c is the crystal containing v_0 .

(c seems to be convex — this should use rigidity.) Note that ~~translates~~ of c

tessellate \mathbb{R}^n . Conversely, given such a

tessellation, let $\Gamma \subset \text{Rigid}(n)$ be the grp generated by reflections in the sides of one crystal c .

this (somehow) gives a splitting $\Gamma = \mathbb{Z}^n \rtimes G$.



11/6/78: We wish to show that $\text{Wh } \Gamma = 0$ if Γ is virtually poly- \mathbb{Z} and torsion free.
 The new ideas we will need will come from studying the following

Prop: Let $\Gamma = \mathbb{Z}^2 \rtimes \mathbb{Z}_3$, where \mathbb{Z}_3 acts on \mathbb{Z}^2 by $\begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix}$. (Γ is crystallographic, but not torsion free)
 Then $\text{Wh } \Gamma = 0$.

Rank: This depends on the fact that $\text{Wh } \mathbb{Z}_3 = 0$.

Prf: We first analyze the action of Γ .

Claim A: $\mathbb{R}^2/\Gamma = S^2$.

(\Leftarrow) Let $A = \mathbb{Z}^2 < \Gamma$.

$\mathbb{R}^2/A = T^2$. T^2 is a branched cover over \mathbb{R}^2/Γ with three branch points, since $\mathbb{R}^2/\Gamma = T^2/\mathbb{Z}_3^2$ & this \mathbb{Z}_3 -action has 3 fixed points.

These are (in $[0,1] \times [0,1]$) the points (x,y) s.t.

$$\begin{pmatrix} 0 & -1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} x \\ y \end{pmatrix} + \begin{pmatrix} n \\ m \end{pmatrix} \text{ where } n, m \in \mathbb{Z}; \text{ the solution is } \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \begin{pmatrix} 1/3 \\ 2/3 \end{pmatrix}, \begin{pmatrix} 2/3 \\ 1/3 \end{pmatrix}$$



Since the action about each fixed point is rotation by 120° (essentially), \mathbb{R}^2/Γ is a 2-mfld. By an easy euler characteristic argument, $\chi(\mathbb{R}^2/\Gamma) = 2$, so $\mathbb{R}^2/\Gamma = S^2$.

Now \mathbb{Z}_3 acts freely on S^3 , as mult'n by $e^{2\pi i/3}$, and S^3/\mathbb{Z}_3 is a lens space L^3 .

Since $\Gamma \xrightarrow{\text{epi}} \mathbb{Z}_3$, Γ acts on S^3 .

Γ acts freely on $\mathbb{R}^2 \times S^3$ (Cartan construction).

Factor out by this action to get $M = \mathbb{R}^2 \times_{\Gamma} S^3$;

$$\pi_1(M) = \Gamma.$$



We have fibrations

$$T^2 \rightarrow \mathbb{R}^2 \times_{\Gamma} S^3 \rightarrow S^3/\Gamma = L^3 \quad (\text{an actual fibre bundle})$$

and

$$\mathbb{R}^2 \times_{\Gamma} S^3 \xrightarrow{p} \mathbb{R}^2/\Gamma = S^2. \quad \text{This second fibration is not a bundle because there are 3 exceptional fibres: over these points the inverse image is } L^3; \text{ elsewhere it is } S^3.$$

Rigidity

Now Epstein-Shub & Bieberbach theorems do not require torsion-free, so we could use these to find an expanding endo, but we proceed differently (since we can't do this down explicitly). We will consider self-immersions of M which are

• fibre-preserving (for the fibration p), isometries along

the fibre and expanding in the base direction.

In fact, if $s \in \mathbb{Z}$, define

$$f_s: \mathbb{R}^2 \times S^3 \rightarrow \mathbb{R}^2 \times S^3 \text{ by}$$

$$f_s(x, a) = (sx, a).$$

Then f_s induces a map $f_s: M \rightarrow M$ with the desired properties.

(Rank: This f_s is not differentiable at the 3 exception points, but is expanding away from these points. (Here we mean induced map on S^2 .) See below.)

11/3/78: Now we wish to show that $W_h(\Gamma) = 0$, where $\Gamma = \mathbb{Z}^2 \rtimes_{\Gamma} \mathbb{Z}_3$, ~~so~~ by using the algebraic vanishing condition.

Rank: If $W_h(\Gamma) = 0$ then the same argument will show $W_h(\Gamma \times T) = 0$, so ~~so~~ $\tilde{\Gamma} \cdot \mathbb{Z}\Gamma \subset W_h(\Gamma \times T)$



to also zero.

Notation: $A = \mathbb{Z}^2$, $G = \Gamma/A = \mathbb{Z}_3$.

Γ is crystallographic, so by the Algebraic Lemma p. 84, one of 3 cases must occur.

Now it is easy to see that (1), (2) can't occur since (essentially) the G -action "mixes up" the \mathbb{Z}^2 -fact too much. Thus there is a sequence of positive numbers s , all $s \equiv 1 \pmod{|G|}$, s.t. if $H \subset \Gamma_s$ is hyperelem and H projects onto G in the extension $1 \rightarrow A_s \rightarrow \Gamma_s \rightarrow G$ then $H \cong G$.

Since $G = \mathbb{Z}_3$, the image of an arbitrary hyperelem subgroup of Γ_s in G is either 1 or G . Thus, if H is hyperelem then either $H \subset A_s$ or else H projects onto G (i.e. $H = G_s$).

We will (as before) apply the algebraic vanishing condition (p. 63), to $\Gamma \xrightarrow{\varphi} \Gamma_s \rightarrow 1$.

Let $x \in \text{Wh } \Gamma$. $x=0$ if $\sigma^* x = 0 \in \text{Wh } \Gamma_H$ & hyperelem $H \subset \Gamma_s$, where $\Gamma_H = \varphi^{-1}(H)$.

If $H \subset A_s$ then $\Gamma_H = \mathbb{Z}^2$; by BHS, $\text{Wh } \Gamma_H = 0$, so $\sigma^* x = 0$.

So we need analyze only $\sigma^* x \in \text{Wh } \Gamma_{A_s}$. Here the geometry (pp. 94-5) appears.

Recall $\Gamma \subset R_{\text{rigid}}(2)$; $\mathbb{R}^2/A = T^2$, & $\mathbb{R}^2/\Gamma = T^2/G$, & an easy arg. $\Rightarrow T^2/G = S^2$. G acts freely on S^3 by $x \mapsto \pi(x)$; $S^3/G = L^3$, lens space.

Γ acts on S^3 by $T \xrightarrow{\varphi} G$ so Γ acts on \mathbb{R}^3 .

This action is free and so $N = \mathbb{R}^3 \times_{\Gamma} S^3 = T^2 \times_G S^3$.



a 5-md with $\pi_1(M) = \Gamma$.

Let W^6 be an h-cobordism with base M , s.t.
 $\gamma(W, M) = x \in Wh(\Gamma)$.

Consider M the "bottom" of W . There are two deformations
retractions, h_t of W onto M , k_t of W onto its "top"
~~(if h_t is surjective we know nothing about the steps)~~

$\forall a \in W$, define curves $\alpha_a(t), \beta_a(t)$ by

$$\alpha_a(t) = h_t h_t(a), \quad \beta_a(t) = h_t k_t(a).$$

(We project $h_t(a)$ into M since we know nothing about
the "top" of W .)

Our old definition of an ϵ -h-cobordism & Ferry's γ
~~is not applicable to this situation~~ will not give
us our desired result, so we extend them.

Recall we have a fibration $p: T^2 \times_G S^3 \rightarrow S^2$ (top p. 95)

Def: W is an ϵ -h-cobordism if $|p\alpha_a| < \epsilon, |p\beta_a| < \epsilon$

$\forall a \in W$,

Unfortunately as p is not differentiable, this defn
doesn't quite ~~mean~~ what we want it to. In fact
since there are only finitely many singular points
we could ignore the problem, but for the sake of
generalizing later, we will reinterpret the defn as

Def': W is an ϵ -h-cobordism if each $p\alpha_a, p\beta_a$
lies in an ϵ -ball on S^2 (where we measure
 ϵ -balls w.r.t. an arbitrary fixed metric).



Thm. $\exists \varepsilon > 0$ s.t. each ε -h-cobordism with base

$M = T^2 \times_G S^3$ has zero Whitehead torsion.

Rmk: This theorem is not in general true (i.e.

for other crystallographic groups Γ for which we might mimic this construction). It depends on the fact that for the singular fibres L , $Wh(\pi, L) = Wh(\mathbb{Z}_3^\ast) = 0$.

Assuming the theorem for now, we continue with our example.

Our given W , of course, need not be an ε -h-cobor-

We consider $f_s : \mathbb{R}^2 \times_{\Gamma} S^3 \rightarrow \mathbb{R}^2 \times_{\Gamma} S^3$, smooth maps (in fact covering proj's) s.t. $(f_s)_*(\Gamma) = \Gamma_{G_s}$; namely

$$f_s(y, z) = (sy, z).$$

Let $w' \xrightarrow{F_s} w$ be the covering space corresponding to $\Gamma_{G_s} \subset \Gamma \cong \pi_1(w)$.

As on p. 81, w' has $T^2 \times_G S^3 = M$

as base space.

Lift the deformations h_t, \tilde{h}_t to \tilde{k}_t, \tilde{h}_t of w' onto its top & bottom (resp) to get curves $\tilde{\alpha}_a, \tilde{\beta}_a$ in $V \times w'$. It's easy to see that

$$f_s(\tilde{\alpha}_a) = \alpha_b, f_s(\tilde{\beta}_a) = \beta_b \text{ where } b = F_s(a).$$

As mentioned on p. 95, f_s is not expanding in the fibre direction, but the induced map f_s on S^2 is

$$\text{expanding: } T^2 \times_G S^3 \xrightarrow{f_s} T^2 \times_G S^3$$

{see next page!}

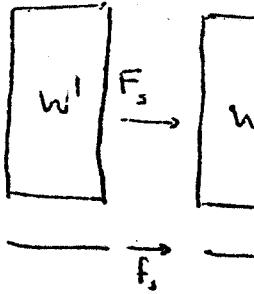
$$P \downarrow$$

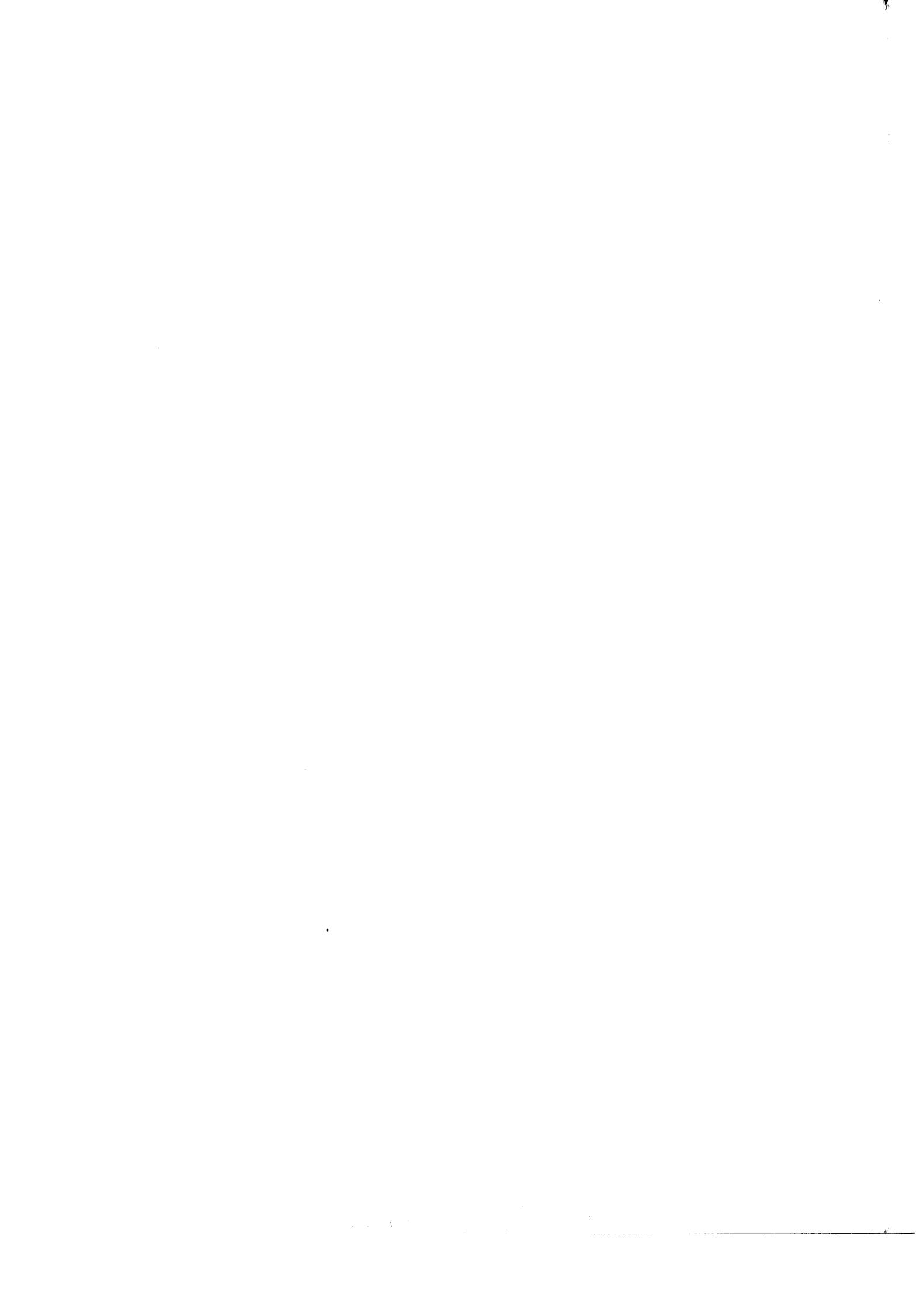
$$S^2$$

$$\hat{f}_s$$

$$\downarrow P$$

$$S^2$$





$$\hat{f}_s(p\tilde{\alpha}_a) = p\alpha_b, \hat{f}_s(p\tilde{\alpha}_a) = p\alpha_b, b = F_s(a).$$

\hat{f}_s is technically not an expanding endo (3 ba points) but it is expanding enough to insure that for sufficiently large s , W' is an ε -h-cobord. Thus by the Thm, top p. 96, W' is trivial.

$$\text{So } o = \gamma(W', M) = \sigma^* x \in W_h(\Gamma_{G_3}).$$

This proves the proposition. // Prop, p. 94.

It remains to prove the Theorem; here we only indicate a quick sketch:

If p were a fibration, and $W_h(\pi, (\text{fibre}))$ were nice (here the fibres would all be S^3 & $\pi_1(S^3) = 0$) then the theorem holds.

For singular ~~fibrations~~ fibrations, put little discs around the ^{sing.} fibres & apply the Thm to the nice fibration away from the singular fibres. Near the singular fibres we have 3 h-cobordisms. The base is a bundle over L^3 so $\pi_1(\text{base}) = \mathbb{Z}_3$, but $W_h(\mathbb{Z}_3) = 0$, so for purely algebraic reasons these small h-cobordisms are trivial. // Thm

We are now interested in the following
Thm: if $M^n \xrightarrow{\text{h.e.}} N^n$ where N is flat then $M \cong N$

for $n \neq 3, 4$.

We first need a new

Algebraic Lemma: Let Γ be a crystallographic group, with short exact sequence $1 \rightarrow A \rightarrow \Gamma \rightarrow G \rightarrow 1$



Then either

- (1) $\Gamma = \Gamma' \rtimes T$
- (2) \exists epimorphism $\Gamma \rightarrow \bar{\Gamma}$ where $\bar{\Gamma}$ is a non-crystallographic group which satisfies condition (3) the previous algebraic lemma, p. 84, or
- (3) G is an elementary abelian 2-group (i.e., the direct sum of \mathbb{Z}_2 's) and either
 - (a) $\Gamma = A \rtimes T_2$ with holonomy representation given by multiplication by -1 , or
 - (b) Γ maps epimorphically onto a crystallographic group $\bar{\Gamma}$ where in the extension

$$1 \rightarrow \bar{A} \longrightarrow \bar{\Gamma} \longrightarrow \bar{G} \rightarrow 1,$$

$\bar{A} \cong \mathbb{Z} \oplus \mathbb{Z}$, $\bar{G} = \mathbb{Z}_2 \oplus \mathbb{Z}_2$ and the holonomy representation is either

$$\left\{ \begin{pmatrix} \pm 1 & 0 \\ 0 & \pm 1 \end{pmatrix} \right\} \text{ or } \left\{ \pm I, \pm \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \right\}.$$

11/10/78:

Rmk: we need this lemma because we wish to do surgery, & can't handle the case $B_D^* C$. We consider the ideas behind the thm, p. 99, more carefully.

Recall the abelian group $J(M) = \{ \text{equivalence classes of h.e.'s } f: N \rightarrow M \text{ with } f_{\partial N}: \partial N \rightarrow \partial M \text{ a homeo} \}$, where the equiv reln is: $(f: N \rightarrow M) \sim (g: S \rightarrow M)$ iff \exists homeo $F: N \xrightarrow{\sim} S$ st. $\begin{array}{ccc} N & \xrightarrow{f} & M \\ \downarrow F & \searrow g & \\ S & & \end{array}$ homotopy commutes, and

$\begin{array}{ccc} \partial N & \xrightarrow{f_{\partial N}} & \partial M \\ \downarrow F_{\partial N} & \nearrow g_{\partial S} & \\ \partial S & & \end{array}$ commutes exactly. The zero element of $J(M) \leftrightarrow [1_M]$. (cf. pp 30 ff).

Thm (Farrell-Hsiang): M^n flat, $n \neq 3, 4$, and $\text{holonomy of } M^n$ odd $|J(J(M \times D^m))| = 1 \quad \forall m$.



Rank: $n \neq 3, 4$ important only because we want $n+m > 4$.

Cor: $N^n \cong M^n$, $n \neq 3, 4$, M flat $\Rightarrow N \cong M$ (bijective)

(\Leftarrow) Let $m = 0$. Cor.

The following conjecture is being studied by a student of Hsi. It would enable us to get rid of the bijectivity condition. It is an analogue of the Algebraic Vanishing Criterion (Frobenius Induction).

Conj: Let $\Gamma = \pi_1(N^n)$, $n > 4$, & let $\Phi: \Gamma \rightarrow F$ be an epimorphism where F is a finite group. Let $[f] \in \mathcal{S}(M)$. If $\sigma^*[f] = 0$ for all $\sigma: P_s \hookrightarrow \Gamma$, where S ranges over the hyperfine subgroups of Γ , then $[f] = 0$.

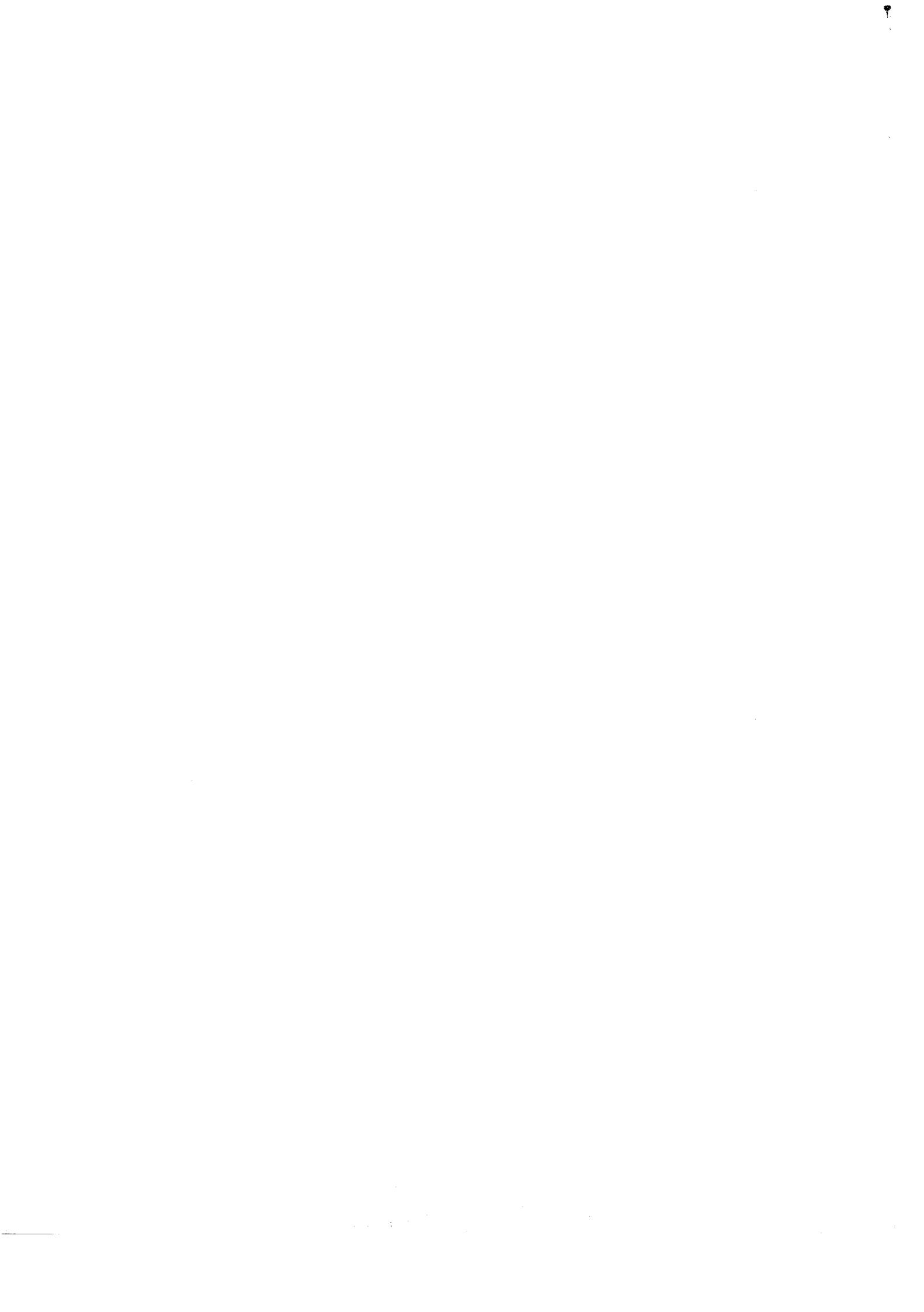
Here $\sigma^*[f]$ is defined as follows. $P_s \subset \Gamma$ is a subgroup of finite index, so there is a compact covering space \tilde{N} corresponding to P_s . Let $\tilde{f}^* = f^*|_{P_s}$ in the diagram. Then $\tilde{f}: \tilde{N} \rightarrow M_s$ is a homotopy equivalence, and $\sigma^*[f] := [\tilde{f}] \in \mathcal{S}(M_s)$.

Using the conjecture we prove the theorem on the bottom of p. 1 (Farrell-Hsiang): Induction on n and on $|G|$, $G =$ bisection

$|G|$ odd \Rightarrow (2) of the "old" algebraic Lemma (p. 84) doesn't occur. If (3) holds, use the induction above together with an analogue of Ferry's result (or a result Ferry-Chapman). If (1) holds, use an analogue of DH5.

In fact if $\Gamma = P' \rtimes T$ then $\exists \text{ fibration } (M')^{n-1} \rightarrow M^n \rightarrow S$

Farrell-Hsiang have proved earlier that then there is an exact seq. $\mathcal{S}(M' \times D^{n-1}) \rightarrow \mathcal{S}(M \times D^n) \rightarrow \mathcal{S}(M' \times D^n)$ in any induction step path, $\mathcal{S}(M' \times D^n) = 0$ $\forall n$. Farrell-Hsiang



of course Fanell-Hsiang were able to prove their theorem without using the conjecture, but they had to use the full machinery of surgery theory.

Suppose $|k_1|$ is even. Then there is a Seifert fibering $M \rightarrow [0,1]$ which is a fibration (= bundle in Fanell's terminology) over $(0,1)$ and has exceptional fibres over $\{0,1\}$. (The fibre over $\{0,1\}$ is N/\mathbb{Z}_2 -action, where $N = \text{fibre over } (0,1)$).

We have $M = B \cup E$ where $D = N$, $B = \text{inv. image of } [0, \frac{1}{2}]$, $E = \text{inv. image of } (\frac{1}{2}, 1)$.

Then $\pi_1 M = P = B \underset{D}{\ast} C$ where $B = \pi_1(B)$, $C = \pi_1(E)$, $D = \pi_1(D)$

Suppose $N \xrightarrow{f} M$ is a h.e. We want to model the decomposition of M , as above, in N (which we can certainly do if $N \cong M$). We start by finding an analogue to the codimension subbundle D . The obstruction group to doing this is the unitary nilpotent group $UNIL(D; B, C)$, & it is very hard to analyse.

The purpose of the ^{more} general (new) algebraic lemma is to avoid such problems (pp. ??)

Pf. of Alg. Lemma: Suppose (1) doesn't hold. Then either (2) or (3) of the previous algebraic lemma (p 84) must hold. If (2) holds then let $\hat{P} = P$; the new (1) holds.

Suppose old (2) holds. $P = B \underset{D}{\ast} C$, $[B : D] = 2 = [C : D]$



then there is a map $\Gamma \rightarrow \mathbb{Z}_2 * \mathbb{Z}_2 \rightarrow 1$.

Claim A: \exists an infinite cyclic subgroup $S \subset A$ s.t. S is invariant under the action of G (so $S \triangleleft \Gamma$), and A/S has no torsion.

(*) Take the proof on pp 89-90 and run it backwards //A

Let $\Gamma' = \Gamma/S$. Γ' needn't be crystallographic, but it is an extension of an abelian group by a finite group ($\mathbb{1} \rightarrow A/S \rightarrow \Gamma' \rightarrow G \rightarrow 1$). As shown on pp. 49-50, however there is a map $\Gamma' \xrightarrow{\alpha} \text{Rigid}(n)$ with finite kernel; define $\Gamma_1 := \text{im } \alpha$. Thus Γ maps onto the crystallographic group Γ_1 , and $\text{rank } \Gamma_1 = \text{rank } \Gamma - 1$.

Continue the process. $\Gamma_1 \not\cong \hat{\Gamma} \rtimes T$ or else $\Gamma \cong \hat{\Gamma} \rtimes T$. If Γ_1 satisfies old (3) then Γ satisfies new (2) (with $\hat{\Gamma} = \Gamma_1$); so again assume there is an epi $\Gamma_1 \rightarrow \mathbb{Z}_2 * \mathbb{Z}_2$ and continue as above.

If at any point we reach a ~~sequence of~~ ~~group~~ ~~subgroups~~ ~~of~~ Γ_i satisfying old (3), we're done; otherwise we get a sequence of epimorphisms $\Gamma = \Gamma_0 \xrightarrow{\alpha_1} \Gamma_1 \xrightarrow{\alpha_2} \Gamma_2 \xrightarrow{\alpha_3} \dots \xrightarrow{\alpha_n} \Gamma_n \rightarrow 1$.

Let $q_i = \alpha_i \circ \alpha_{i-1} \circ \dots \circ \alpha_1 : \Gamma \rightarrow \Gamma_i$.

Let $K_i = \ker q_i$. This defines a seq. of subgroups $1 \subset K_1 \subset K_2 \subset \dots \subset K_n = \Gamma$, and each $K_i \triangleleft \Gamma$.

Then $1 \subset A_1 \subset A_2 \subset \dots \subset A_n = A$ is a filtration of A where $A_i = K_i \cap A$, with $A_i \triangleleft \Gamma$.

A_{n+1}/A_n may have torsion, but we know it must be of rank 1.



Let $\mathcal{C}_i = \{x \in A : s x \in A_i \text{ for some } s > 0\}$.

Then we get a filtration

$$0 \subset A_1 \subset A_2 \subset \dots \subset A_n = A,$$

where each $A_i \trianglelefteq \Gamma$ and A_{n-i}/A_i is infinite cyclic.
Choose a basis (e_1, \dots, e_i) of A st. $\{e_1, \dots, e_i\}$ spans A_i .
Each $g \in G$ acts on A , and so determines a matrix
 M wrt the basis (e_1, \dots, e_n) ; $A_i \trianglelefteq \Gamma \Rightarrow M$ is
upper triangular.

$M \in GL(n, \mathbb{Z}) \Rightarrow \det M = \pm 1$, so $\prod m_{ii} = \pm 1$, so
each m_{ii} (diagonal entries) $= \pm 1$. Thus all the
diagonal entries of M^2 are all $+1$. But M^2
has finite order; it is an easy exercise to show
that these conditions $\Rightarrow M^2 = I$.

But G acts faithfully on A , so $g^2 = 1$. Thus every
element of G has order 2 , i.e. G is an
elementary abelian 2-group.

11/13/78: Now we must show that there is an epi $\Gamma \rightarrow \bar{\Gamma} \rightarrow$

where $1 \rightarrow \mathbb{Z} \times \mathbb{Z} \rightarrow \bar{\Gamma} \rightarrow \mathbb{Z}_2 \times \mathbb{Z}_2 \rightarrow 1$, or else $\Gamma = A \rtimes_{(\cdot)} T_2$.

Consider again the sequence

$$\Gamma = \Gamma_0 \xrightarrow{\alpha_0} \Gamma_1 \xrightarrow{\alpha_1} \Gamma_2 \xrightarrow{\alpha_2} \dots \xrightarrow{\alpha_n} \Gamma_n = 1.$$

Since α_i takes max. abelian supp to max abelian
supp, the induced map in Liebomony sends the
Liebomony of Γ_i onto the Liebomony of Γ_{i+1} .
Therefore $\Gamma_{n-1} \cong \mathbb{Z} \times \mathbb{Z}_2$; for there are only two
 n -dimensional crystallographic groups, i.e. if $\Gamma_{n-1} = \mathbb{Z}$
then $\Gamma \cong \Gamma' \rtimes \mathbb{Z}_2$, so Γ_{n-1} must be $\mathbb{Z} \times \mathbb{Z}_2$.



Subcase (a): If $\text{ker } r_i = \mathbb{Z}_2$, then $\Gamma = A \rtimes_{\gamma} \mathbb{Z}_2$.

(\because) $G = \text{ker } r_i = \mathbb{Z}_2$. Consider the holonomy representation of T_2 on A .

$A = \oplus$ indecomposables, each iso. to T, T^- or $\mathbb{Z}T_2$.

If T or $\mathbb{Z}T_2$ occurs then $A^G \neq 0$; therefore $\Gamma = \Gamma' \rtimes T$ (p. 88), which we've already ruled out, so $A = \oplus T^-$, and so the holonomy representation is $\times (-1)$.

Subcase (b): Suppose $\text{ker } r_{i+1} = \mathbb{Z}_2$ but $|\text{ker } r_i| > 2$.

Let B_i be maximal abelian in r_i and $G_i = \text{ker } r_i$.

If $\beta_i = \alpha|_{B_i}$ and r_i is the induced map on holonomy, we have the diagram $1 \rightarrow B_i \rightarrow \Gamma_i \rightarrow G_i \rightarrow 1$

$$\begin{array}{ccccccc} & & \downarrow \beta_i & \downarrow \alpha & \downarrow \tau_i \\ 1 & \rightarrow & B_{i+1} & \rightarrow & \Gamma_{i+1} & \rightarrow & T_2 \rightarrow 1 \\ & & \downarrow & & \downarrow & & \\ & & 1 & & 1 & & \end{array}$$

Let $K = \ker \beta_i$. K is infinite cyclic and a direct summand (as abelian groups) of B_i , & K is invariant under G_i . Pick a basis of B_i st. G_i acts as $\begin{pmatrix} \pm 1 & * \\ 0 & *\end{pmatrix}$. Let $\hat{G}_i = \ker \delta_i$. If $M \in \hat{G}_i$, the corresponding matrix is $M = \begin{pmatrix} \pm 1 & * \\ 0 & I \end{pmatrix}$.

Claim A: $\hat{G}_i = T_2$.

(\because) if $M = \begin{pmatrix} 1 & * \\ 0 & I \end{pmatrix}$ then $M = I$, since M is of finite order. If $M \neq I$, then $M = \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix}$. Suppose $M' \neq I$ also, $M' = \begin{pmatrix} -1 & b \\ c & I \end{pmatrix}$.



$$\text{Then } M(M')^{-1} = \begin{pmatrix} 1 & * \\ 0 & I \end{pmatrix} = I \Rightarrow M = M'.$$

Thus $\hat{G}_i = T_2 // \mathbb{Z}_2$

$$\text{In fact } \hat{G}_i = \left\{ I, \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix} \right\}.$$

$\therefore G_i = T_2 \oplus T_2$ (elem. 2-group of order 4).

$$\text{Now } I \in G_i \text{ and } \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix} \in G_i.$$

Claim B : $-I \in G_i$.

(\because) The two elements in G_i mapping to -1 in T_2 are of the form $\begin{pmatrix} -1 & * \\ 0 & -I \end{pmatrix}$ or $\begin{pmatrix} 1 & * \\ 0 & -I \end{pmatrix}$.

$$\text{Now } \begin{pmatrix} 1 & * \\ 0 & -I \end{pmatrix} \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix} = \begin{pmatrix} -1 & * \\ 0 & -I \end{pmatrix}, \text{ so } \exists \text{ element of}$$

$$\text{form } \begin{pmatrix} -1 & * \\ 0 & -I \end{pmatrix} \text{ in } G_i, \text{ mapping to } -1 \in T_2.$$

Again, since this map has finite order, it must be $-I$.

$$\text{so } -I \in G_i // \mathbb{Z}_2$$

$$\text{Thus } G_i = \left\{ \pm I, \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix}, \begin{pmatrix} 1 & -a \\ 0 & -I \end{pmatrix} \right\}.$$

Now we construct $\bar{\Gamma}$ to be a quotient of Γ_i (\because of Γ). We do this by finding $\bar{B}_i \subset B_i$, invariant under the action of G_i , s.t. $B_i/\bar{B}_i = \mathbb{Z} \oplus \mathbb{Z}$, and we will set $\bar{\Gamma} = \Gamma_i/\bar{B}_i$.

This is done as follows:

$\pm I$ ~~leave~~ any subspace invariant

Consider then the subgroup $S = \{I, \begin{pmatrix} -1 & a \\ 0 & I \end{pmatrix}\} \subset G_i$; if a subgroup $\bar{B}_i \subset B_i$ is S -invariant then it is G_i -invariant.



B_i is an $S \cong T_2$ -module, so B_i is a direct sum of T 's, T^- 's and $\mathbb{Z}(T_2)$'s.

If a $\mathbb{Z}T_2$ occurs, let $\bar{B}_i = \oplus$ all other factors, so $B_i/\bar{B}_i = \mathbb{Z}T_2$ (if there is more than one $\mathbb{Z}T_2$ -factor all but one go into \bar{B}_i). If $\mathbb{Z}T_2$ doesn't occur, we can't have $B_i = \oplus T^-$, for in this case S would act by -1 , but we see that the nontrivial elt of S has $+1$ -entries as well. So there is at least one T and at least one T^- . Let $\bar{B}_i = \oplus$ everything else.

We can see that we get one of the 2 desired representations on $\mathbb{Z} \oplus \mathbb{Z} = B_i/\bar{B}_i$. //Alg. lemma, pp. 99-100.

We leave this material for now and return to geometric groups (pp 79-80).

Ref: Connell-Hollingsworth, Trans. AMS 1969.

Recall the

Def: Let X be a "nice" compact metric space, e.g. a finite simplicial complex or compact manifold. Let $S = \{p_1, \dots, p_n\} \subset X$. Let $G(S)$ be the free abelian group with these points as basis; $G(S)$ is the geometric group on S .

Rmk: We could also construct the free R -module with basis S .

Def: Let $f: G(S) \xrightarrow{\sim} G(S)$ be an automorphism.

Denote by $B_\varepsilon(p_t)$ the ε -ball about p_t .

f is an ε -automorphism if $\forall t=1, \dots, n$,

$f(p_t) \in G(S \cap B_\varepsilon(p_t))$ and $f^{-1}(p_t) \in G(S \cap B_\varepsilon(p_t))$

We will define a map, for small enough ε , from $\{\varepsilon\text{-autos. over all geo. groups}\} \xrightarrow{\varphi} \text{Wh}(\pi_1 X)$. It turns out that for ε small enough, $\varphi = 0$ (even though n can increase w/o bound).

First we construct φ .

Def: Let f be an ~~auto~~ automorphism of $G(S)$. The carrier of $f(p_t)$, denoted $\text{car } f(p_t)$ or C_t , is $\{p_i \in S : \text{if we write } f(p_t) = \sum_{j=1}^n a_j p_j, a_i \neq 0\} \subset S$.

If f is an ε -auto, $C_t \subset S \cap B_\varepsilon(p_t)$.

We would like to define φ for weak ε -autos., i.e. autos. s.t. $C_t \subset$ some ε -disc $\forall t$ (but not necessarily $B_\varepsilon(p_t)$). Unfortunately the properties of such maps aren't quite nice enough. Instead, we make the following

Def: Let $S = \{p_1, \dots, p_n\}$. An ε -basis for $G(S)$ is

basis $\{x_1, \dots, x_n\}$ s.t.

(1) if $x_j = \sum_{i=1}^n a_{ij} p_i$ and $\text{car}(x_j) = \{p_i : a_{ij} \neq 0\}$

then $\text{diam } \text{car}(x_j) < \varepsilon$, and

(2) if $p_j = \sum_{i=1}^n A_{ij} x_i$ and $A_{ij} \neq 0$ then $\text{car}(x_i) \subset B_\varepsilon(p_j)$. ((2) is like an "inverse" to (1)).



Given an ε -basis $\{x_1, \dots, x_n\}$, define

$$T: G(S) \xrightarrow{\sim} G(S) \text{ by}$$

$$T(p_i) = x_i.$$

T is not an ε -auto, but is more than just a weak ε -auto. (Note (1) $\Rightarrow T$ is an ε -auto.)

Lemma 1: (a) If T is an ε -automorphism of $G(S)$, $S = \{p_1, \dots, p_n\}$, then $\{Tp_i : i=1, \dots, n\}$ is a 2ε -basis for $G(S)$.

(b) If $\{x_1, \dots, x_n\}$ is an ε -basis for $G(S)$, then $\exists \sigma \in \Sigma_n$ (symmetric group) s.t. the map $T: G(S) \rightarrow G(S)$ defined by $Tp_i = x_{\sigma(i)}$ is an ε -automorphism.

P.r.f.: (a) $\text{Can}(Tp_i) \subset B_\varepsilon(p_i)$, so $\text{diam } \text{Can } T(p_i) <$

For the second condition, suppose $p_j = \sum A_{ij} Tp_i$

so $T^{-1}p_j = \sum A_{ij} p_i$. By def'n of ε -auto, $\text{Can}(T^{-1}p_j) \subset B_\varepsilon(p_j)$, so if $A_{ij} \neq 0$, $p_i \in B_\varepsilon(p_j)$.

Now $\text{Can}(Tp_i) \subset B_\varepsilon(p_i) \subset B_{2\varepsilon}(p_j)$.

(b) Write $x_j = \sum a_{ij} p_i$. The matrix $a = (a_{ij}) \in GL_n(\mathbb{Z})$, so $\det a \neq 0$.

We claim that \exists a permutation of the columns of a changing a to a new matrix b , with $b_{ii} \neq 0 \quad \forall i$.

(\because) $a = \begin{bmatrix} a_{11} & \cdots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{nn} & \cdots & a_{nn} \end{bmatrix}$, $\det a \neq 0$. Expand along the first row; some ~~entry~~ entry $a_{1j} \neq 0$, with

corresponding minor $\det A_{ij} \neq 0$.

Permute the j^{th} column to the first place to get a matrix

$$a' = \left[\begin{array}{c|c} a_{ij} & * \\ \hline * & A_{ij} \end{array} \right].$$

$\det A_{ij} \neq 0$ so continue by induction. This proves the claim.

Assume now for ease of notation that $a_{ii} \neq 0$ & we show that the map $T: p_i \mapsto x_i$ is an ε -auto. $a_{ii} \neq 0 \Rightarrow p_i \in \text{Can}(x_i)$.

But $\text{diam } \text{Can}(x_i) < \varepsilon \Rightarrow \text{Can}(x_i) \subset B_\varepsilon(p_i)$.

Finally we must show $\text{Can}(T^{-1}p_j) \subset B_\varepsilon(p_j)$.

Write $p_j = \sum A_{ij}x_i$, so $T^{-1}p_j = \sum A_{ij}T^{-1}x_i = \sum A_{ij}p_i$.

Suppose $p_i \in \text{Can}(T^{-1}p_j)$; then $A_{ij} \neq 0$, and so

$\text{Can}(x_i) \subset B_\varepsilon(p_j)$. But $p_i \in \text{Can}(x_i)$ since $a_{ii} \neq 0$.

Thus $\text{Can}(T^{-1}p_j) \subset B_\varepsilon(p_j)$. Lemma 1.

Lemma 2: $\exists \varepsilon > 0$ depending only on X s.t. there is a map $\{\varepsilon\text{-auto morphisms of geometric groups over } X\}$ with π, X .

Denote the image of f by \hat{f} , and choose ε small enough that if f and \hat{g} are defined, so is \hat{fg} (\hat{fg} is a 2ε -auto morphism). Then $\hat{fg} = \hat{f}\hat{g}$. (Or, additively, $\hat{f} + \hat{g}$.)

Rank: Lemmata 1 & 2 give a map $\{\varepsilon\text{-bases}\} \rightarrow \text{Wt}_n X$ (Two permutations have image differing by a



permutation matrix.

Pf: Pick a basepoint $*$ in X . Let $f: G(S) \xrightarrow{\sim} G(S)$ be an ε -auto. Choose paths P_1, \dots, P_n from $*$ to p_1, \dots, p_n resp. Let $C_i = \text{Can}(fp_i)$ and let Q_1, \dots, Q_n be paths from $*$ to C_{i+1}, \dots, C_n resp.
 (Note that we could choose $Q_i = P_i$ if we wish.)

Define a matrix $(a_{ij}) \in GL_n(\mathbb{Z})$ by $fp_j = \sum a_{ij} P_i$

Define a matrix $\hat{f} \in \overline{GL}(\mathbb{Z}\pi, X)$ by $\hat{f}_{ij} = a_{ij} P_i^{-1} Q_j$

Here if $a_{ij} = 0$, $\hat{f}_{ij} = 0$; if $a_{ij} \neq 0$ then $p_i \in C_j \subset B_\varepsilon(p_j)$. Join the endpoint of Q_j (which is in C_j) to p_i by a small path; then $P_i^{-1} Q_j$ is a path based at $*$, whose homotopy class depends only on P_i, Q_j for small enough ε .

We must show $\hat{f} \in GL(\mathbb{Z}\pi, X)$. To do this, we will show $\hat{g}\hat{f} = \hat{g}\hat{f}$. ($g, f: \mathbb{E}_\varepsilon$ -autos).

Let $C_i = \text{Can}(fp_i)$, $\bar{C}_i = \text{Can}(gp_i)$, $\bar{\bar{C}}_i = \text{Can}(gfP_i)$.

Now $C_i, \bar{C}_i, \bar{\bar{C}}_i$ are all in $B_\varepsilon(p_i)$, so we can use the same path p_i from $*$ to $C_i, \bar{C}_i, \bar{\bar{C}}_i$.

Write $fp_i = \sum a_{ij} P_i$, $gp_j = \sum b_{ij} P_i$, so

$gfP_i = \sum c_{ij} P_i$ where $c = b \cdot a$.

$$\begin{aligned} \text{Now } (\hat{g}\hat{f})_{ij} &= \sum_{k=1}^n b_{ik} P_i^{-1} P_k \cdot a_{kj} P_k^{-1} P_j \\ &= \sum_{k=1}^n b_{ik} a_{kj} P_i^{-1} P_j \end{aligned}$$



$$= C_{ij} P_i^{-1} P_j$$

$$= (\widehat{gf})_{ij}$$

Now in particular $\widehat{f}^{-1}\widehat{f} = I$ so \widehat{f} is invertible.

Finally we show the map $f \mapsto \widehat{f} \in \text{Wh}(\pi, X)$ is independent of choices.

Suppose we pick another path $*$ to p_i , say. This is equivalent (up to homotopy) to choosing $\alpha \in \pi_1(X)$ & letting the new path be $P_i \cdot \alpha$ (read right to left).

Now the new $\widehat{f}_{ij} = a_{ij} \alpha^{-1} P_i^{-1} Q_j$. Thus we've multiplied the whole first row by $\alpha^{-1} \in \pi_1(X)$. But in $\text{Wh}(\pi, X)$ we factor out by matrices corresponding to group elements, so $f \mapsto \widehat{f}$ is well-defined. Lemma

Rank: The $\pm \pi_1(X)$ in the definition of $\text{Wh}(\pi, X)$ comes in with the permutation changing an ε -basis to an ε -auto.

1/17/28: Def: Let $S = \{p_1, \dots, p_n\}$. f is a blocked ε -automorphism of $G(S)$ if \exists a partition $S = S_1 \cup S_2 \cup \dots \cup S_t$ s.t. $\text{diam } S_i < \varepsilon \quad \forall i$ & $f(G(S_i)) \subset G(S_i)$, where we think of $G(S_i) \subset \bigoplus_{i=1}^t G(S_i) = G(S)$ in the obvious way.

Lemma 3: If f is a blocked ε -automorphism, then $\widehat{f} = 0 \in \text{Wh}(\pi, X)$.



Prf: Draw a path P_i' to each $p_i \in S$ s.t. if $p_i, p_j \in S_k$, then $P_i = P_j$ (i.e. "essentially equal," differing only by a small path in an ε -ball). Pick $Q_i = P_i$ (as in lemma 2).

Now the matrix (\hat{f}_{ij}) is a blocked diagonal matrix, since $a = (a_{ij})$, defined by $f_{Pj} = \sum_{i=1}^n a_{ij} p_i$, is such a matrix, with each block corresponding to one of the S_j .

Now $\hat{f}_{ij} = a_{ij} P_i^{-1} P_j$; whenever

$a_{ij} \neq 0$, $P_i = P_j$, so $\hat{f}_{ij} = a_{ij} \cdot 1$

($i \in \pi, X$). $\therefore \hat{f} \in \text{im } K_1(\mathbb{Z}) \rightarrow K_1(\mathbb{Z}\pi, X)$.

± 1

But this ± 1 goes to $0 \in \text{Wh } \pi, X$; so $\hat{f} = 0$.

Conj (Connell-Hollingsworth): Given X and $\varepsilon > 0$, $\exists \delta > 0$ s.t. any δ -automorphism over X is the product of $n+1$ blocked ε -automorphisms, where $n = \dim X$.

This conjecture was finally proved by Quinn.

Assuming the conj. for now, we have its

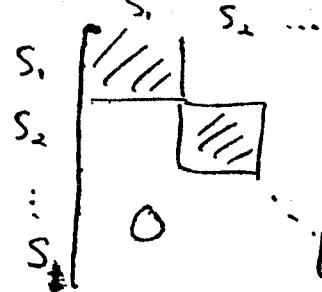
Cor: $\exists \varepsilon$, depending only on X , s.t. if f is an ε -automorphism then $f = 0$.

Bf: $\exists \varepsilon, \varepsilon > 0$ s.t. \hat{f} is defined for ε -auto.s.

Let $\varepsilon_2 = \frac{\varepsilon}{(n+1)}$. Now the composite of $n+1$

ε_2 -auto.s. is an ε -auto, & we have

$\hat{f}_1 \circ \dots \circ \hat{f}_{n+1} = \hat{f}_1 + \dots + \hat{f}_{n+1}$ for ε_2 -auto.s. f_i .





Now the conjecture $\Rightarrow \exists \varepsilon$ (the " δ " of the statement on previous page) s.t. any ε -auto is the product of $n+1$ $\overset{\text{blocked}}{\wedge}$ ε_2 -autos. If f is an ε -auto, write $f = f_1 \cdot \dots \cdot f_{n+1}$, where each f_i is ε_2 -blocked; then $\hat{f} = \hat{f}_1 + \dots + \hat{f}_{n+1} = 0$ $\in W_n X$.

Def: Let $X \subset K$ be a pair of finite CW complexes (or simplicial complexes). $K \xrightarrow{\varepsilon}$ ε -deformation retracts on X , written $K \overset{\varepsilon}{\rightarrow} X$, if there is a 1-parameter family of continuous maps $K \rightarrow K$, $0 \leq t \leq 1$, s.t. $h_0 = \text{id}_K$ and h_t is a retraction onto X , satisfying the following condition: $\forall p \in K$ define a path α_p in X by $\alpha_p(t) = h_t h_t(p)$; $\text{diam } \alpha_p \leq \varepsilon \quad \forall p \in K$. We say $\{h_t\}_{t \in I}$ is an ε -deformation retraction.

We use the Cor. to prove Ferry's Thm:

~~Then let $X \subset K$ be a pair of finite CW or simplicial complexes s.t. $K \overset{\varepsilon}{\rightarrow} X$.~~

Thm: Let X be a CW (or simplicial) complex. Then $\exists \varepsilon > 0$, depending only on X , s.t. if $K \overset{\varepsilon}{\rightarrow} X$ then $\gamma(K, X) = 0$.

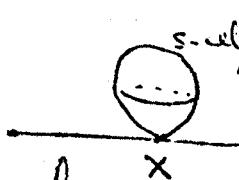
Lemma: X as above; $\forall \varepsilon > 0 \exists \delta > 0$, depending on n , ε and X , s.t. if $K \overset{\delta}{\rightarrow} X$ and $\dim K \leq n$ then $\exists L$ s.t. $L \overset{\delta}{\rightarrow} X$ and

$$(i) \gamma(K, X) = \gamma(L, X)$$

(ii) $L - X$ contains only cells of dimensions s and $s+1$.



Further, we can assume that the s -cells are attached to X at single points ("tinily attached") and that each cell of $L-X$ has diameter $< \epsilon/10$.



Rank: This lemma is (essentially) straight out of Whitehead's original paper on Whitehead torsion.

11/20/78:

Digression: Recall our original conjecture 1 (p. 2): If M, N are closed aspherical manifolds with $\pi_1 M \cong \pi_1 N$ then $M \cong N$.

One way of trying to get a counterex. is as follows.
Suppose $M = B \cup_{\partial} C$, codim $\partial = 1$, and $f: N \rightarrow M$ is a h.e. Cappell has considered the question of whether we can "model" the splitting of M in N ?

Let $B = \pi_1(B)$, $D = \pi_1(D)$, $C = \pi_1(C)$ and suppose $D \rightarrow B$, $D \rightarrow C$ are 1-1. If $[B:D] = [C:D] = 2$ then there is an obstruction group $UNil(D; C, B)$, the "unitary nilpotent group", to mimicing the splitting in N .

Ex: if $D = 1$, $B = C = T_2$, then $UNil(D; C, B) \neq 0$.

(*) Conj: If B, C, D are torsion free then $UNil(D; C, B) = 0$.

Suppose this is ^{not} true. Let $\pi_1 M = \Gamma$, M aspherical, $\Gamma = C * B$. If $UNil(D; C, B) \neq 0$ then we get an immediate counterex. to Conj 1.

~~Within Γ there is a copy of D in C and D is not contained in B~~

Note if $\Gamma = B * C$, ~~such that $D \subset C$ and~~ there is

an ep. $\rightarrow T_2 * T_2$; so one way to show $\text{UNil}(D; C, B) \neq 0$ is to show that the associated map $\text{UNil}(D; C, B) \xrightarrow{\Phi_*} \text{UNil}(T_2, T_2, T_2) \neq 0$ is onto, where B, C, D are torsion free.

This question comes down to the following:

$$\text{Let } T_2 * T_2 = \langle a, b : a^2 = b^2 = 1 \rangle.$$

~~If~~ If G is any group, define the map $\mathbb{Z}G \rightarrow \mathbb{Z}G$, written $x \mapsto \bar{x}$, by the anti-auto. $g \mapsto g^{-1}$ (i.e. $\sum n_g g \mapsto \sum n_{g^{-1}} g^{-1}$).

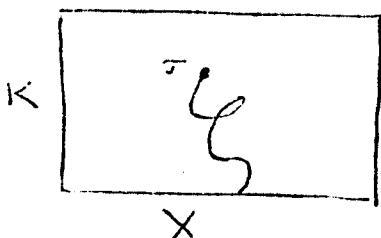
Qn: Are there $x \in \mathbb{Z}C$, $y \in \mathbb{Z}B$ s.t. $\Phi_x = a \in \mathbb{Z}T_2$, $\Phi_y = b \in \mathbb{Z}T_2$ (a, b the generators of the T_2 factors as above) s.t. $\begin{pmatrix} x - \bar{x} & -1 \\ 1 & y - \bar{y} \end{pmatrix}$ is invertible over \mathbb{Z} .

Ref: Cappell, Topology 1974

We now return to the lemma, pp. 114-115.

Prf sketch: We won't bother to keep ε 's & δ 's straight. We suppose $K-X$ has cells of dim'n $0, 1, 2, \dots, s+1$. We will trade each cell of dim i ($i < s$) for a cell of dim $i+2$.

Subdivide X & K first so that all cells have diam less than $\varepsilon/10$. Now we begin cell trading.



Let σ be a 0-cell in K ; we want to trade in σ for a 2-cell τ . [To keep ε 's & δ 's straight, we trade in all 0-cells at once (in one step), then all 1-cells, &c. Since $\dim K \leq n$ we can control the metric criteria this way.]



Consider the path $h_t(\sigma)$, which is not necessarily a cell.

Let γ be the ϵ -cell $e_0 \circ \overset{\epsilon}{\text{---}} e_1$, with $\partial \gamma = e_0 \cup e_1$.

Glue γ to K by $e_0 \xrightarrow{\alpha} h_t(\sigma)$ to get $\gamma \cup_\alpha K$. Form

$\gamma \cup_\alpha K / e_1$ by sending e_1 to a point.

Now $X \subset \gamma \cup_\alpha K / e_1$, im. of $\sigma \in X$,

$$\& \text{Wh}(\gamma \cup_\alpha K / e_1, X) = \text{Wh}(K, X).$$

[For $\text{Wh}(\gamma \cup_\alpha K, X) = \text{Wh}(K, X)$, and $\text{Wh}(X \cup e_1, X) = \text{Wh}(\gamma \cup_\alpha K, X \cup e_1) = \text{Wh}(K, X)$. Now if we collapse e_1 to a point, we haven't changed the difference cell structure (i.e. $\gamma \cup_\alpha K - X \cup e_1$).]

Of course we must do this without messing up the metric control — make sure the copy ext'n from & cellular approx. then work with ϵ -control.

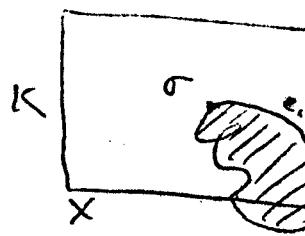
The ϵ -control cellular approx. then is used to insure that $h_t(\sigma) \subset 1\text{-skeleton}$, so $K \cup_\alpha \gamma / e_1$ is still a complex.

Now continue inductively. Lemma.

Proof (p 114). We have $L \leq X$, $\gamma(L, X) = \gamma(K, X)$.

Look at the defn of γ and consider the matrix defining this element of $\text{Wh}(L, X)$. Since the cells of L are so small, this element is f for some ϵ -ante. f , constructed as follows.

Let p_1, \dots, p_n be the points of X at which the ϵ -cells are attached; let $S = \{p_1, \dots, p_n\}$.





We get a geometric group $G(S)$ on X .

Write $L - X = \cup \gamma_i^s \cup \cup \gamma_j^{sri}$.

Each γ_j may be incident to several γ_i 's.

$\{\gamma_j\}$ is a basis for C_{s+1} (~~cell complex~~). Let

$a_j = \partial \gamma_j \in C_s$; the $\{a_j\}$ are basis elements of C_s . (cf. pp)

Identify C_s with $G(S)$ [by $\gamma_i \longleftrightarrow p_i$], so we have defined a new basis $\{a_j\}$ of $G(S)$. Since $\text{diam } \gamma_j < \epsilon/10$, we see that $a_j = \text{lin. comb. of } p_i$ which are close together (with $\frac{3\epsilon}{10}$, in fact). Write $p_j = \sum A_{ij} a_i$. If

$A_{ij} \neq 0$, consider the corresponding γ_i ; deform γ_i to X ; the cell can't move far (since the map is an ϵ -def. retraction). So $A_{ij} \neq 0 \Rightarrow a_i$ is close to p_j .

[I don't think I understand this argument] over

Thus the $\{a_j\}$ are an ϵ -basis of $G(S)$; so we get an ϵ -auto f (see Lemma 1, p. 109) whose associated whitened torsion elt. \hat{f} is precisely $\gamma(L, X)$.

But for small ϵ , $\hat{f} = 0$ (Cor of Connell-Hollingsworth, p. 103).

This proves Ferry's theorem. //

So we must verify the Connell-Hollingsworth conjecture, which we do by Quinn's methods. (See handout, from a seminar given by Quinn, Summer 1978)

We will verify C-H for n -cubes, which will suffice to prove it in general. For a simplicial complex X embeds in \mathbb{R}^{2n+2} ; the induced metric may

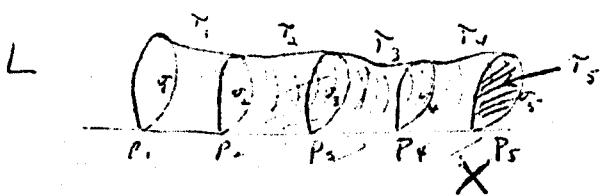


Basic idea (I think) ... Suppose

$$(*) \left\{ \begin{array}{l} a_1 = p_1 - p_2 \\ a_2 = p_2 - p_3 \\ a_3 = p_3 - p_4 \\ a_4 = p_4 - p_5 \\ a_5 = p_5 \end{array} \right\} \text{ so } p_i = \sum_{j=1}^5 a_j$$

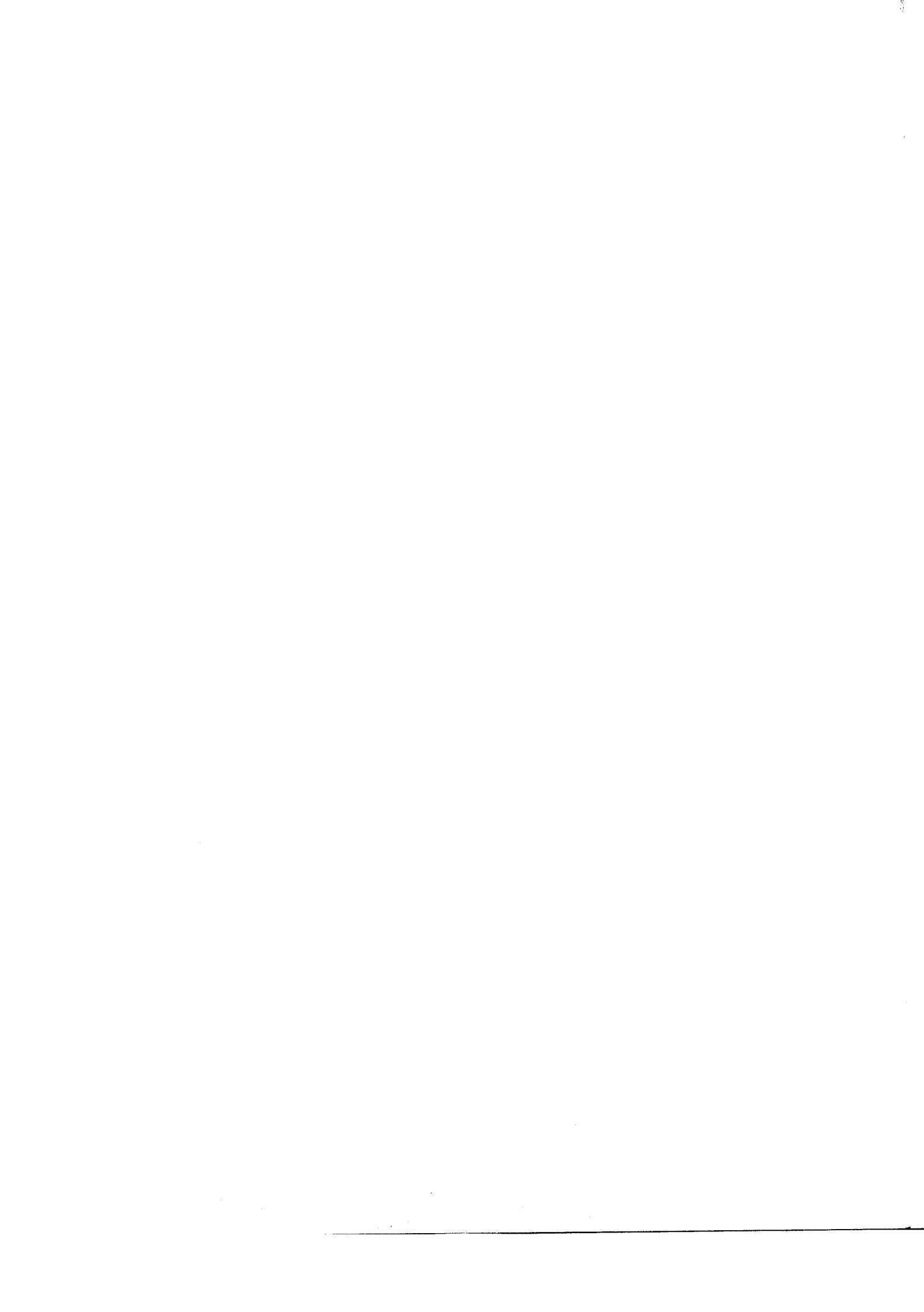
where $\delta(p_i, p_{i+1}) < \epsilon$ but $\delta(p_i, p_j) > \epsilon$ if $|i-j| > 1$.

This would give a picture as follows ($s=1$):



Now $L \rightarrow X$ but not $\overset{\text{by an}}{\epsilon}\text{-def}$ retraction,
since σ_i has to be pulled all the way to r_i to
be collapsed.

Thus (*) above can't happen.



be different from the original metric, but there is a "Lipschitz bound" between them (compactness). By shrinking the embedding, can assume $X \subset D^{2n+2}$. Now prove the statement " $V \in \mathcal{F} S$..." in D^{2n+2} , which will imply it for the original metric also.

11/22/78 (Notes taken from Chris Stark)

Quinn's work

Let $2^S =$ the power set of S .

If $f: G(S) \rightarrow G(S)$ is an auto., Quinn defines a map $\underline{f}: 2^S \rightarrow 2^S$ by

$$\{p_1, \dots, p_m\} \mapsto \bigcup_{j=1}^k \text{carf}(p_j).$$

Def: A homeomorphism $E: G(S) \rightarrow G(S)$ is an elementary automorphism if it is an automorphism which either

(i) sends each p_i to $\pm p_i$,

(ii) fixes all but one element of S , and sends that one point p_i to $p_i \pm p_j$ for some $p_j \in S$, $p_j \neq p_i$.

In matrix form, E is either $\begin{bmatrix} \pm 1 & & & \\ & \ddots & & \\ & & \pm 1 & \\ & & & 0 & \end{bmatrix}$ (diagonal in $GL_n(\mathbb{Z})$)

or  or $I \pm E_{kl}$ where E_{kl} is the non-zero matrix with 1 in the (kl) -position, zeros elsewhere.

Def: A homeomorphism $H: G(S) \rightarrow G(S)$ is a deformation if H is a sequence E_1, E_2, \dots, E_q of elementary automorphisms, q may be arbitrarily large.



Rmk: Because our interest is in sets of elem. aut. rather than simply individual auts., we could allow arbitrary elementary matrices $I + \alpha E_{ii}$ in the definition. At a later date, Farrel mentioned this more general def'n of elementary aut.; it doesn't matter which one we use.

Def: The diameter of the deformation H is

$$\max_{p \in S} \text{diam}(E_1(E_2(\dots(E_n(p))\dots))),$$

E_i defined as on p. 119.

Ex: If $S = \{p_0, \dots, p_n\}$, $E_i = E_{i-1, i}$ then

$$E_1(E_2(\dots(E_n(p_n))\dots)) = S$$

Def: An ε -deformation is a deformation $H = E_1, \dots, E_f$ s.t. $\text{diam } H < \varepsilon$ and $\text{diam } H^{-1} < \varepsilon$, where $H^{-1} = E_f, \dots,$

(Rmk: I think H^{-1} should be $E_f^{-1}, \dots, E_1^{-1}$)

Guinn proves the following modified form of the C-H conjecture. Note that the number of factors in the prod. is allowed to be unbounded.

Thm: Given $\varepsilon > 0$ and X , $\exists \delta > 0$ s.t. any δ -automorphism over X is "stably" an ε -deformation E_1, \dots, E_f ; i.e. \exists a family of groups G_i such that $H \otimes \text{id}: G(S) \otimes G_0 \xrightarrow{\sim} G(S) \otimes G_0$ is an ε -deformation.

Ideas: 1. C-H and Guinn give a point of X to appear several times in S . This seems unnecessary, since we could "stamp our foot" & knock the multiple points apart. (These ideas come up on pp. 137 ff.)



2. We are not out of the woods yet.

We would like

$$\varphi(E_1 \dots E_q) := [(\widehat{E_1} \dots \widehat{E_q})] \in \text{wt. } \pi_X$$

to be

$$\varphi(E_1) + \dots + \varphi(E_q).$$

This requires the restriction of the diameter of H' in the def'n of ε -deformation, & some care with the choice X in a disc I^n . We will prove Quinn's Thm on a disc, & it will follow readily for X .

Def: If $C \subset X$ and S is finite $\subset X$, then a map $f: G(S) \rightarrow G(S)$ is an ε -automorphism over C if there is a group isomorphism $g: G(S) \rightarrow G(S)$ s.t. $pfg = id$ and $pgf = id$, where

i. $G(S \cap C) \hookrightarrow G(S)$ is inclusion, } canonical w/
and $p: G(S) \twoheadrightarrow G(S \cap C)$ is projection;
i.e. fg and gf have matrices of the form

$$\begin{matrix} S \cap C & S - C \\ \begin{bmatrix} I & * \\ * & * \end{bmatrix} & \end{matrix} \quad [\text{and } f, g \text{ are } \varepsilon\text{-maps}]$$

Lemma: Given n and $\varepsilon > 0$, $\exists \delta > 0$ s.t. any ε -automorphism over $\frac{3}{4}D^n$ is stationary and after an ε -deformation blocked (over $\frac{3}{4}D^n$) w.r.t. $\frac{2}{3}D^n$ and $D^n - \frac{1}{3}D^n$.

In other words, let $f: G(S) \rightarrow G(S)$ be a ε -automorphism over $\frac{3}{4}D^n$. Then \exists a symmetric group $G_i = G(S')$ where $S' \subset \frac{2}{3}D^n$, and an ε -deformation $E_1, \dots, E_q =$ of $G(S) \oplus G_i$, s.t. $H(f \oplus id) = f_1 \oplus f_2$, where

$$G(S \cup S') = G(S) \oplus G(S'), S \subset \frac{2}{3}D^n, S' \subset D^n - \frac{1}{3}D^n,$$

$f_i: G(S_i) \rightarrow G(S_i)$ is an automorphism, and H is zero outside $\frac{2}{3}D^n$.



1/27/75:

We first show from the lemma \Rightarrow the theorem.

We do this only in the case $n=2$, which suffices to indicate the general procedure.

Remarks: The Γ in the theorem is §.4 in Grunin's notes; the lemma is §.6. Farrel refers to the lemma as the "Main Lemma".

Def: Lemma \Rightarrow Theorem ($n=2$).

Define a cell structure on \mathbb{R}^2 as follows:

The integral lattice points are vertices; the horiz. & vertical line segments of length 1 connecting the vertices are edges. Denote vertices by v , edges by e .

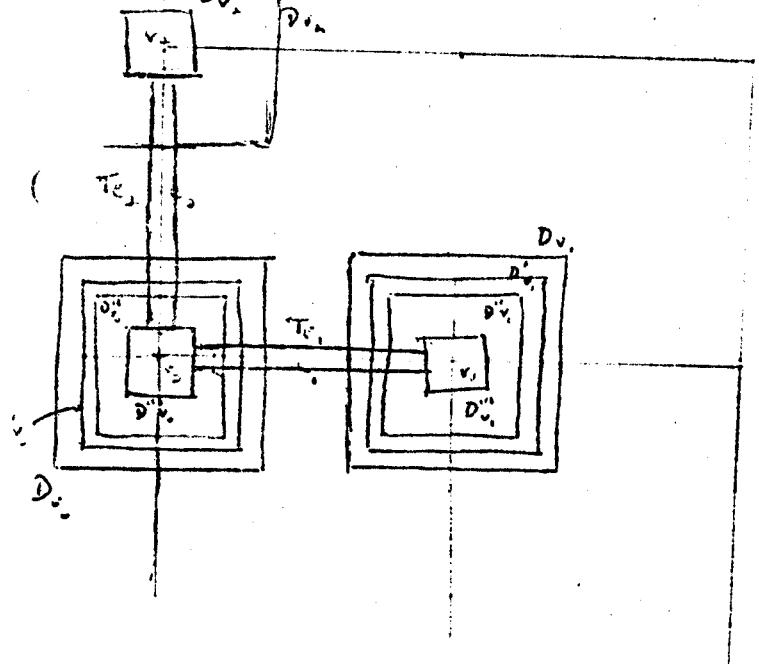
We now consider a "dual" cell structure as follows. At vertex v , let D_v be the square in \mathbb{R}^2 centered at v with sides of length $\frac{2}{3}$ (so if $D_v \cap D_{v'} = \emptyset$, in fact $d(D_v, D_{v'}) = \frac{1}{3}$). Let D'_v, D''_v, D'''_v be smaller squares centered at v with sides of length $\frac{3}{4} \cdot \frac{2}{3}, \frac{2}{3} \cdot \frac{2}{3}, \frac{1}{3} \cdot \frac{2}{3}$.

At edge e , let T_e be the rectangle with "core" e , connecting D'_v to D''_v where v_0, v_1 are the endpoints of e , and of width $w = \frac{1}{3}$ (width of D''_v). Again, associate smaller rectangles T'_e, T''_e, T'''_e in the same fashion, with widths $\frac{3}{4}w, \frac{2}{3}w, \frac{1}{3}w$.

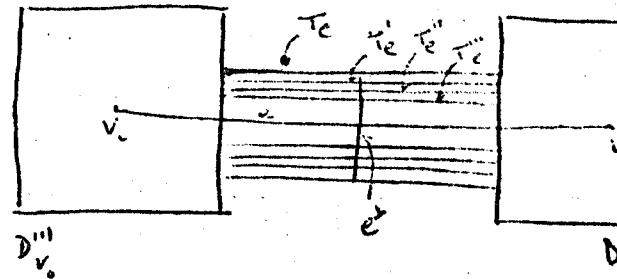
Let e^\perp be the perpendicular segment to e , at the midpoint of e , of length w .

Each component of $\cup D'''_v \cup \cup T'''_e$ is a region C , shaped like





close up



$$\text{side of } D_v''' : \frac{2}{9}$$

$$\text{width of } T_e : \frac{2}{27}$$

$$\text{width of } T_e''' : \frac{2}{81}$$

$$\text{length of } T_e : \frac{25}{27}$$

Clearly all the D_v 's, T_e 's etc. are identical up to rigid motion.

We're given $n(=2)$ and $\epsilon > 0$; we want to find a δ .

Let D_s be the square in \mathbb{R}^2 with vertices $(0,0), (s,0), (0,s), (s,s)$, $s \in \mathbb{Z}_+$.

Pick s large enough that $s\epsilon > 1$. It suffices to find $\delta > 0$ s.t. any δ -auth. f (based on D_s) is stably a 1-deformation. (In fact, we get f to be a 3-def., which is clear.) (Alternatively, we could have worked with $\tilde{\chi}_1 = I^2$ by shrinking our cell structure small enough that the distance between vertices is δ .)

Naïve start: We're given f ; we try to show $f = f_1 \circ f_2 \circ f_3$

where each $f_i : G(S_i) \xrightarrow{\sim} G(S_i)$, ~~where~~ $S = S_1 \cup S_2 \cup S_3$,

with $S_1 \subset \bigcup_{v \in D_v} D_v'''$, $S_2 \subset \bigcup_{e \in E} T_e'''$, $S_3 \subset \bigcup_{c \in C} C =$

complement of $(\bigcup_{v \in D_v} D_v'') \cup (\bigcup_{e \in E} T_e'')$.

Suppose we can do this.



f_1 is supported on a union of small squares which are far apart, so if ε is small enough ($\frac{1}{100}$, e.g.) no basis element can be moved from 1 square to another; therefore each square is left invariant, i.e. $f_{1,\varepsilon} = \text{flg}(S, \cap D_\varepsilon)$ leaves $G(S, \cap D_\varepsilon)$ invariant.

But $\text{W}_1(1) = 0$; so $\hat{f}_{1,\varepsilon} = \prod$ elementary matrices, i.e. $f_{1,\varepsilon}$ is a deformation of size $< \text{diam } D_\varepsilon = \frac{4\sqrt{2}}{9} < 1$.

Similarly for $f_{2,\varepsilon} = \text{flg}(S_2, \cap D_\varepsilon)$, $f_{3,\varepsilon}$. Then f is a deformation of size < 1 .

Unfortunately, the naive proof doesn't quite work, we can't get $f = f_1 \oplus f_2 \oplus f_3$. Instead, we try to find a 1-deformation H s.t.

$$(k) \quad Hf = f_1 \oplus f_2 \oplus f_3,$$

where again each f_i is a 1-deformation; ~~is~~ Non. f will be a 2-deformation (which is good enough; just choose s s.t. $se > 2$).

Unfortunately (k) also doesn't quite hold; we must stabilise to get

$$(a) \quad H(f \oplus \text{id}) = f_1 \oplus f_2 \oplus f_3.$$

Again, this is enough to prove the thm.

We must therefore prove (a). For convenience's sake, however, we will assume the main lemma holds without stabilisation, and use it to show (k) instead. In fact, we will show

$$(c) \quad H_2 f = f_1 \oplus f_2 \oplus f_3 \quad \text{where}$$



such H_i , ~~α_i~~ is a 1-deformation and the f_j are as above, therefore also 1-deformations; so $f \circ (\text{stabil}, \text{but this is suppressed})$ a 3-deformation.

Let $i: G(S \cap D_v) \hookrightarrow G(S)$ be inclusion,
 $p: G(S) \xrightarrow{\quad} G(S \cap D_v)$ be projection.

Given $\epsilon_1 > 0$, then $\exists \delta$ small enough that $\forall v \in D$,
 we can make
 pf_i is a δ -auto (based on D_v) over D_v .
Note δ must be small enough that $f(G(S \cap D_v)) \subset G(S \cap D_v)$.

[In fact if δ_1 is small enough, can let $\delta = \delta_1$]

Now, given $\epsilon_1 > 0$, the lemma $\Rightarrow \exists \delta_1 > 0$ (which will be the δ , above) s.t. if pf_i is a δ_1 -auto over D_v
then \exists deformation H_v s.t. $H_v \text{pf}_i = f_{v,1} \oplus f_{v,2}$ where

$$S \cap D_v = S_{v,1} \cup S_{v,2}, \quad S_{v,1} \subset D_v'', \quad S_{v,2} \subset D_v - D_v'''.$$

$S \cap D_v = S_{v,1} \cup S_{v,2}$, $S_{v,1} \subset D_v''$, $S_{v,2} \subset D_v - D_v'''$.

Let $H_i = \prod_{v \in D} H_v$ Since all the D_v 's are disjoint,

Let H_i is a 1-deformation (in fact an ϵ_1 -deformation).

$H_i \cdot f$ is a 1-auto over S since $S = S_1 \cup S_2$, $S_1 = US_{v,1}$, $S_2 = S - S_{v,1}$.

$H_i \cdot f = f_1 \oplus f_2$ where $S = S_1 \cup S_2$, $S_1 = US_{v,1}$, $S_2 = S - S_{v,1}$.

$S_2 \subset D_v''$ as desired, but S_2 is not as nice as $S_1 \subset D_v''$.

and; all we know is that $S_2 \subset D_v - \cup D_v'''$.

11/29/73.

(Recall: Let $A \subset X$, $S_A \subset X$. A map $f: G(S) \rightarrow G(S)$ is a δ -auto over A if $\exists g: G(S) \rightarrow G(S)$ [like an inverse] s.t. $\text{pf}_i g_i = \text{id}_{G(S \cap A)}$, $\text{pg}_i f_i = \text{id}_{G(S \cap A)}$, and both f and g are δ -maps, i.e. $\text{conf}(p_i) \subset B\delta(p_i)$, $\text{conf}(q_i) \subset B\delta(q_i)$ vi.)



Return to the proof:

H. on ε -deformation, if f is δ -auto $\Rightarrow f_2$ is a $(\delta + \varepsilon_1)$ -

A edge $e \subset T_e$, we again consider

$$G(S_e \cap T_e) \xleftarrow{P} G(S_e).$$

Given δ, ε_1 are sufficiently small, then each Pf_i is a δ_2 -auto of T_e over T_e' .

Now pick $\varepsilon_2 = \frac{1}{400}$, say; by lemma 2 $\exists \delta_2$ s.t. any δ_2 -map is ε_2 -deformable to a blocked auto.

(Thus we first pick $\varepsilon_2 = \frac{1}{400}$; this gives δ_2 , which gives bound on ε_1 and δ ; ε_1 gives a bound on ε , which gives another bound on δ ; this determines δ).

Now we can't apply the main lemma to our collection

$(T_e, T_e', T_e'', T_e''')$. Instead, we will project T_e onto T_e' . Of course all distances will be reduced.

More precisely, let $q: T_e \rightarrow e^\perp$ be orthogonal projection. Let $S_e = q(S \cap T_e)$. [If $P_1 \neq P_2$ we will consider qP_1, qP_2 as distinct points.] Now apply the main lemma to $G(S_e)$ with $\varepsilon_2 = \frac{1}{400}$ to get our δ_2 , mentioned above.

Now pull the deformation given by the lemma back off of T_e . The result is still a deformation, but we lose metric control in the direction parallel to e .

A priori, this could result in problems if $n > 2$, since we need to build up at each stage. However it turns out that we keep just enough control in the right direction (parallel to e^\perp) that



the process can be continued in higher dimensions.
 Thus we get a deformation H_e s.t. $H_e f_i = \varphi_{i,e} \otimes g_{i,e}$,
 where $S_2 \cap T_e = S_{1,e} \cup S_{2,e}$, $S_{1,e} \subset T_e''$ and
 $S_{2,e} \subset T_e - T_e''$. H_e is a 1-deformation but in the
 e^2 -direction we can say more; it is a $\frac{1}{400}$ -deforma-

tion.
 Let $H_2 = \prod_{e \in \partial S} H_e$, a 1-deformation.

Let $H = H_2 H_1$. We ~~can~~ write: a partition
 $Hf = \varphi_1 \otimes \varphi_2 \otimes \varphi_3$, a decomposition w.r.t. S_1, S_2, S_3 of
 S , s.t. $S_1 \subset \bigcup_{e \in S} D_e''$, $S_2 \subset \bigcup_{e \in S} T_e''$ and $S_3 \subset \bigcup_{e \in S} C_e$
 (cf. p. 124). Each of these pieces (D_e'', T_e'', C)
 has diameter < 1 , and since H_e is a $\frac{1}{400}$ -deformation
 in the e^2 -direction, φ_3 really keeps each region C
 invariant. So $\varphi_1 \otimes \varphi_2 \otimes \varphi_3$ really is a 1-deformation
 as desired. \square

Rank: We had a little diam $C > 1$, but is less than
 some $1+\lambda$, λ small. So we get f is a $(3+\lambda)$ -deformation
 which is obviously good enough.

Rank: Quinn keeps much more rigid metric control by
 continually subdividing. This is necessary since he
 is dealing with an arbitrary nondisjoint decomposition
 of a manifold, while we are using a specific
 nice decomposition of a disc D^2 .

Next we turn to the proof of the Main Lemma. The
 main idea is the Killing trick (Quinn's notes, lemma 8.5).

Kirby trick: Given $\epsilon > 0$ and $n \in \mathbb{Z}_+$, $\exists \delta > 0$ s.t. any δ -automorphism f of D^n over $\frac{2}{3}D^n$ is "almost" stably extendable to an ϵ -automorphism of D^n ; i.e., let $G(S) \xrightarrow{f} G(S)$ be a δ -automorphism over $\frac{2}{3}D^n$; then \exists finite $S_0 \subset D^n - \frac{1}{2}D^n$ and an ϵ -automorphism $g: G(S \cup S_0) \xrightarrow{\sim} G(S \cup S_0)$ s.t. $g|_{G(S \cap \frac{1}{2}D^n)} = f|_{G(S \cap \frac{1}{2}D^n)}$.

13/4/75: We restate the Kirby trick slightly:

Lemma: Given $\epsilon > 0$ and $n \in \mathbb{Z}_+$, $\exists \delta > 0$ s.t. any δ -automorphism f of D^n over $\frac{2}{3}D^n$ is stably almost extendable to an ϵ -automorphism of D^n ; i.e., if $f: G(S) \rightarrow G(S)$ is a δ -automorphism over $\frac{2}{3}D^n$ then \exists finite $S' \subset D^n$ and an ϵ -automorphism $g: G(S') \xrightarrow{\sim} G(S)$ s.t. (i) $S \cap \frac{1}{2}D^n = S' \cap \frac{1}{2}D^n$
(ii) $f|_{G(S \cap \frac{1}{2}D^n)} = g|_{G(S' \cap \frac{1}{2}D^n)}$.

Further, we can assume $S' \subset \frac{1}{3}D^n$.

Remark: S' corresponds to $S \oplus S_0$ above; but it is in fact not necessary that $S \subset S'$.

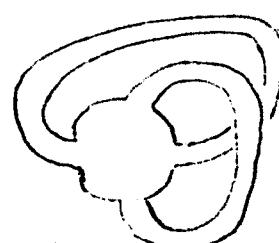
Pf: The proof is based on the "torus trick," which is in turn based on the following fact. Let $p \in T^n$. Then there is a smooth immersion $F: T^n - p \xrightarrow{\sim} \mathbb{R}^n$.

(equivalently, if B is a small ball about p in T^n ,
 $F: T^n - B \xrightarrow{\sim} \mathbb{R}^n$).

Ex. $n=2$: The image of F looks like:

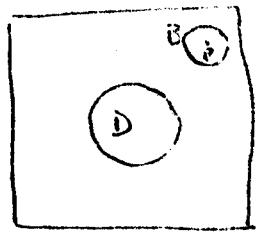
Now think of $T^n = \mathbb{R}^n / 2\mathbb{Z}^n$;

a fundamental domain $= (0, 2)^n$.





Let $D^n = D$ = the closed n -disc about $0 \in \mathbb{R}^n$;
 $D \subset \{0, 2\}^n$; let B be another closed n -disc in T^n
disjoint from D^n , centred at $p \notin D$:



We can define F s.t.

(i) $\text{im } F \subset \frac{2}{3}D^n$, and

(ii) $F|_{\frac{1}{2}D^n} = \text{id}_{\frac{1}{2}D^n}$, where we think of
 D both in T^n and in $\{0, 2\}^n \subset \mathbb{R}^n$.

Let $F_1 = F|_{T^n - \frac{1}{2}B}$. Let $\hat{S}_1 = F_1^{-1}(S) \subset T^n - \frac{1}{2}B$.

Now we will construct a "sort of" γ -auto \hat{f} of $G(\hat{S}_1)$.
We use uniform continuity & the fact that F is
an immersion!

For γ sufficiently small (in particular, we'll want $\gamma < \epsilon/2$),

$\exists \delta$ (which will be the δ of the ~~mean value~~ lemma) s.t.

$\forall x \in T^n - \frac{1}{2}B$, $\forall y$ which is δ -close to $F(x) \in D^n$,

$\exists y' \in T^n - p$ within γ of x s.t. $F(y') = y$.

(γ is small enough that F is a homeomorphism
of γ -balls).

Now if $y \in S$ and f is a δ -auto over $\frac{2}{3}D^n$, $\text{Conf}(y)$ is
within δ of y . We would like, therefore, to lift f to

a γ -auto \hat{f} (on $T^n - \frac{1}{2}B$) over $T^n - (\frac{1}{2} + \gamma)\hat{B}$. This doesn't

quite work, since ~~if~~ $x \in \partial(\frac{1}{2}\hat{B})$, ~~then~~ $y \in \text{Conf}(F(x))$,
 y may not be in $\text{im } F|_{T^n - \frac{1}{2}B}$ (i.e. the y above might

be in $\frac{1}{2}\hat{B}$). \rightarrow I don't think \hat{S}_2 is used

So, define $\hat{S}_2 = F_1^{-1}(S)$; let $\varphi = \text{projection}: G(\hat{S}_1) \rightarrow G(\hat{S}_2 \cap T^n - (\frac{1}{2} + \gamma)\hat{B})$

Now define $\hat{f}: G(\hat{S}_1) \rightarrow G(\hat{S}_2)$ as follows: ~~if \hat{f} is like f , let~~
~~for $G(\hat{S}_2)$ be the segment with endpoints with different~~
~~points in $\text{Conf}(F(x)) \cap \text{im } F|_{T^n - \frac{1}{2}B}$~~



for $p \in S_i$, $f(p)$ is the alt gen $G(\hat{S}_i)$ within
 γ of p s.t. $F(\text{gen } p) = \cancel{\text{cancel}} \text{ can } f(F(\text{gen } p))$
Claim A: $\exists \hat{S}^{\text{finite}} \subset T^n - \overline{\{p\}}$ s.t. $|S| = |\hat{S}_i|$ and
s.t. $S \cap (T^n - \hat{B}) = \hat{S}_i \cap (T^n - \hat{B})$; and $\exists \hat{f}$ a $2T$ -auto-
morphism $\hat{f}: G(\hat{S}) \xrightarrow{\sim} G(\hat{S}_i)$ s.t.

$$\hat{f}|_{\frac{1}{2}D} = \hat{f}|_{\frac{1}{2}D} = f|_{\frac{1}{2}D}$$

(where $f|_{\frac{1}{2}D}$ means $f|_{G(S \cap \frac{1}{2}D)}$, etc.)

Right: $\hat{f}|_{\frac{1}{2}D} = f|_{\frac{1}{2}D}$ since $F|_{\frac{1}{2}D} = \text{id}$.

Left: $\hat{f}|_{T^n - \hat{B}}$ is a monomorphism $G(\hat{S}_i \cap (T^n - \hat{B})) \hookrightarrow G(\hat{S})$.
Because \hat{f} is a T -auto over $T^n - (\frac{1}{2} + \delta)\hat{B}$, one can
show that $\hat{f}(G(\hat{S}_i \cap (T^n - \hat{B})))$ is in fact a direct
summand of $G(\hat{S})$.

Now $G(\hat{S}_i \cap (T^n - \frac{3}{2}\hat{B})) \subset \hat{f}(\hat{S}_i \cap (T^n - \hat{B})) \Rightarrow$ the
complementary summand is in $\hat{S}_i \cap \frac{3}{2}\hat{B}$. This
summand is stably free. If we were working over
an arbitrary ring we would have to stabilise to
make it fin, but since we're working over \mathbb{Z} , the
complementary summand is fin.

By a dimension count, $\dim_{\mathbb{Z}} (\text{compl. summand}) = |\hat{S}_i \cap \hat{B}|$.
So define $f': G(\hat{S}_i) \rightarrow G(\hat{S}_i)$ by

$$f'|_{T^n - \hat{B}} = \hat{f}|_{T^n - \hat{B}}$$

$f'|_{\hat{B}} = \text{arbitrary map onto complementary summand}$

Now we have lost some metric control, which we
must regain.



Consider the piecewise differentiable map

$G: \mathbb{R}^n \rightarrow \mathbb{R}^m$ taking B onto $\frac{\epsilon}{10}B$, s.t. $\|G\| \leq \alpha$.

(Now we've shrunk the "bad" part of f into $\frac{\epsilon}{10}B$, & kept our metric control up to a factor of 2 .
Let $\hat{s} = G(s)$ and \bar{f} the induced map on $G(\hat{s})$.

We're still not out of the woods, since we want an ϵ -auto of T^n , not $T^{n-\frac{1}{2}}\mathbb{R}$.

Now we have

$$\begin{array}{ccc} \mathbb{R}^n & \xrightarrow{\quad} & \mathbb{R}^m \\ D & \xrightarrow[p]{\quad} & T^n \end{array}$$

$\hat{s} \subset T^n$; let $\hat{s} = p^{-1}(\hat{s}) \subset \mathbb{R}^n$; note $|\hat{s}| = \infty$. As before we can take $\bar{f}: G(\hat{s}) \rightarrow G(\hat{s})$ and lift it to $\bar{f}: G(\hat{s}) \rightarrow$
We lose no metric control here since p is a local homeo, so \bar{f} is still a $\partial\hat{s}$ -auto.
As before, $\bar{f}|_{\frac{1}{2}D} = f|_{\frac{1}{2}D}$.

We will identify \mathbb{R}^n with D by means of the specific homeo $H: \mathbb{R}^n \cong D$, given by

$$H(x) = \begin{cases} x, & x \in \frac{1}{2}D \\ s(\|x\|) \cdot x, & x \in \mathbb{R}^n - \frac{1}{2}D \end{cases}$$

where $s: (\frac{1}{2}, \infty) \cong (\frac{1}{2}, 1)$ is a homeo (e.g. $s(t) = 1 - \frac{1}{4t}$)

Now we can identify \hat{s} with its image $H(\hat{s}) \subset D$
and again write $f_*: C(H(\hat{s})) \cong G(H(\hat{s}))$ for

the map induced by \bar{f} . $f_*|_{\frac{1}{2}D} = f|_{\frac{1}{2}D}$.

(14/15). Quick summary: Given $\varepsilon > 0$ and $n, \exists \delta > 0$ s.t. if $f: G(\beta) \rightarrow G(\gamma)$ is a δ -auto (on D^n) over $\frac{1}{2}D^n$,
 $S \subseteq D^n$, then \exists $\tilde{\beta}$ as auto ($\tilde{\beta}$ can be chosen arbitrarily
 small, e.g. $\tilde{\beta} = \frac{\varepsilon}{10}$) $\tilde{f}: G(\tilde{\beta}) \rightarrow G(\tilde{\gamma})$ where $|\tilde{\beta}| = \infty$,
 $\tilde{\gamma} \subseteq \mathbb{R}^n$, s.t. $\tilde{\gamma} \cap \frac{1}{2}D^n = S \cap \frac{1}{2}D^n$ and $\tilde{f}|_{\frac{1}{2}D^n} = f|_{\frac{1}{2}D^n}$.
 - Continue w/ pf.

We will use BHS to truncate $\tilde{\beta}$ to a finite set.

Now $G(\tilde{\beta})$ is a free $\mathbb{Z}T^n$ -module, where T^n is the free abelian group of rank n (acting as covering transformations of \mathbb{R}^n over the n-tors); ~~and~~
 $G(\tilde{\beta})$ has $\mathbb{Z}T^n$ -basis $\tilde{\beta}$. Further, \tilde{f} is a T^n -module
~~map~~ and morphism.

It's same then goes for f_* (with the T^n -module structure
 on $H(\tilde{\beta}) \subseteq D^n$ induced from that on $\tilde{\beta}$).

f_* is a δ -auto ($\delta < \varepsilon$) as desired, the problem is
 that $|\tilde{\beta}| = \infty$.

Let p_1, \dots, p_m be a basis for $G(\tilde{\beta})$ as a T^n -module
 (as mentioned on the previous page, we will think of $\tilde{\beta}$ as
 the original $\beta \subseteq \mathbb{R}^n$ and also as $H(\tilde{\beta}) \subseteq D^n$.)

Now we can represent f_* by an $m \times m$ matrix A
 with entries in $\mathbb{Z}T^n$. By BHS, A is (possibly after
 stabilisation) a product of elementary (& singular)
 matrices. (Ranks of diagonal mx is nec. since in defining
 what T^n we mod out by the group action. (2) Stabilisation
 is no problem as long as we put the extra point
 far from $\frac{1}{2}D^n$.)



Actually, we ~~want~~ write $A^{-1} = DE_1 \dots E_s$, where

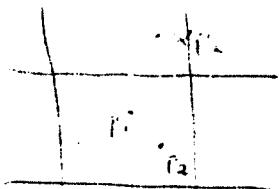
D is diagonal and E_i is elementary.

Rank: If we ignore the module structure, each E_i represent an op. of elem. matrices; but these are all blocked away from each other & so commute. (?)

Rmk: Suppose $\alpha, \beta \in T^*$ and $E_3 = \begin{pmatrix} 1 & 5\alpha + 6\beta \\ 0 & 1 \end{pmatrix}$. Rewrite $E = \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix}^5 \begin{pmatrix} 1 & \beta \\ 0 & 1 \end{pmatrix}^6$. Thus we can assume the off-diagonal element of each E_i is \pm (a group el.).

Ex: Suppose α = translation by 1 unit (on \mathbb{R}^2).

Suppose $E = \begin{pmatrix} 1 & \alpha \\ 0 & 1 \end{pmatrix}$ operating on



p_1 & p_2 . Then $\forall \delta \in T^*$,

$$\delta p_1 \xrightarrow{E} \delta p_1, \text{ but } \delta p_2 \xrightarrow{E} \delta p_2 + \alpha \delta p_1$$

Now since $A^{-1} = DE_1 \dots E_s$, we have

$$DE_1 \dots E_s f_* = \text{id.}$$

We must get rid of the D -factor; we do this (from the lecture). So assume $D = I$; we now truncate the E_i 's, replacing each E_i by \hat{E}_i where \hat{E}_i acts on the points p (i.e. \mathbb{Z} -basis elements) of $\hat{\mathcal{S}}$ by

$$\hat{E}_i(p) = \begin{cases} E_i(p), & p \notin rD^n \\ p, & p \in rD^n \end{cases} \quad \text{where } \frac{1}{2} \leq r \leq 1, \text{ } r \text{ to be picked later.}$$

Now $\hat{E}_1 \dots \hat{E}_s f_*$ will be the desired E -act. First, since $E_1 \dots E_s f_* = \text{id.}$, $\hat{E}_1 \dots \hat{E}_s f_*$ will be identity near ∂D . Also,

$$\hat{E}_1 \dots \hat{E}_s f_* |_{\frac{1}{2}D^n} = f_* |_{\frac{1}{2}D^n} = f |_{\frac{1}{2}D^n}.$$



If outside this point P if $H(\hat{S})$ converge to ∂D^n , let r_0 be close to 1, $1 > r_0 > r$, and discard all the points of $\hat{S} \cap H(\hat{S})$ in $D^n - rD^n$. This leaves only finitely many points in the remaining set which will be the desired S .

Now conditions (i) & (ii) of the Lemma (p. 128) are satisfied; it remains to show $\tilde{E}_i - E_i$ is an ε -auto. It is not hard to show (using $\mathbb{S} \subset \mathbb{R}^n$) that iteration of elem. matrices leaves them as automorphisms. So we must check the metric control. $\tilde{f}_\#$ is a $\frac{\varepsilon}{2}$ -map; the E_i 's don't have to be. But

$\text{diam}(\text{Im } E_i \cap \mathbb{S})$ is bounded over all $p \in \mathbb{S}$.

thus when we apply H , the induced E_i 's (call them $(E_i)_*$) are λ_i -autos, where λ_i depends on p but $\lambda_i \rightarrow 0$ as $p \rightarrow \partial D^n$ (since H contracts distances at ∞).

Now we pick r so that on the fringe, $D^n - rD^n$, each E_i is a $\frac{\varepsilon}{2s}$ -auto, where $s = \#$ of elem. matrs in the product. This gives metric control on $D^n - rD^n$; and on rD^n such control is immediate, since $\tilde{E}_i = \text{id}$ there.

12/18/78: Before returning to the problem of getting rid of N we digress for a moment.

Prob: Suppose M^n is a closed manifold whose universal cover \tilde{M} is diffeomorphic to \mathbb{R}^n . Is it always possible to choose the diffeomorphism $f: \mathbb{R}^n \rightarrow \tilde{M}$ to be expander i.e. $\exists \varepsilon > 0$ s.t. $\|df(X)\| > \varepsilon \quad \forall$ tangent vector X



\mathbb{R}^n s.t. $\|x\| = 1$, where the metric on \tilde{M} is induced from a riemannian metric on M ?

(Here if $\|\cdot\|_M$ is a metric on M , the induced metric $\|\cdot\|$ on \tilde{M} is defined by $\|x\| = \|\delta_p(x)\|_M$ where $x \in T\tilde{M}$, $p = \text{projection: } \tilde{M} \rightarrow M$.)

Ex.: Such an f exists if M is a nonpositively curved manifold (let $p: \mathbb{R}^n \rightarrow M$ be the exponential map; this map is always expanding for $K_m \leq 0$).

Rank: The solution of this problem bears on Novikov's conjecture (see pp 47-49). In fact, suppose we prove the problem for M where $\pi_1(M) = \Gamma$. Now let N' be any manifold with $\pi_1(N') = \Gamma$. There is a unique (up to homotopy) map $\varphi: N' \rightarrow B\Gamma = M$. One can show (given the truth of the problem-assertion for M) that $\langle L(N) \cup \varphi^*[M] \rangle$ is a htpy invariant; i.e. if $f: N' \rightarrow N$ is a h.c. then $\langle L(N) \cup \varphi^*[M], [N'] \rangle = \langle L(N) \cup f^*\varphi^*[M], [N'] \rangle$.

This is a special case of Novikov's conjecture.

Note $[M] \in H_*(\Gamma; \mathbb{Q})$.

We return to Quinn's work: On p. 133 we found elementary matrices E_1, \dots, E_s and a diagonal matrix D over $\mathbb{Z}\Gamma^n$ s.t. $DE_1 \dots E_s \bar{f} = \text{id}$. By the action of Γ^n on $K, \mathbb{Z}\Gamma^n$ (recall $W\Gamma^n = K, \mathbb{Z}\Gamma^n / \mathbb{Z}\Gamma^n$) we can assume $D = \begin{bmatrix} 1 & & \\ & \ddots & \\ & & 1_{m \times m} \end{bmatrix}$, $\alpha \in \Gamma^n$. → mod out by "trivial" rows

To get rid of D we will change \bar{f} & construct a new auto. \bar{g} with all the important properties



of \bar{f} , except with the corresponding $D = I$.
 The basic fact is that $\begin{bmatrix} D & 0 \\ 0 & \pm\alpha^{-1} \end{bmatrix}$ ($(n+1) \times (n+1)$ -matrix)

is a product of elementary matrices.

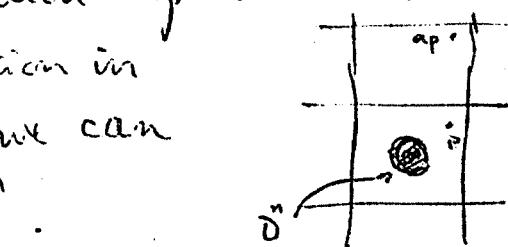
A special argument is needed for the special case $n=1$ ($D' = [-1, 1]$) since $2D' - D'$ is not connected; but this case is easy. The following argument works for $n > 1$.

Suppose for simplicity $\alpha = \text{translation up 1 unit, acting on } p$. We can choose the position in D where $\pm\alpha \neq 1$ appears; so we can insure that $p \in (\mathbb{S}^n \cap [0, 2]^n) - D^n$.

We would like to add a new basis element q to \mathbb{S}^n with $\bar{f}(q) = \alpha(q)$ (so $D(q) = \alpha^{-1}(q)$) but now \bar{f} is not a 2π -auto. So instead we add a chain of points in D^n . In our simple example, $n=2$ & $\alpha = y$ (i.e. +1 in y -direc), we add a large set of ^{new} basis elts. which are very close to each other; call

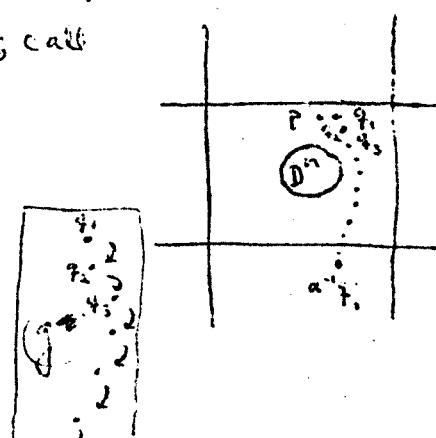
them q_1, q_2, \dots . g is now constructed so that $g|_{\mathbb{S}^n} = f|_{\mathbb{S}^n}$,

and $g|_{\mathbb{S}^n}$ takes each q_i to q_{i+1} , and the last q_i to $\alpha^{-1}q_1$.



The matrix for this operation is

$$A = \begin{bmatrix} 0 & \alpha^{-1} \\ I & 0 \end{bmatrix} \quad \text{But by row \& column operations } A \text{ becomes } \begin{bmatrix} 1 & \dots \\ \vdots & \vdots \\ 1 & \alpha^{-1} \end{bmatrix}.$$





$$\text{Now } E_s \cdots E_1 g = \left[\begin{array}{c|c} 1 & y \\ \vdots & \vdots \\ 1 & y \\ \hline 0 & 1 \\ \vdots & \vdots \\ 0 & y^{-1} \end{array} \right] \quad (\text{writing } \alpha = y)$$

$$\sim \left[\begin{array}{c|c} 1 & y \\ \vdots & \vdots \\ 1 & y \\ \hline 0 & 1 \\ \vdots & \vdots \\ 0 & y^{-1} \end{array} \right] \quad \text{But } \begin{pmatrix} y & 0 \\ 0 & y^{-1} \end{pmatrix} = \text{product of el}$$

mattices. In fact, if A is an arbitrary matrix,

$$\circledast \begin{bmatrix} A & 0 \\ 0 & A^{-1} \end{bmatrix} = \begin{bmatrix} I & -A \\ 0 & I \end{bmatrix} \begin{bmatrix} I & 0 \\ A^{-1} & I \end{bmatrix} \begin{bmatrix} I & -A \\ 0 & I \end{bmatrix} \begin{bmatrix} I & I \\ 0 & I \end{bmatrix} \begin{bmatrix} I & 0 \\ -I & I \end{bmatrix} \begin{bmatrix} I & I \\ 0 & I \end{bmatrix}$$

12/11/28: Thus $E_s \cdots E_1 g$ is a product of elem matrices $E'_1 \cdots E'_p$
so $E'_p \cdots E'_1 E_s \cdots E_1 g = id$, with no D-term, as desired.

This proves the lemma, p. 121.

We now prove the Main Lemma (p. 121): Given $n \in \mathbb{Z}$

~~given~~ $\varepsilon > 0$, $\exists \delta > 0$ s.t. if $S \subset D^n$ and

~~given~~ $f: G(S) \rightarrow G(S)$ is a δ -automorphism over $\frac{3}{4}D^n$, then

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,

\exists finite sets S'_1, S_2, S_3 s.t. $S \subset S'_1 \cup S_2 \cup S_3$, $S_2 \subset \frac{2}{3}D^n$,



move \hat{S} off itself ϵ_{deform} and let $S' = \hat{S} \cup (\text{new copy of } \hat{S})$. 13

Consider

$f \oplus g^{-1} : G(S \cup \hat{S} \cup \hat{S}) \xrightarrow{\sim} G(S \cup \hat{S} \cup \hat{S})$; in matrix form,

this is $\begin{bmatrix} f & g^{-1} \\ & g^{-1} \end{bmatrix}$.

Claim A: \exists small deformation H_1 s.t.

$$H_1(f \oplus \text{id} \oplus \text{id}) = f \oplus g^{-1} \oplus g$$

(\because) Set $H_1 = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & -g^{-1} \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & g & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & g^{-1} \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}$

Since g is a γ -automorphism, H is a 3γ -deformation. //A

Now $f \oplus g^{-1}|_{\frac{1}{3}D^n} = f \oplus f^{-1}|_{\frac{1}{3}D^n}$.

Claim B: \exists small deformation H_2 s.t.

$$H_2(f \oplus g^{-1} \oplus g) = f_1 \oplus f_2,$$

where $f_1 = H_2(f \oplus g^{-1}|_{\frac{1}{3}D^n}) \oplus g = \text{id}|_{\frac{1}{3}D^n} \oplus g$, acting on $G(S)$.

$$\begin{aligned} S_1 &= (S \cap \frac{1}{3}D^n) \cup (\hat{S} \cap \frac{1}{3}D^n) \cup \hat{S} \\ &= (\hat{S} \cap \frac{1}{3}D^n) \cup (S \cap \frac{1}{3}D^n) \cup \hat{S} \subset \frac{2}{3}D^n, \end{aligned}$$

and where

$$f_2 = H_2(f \oplus g^{-1})|_{D^n - \frac{1}{3}D^n}, \text{ acting on } G(S_2),$$

$$S_2 = (S \cap (D^n - \frac{1}{3}D^n)) \cup (\hat{S} \cap (D^n - \frac{1}{3}D^n)).$$

(We can split like this because $H_2(f \oplus g^{-1}) = \text{id}$ on rD (where $\frac{1}{2} > r > \frac{1}{3}$) and so in particular $H_2(f \oplus g^{-1})|_{\frac{1}{3}D^n} = \text{id}$.)



(\therefore) Define $\varphi: G(\hat{S}) \rightarrow G(\hat{S})$ by

$$G(\hat{S}) \xrightarrow{\text{proj'n}} G(\hat{S} \cap \frac{1}{2}D^n) \xrightarrow{\hat{f}|_{\frac{1}{2}D^n}} G(\hat{S}).$$

φ^{-1} is not quite defined, since f may take points of $\frac{1}{2}D$ out of $\frac{1}{2}D$. But since f is a δ -auto over $\frac{3}{4}D$, $\exists \hat{f}: G(\hat{S}) \rightarrow G(\hat{S})$ s.t. $\hat{f}\hat{f} = \text{id}$ on $\frac{3}{4}D^n$.

Define $\psi: G(\hat{S}) \rightarrow G(\hat{S})$ by

$$G(\hat{S}) \xrightarrow{\text{proj'n}} G(\hat{S} \cap \frac{1}{2}D^n) \xrightarrow{\hat{f}|_{\frac{1}{2}D^n}} G(\hat{S}).$$

Now let H_2^{-1} be defined by

$$H_2^{-1} = \begin{bmatrix} 1 & -4 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 4 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & -4 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 1 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Now ~~$H_2^{-1}(1 \oplus 1 \oplus g)$~~

$$H_2^{-1}(1 \oplus 1 \oplus g) = (\varphi \oplus \psi \oplus g) ; \text{ on most of } \frac{1}{2}D^n$$

e.g. on rD where $\frac{1}{3} < r < \frac{1}{2} \implies \varphi = f, \psi = f^{-1}$

$$\text{so. } H_2(f \oplus g^{-1})|_{rD} = \text{id} \quad \text{Main Lemma.}$$

Rank: f_1 of the main lemma is in fact an ε -auto; f_2 only an ε -map.

This finishes Quinn's proof of the Connell-Hallingsw conjecture.



Thms & Conjectures (Farrell & Hsiang): listed in decreasing order of certainty.

Thm 1: If Γ is a torsion-free virtually abelian finitely generated group then $Wh \Gamma = 0$.

Rank: (1) Such a Γ is crystallographic (see p. 49)
(2) This Thm is proved on p. 84 ff.

Thm 2: If M^n is a closed manifold, $n \neq 3, 4$, then M has a flat riemannian structure iff

- (1) $\pi_1 M$ is virtually abelian and
- (2) $\pi_i M = 0$, $i > 1$.

(Comments on this Thm, bottom p. 52)

Thm 3: If Γ is a torsion-free virtually poly- \mathbb{Z} group then $Wh \Gamma = 0$.

(Comments, p. 94)

Def.: Let G be a nilpotent simply connected Lie group, K a compact Lie group (e.g., a finite group), and $\Gamma < G \times K$ a discrete cocompact torsion-free subgroup. Then $\Gamma \backslash G \times K / K$ is an infranilmanifold.

Thm 4: If M^n is an infranilmanifold, $n \neq 3, 4$, and N^n is a closed aspherical manifold with $\pi_1 N = \pi_1 M$, then M and N are homeomorphic.

Conj: If M^n, N^n are closed aspherical manifolds, $n \neq 3, 4$ s.t. $\pi_1 M = \pi_1 N$ is virtually poly- \mathbb{Z} , then M and N are homeomorphic.

Rank: Farrell is "pretty sure" of:

Thm 5: If in the conjecture about, Γ is the poly- \mathbb{Z} subgroup of $\pi_1 M$ and $[\pi_1 M : \Gamma]$ is odd, then $M \cong N$.



12/13/78: Surgery and Structures

M^n : orientable closed manifold.

Def. $\mathcal{S}_0(M) = \underline{\text{set}}$ of smooth structures on M , is defined as the set of equivalence classes of simple homotopy equivalences $N^n \xrightarrow{f} M$, where $(N_1 \xrightarrow{f_1} M) \approx (N_2 \xrightarrow{f_2} M)$ if \exists diffeomorphism $g: N_1 \xrightarrow{\sim} N_2$ s.t. the diagram commutes. We similarly define $\mathcal{S}_{\text{Top}}(M)$, where we require only that g be a homeomorphism.

(See pp. 30 ff.) Note here we are considering only h.e.'s f s.t. $\tau(f) = 0 \in \text{Wh}(\pi_1, M)$)

Wall-Sullivan exact sequence

Write CAT for Top or 0 . For $n \geq 5$ there is an exact sequence

$$\dots \rightarrow L_{n+1}^s(\mathbb{Z}\pi, M) \xrightarrow{\Phi} \mathcal{S}_{\text{CAT}}(M^n) \xrightarrow{\Psi} [M, G/\text{CAT}] \xrightarrow{\sigma} L_n^s(\mathbb{Z}\pi, M)$$

Rank: If $\text{CAT} = \text{Top}$, this can be made into an exact sequence of abelian groups; not so if $\text{CAT} = 0$.

We define (roughly) the terms in the sequence. $G/0$ is defined only up to homotopy. If X is a topological space, $\alpha \in [X, G/0]$, then α is the equivalence class of a stable 0 -vector bundle (i.e. orthogonal vector-bundle) over X , together with a specific fibre homotopy trivialisation.

Here we have the diagram at right

where f is a proper homotopy equivalence (i.e. $f^{-1}(\text{cpt}) \cong \text{cpt}$), which preserves fibres.

$$\begin{array}{ccc} \mathbb{R}^n & & \mathbb{R}^n \\ \downarrow & f & \downarrow \\ E & \xrightarrow{f} & X \times \mathbb{R}^n \\ & \searrow & \swarrow \end{array}$$



[Actually we need not assume f is fibre-preserving; if it's a proper i.e., it can be fiddled around w/ to make it fibre-preserving.]

Atiyah has a paper on Thom complexes which deals with these ideas.]

If we think of vector bundles as classified by $B\mathcal{O}$ and spherical fibrations by BG , then G/\mathcal{O} is the fibre of the bundle

$$\begin{array}{c} BG \\ \uparrow \\ BO \\ \uparrow \\ G/\mathcal{O} \end{array}$$

We define equivalence as follows:

$$\text{and } E' \xrightarrow{\delta} X \times \mathbb{R}^n$$

are equivalent if \exists

vector-bundle isomorphism $h: E \xrightarrow{\sim} E'$ s.t. $gh \cong f$.

Now we define Φ . We will assume $CAT = 0$; similar (but harder) work does the constructions in

Top.

Suppose $[f: N \xrightarrow{\text{h.e.}} M] \in S_0(M)$. We wish to define $\Phi f \in [M, G/\mathcal{O}]$. Let g be a homotopy inverse to f .

Homotope g to an embedding $M \xrightarrow{g} N \times D^{n+2}$.

Let v_g be the associated normal bundle.

(Essentially, $v_g = \cancel{g^{-1}(N \times D^{n+2})} g^* \gamma N - \gamma M$) Now

$M \subset D(v_g) \subset N \times D^{n+2}$ where ~~$D(v_g)$~~ = disc b

of v_g is embedded as a tubular nbhd of M .

Then (one shows) $N \times D^{n+2} - (D(v_g))^\circ$ is an s -cobordism

\therefore by Smale's s -cobordism Thm, a product; so

$$N \times D^{n+2} - (D(v_g))^\circ \cong \partial D(v_g) \times I$$



$$\text{Thus } N \times D^{n+2} = Dv_g \times (\underbrace{\partial Dv_g \times I}_{\text{cellar on } \partial Dv_g}) \\ = Dv_g.$$

\therefore Projn $Dv_g \rightarrow D^{n+2}$ defines a proper degree 1 map $E(v_g) \rightarrow \mathbb{R}^{n+2}$. This is how one defines the fibre h.
 $E(v_g) \xrightarrow{h} \mathbb{R}^{n+2} \times M$. Define $\#f = [E(v_g), h] \in [M, G/\mathcal{O}]$

Next we define (roughly) σ and L_n .

Given $E \xrightarrow{F} M^n \times \mathbb{R}^s$. Make $F \pitchfork (M \times 0)$ by
 \downarrow
 M^n a small perturbation.

This defines $N^n = F^{-1}(M \times 0) \subset E$, together with a map $f: N^n \rightarrow M$. $\deg f = 1$; further, there is an O -bundle η over M and a specific bundle iso. of $f^*\eta$ to the stable normal bundle of N^n (i.e. v_g where $g: N^n \hookrightarrow \mathbb{R}^{2n+2}$).

We would like to make f a s.h.e. by surgery. ~~The algebraic obstruction to doing so is measured by $L_n^s(\mathbb{Z}\pi, M)$. This could be given as a def'n of $L_n^s(\mathbb{Z}\pi, M)$, but in fact there is an algebraic def'n, which can be used actually to compute this group.~~

Roughly, let R be a ring with involution $r \mapsto \bar{r}$. An element of $L_0(R)$ is a cogradience class of (arbitrarily large) hermitian invertible matrices; here, cogradient is the equiv. \sim in $S_0 \approx S$, if



\exists invertible A s.t. $AS_0A^* = S_0$.

(Ex: $R = \mathbb{Z}\Gamma$ with involution $\bar{f} = f^{-1}$. If $\Gamma = \{1\}$, then ~~the~~ the involution is the identity, and in this case hermitian = symmetric.)

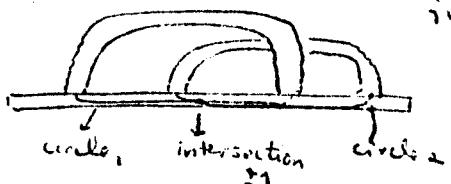
For $L_2(R)$, use skew-hermitian matrices instead.

(Ex: $\Gamma = 1$. $\begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \in L_2(\mathbb{Z})$. (Actually, this element is equivalent to $1 \in L_2(\mathbb{Z})$.))

Geo. interp. of $S = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$: Suppose e.g. $M = \{0, 1\}$.

(i) Thicken M to $M \times D^1$: $\xrightarrow[m]{}$ D^1

(ii) Add 1-handles which link according to the matrix. (i.e. extend their cores to circles; these intersect according to the matrix).



Let $W^2 =$ The resulting cobordism
(not an h-cobordism).

$\partial W = M + N$; since S is invertible, one can show $N \cong M$; in the proof (which is essentially algebraic) a particular h.e. $f: N \xrightarrow{\sim} M$ is produced.

Ex: $M = S^n$. In Top, $S(S^n) = 0$ (Poincaré conjecture).

\therefore the exact sequence $\Rightarrow \pi_n(G/\text{Top}) = L_n(\mathbb{Z})$.

(Actually to be more careful, we'd use $S(D^{n+1}, \partial D^n) = L_n(\mathbb{Z})$ is known; ~~and if~~ so

$$\pi_n(G/\text{Top}) = L_n(\mathbb{Z}) = \begin{cases} \mathbb{Z}, & n=0 \text{ (4)} \\ \mathbb{Z}_2, & n=2 \text{ (4)} \\ 0, & n \text{ odd} \end{cases}$$

Now if $\pi_1 M = 1$, then $c_1 = 0$ and one obtains the S.P.S.



$$0 \rightarrow S(M) \xrightarrow{\Psi} [M, G/\text{Top}] \xrightarrow[\text{(contd)}]{\sigma} L_n^s(\mathbb{Z}) \rightarrow 0.$$

Further, this s.e.s. splits.

To compute the middle term (in order to get at $S(M)$) use Sullivan's characteristic variety theorem (of pp 37-)

Sullivan's Characteristic Variety Theorem:

For any finite complex X ,

$$(1) [X, G/\text{Top}] \otimes \mathbb{Q} \cong \bigoplus_{i=1}^{\infty} H^{+i}(X; \mathbb{Q})$$

$$(2) [X, G/\text{Top}] \otimes \mathbb{Z}[\frac{1}{2}] \cong KO(X) \otimes \mathbb{Z}[\frac{1}{2}]$$

$$(3) [X, G/\text{Top}] \otimes \mathbb{Z}[\frac{1}{3}, \frac{1}{5}, \frac{1}{7}, \dots] \cong$$

$$\bigoplus_{i=1}^{\infty} H^{+i}(X; \mathbb{Z}[\frac{1}{3}, \frac{1}{5}, \frac{1}{7}, \dots]) \oplus \bigoplus_{i=1}^{\infty} H^{4i+2}(X; \mathbb{Z}_2)$$

(21/5, 75): Consider again the surgery long exact sequence
 $\rightarrow S(M \times D^2, \partial) \rightarrow [(M \times D^2, \partial), G/\text{Top}] \rightarrow L_{n+1}(n, M) \xrightarrow{\Phi} S_{top}(M) \xrightarrow{\sigma} [M, G/\text{Top}] \xrightarrow{\sigma} L_n(n, M)$

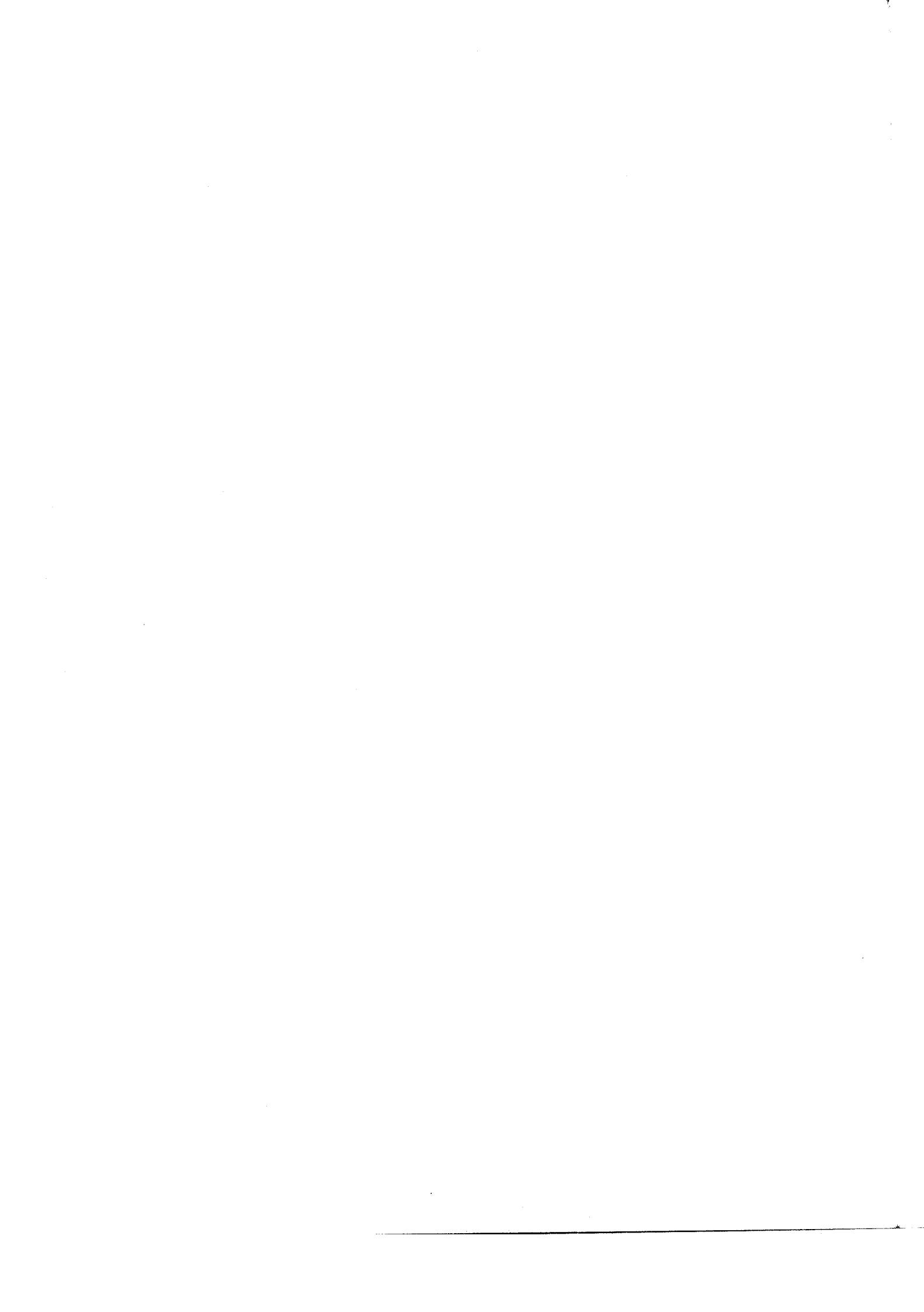
The terms $[M, G/\text{Top}], [(M \times D^2, \partial), G/\text{Top}]$ are f.g. abelian groups,
since these are G/Top -terms in some extraordinary cohomology
theory which has a spectral sequence converging to it with
E₂ term in ordinary cohomology).

Unfortunately, $L_{n+1}(n, M)$ may be infinitely generated; in fact
it is torsion e.g. $L_2(T \times T_2)$ is inf. gen. So if $n, M^9 = T \times T_2$
say, then $S(M)$ is infinitely generated; e.g. $M = P^3 \# P^9$.

Rmk: $L_2(T \times T_2) = L_2(T_2 \times T_2) = 0$ (Wallace).

Pf: Cappell, Topology ≈ 1974

Prob. the problem with $L_2(T \times T_2)$ is 2-torsion. Farrell thinks
that $L_2(T \times T_2) \otimes \mathbb{Z}[\frac{1}{2}]$ may be f.g. over $\mathbb{Z}[\frac{1}{2}]$.



Then, if Γ is a torsion free, finitely generated virtually abelian group (and $B\Gamma$ is an orientable flat manifold) and M^m is a manifold with $\pi_1 M = \Gamma$, then $S(\Gamma)$ is calculable up to an extension; i.e. } natural homomorphisms

$$f_s : \{ (M \times D^s, M \times S^{s-1}), G/\text{Top} \} \rightarrow \{ (B\Gamma \times D^t, B\Gamma \times S^{t-1}), G/\text{Top} \}$$
defined when $m+s > n$, where $t = m+s-n$, s.t. the sequence
$$0 \rightarrow \ker f_{s+1} \rightarrow S(M \times D^s, M \times S^{s-1}) \rightarrow \ker f_s \rightarrow 0$$

is exact.

Idea of proof: By Sullivan form, the groups with G/Top are (after tensoring) ordinary cohomology. One can think of $S(X, \Lambda)$, G/Top as equal to $H^*(X, \Lambda)$ for some abelian theory $\{ (X, \Lambda), BG \}$. One can dualise to get $\{ (X, \Lambda), B\Gamma \}$ by (like $\{ (X, \Lambda), BG \}$) imitating theory H^* .

analogous theory H_* .

From the commutative diagram (as defined as $\pi_1 B$)

$$(M^m, G/\text{Top}) \xrightarrow{\sigma} L_m(\pi_1 M)$$

$$\begin{matrix} & & \downarrow \delta_m \\ \text{H}_m(M) & \xrightarrow{\cong} & H^m(M) \\ & & \downarrow \delta_m \end{matrix}$$

$\cong \{ \}_{\Gamma}$ (Poincaré duality - shown to be an iso by Ranicki)

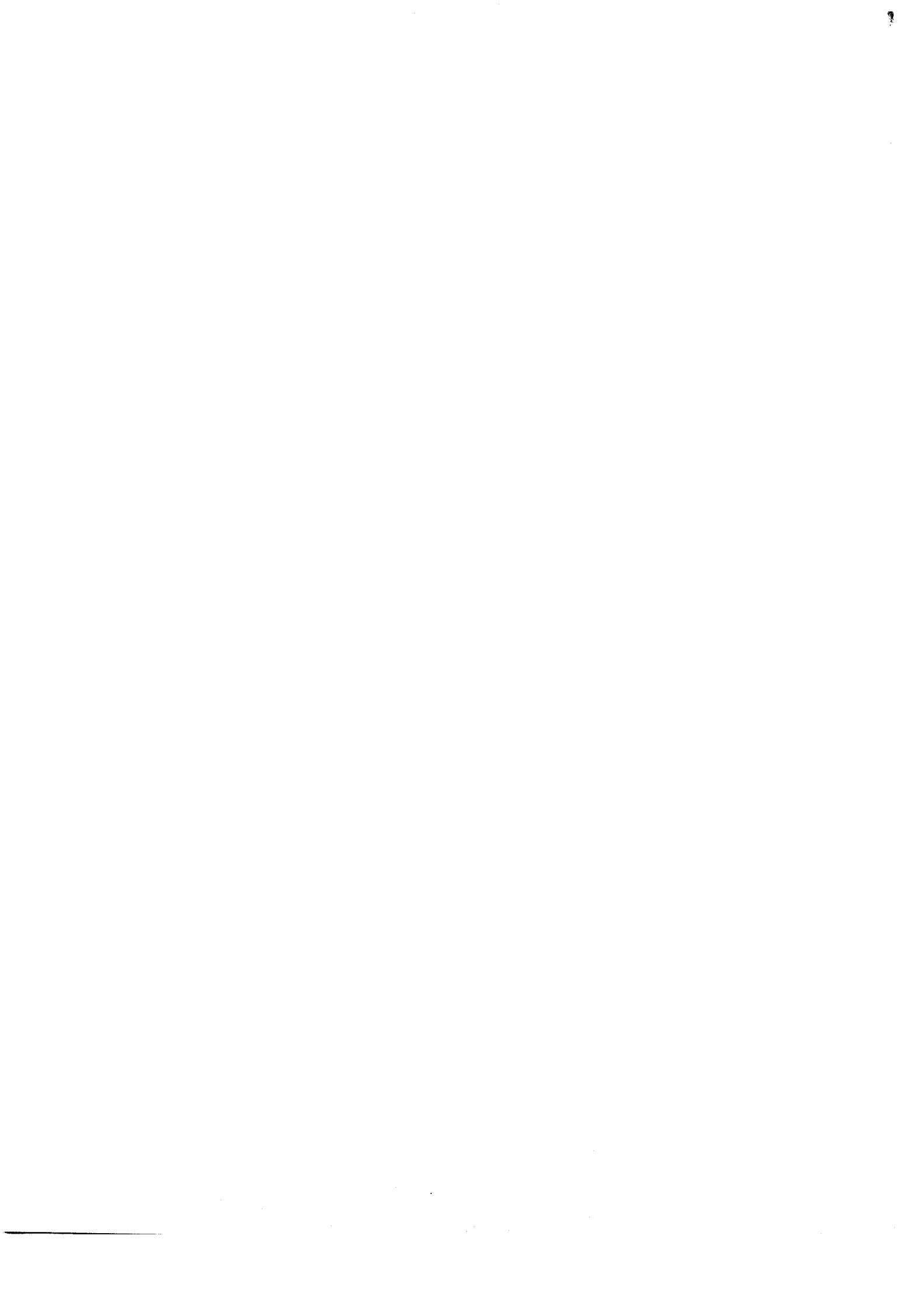
$$H_m(M)$$

In fact in general one has a map $\partial_x (\Lambda) \xrightarrow{\sigma_x} L_x(\pi_1 X)$ for any complex X , even though the original map σ is defined only for manifolds.

Similarly we have

$$(B\Gamma, G/\text{Top}) \xrightarrow{\sigma} L_n(\pi_1 \Gamma)$$

$$\begin{matrix} & & \downarrow \delta_n \\ \text{H}_n(B\Gamma) & \xrightarrow{\cong} & H^n(B\Gamma) \\ & & \downarrow \delta_n \end{matrix}$$



A.1 Hsiang-Furukawa $\Rightarrow \mathcal{J}(BT) = 0$; $\pi_*: (BT, G/\text{Top}) \rightarrow (M, \Gamma)$
 is an iso, so π_* is an iso. By crossing BT with a
 disc, we see

$$(1) \mathcal{J}(BT \times D^k, BT \times S^{k-1}) = 0, \text{ and so}$$

$$(2) \sigma_{n+k}: \mathcal{H}_{n+k}(BT \times D^k, BT \times S^{k-1}) \xrightarrow{\sim} L_{n+k}(\pi, M) \text{ is an iso.}$$

But π is periodic of period 4, so all the σ_i 's are
 $\sigma_*: \mathcal{H}_*(BT) \xrightarrow{\sim} L_*(\Gamma)$.

There is a natural map $f: M \rightarrow BT$ since $\pi_* M = \Gamma$,
 we get an induced map $\mathcal{H}_m(M) \xrightarrow{f_*} \mathcal{H}_m(BT)$.
 σ_m natural \Rightarrow the following diagram commutes:

$$\begin{array}{ccc} L_m(\pi, M) & & \\ \downarrow \sigma_m & \swarrow \sigma_m & \\ \mathcal{H}_m(M) & \xrightarrow{f_*} & \mathcal{H}_m(BT) \end{array}$$

Thus $\ker f_* = \ker \sigma_m$. We recover the maps f_* of the
 \mathcal{H}_m -map passing back through $\mathbb{Z}/4$ to get back to
 H^* .

Rmk.: Tensoring with \mathbb{Q} turns the extraordinary
 map above into

$$f_*: H_*(M; \mathbb{Q}) \rightarrow H_*(\Gamma; \mathbb{Q}).$$

using Sullivan's theorem.

So we return to the
Sullivan characteristic Variety then: $A \subset X$, pair of complex

$$(1) [(X, A), G/\text{Top}] \otimes \mathbb{Q} = \bigoplus_{i=1}^{\infty} H^{4i}(X, A; \mathbb{Q})$$

$$(2) [(X, A), G/\text{Top}] \otimes \mathbb{Z}\left[\frac{1}{2}\right] = KO(X, A) \otimes \mathbb{Z}\left[\frac{1}{2}\right]$$

$$(3) [(X, A), G/\text{Top}] \otimes \mathbb{Z}_{(2)} = \bigoplus_{i=1}^{\infty} H^{4i}(X, A; \mathbb{Z}_{(2)}) \oplus \bigoplus_{i=1}^{\infty} H^{4i+2}(X, A; \mathbb{Z}_{(2)})$$

$$(3) [(X, A), G/\text{Top}] \otimes \mathbb{Z}_{(2)} = \bigoplus_{i=1}^{\infty} H^{4i}(X, A; \mathbb{Z}_{(2)}) \oplus \bigoplus_{i=1}^{\infty} H^{4i+2}(X, A; \mathbb{Z}_{(2)})$$



Pf sketch. we show only the first statement, using methods that apply to the other two, but with several technical modifications.

We wish to define $\phi_{\text{top}} \xrightarrow{\mathcal{I}} \prod_{i=1}^{\infty} K(\mathbb{Q}, 4i)$, which is the same as defining an element $x \in \prod_{i=1}^{\infty} H^{4i}(G/\text{Top}; \mathbb{Q})$. Should this be

Consider $(\bigoplus_{i=1}^{\infty} \Omega_{4i}(X)) \otimes_{\Omega_{4i}(\text{pt})} \mathbb{Q}$.

$\Omega_{4i}(\text{pt}) = \Omega_{4i} = \text{ordinary bordism theory. } \Omega_{4i} \xrightarrow{\pi} \mathbb{Z}$, defined by $\pi(M) = \#_4 M$, is a well-defined map $\Omega_{4i}(\text{pt}) \rightarrow \mathbb{Z} \subset \mathbb{Q}$, which defines an $\Omega_{4i}(\text{pt})$ -module structure on \mathbb{Q} .

Fact: $(\bigoplus_{i=1}^{\infty} \Omega_{4i}(X)) \otimes_{\Omega_{4i}(\text{pt})} \mathbb{Q} \cong \bigoplus_{i=1}^{\infty} H_{4i}(X; \mathbb{Q})$.

Now a coh. class (at first, with coeffs in \mathbb{Q}), is a homomorphism $\mathcal{I}: \bigoplus_{i=1}^{\infty} H_{4i}(X; \mathbb{Q}) \rightarrow \mathbb{Q}$, i.e. a linear

map $\mathcal{I}: (\bigoplus_{i=1}^{\infty} \Omega_{4i}(X)) \otimes_{\Omega_{4i}(\text{pt})} \mathbb{Q} \rightarrow \mathbb{Q}$.
We define \mathcal{I} on each $\Omega_{4i}(\text{pt})$ and then sum.

One defines \mathcal{I} as desired.

One induces \mathcal{I} on $\Omega_{4i}(\text{pt})$ as follows.

An element of $\Omega_{4i}(G/\text{Top})$ is a pair (M^{4i}, φ) where

An element of $\Omega_{4i}(G/\text{Top})$ is a map, i.e., a bundle $E \xrightarrow{\varphi} M^{4i} \times \mathbb{R}^n$

$\varphi: M^{4i} \rightarrow G/\text{Top}$ is a map, i.e., a bundle $E \xrightarrow{\varphi} M^{4i} \times \mathbb{R}^n$

together with a specific fiber metric from φ .

Take (M, φ) to be (something like) $\langle L(\mathcal{C})L(M), [M] \rangle \in$

coming from the Hirzebruch index formula (this comes

from surgery.)

This defines a (continuous) map

$\mathcal{I}: G/\text{Top} \xrightarrow{\sim} \prod_{i=1}^{\infty} K(\mathbb{Q}, 4i)$.



Next we want δ to be an iso. on $\pi_*(G/\text{top}) \otimes \mathbb{Q}$.

$$\pi_s(G/\text{top}) \otimes \mathbb{Q} = \begin{cases} \mathbb{Q} & \text{if } s = 4/3 \\ 0 & \text{otherwise.} \end{cases}$$

So since we're dealing with rational vector-spaces, we need show only that $\delta_* : \pi_*(G/\text{top}) \otimes \mathbb{Q} \rightarrow \mathbb{Q}$ is surjective, i.e. we must find a divisor E such that $\delta_*(E) \neq 0$.

($E \oplus -E$ is fibre-hitting trivial, so the extra condition is vacuous.) Such an E comes from the Bott periodicity theorem. //

