

# THE EXPONENT OF UNIL

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(Received December 1976)

## INTRODUCTION

CAPPELL[3] HAS introduced obstruction groups for his splitting theorem— $\text{UNil}_k^h(R; M_1, M_2)$  and  $\text{UNil}_{2k}^h(R; M_1, M_2)$ . (Here  $R$  is a ring,  $M_1$  and  $M_2$  are  $R$ -bimodules, and  $k$  is an integer.) He showed they are 2-primary in the geometrically interesting cases. In these cases, we prove the exponent of  $\text{UNil}_{2k}^h(;;)$  divides 4. (See Theorem 1.3.) Our techniques probably give the same result for  $\text{UNil}_{2k+1}^h(;;)$  and  $\text{UNil}_{2k}^h(;;)$ ; we don't attempt this to avoid obscuring our argument with technical details. It occurred to the author, after completing this paper, that a sufficiently general localization theorem in  $L$ -theory would probably yield reasoning as in [9] and [5], a direct proof that 8 annihilates  $\text{UNil}_{2k}^h(;;)$  (for the same cases as above). Ranicki[10] has recently constructed such a localization theorem.

We obtain some additional information about  $L_3(\mathbb{Z}_2 * \mathbb{Z}_2)$ . (See Theorem 4.1.)

## §1. MAIN RESULT

Let  $R$  be a ring with 1 and involution  $r \rightarrow \bar{r}$ , and  $M$  a  $R$ -bimodule with involution also denoted by  $x \rightarrow \bar{x}$  (see e.g. [3]). Let  $\mathcal{F} = (P, \lambda, \mu)$  be a  $(-1)^k$  Hermitian form over  $M$  and  $f: V \times V \rightarrow \mathbb{Z}$  a symmetric (integral valued) bilinear form on a finitely generated, free, abelian group  $V$ . Define  $f\mathcal{F} = (V \otimes P, \lambda', \mu')$  to be a new  $(-1)^k$  Hermitian form over  $M$ . We explain the terms occurring in  $f\mathcal{F}$ . First,  $V \otimes P$  is tensor product with respect to  $\mathbb{Z}$ ;  $V \otimes P$  inherits a right  $R$ -module structure from  $P$ ; clearly,  $V \otimes P$  is a free, finitely generated  $R$ -module. Next, the bilinear pairing  $\lambda'$  is determined by the equation

$$(1) \quad \lambda'(v \otimes x, w \otimes y) = f(v, w)\lambda(x, y)$$

for  $v, w \in V$  and  $x, y \in P$ . Finally, the quadratic map  $\mu'$  is determined by

$$(2) \quad \mu'(v \otimes x) = f(v, v)\mu(x)$$

for  $v \in V$  and  $x \in P$ .

We collect together some notation. Let  $P^* = \text{Hom}_R(P, R)$  and  $\lambda^*: P \rightarrow P^* \otimes_R M$  be the adjoint of  $\lambda$ ; i.e. the composite of the map  $P \rightarrow \text{Hom}_R(P, M)$  defined by  $x \rightarrow \lambda(x, \cdot)$  with the inverse of the canonical isomorphism

$$P^* \otimes_R M \rightarrow \text{Hom}_R(P, M).$$

Similarly, let  $V^* = \text{Hom}(V, \mathbb{Z})$  and define  $f^*: V \rightarrow V^*$  by  $f^*(m) = f(m, \cdot)$ . The following diagram commutes

$$(3) \quad \begin{array}{ccc} V \otimes P & \xrightarrow{(\lambda')^*} & (V \otimes P)^* \otimes_R M \\ & \searrow f^* \otimes \lambda^* & \uparrow \\ & & V^* \otimes (P^* \otimes_R M) \end{array}$$

†The author was partially supported by a grant from the National Science Foundation.

where the vertical map is the canonical isomorphism. Recall  $f$  is non-singular if  $f^*$  is an isomorphism. When  $f$  is non-singular, define

$$f^{-1}: V^* \times V^* \rightarrow \mathbb{Z}$$

by requiring  $(f^{-1})^* = (f^*)^{-1}$ .

Let  $D_{2n}$  denote the dihedral group of order  $2n$ . Fix generators  $\alpha$  and  $\gamma$  for  $D_{2n}$  with  $\alpha^2 = 1 = \gamma^n$  and  $\alpha\gamma\alpha^{-1} = \gamma^{-1}$ ; define  $\beta = \gamma\alpha$ . (Note  $\beta^2 = 1$ .) Let  $\mathcal{L} = (V, f)$  be a  $\mathbb{Z}D_{2n}$ -lattice; i.e.  $V$  is a finitely generated,  $\mathbb{Z}$ -free,  $D_{2n}$ -module and  $f: V \times V \rightarrow \mathbb{Z}$  is a symmetric,  $D_{2n}$ -invariant, non-singular form. Define associated, symmetric, non-singular forms  $f_1, f_2: V \times V \rightarrow \mathbb{Z}$  by

$$(4) \quad f_1(v, w) = f(\alpha v, w), \quad f_2(v, w) = f(\beta v, w)$$

for  $v, w \in V$ . Notice that  $f_1^*$  is the composite of  $f^*$  and multiplication by  $\alpha$ ;  $f_2^*$  the composite of  $f^*$  with multiplication by  $\beta$ . Set  $\mathcal{L}^{-1} = (V^*, f^{-1})$ , then  $\mathcal{L}^{-1}$  is also a  $\mathbb{Z}D_{2n}$ -lattice.

Let  $M_1$  and  $M_2$  be  $R$ -bimodules with involution which are free as left  $R$ -modules,  $\mathcal{C} = (\mathcal{F}_1; \mathcal{F}_2)$  a  $(-1)^k$  UNil form over  $(M_1, M_2)$ , where  $\mathcal{F}_i = (P_i, \lambda_i, \mu_i)$  are  $(-1)^k$  Hermitian forms over  $M_i$  ( $i = 1, 2$ ) with  $P_2 = P_1^*$  (see e.g. [3]). Define a new  $(-1)^k$  UNil form  $\mathcal{L}\mathcal{C} = (\mathcal{F}'_1, \mathcal{F}'_2)$  by  $\mathcal{F}'_1 = f_1\mathcal{F}_1$  and  $\mathcal{F}'_2 = (f^{-1})_2\mathcal{F}_2$ . (To be precise,  $\mathcal{F}'_2$  is the pullback of  $(f^{-1})_2\mathcal{F}_2$  to  $(V \otimes P_1)^*$  via the canonical isomorphism  $(V \otimes P_1)^* \rightarrow V^* \otimes P_1^*$ .) Using (3), we see  $\mathcal{L}\mathcal{C}$  satisfies the nilpotent condition in the definition of a  $(-1)^k$  UNil form. (See [3].)

Recall  $\mathcal{C}$  is a kernel if there exist free summands  $S_i$  of  $P_i$  ( $i = 1, 2$ ) with  $S_2 \subset P_2 = P_1^*$  the annihilator of  $S_1 \subset P_1$ , and with  $\lambda_i|_{S_i} \times S_i$  and  $\mu_i|_{S_i}$  zero; we call the pair  $(S_1, S_2)$  a subkernel for  $\mathcal{C}$ .

LEMMA 1.1. *If either  $\mathcal{C}$  is a kernel or  $\mathcal{L}$  is a split lattice, then  $\mathcal{L}\mathcal{C}$  is a kernel.*

*Proof.* First, assume  $\mathcal{C} = (P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  is a kernel with subkernel  $(S_1, S_2)$  and  $\mathcal{L} = (V, f)$ , then  $(V \otimes S_1, V^* \otimes S_2)$  is a subkernel for  $\mathcal{L}\mathcal{C}$ .

Next, assume  $\mathcal{L}$  is split (see [6], p. 294) and let  $W$  be a Lagrangian in  $V$ ; i.e.  $W$  is a  $D_{2n}$ -submodule such that  $W = W^\perp$  where

$$(5) \quad W^\perp = \{v \in V | f(v, w) = 0 \text{ for all } w \in W\},$$

then  $(W \otimes P_1, f^*W \otimes P_2)$  is a subkernel for  $\mathcal{L}\mathcal{C}$ .

COROLLARY 1.2. *The pairing  $(\mathcal{L}, \mathcal{C}) \mapsto \mathcal{L}\mathcal{C}$  induces a unital  $GW(D_{2n}, \mathbb{Z})$ -module structure on  $\text{UNil}_{2k}^h(R; M_1, M_2)$ .*

(See [6] for the definition of  $GW(\cdot, \cdot)$ .)

In certain cases, Cappell constructs a map from UNil to the Wall surgery group. Namely, let  $R \subset \Lambda_i$  ( $i = 1, 2$ ) be inclusions of rings with identity and involution. Assume that  $\Lambda_i$  has an  $R$ -bimodule with involution decomposition  $\Lambda_i = R \oplus \hat{\Lambda}_i$ ,  $\hat{\Lambda}_i$  a free left  $R$ -module. Let  $\Lambda$  denote the amalgamation ring  $\Lambda_1 *_R \Lambda_2$ , then there is a map

$$(6) \quad \rho: \text{UNil}_{2k}^h(R; \hat{\Lambda}_1, \hat{\Lambda}_2) \rightarrow L_{2k}^h(\Lambda).$$

(See [3].) We now describe the situation of particular interest to us. Let  $H, G_1, G_2$  be finitely presented groups with  $H \subset G_i$  ( $i = 1, 2$ ) and  $\omega_i: G_i \rightarrow \{\pm 1\}$  homomorphisms

with  $\omega_1|H = \omega_2|H$ ; these determine involutions on  $Z[H]$ ,  $Z[G_1]$ ,  $Z[G_2]$ ,  $Z[G]$  where  $G = G_1 *_H G_2$ . Let  $Z[\hat{G}_i]$  denote the  $Z[H]$  subbimodule with involution of  $Z[G_i]$  additively generated by  $g \in G_i - H$ . This fits into the above terminology with  $R = Z[H]$ ,  $\Lambda_i = Z[G_i]$ ,  $\hat{\Lambda}_i = Z[\hat{G}_i]$ , and  $\Lambda = Z[G]$ . But, in this specific situation, Cappell [3] shows the map  $\rho$  of (6) is a monomorphism. We use this fact in proving our main result.

**THEOREM 1.3.** *The exponent of  $\text{UNil}_{2k}^h(Z[H]; Z[\hat{G}_1], Z[\hat{G}_2])$  divides 4 (for all  $k$ ).*

To prove this, we first show that  $\rho$  factors through  $\text{UNil}_{2k}^h(\Lambda; \Lambda, \Lambda)$  which we abbreviate to  $\text{UNil}_{2k}(\Lambda)$ . Let the  $(-1)^k$  UNil form  $\mathcal{C} = (P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  represent an element in  $\text{UNil}_{2k}^h(R; \hat{\Lambda}_1, \hat{\Lambda}_2)$ ; associate to it the  $(-1)^k$  UNil form over  $(\Lambda, \Lambda)$

$$(7) \quad \hat{\mathcal{C}} = (P_1 \otimes_R \Lambda, \hat{\lambda}_1, \hat{\mu}_1; P_2 \otimes_R \Lambda, \hat{\lambda}_2, \hat{\mu}_2)$$

where  $\hat{\lambda}_i$  and  $\hat{\mu}_i$  ( $i = 1, 2$ ) are determined by

$$(8) \quad \begin{aligned} \hat{\lambda}_i(x \otimes s, y \otimes t) &= \bar{s} \lambda_i(x, y) t, \quad \text{and} \\ \hat{\mu}_i(x \otimes s) &= \bar{s} \mu_i(x) s \end{aligned}$$

for  $x, y \in P_i$  and  $s, t \in \Lambda$ . The correspondence  $\mathcal{C} \mapsto \hat{\mathcal{C}}$  induces a homomorphism

$$(9) \quad \hat{\rho}: \text{UNil}_{2k}^h(R; \hat{\Lambda}_1, \hat{\Lambda}_2) \rightarrow \text{UNil}_{2k}(\Lambda).$$

Cappell's procedure for defining  $\rho$  also gives a map

$$\rho': \text{UNil}_{2k}(\Lambda) \rightarrow L_{2k}^h(\Lambda).$$

Namely,  $\rho'$  is determined by associating to a  $(-1)^k$  UNil form  $(P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  over  $(\Lambda, \Lambda)$  a  $(-1)^k$  Hermitian form  $(P, \lambda, \mu)$  over  $\Lambda$  with  $P = P_1 \oplus P_2$  and

$$(10) \quad \begin{aligned} \lambda(x, y) &= \langle x, y \rangle \quad \text{for } x \in P_2 = P_1^*, \quad y \in P_1; \\ \lambda(x, y) &= \lambda_i(x, y) \quad \text{for } x, y \in P_i; \\ \mu(x) &= \mu_i(x) \quad \text{for } x \in P_i. \end{aligned}$$

Thus, we obtain the factorization.

**LEMMA 1.4.** *The map  $\rho$  factors as the composite of  $\hat{\rho}$  with  $\rho'$ .*

Therefore, it suffices to show the exponent of image  $\rho'$  divides 4; for this, we need some more lemmas. Denote the identity of  $D_{2n}$  by  $e$  and the cyclic subgroups generated by  $\alpha, \beta, \gamma$ , and  $e$ , respectively, by  $(\alpha)$ ,  $(\beta)$ ,  $(\gamma)$ , and  $(e)$ ; their inclusion maps into  $D_{2n}$  by  $i, j, k$ , and  $l$ , respectively.

**LEMMA 1.5.** *For each  $r \in \text{GW}((\gamma), \mathbb{Z})$  and  $x \in \text{UNil}_{2k}(\Lambda)$ ,  $k_*(r)x = 0$ .*

*Proof.* Let  $\mathcal{L} = (V, f)$  represent  $r$  and  $\mathcal{C} = (P_1, \lambda_1, \mu_1; P_2, \lambda_2, \mu_2)$  represent  $x$ , then  $k_*r$  is represented by the  $\mathbb{Z}D_{2n}$ -lattice  $(W, g)$  where  $W = V \oplus V$ ,

$$(11) \quad g = \begin{pmatrix} f & 0 \\ 0 & f \end{pmatrix}$$

and  $\alpha, \beta$  act (relative to this decomposition) via the matrices

$$(12) \quad \begin{pmatrix} 0 & e \\ e & 0 \end{pmatrix} \text{ and } \begin{pmatrix} 0 & \gamma \\ \gamma^{-1} & 0 \end{pmatrix},$$

respectively. Then,  $V_1 \otimes P_1$  is a subkernel for  $\mathcal{L}\mathcal{C}$  where  $V_1$  is the first component of  $W$ .

PROPOSITION 1.6. For each  $x \in \text{UNil}_{2k}(\Lambda)$ , there exists an integer  $N_x$  such that for all  $n > N_x$  and every  $r \in \text{GW}((\alpha), \mathbb{Z})$  and  $s \in \text{GW}((\beta), \mathbb{Z})$ ,

$$\rho'(i_*(r)x) = 0 = \rho'(j_*(s)x).$$

PROPOSITION 1.7. When  $n$  is a power of 2,

$$i_*(2) + j_*(2) + k_*(2) - l_*(2) = 4$$

is an equation in  $\text{GW}(D_{2n}, \mathbb{Z})$ .

We postpone the proofs of these propositions to §2 and §3 and complete the proof of Theorem 1.3. As already observed, it suffices to show  $4\rho'(x) = \rho'(4x) = 0$  for all  $x \in \text{UNil}_{2k}(\Lambda)$ . Let  $n$  be a power of 2;  $n > N_x$ . By Proposition 1.7,

$$(13) \quad i_*(2)x + j_*(2)x + k_*(2)x - l_*(2)x = 4x,$$

but Lemma 1.5 shows  $k_*(2)x = 0 = l_*(2)x$ . (Note that  $l$  factors through  $k$ .) Applying  $\rho'$  to (13), we obtain

$$\rho'(i_*(2)x) + \rho'(j_*(2)x) = \rho'(4x).$$

The result now follows from Proposition 1.6.

Remark 1.8. Proposition 1.6 was geometrically motivated by Browder's paper[1] and Lemma 1.5 by the Browder-Levine paper[2].

## §2. PROOF OF PROPOSITION 1.6.

The proof of Proposition 1.6 divides into a few slightly different cases; we prove only one of these (Proposition 1.6') and leave the others to the reader.

PROPOSITION 1.6'. For each  $x \in \text{UNil}_{2k}(\Lambda)$ , there exists an integer  $N_x$  such that for all even integers  $n > N_x$  and every  $r \in \text{GW}((\alpha), \mathbb{Z})$ ,  $\rho'(i_*(r)x) = 0$ .

Proof. Let  $\mathcal{L} = (V, f)$  represent  $r$  and  $\mathcal{C} = (P, \lambda_1, \mu_1; P^*, \lambda_2, \mu_2)$  represent  $x$ . For any even integer  $n = 2m$ ,  $\rho'(i_*(r)x)$  is represented by a  $(-1)^k$  Hermitian form  $(Q, \lambda, \mu)$  with

$$(14) \quad Q = P_1 \oplus P_2 \oplus \cdots \oplus P_n \oplus P_1^* \oplus \cdots \oplus P_n^*$$

where  $P_i = V \otimes P$ . The forms  $\mu$  and  $\lambda$  have certain nice properties; first,  $\mu|P_i^* = 0$  for all  $i$  and  $\mu|P_i = 0$  for  $i \neq m$  and  $n$ . Next, we discuss the properties of  $\lambda$ ; define

forms

$$(15) \quad \begin{aligned} \varphi: V \otimes P \times V \otimes P &\rightarrow \Lambda, \text{ and} \\ \psi: V^* \otimes P^* \times V^* \otimes P^* &\rightarrow \Lambda \end{aligned}$$

by the equations

$$(16) \quad \varphi(v \otimes x, w \otimes y) = f(\alpha r, w) \lambda_1(x, y)$$

for  $v, w \in V$  and  $x, y \in P$ , and

$$(17) \quad \psi(v \otimes x, w \otimes y) = f^{-1}(\alpha r, w) \lambda_2(x, y)$$

for  $v, w \in V^*$  and  $x, y \in P^*$ . Then,  $\lambda$  is described by the equations (where  $x_i \in P_i^*$  and  $y_j \in P_j$ )

$$(18) \quad \begin{aligned} \lambda(x_i, y_j) &= \begin{cases} 0 & \text{if } i \neq j \\ \langle x_i, y_i \rangle & \text{if } i = j \end{cases} \\ \lambda(y_i, y_j) &= \begin{cases} 0 & \text{if } i + j \neq n \\ \varphi(y_i, y_j) & \text{if } i + j = n, \text{ and} \end{cases} \\ \lambda(x_i, x_j) &= \begin{cases} 0 & \text{if } i + j \neq n + 1 \\ \psi(x_i, x_j) & \text{if } i + j = n + 1. \end{cases} \end{aligned}$$

In matrix terminology,  $\lambda$  has the form

$$(19) \quad \begin{pmatrix} A & \pm I \\ I & B \end{pmatrix}$$

where  $I$  is the identity matrix;  $B$  a " $n \times n$ -matrix" with  $\psi$  along the skew diagonal and zero elsewhere; and  $A$  a " $n \times n$ -matrix" with  $\varphi$  along the diagonal above the skew diagonal, also in the bottom, right corner and zero elsewhere.

Since  $\mathcal{C}$  is a UNil form,  $\lambda_1^* \lambda_2^*: P^* \rightarrow P^*$  is nilpotent: i.e. there is an integer  $N'$  such that  $(\lambda_1^* \lambda_2^*)^p = 0$  for all  $p \geq N'$ , hence  $h^p = 0$  for  $p \geq N'$  where  $h = \varphi^* \psi^*$ . Now, if  $m - 1 \geq N'$ , we can construct a subkernel  $S$  for  $(Q, \lambda, \mu)$ ; namely,

$$(20) \quad S = P_1 \oplus \cdots \oplus P_{m-1} \oplus W \oplus P_{m-1}^* \oplus \cdots \oplus P_n^*$$

where it remains to describe  $W$ . To each  $x \in (V \otimes P)^*$ , associate  $x' \in Q$  where the  $i$ -th component  $x'_i$  of  $x'$  is given by the formula

$$(21) \quad x'_i = \begin{cases} 0 & \text{if either } i \leq m \text{ or } i > 3m \\ -\psi^* h^j(x) & \text{if } m < i \leq n, \text{ where } j = i - (m + 1) \\ h^{3m-i}(x) & \text{if } n < i \leq 3m; \text{ i.e.,} \end{cases}$$

$$x' = (0, \dots, -\psi^*(x), \dots, -\psi^* h^{m-1}(x), h^{m-1}(x), \dots, x, 0, \dots);$$

let  $W$  be the submodule consisting of all  $x'$ . A straightforward calculation verifies that  $S$  is a subkernel.

### §3. PROOF OF PROPOSITION 1.7.

Let  $Q$  denote the rational numbers,  $E$ , the equation posited in Proposition 1.7 for  $n = 2'$ , and  $D' = D_{2n}$ . Since Dress ([6], Theorem 5) has shown that the map

$GW(D', \mathbb{Z}) \rightarrow GW(D', \mathbb{Q})$  is a monomorphism, it suffices to verify  $E_r$  in  $GW(D', \mathbb{Q})$ . We proceed by induction on  $r$ ; the case  $D^1$  (the Klein 4-group) can be checked directly and is left to the reader. When  $r \geq 1$ , Wall (see e.g. [12], p. 68) has observed that

$$(22) \quad QD^{r+1} \simeq QD^r \oplus M_2(\mathbb{Q}(\cos \theta))$$

where  $\theta = \pi/n$  and  $M_2(\mathbb{Q}(\cos \theta))$  denotes the  $2 \times 2$ -matrix ring over the field  $\mathbb{Q}(\cos \theta)$ . In this decomposition, the map  $QD^{r+1} \rightarrow QD^r$  is induced by the group homomorphism  $D^{r+1} \rightarrow D^r$  which sends  $\gamma, \alpha$  in  $D^{r+1}$  to  $\gamma, \alpha$ , respectively, in  $D^r$ ; the map  $QD^{r+1} \rightarrow M_2(\mathbb{Q}(\cos \theta))$  is determined by sending

$$(23) \quad \begin{aligned} \alpha &\rightarrow \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \text{ and} \\ \gamma &\rightarrow \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}. \end{aligned}$$

Fröhlich and McEvert[8] have defined for a ring  $R$  with involution a group  $\mathcal{M}(R)$  which reduces to the Witt ring when  $R$  is a field with trivial involution, and for a finite group  $G$ ,  $\mathcal{M}(QG) = GW(G, \mathbb{Q})$ . Applying  $\mathcal{M}()$  to (22), we obtain

$$(24) \quad GW(D^{r+1}, \mathbb{Q}) \simeq GW(D^r, \mathbb{Q}) \oplus \mathcal{M}(M_2(\mathbb{Q}(\cos \theta)));$$

therefore, to verify  $E_{r+1}$ , it suffices that it projects to a valid equation on each factor of (24). One shows, without much difficulty, that  $E_{r+1}$  projects to  $E_r$  on the first factor of (24).

Next, observe that both 4 and  $k_*(2)$  project to 0 in the second factor of (24). Now,  $M_2(\mathbb{Q}(\cos \theta))$  is Morita equivalent (in the standard way) to  $\mathbb{Q}(\cos \theta)$ ; via which, we identify  $\mathcal{M}(M_2(\mathbb{Q}(\cos \theta)))$  to  $\mathcal{M}(\mathbb{Q}(\cos \theta))$ —the ordinary Witt ring of the field  $\mathbb{Q}(\cos \theta)$ . After this identification,  $i_*(2)$  clearly projects to  $4 \in \mathcal{M}(\mathbb{Q}(\cos \theta))$ ; also,  $i_*(2)$  goes to 2, while  $j_*(2)$  projects to the element represented by the form  $(1 + \sin \theta) \perp (1 + \sin \theta)$ . Since 2 is the sum of two squares ( $2 = 1^2 + 1^2$ ),  $(1 + \sin \theta) \perp (1 + \sin \theta)$  and  $(2 + 2 \sin \theta) \perp (2 + 2 \sin \theta)$  represent the same element. But,  $2 + 2 \cos \theta$  is also the sum of two squares in  $\mathbb{Q}(\cos \theta)$ ; namely,

$$(25) \quad 2 + 2 \sin \theta = (\cos \theta)^2 + (1 + \sin \theta)^2.$$

(Note that  $\sin \theta \in \mathbb{Q}(\cos \theta)$  since  $\theta = \pi/2^n$ .) Hence,  $(2 + 2 \sin \theta) \perp (2 + 2 \sin \theta)$  and  $1 \perp 1$  represent the same element in  $\mathcal{M}(\mathbb{Q}(\cos \theta))$ ; namely, 2.

#### §4. EXAMPLE

Let  $D$  be the infinite dihedral group generated by  $\alpha, \gamma$  subject to relations  $\alpha^2 = 1$  and  $\alpha\gamma\alpha^{-1} = \gamma^{-1}$ ,  $D(n)$  the subgroup of index  $n$  generated by  $\alpha$  and  $\gamma^n$ , and  $T_n$  the normal subgroup generated by  $\gamma^n$ . Note  $D(n)$  is isomorphic to  $D$  and  $T_n$  is infinite cyclic;  $T_n \subset D(n) \subset D$ ; denote these inclusions by  $i$  and  $j_n$ , respectively. Equip  $D$  with the trivial homomorphism  $\omega: D \rightarrow \{\pm 1\}$  and let  $Z_2$  denote the cyclic group of order 2. Let  $\beta_n = \gamma^n\alpha$  and  $(\alpha), (\beta_n)$  denote the subgroups of  $D(n)$  generated by these elements. (These subgroups are cyclic of order 2.) Wall ([11], p. 162) shows  $L_3(\mathbb{Z}(\alpha)) = Z_2 = L_3(\mathbb{Z}(\beta_n))$ ; identify the sum of their images in  $L_3(\mathbb{Z}D(n))$  with  $Z_2 \oplus Z_2$ .

THEOREM 4.1. *Either  $L_3(ZD)$  is  $Z_2 \oplus Z_2$  or it is not finitely generated*

We deduce this from two lemmas whose proofs are postponed to the end of this section. When  $j: G \rightarrow H$  is an inclusion where  $G$  is a subgroup with finite index in  $H$ , recall there is a transfer map  $j^*: L_*(ZH) \rightarrow L_*(ZG)$ .

LEMMA 4.2. *To each  $x \in L_3(ZD)$  corresponds an integer  $N_x$  such that*

$$j_p^*(x) \in Z_2 \oplus Z_2$$

*for all primes  $p \geq N_x$ .*

LEMMA 4.3. *When  $p$  is an odd prime,*

$$j_p^* j_{p*}(x) = x + \frac{p-1}{2} i_* i^*(x)$$

*for all  $x \in L_3(ZD(p))$ .*

*Proof of Theorem 4.1.* By [3],

$$(26) \quad L_3(ZD(n)) = Z_2 \oplus Z_2 \oplus \text{UNil}_3(Z)$$

where  $Z$  has the trivial involution. Our proof is by contradiction, hence assume  $\text{UNil}_3(Z)$  is non-zero but finitely generated. Since  $\text{UNil}_3(Z)$  is a quotient group (by definition) of  $\text{UNil}_4(Z[H]; Z[\hat{G}_1], Z[\hat{G}_2])$  for appropriate choices of  $H$ ,  $G_1$ , and  $G_2$ , its exponent divides 4 (Theorem 1.3); in particular,  $L_3(ZD(n))$  is a finite group annihilated by 4. It is well known there are arbitrarily large primes of the form  $8m+1$ , hence there is a prime  $p$  such that

$$(27) \quad \begin{aligned} j_p^*: L_3(ZD) &\rightarrow Z_2 \oplus Z_2 \subset L_3(ZD(p)), \text{ and} \\ (j_p)_*(j_p)_* &= \text{identity}: L_3(ZD(p)) \rightarrow L_3(ZD(p)). \end{aligned}$$

(Use Lemmas 4.2 and 4.3.) But, (27) is self-contradictory.

It remains to discuss Lemmas 4.2 and 4.3. The first can be proven geometrically. Let  $N$  be a 10-dimensional, connected, orientable manifold containing a simply connected (connected), codimension-1 sub-manifold  $M$  which separates  $N$  into two components  $A$  and  $B$  with cyclic fundamental groups of order 2 and universal covers diffeomorphic to  $M \times [0, 1]$ . (Such spaces are easily constructed.) Note that  $\pi_1 N \cong D$  and its universal cover is diffeomorphic to  $M \times \mathbb{R}$ . By Wall ([11], p. 66), each  $x \in L_3(ZD)$  determines a surgery problem

$$(28) \quad \begin{aligned} f: W &\rightarrow N \times [0, 1], \text{ with} \\ f|_{\partial W} &\rightarrow N \times 0 \quad \text{the identity map} \end{aligned}$$

and having obstruction  $x$ . Associated to  $D(p) \subset D$ , we have  $p$ -sheeted covers  $\hat{N}$ ,  $\hat{W}$  and an induced surgery problem

$$(29) \quad \hat{f}: \hat{W} \rightarrow \hat{N} \times [0, 1]$$

with obstruction  $j_p^*(x)$ . Now,  $M$  lifts to  $\hat{N}$  and

$$(30) \quad \hat{f}_+: \partial_+ \hat{W} \rightarrow \hat{N} \times 1$$

splits along  $M$  for all  $p$  sufficiently large by Browder's result [1]. Making  $\hat{f}$  transverse to the rest of  $M \times [0, 1]$  and completing surgery on this membrane, we see that  $j_p^*(x)$  is the sum of elements coming from  $L_3(\mathbb{Z}(\alpha))$  and  $L_3(\mathbb{Z}(\beta_p))$ .

Finally, Lemma 4.3 would be an immediate consequence of the Mackey subgroup property. Dress ([6], p. 302) shows that  $L$ -theory satisfies such a property for finite groups and subgroups. It's probably true for arbitrary groups and subgroups of finite index. In any event, a simple direct argument, similar to that used to prove ([7], Lemma 2.7), can be given for Lemma 4.3; the details are left to the reader.

*Remark 4.4.* Our proof of Theorem 4.1 was motivated by Cappell's paper [4] where he showed that  $L_2(\mathbb{Z}D)$  is not finitely generated.

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