
On Deformations of a Certain Type of Irregular Algebraic Surface

Author(s): Arnold Kas

Source: *American Journal of Mathematics*, Vol. 90, No. 3 (Jul., 1968), pp. 789-804

Published by: The Johns Hopkins University Press

Stable URL: <http://www.jstor.org/stable/2373484>

Accessed: 26/04/2010 03:03

Your use of the JSTOR archive indicates your acceptance of JSTOR's Terms and Conditions of Use, available at <http://www.jstor.org/page/info/about/policies/terms.jsp>. JSTOR's Terms and Conditions of Use provides, in part, that unless you have obtained prior permission, you may not download an entire issue of a journal or multiple copies of articles, and you may use content in the JSTOR archive only for your personal, non-commercial use.

Please contact the publisher regarding any further use of this work. Publisher contact information may be obtained at <http://www.jstor.org/action/showPublisher?publisherCode=jhup>.

Each copy of any part of a JSTOR transmission must contain the same copyright notice that appears on the screen or printed page of such transmission.

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact support@jstor.org.



The Johns Hopkins University Press is collaborating with JSTOR to digitize, preserve and extend access to *American Journal of Mathematics*.

ON DEFORMATIONS OF A CERTAIN TYPE OF IRREGULAR ALGEBRAIC SURFACE.*

By ARNOLD KAS.

In this paper, we consider a compact complex analytic surface M which admits a holomorphic map $\Psi: M \rightarrow R$ onto a non-singular algebraic curve R where Ψ is everywhere regular (i.e., $d\Psi \neq 0$). We call the triple (M, Ψ, R) non-trivial, if $\Psi: M \rightarrow R$ is *not* a holomorphic fibre bundle. In §1 of this paper, we prove that if (M, Ψ, R) is non-trivial, then $\text{genus}(R) > 1$ and $\text{genus}(C_\tau) > 2$, where $C_\tau = \Psi^{-1}(\tau)$, $\tau \in R$.

In §2, we give a new construction of a class of surfaces originally constructed by Kodaira [7]. A Kodaira surface is a surface M which may be represented as a cyclic branched covering $M \rightarrow S \times R$ of a product of non-singular algebraic curves S and R . We assume that S may be represented as an unramified covering $\pi: S \rightarrow R$, and that a group G of automorphisms acting freely on R is given such that each irreducible component in $S \times R$ of the image of the branch locus of $M \rightarrow S \times R$, is equal to the graph of a map $g \circ \pi: S \rightarrow R$, where $g \in G$.

Kodaira has raised the question of determining all “small” deformations of the surface M . The main result of this paper is the following theorem:

THEOREM. *The Kodaira surface M has no obstruction to deformations. Every small deformation of M is induced, in an obvious way, by a deformation of the curve R/G .*

1. Regularly fibred surfaces. By a “surface,” we will mean a compact complex analytic manifold of complex dimension two; hence of topological dimension four.

Definition 1.1. By a “regularly fibred surface,” we mean a surface M together with a holomorphic map $\Psi: M \rightarrow R$ of M onto a non-singular algebraic curve R , such that Ψ is regular at every point of M .

It follows from this definition that for each point $\tau \in R$, $C_\tau = \Psi^{-1}(\tau)$ is a non-singular algebraic curve in M . We will say that the regularly fibred surface $\Psi: M \rightarrow R$ is trivial if every curve C_τ is biholomorphically equivalent

Received March 23, 1967.

*This research was supported by the National Science Foundation under Grant NSF GP 5855.

to a fixed curve C . It follows from a theorem of Grauert and Fischer [3] that if $\Psi: M \rightarrow R$ is a trivial regularly fibred surface, then $\Psi: M \rightarrow R$ is a locally trivial holomorphic fibre bundle.

The following theorem is due in part to Kodaira.

THEOREM 1.1. *Let $\Psi: M \rightarrow R$ be a non-trivial regularly fibred surface. Then $\text{genus}(R) > 1$, and $\text{genus}(C_\tau) > 2$.*

Proof. Let U be the universal covering space of R , and let T be the Teichmüller space of Teichmüller structures on a compact topological surface of genus $g = \text{genus}(C_\tau)$. There exists a holomorphic map $U \rightarrow T$ which maps each point $u \in U$ to a point of T representing the complex analytic structure of $\tau(u)$ ($u \rightarrow \tau(u)$ is the covering map $U \rightarrow R$). By a theorem of Bers [2], T is a bounded open set in a complex number space \mathbf{C}^n . If $\text{genus}(R) \leq 1$, then U is either the Riemann sphere or the complex plane \mathbf{C} , and the holomorphic map $U \rightarrow T$ must be constant by Liouville's theorem. This contradicts the assumption that $\Psi: M \rightarrow R$ is non-trivial; hence $\text{genus}(R) > 1$.

It is obvious that we must have $\text{genus}(C_\tau) > 0$. If $\text{genus}(C_\tau) = 1$, the function $j(\tau) = J(C_\tau)$ is holomorphic (Cf. Kodaira [6], page 575); hence constant, where $J(C_\tau)$ is the elliptic modular invariant of the elliptic curve C_τ . This again contradicts the assumption that $\Psi: M \rightarrow R$ is non-trivial; hence $\text{genus}(C_\tau) > 1$.

Assume that $\text{genus}(C_\tau) = 2$. Then each curve C_τ admits a unique involution with six fixed points $\kappa_\tau: C_\tau \rightarrow C_\tau$ (Cf. Ahlfors [1], page 51). It is not difficult to see that the involutions κ_τ depend holomorphically on $\tau \in R$, and thus determine an involution, $\kappa: M \rightarrow M$. Let N be the quotient of M by the group $\{1, \kappa\}$. N is a projective line bundle over R , and M is a "fibre preserving" 2-sheeted covering of N . Let $\Lambda \subset N$ be the image of the branch curve of $M \rightarrow N$. Clearly Λ intersects each fibre of N in exactly six points. It follows that the irreducible components of Λ are mutually disjoint unramified coverings of R . Now we claim that there exists a finite unramified covering S of R , such that if N^* is the projective line bundle over S induced from N , and if $\Lambda^* \subset N^*$ is the "pull-back" of Λ , then Λ^* consists of six mutually disjoint sections of N^* over S . To see this, we may suppose that some component Λ^1 of Λ is a k -sheeted covering of R , $k > 1$. Let S_1 be a copy of Λ^1 , and let N_1 be the projective line bundle over S_1 induced from N . The "pull-back" of any section of N over R is a section of N_1 over S_1 , and the "pull-back" of Λ^1 consists of k disjoint sections of N_1 over S_1 . Thus if $\Lambda_1 \subset N_1$ is the pull-back of Λ , then the number of com-

ponents of Λ_1 which are sections of N_1 over S_1 is greater than the number of components of Λ which are sections of N over R . It follows that if we continue this procedure a finite number of times, we obtain a covering S satisfying our claim. It is clear that $N^* = S \times \mathbf{P}^1$, and each component of Λ^* is a "constant" section. Let $\Psi^*: M^* \rightarrow S$ be the regularly fibred surface induced from $\Psi: M \rightarrow R$ by the covering map $S \rightarrow R$. M^* is a 2-sheeted covering of $N^* = S \times \mathbf{P}^1$. Thus each fibre C^*_σ , $\sigma \in S$ of M^* is represented as a 2-sheeted covering of \mathbf{P}^1 branched over six points of \mathbf{P}^1 which are independent of $\sigma \in S$. It follows that each fibre C^*_σ is biholomorphically equivalent to a fixed curve C ; hence each fibre C_τ of M is biholomorphically equivalent to C . This contradicts the assumption that $\Psi: M \rightarrow R$ is non-trivial; hence $\text{genus}(C_\tau) > 2$. Q. E. D.

COROLLARY 1.1. *Let $\Psi: M \rightarrow R$ be a non-trivial regularly fibred surface. Then M is a projective algebraic surface.*

Proof. Clearly, there exists a non-constant meromorphic function on M induced from R . Therefore, by a well-known theorem of Kodaira [5], either M is a projective algebraic surface or M contains an elliptic curve. By Theorem 1.1, M may not contain an elliptic curve; hence M is a projective algebraic surface. Q. E. D.

2. Kodaira surfaces. The only examples of non-trivial regularly fibred surfaces that we know are of a very special type constructed by Kodaira [7]. Before giving a definition, we will explicitly construct a number of examples.

Let R be a non-singular algebraic curve of genus > 1 . Let $G \neq \{1\}$ be a finite group of automorphisms acting freely on R , and let $r > 1$ be an integer such that r divides the order of G . Let S be a finite unramified covering of R with the covering map $\pi: S \rightarrow R$. To each element $g \in G$, we let $(g\pi)_*: H_*(S, \mathbf{Z}) \rightarrow H_*(R, \mathbf{Z})$ be the homomorphism on homology groups induced by the covering $g\pi: S \rightarrow R$.

Definition 2.1. We say that the quadruple (R, G, r, S) is admissible if the following condition is satisfied: (A) for every $\alpha \in H_1(S, \mathbf{Z})$, $\sum_{g \in G} (g\pi)_* \alpha \equiv 0 \pmod{r}$, where for $\beta \in H_1(R, \mathbf{Z})$, $\beta \equiv 0 \pmod{r}$ means that there exists $\gamma \in H_1(R, \mathbf{Z})$ with $\beta = r\gamma$.

Let (R, G, r, S) be an admissible quadruple. If $g \in G$, we denote by Γ_g , the graph in $S \times R$ of the map $g\pi: S \rightarrow R$.

THEOREM 2.1. *Let (R, G, r, S) be an admissible quadruple. There exists a cyclic r -sheeted branched covering $\Phi: M \rightarrow S \times R$ such that:*

1) if $\Delta \subset M$ is the branch locus defined locally by the equation:

$$\text{jacobian}(\Phi) = 0, \text{ then } \Phi(\Delta) = \bigcup_{g \in G} \Gamma_g;$$

2) if $p \in S \times R$, then $\Phi^{-1}(p)$ consists of either r points or 1 point, i. e. all of the sheets "come together" at the branch locus.

Proof. Let $\Gamma = \sum_{g \in G} \Gamma_g$. Let $[\Gamma]$ be the holomorphic line bundle on $S \times R$ determined by the divisor Γ . Assume for the moment that there exists a holomorphic line bundle L on $S \times R$ such that $[\Gamma] = rL$ (the group of line bundles is written additively). Let (f_{jk}) be a system of transition functions defining the line bundle L with respect to a covering $\{U_j\}$ of $S \times R$. Let ξ_j be a local fibre coordinate in the space of $L|U_j$ such that if $u \in U_j \cap U_k$, then (u, ξ_j) and (u, ξ_k) represent the same point of L if and only if $\xi_j = f_{jk}(u)\xi_k$. Let ϕ be a section of $[\Gamma]$ over $S \times R$ such that divisor $(\phi) = \Gamma$. ϕ may be represented by a system of holomorphic functions (ϕ_j) such that ϕ_j is defined on U_j , and such that if $u \in U_j \cap U_k$, $\phi_j(u) = f_{jk}(u)^r \phi_k(u)$. We define M to be the subvariety of the total space of L such that M is defined in each piece $L|U_j$ by the equation: $\xi_j^r = \phi_j(u)$ (Cf. Wavrik [10], page 11). It is easily verified that M is a non-singular surface, and that together with the natural projection $\Phi: M \rightarrow S \times R$, the conditions in the theorem are satisfied.

It remains to be proved that there exists a holomorphic line bundle L on $S \times R$ such that $[\Gamma] = rL$. Let \mathcal{F} be the group of holomorphic line bundles on $S \times R$, and let P be the Picard (group) variety of $S \times R$. Let $H^{1,1}(S \times R, \mathbf{Z})$ be the subgroup of $H^2(S \times R, \mathbf{Z})$ consisting of those elements whose image in $H^2(S \times R, \mathbf{R})$ is represented under the De Rham isomorphism, by a form of type (1.1). It is well known that there exists an exact sequence:

$$0 \rightarrow P \rightarrow \mathcal{F} \xrightarrow{c} H^{1,1}(S \times R, \mathbf{Z}) \rightarrow 0$$

where $c: \mathcal{F} \rightarrow H^{1,1}(S \times R, \mathbf{Z})$ sends each line bundle $F \in \mathcal{F}$ to its Chern class $c(F) \in H^{1,1}(S \times R, \mathbf{Z})$. Since P is a complex torus; hence an infinitely divisible group, it is clear that a line bundle F is divisible by r in \mathcal{F} if and only if $c(F)$ is divisible by r in $H^{1,1}(S \times R, \mathbf{Z})$. Moreover, $c(F)$ is divisible by r in $H^{1,1}(S \times R, \mathbf{Z})$ if and only if $c(F)$ is divisible by r in $H^2(S \times R, \mathbf{Z})$. Since $H^2(S \times R, \mathbf{Z})$ contains no torsion, we need only show that the value of $c([\Gamma])$ on any 2-dimensional homology class by the Kronecker product \langle, \rangle is divisible by r . Using the Kunneth formula:

$$H_2(S \times R, \mathbf{Z}) = H_2(S) \otimes H_0(R) + H_0(S) \otimes H_2(R) + H_1(S) \otimes H_1(R),$$

we will check the value of $c([\Gamma])$ on each of the three summands separately. Fix points $z \in S$, and $w \in R$. Clearly $H_2(S) \otimes H_0(R)$ is generated by the homology class of $S \times w$, and $H_0(S) \otimes H_2(R)$ is generated by the homology class of $z \times R$. It is well known that by Poincaré duality in $S \times R$, $c([\Gamma])$ is "dual" to the homology class of the divisor $\Gamma = \sum_{g \in G} \Gamma_g$. It follows that the value of $c([\Gamma])$ on the homology class of $S \times w$ is equal to the intersection number of $S \times w$ and $\Gamma = \sum_{g \in G} \Gamma_g$, which is divisible by r since r divides the order of G . By a similar argument, the value of $c([\Gamma])$ on the homology class of $z \times R$ is divisible by r . Finally, let $\alpha \in H_1(S, \mathbf{Z})$ and $\beta \in H_1(R, \mathbf{Z})$. We let $D_X: H_i(X) \rightarrow H^{n-i}(V)$ denote Poincaré duality in any manifold X^n . Then it is easy to verify the formula:

$$\langle c([\Gamma]), \alpha \times \beta \rangle = \langle D_{S \times R}(\sum_{g \in G} \Gamma_g), \alpha \times \beta \rangle = - \langle D_R(\beta), \sum (g\pi)_* \alpha \rangle.$$

It follows from assumption (A) that $\langle c([\Gamma]), \alpha \times \beta \rangle \equiv 0 \pmod{r}$. Q. E. D.

Example 1. The simplest example of an admissible quadruple (i.e., involving the smallest values for the genera of R and S) is the following:

Let R be a non-singular algebraic curve of genus 3 such that R is a 2-sheeted unramified covering of a curve of genus 2. Let $G = \{1, \rho\}$ where $\rho: R \rightarrow R$ is the involution of sheet interchange, and let $r = 2$. We may choose a basis $\{\beta_1, \beta_2, \dots, \beta_6\}$ of $H_1(R, \mathbf{Z})$ such that that induced map $\rho_*: H_1(R, \mathbf{Z}) \rightarrow H_1(R, \mathbf{Z})$ operates on this basis in the following way:

$$\begin{aligned} \rho_*(\beta_i) &= \beta_i, & i &= 1, 2 \\ \rho_*(\beta_3) &= \beta_4 \\ \rho_*(\beta_4) &= \beta_3 \\ \rho_*(\beta_5) &= \beta_6 \\ \rho_*(\beta_6) &= \beta_5. \end{aligned}$$

Let $\lambda: H_1(R, \mathbf{Z}) \rightarrow \mathbf{Z}_2 \oplus \mathbf{Z}_2$ be the homomorphism which sends $\beta = \sum m_\nu \beta_\nu \in H_1(R, \mathbf{Z})$ to $([m_3 + m_4], [m_5 + m_6]) \in \mathbf{Z}_2 \oplus \mathbf{Z}_2$ where $[m] =$ the residue class of m modulo 2. Let $\pi: S \rightarrow R$ be the 4-sheeted unramified covering of R determined by λ such that the sequence:

$$0 \rightarrow \pi_*(H_1(S, \mathbf{Z})) \rightarrow H_1(R, \mathbf{Z}) \rightarrow \mathbf{Z}_2 \oplus \mathbf{Z}_2 \rightarrow 0$$

is exact. It is easy to see that the quadruple (R, G, r, S) is admissible.

Example 2. Let R be any non-singular algebraic curve on which a finite group $G \neq \{1\}$ acts freely. Let $r > 1$ be any integer which divides the order of

G , and let $\pi: S \rightarrow R$ be the finite unramified covering such that the sequence: $0 \rightarrow \pi_*(H_1(S, \mathbf{Z})) \rightarrow H_1(R, \mathbf{Z}) \rightarrow H_1(R, \mathbf{Z}_r) \rightarrow 0$ is exact, where the last homomorphism is determined by the coefficient homomorphism $\mathbf{Z} \rightarrow \mathbf{Z}_r$. Clearly $\pi_*(\alpha) \equiv 0 \pmod{r}$ for every $\alpha \in H_1(S, \mathbf{Z})$. Thus (R, G, r, S) is an admissible quadruple.

More generally, let R be a non-singular algebraic curve of genus > 1 , G a finite group of automorphisms of R which acts freely on R , and $\pi: S \rightarrow R$ a finite unramified covering of R . As above, we let $\Gamma_g \subset S \times R$ be the graph of the map $g\pi: S \rightarrow R$ if $g \in G$.

Definition 2.2. By a "Kodaira surface" we mean a compact complex analytic surface M such that there exists a holomorphic map $\Phi: M \rightarrow S \times R$ which makes M a r -sheeted cyclic branched covering of $S \times R$ and which satisfies the conditions:

(1) if $p \in S$, then $\Phi^{-1}(p)$ contains either r points or 1 point (i.e., all of the sheets "come together" at the branch locus).

(2) If Δ is an irreducible component of the branch locus of $\Phi: M \rightarrow S \times R$, then $\Phi(\Delta) = \Gamma_g$ for some $g \in G$.

Remark. Let $K = \{g \in G \mid \Gamma_g = \Phi(\Delta) \text{ for some component } \Delta\}$. By replacing the covering map π by $g \circ \pi: S \rightarrow R$ where $g \in K$, we may assume that K contains $1_R: R \rightarrow R$. Moreover, we may assume that G is generated by K .

Let $P_S: M \rightarrow S$ and $P_R: M \rightarrow R$ be holomorphic maps such that the diagram:

$$\begin{array}{ccccc}
 & & M & & \\
 & \swarrow & \downarrow \Phi & \searrow & \\
 P_S & & & & P_R \\
 & \swarrow & \downarrow & \searrow & \\
 & P_1 & S \times R & P_2 & \\
 S & \longleftarrow & & \longrightarrow & R
 \end{array}$$

is commutative, where P_1 and P_2 are the projections of $S \times R$ onto the first and second factors respectively. If M is a Kodaira surface, it is obvious that $P_S: M \rightarrow S$ and $P_R: M \rightarrow R$ are both non-trivial regularly fibred surfaces. We know of no other examples of non-trivial regularly fibred surfaces.

For a compact complex analytic manifold X , let $h^{1,0}(X)$ = the dimension of the space of holomorphic 1-forms on X . It is well known that if X

is an algebraic manifold, $h^{1,0}(X) = \frac{1}{2}b_1(X) = \dim H^1(X, \mathcal{O})$ where $b_1(X)$ is the first betti number of X , and \mathcal{O} is the sheaf of germs of holomorphic functions on X .

THEOREM 2.2. *Let M be a Kodaira surface. Using the notation of Definition 2.2, let $g_S = \text{genus}(S)$ and $g_R = \text{genus}(R)$. Then*

$$h^{1,0}(M) = h^{1,0}(S \times R) = g_S + g_R.$$

Proof. We will prove that $b_1(M) = b_1(S \times R)$. Consider the continuous fibre bundle $P_S: M \rightarrow S$, and fix a base point $o \in S$. There exists a natural action of $\pi_1(S)$ on $P_S^{-1}(o)$ defined as follows: given a loop, $\alpha: [0, 1] \rightarrow S$, $\alpha(0) = \alpha(1) = o$, and a point $p \in P_S^{-1}(o)$, choose a path

$$\alpha': [0, 1] \rightarrow P_R^{-1}(P_R(p))$$

which “covers” α . α' is uniquely determined for an everywhere dense set of points $p \in P_S^{-1}(o)$ (at least if α is “reasonable”). Define $h_\alpha(p)$ to be the end point of the path α' . Clearly h_α depends only on the homotopy class of α ; hence we obtain a homomorphism $\alpha \rightarrow h_\alpha$ from $\pi_1(S)$ to the covering transformation group of $P_S^{-1}(o) \rightarrow R$. Moreover, since $P_R^{-1}(q)$ is connected for every point $q \in R$, it follows that the homomorphism $\alpha \rightarrow h_\alpha$ is surjective. On the other hand, since $P_S: M \rightarrow S$ is a continuous fibre bundle, there is a natural action of $\pi_1(S)$ on the homology groups $H_*(P_S^{-1}(o))$ obtained by “deforming cycles.” It is easy to see that for $\alpha \in \pi_1(S)$, the action of α on $H_*(P_S^{-1}(o))$ obtained by “deforming cycles over α ,” is the same as that induced by the automorphism $h_\alpha: P_S^{-1}(o) \rightarrow P_S^{-1}(o)$.

By an argument using the spectral sequence of the fibering $P_S: M \rightarrow S$, it can be shown that:

$$b_1(M) \leq b_1(S) + \dim H_0(S, H_1(P_S^{-1}(z), Q))$$

where the last group is a homology group with local coefficients in $H_1(P_S^{-1}(z), Q)$, $z \in S$, and $Q =$ the rational numbers. By the definition of homology with local coefficients, and by the fact that the action of $\pi_1(S)$ on $H_1(P_S^{-1}(o), Q)$ can be factored through a finite group, it follows that

$$H_0(S, H_1(P_S^{-1}(z), Q)) \cong H_1(P_S^{-1}(o), Q)^t,$$

the subspace of $H_1(P_S^{-1}(o), Q)$ invariant under the action of $\pi_1(S)$. Since $\pi_1(S)$ acts on $P_S^{-1}(o)$ as the full covering transformation group of $P_S^{-1}(o) \rightarrow R$, we have $H_1(P_S^{-1}(o), Q)^t \cong H_1(R, Q)$; hence we obtain the inequality $b_1(M) \leq b_1(S) + b_1(R) = b_1(S \times R)$. On the other hand, as

M is a covering of $S \times R$, we obviously have $b_1(M) \geq b_1(S \times R)$; hence $b_1(M) = b_1(S \times R)$. Q. E. D.

3. The Sheaves $\Phi_*^{(\lambda)}\mathcal{O}$ and $\Phi_*^{(\lambda)}\mathcal{O}$. Let \mathcal{O}_X be the sheaf of germs of holomorphic functions on a complex analytic manifold X , and let \mathcal{O}_X be the sheaf of germs of holomorphic vector fields on X . We may omit the subscript X if there is no possibility of confusion. Using the notation of § 2, let M be a Kodaira surface, and let $\rho: M \rightarrow M$ be a generator of the cyclic covering transformation group \mathcal{G} of the covering $\Phi: M \rightarrow S \times R$. ρ induces maps $\rho^*: \mathcal{O}_M \rightarrow \mathcal{O}_M$ and $\rho_*: \mathcal{O}_M \rightarrow \mathcal{O}_M$ which "cover" the automorphism $\rho: M \rightarrow M$. Explicitly, if $f \in \mathcal{O}_{M,p}$ is the germ of a holomorphic function at $p \in M$, then $\rho^*f = f \circ \rho^{-1} \in \mathcal{O}_{M, \rho(p)}$. If $\theta \in \mathcal{O}_{M,p}$, then $\rho_*\theta \in \mathcal{O}_{M, \rho(p)}$.

Definition 3.1. By a \mathcal{G} -invariant covering of M , we mean a covering $\mathcal{U} = \{U\}$ of M by open sets such that: (i) if $U \in \mathcal{U}$, then $g(U) \in \mathcal{U}$ for every $g \in \mathcal{G}$; (ii) if $U \in \mathcal{U}$ and $g \in \mathcal{G}$, then either $U = g(U)$ or U and $g(U)$ are disjoint.

It is obvious that every open covering of M may be refined to a \mathcal{G} -invariant covering. Hence the cohomology groups of M with coefficients in any sheaf are equal to the direct limit of "Čech" cohomology groups taken with respect to \mathcal{G} -invariant coverings.

For a \mathcal{G} -invariant covering \mathcal{U} of M , let $C^q(M, \mathcal{U}; \mathcal{O})$ and $C^q(M, \mathcal{U}; \mathcal{O})$ by the groups of q -cochains on M with respect to the covering \mathcal{U} and with coefficients in the sheaves \mathcal{O} and \mathcal{O} respectively. The maps $\rho^*: \mathcal{O} \rightarrow \mathcal{O}$ and $\rho_*: \mathcal{O} \rightarrow \mathcal{O}$ induce in an obvious way, linear maps $\rho^\#: C^q(M, \mathcal{U}; \mathcal{O}) \rightarrow C^q(M, \mathcal{U}; \mathcal{O})$ and $\rho_\# : C^q(M, \mathcal{U}; \mathcal{O}) \rightarrow C^q(M, \mathcal{U}; \mathcal{O})$ respectively. It is easy to verify that these maps commute with the coboundary operations and with the "refinement maps," and therefore they induce linear maps $\rho^\dagger: H^q(M, \mathcal{O}) \rightarrow H^q(M, \mathcal{O})$ and $\rho_\dagger: H^q(M, \mathcal{O}) \rightarrow H^q(M, \mathcal{O})$. Since the endomorphisms ρ^\dagger and ρ_\dagger have finite order, the vector spaces $H^q(M, \mathcal{O})$ and $H^q(M, \mathcal{O})$ split into direct sums of eigenspaces. Thus:

$$H^q(M, \mathcal{O}) = \bigoplus_{\lambda^l=1} H^q(M, \mathcal{O})^\lambda$$

$$H^q(M, \mathcal{O}) = \bigoplus_{\lambda^l=1} H^q(M, \mathcal{O})^\lambda$$

where $H^q(M, \mathcal{O})^\lambda$ [$H^q(M, \mathcal{O})^\lambda$] is the eigenspace of $H^q(M, \mathcal{O})$ [$H^q(M, \mathcal{O})$] with respect to ρ^\dagger [ρ_\dagger] corresponding to the eigenvalue λ .

For each \mathcal{G} -invariant covering \mathcal{U} of M , let $C^q(M, \mathcal{U}; \mathcal{O})^\lambda$ [$C^q(M, \mathcal{U}; \mathcal{O})^\lambda$] be the $\rho^\#$ [$\rho_\#$] eigenspace of $C^q(M, \mathcal{U}; \mathcal{O})$ [$C^q(M, \mathcal{U}; \mathcal{O})$] corresponding to the

eigenvalue λ . Let $H^q(M, \mathcal{U}; \mathcal{O})^\lambda$ [$H^q(M, \mathcal{U}; \mathcal{O})^\lambda$] be the cohomology groups of the complex $\{C^q(M, \mathcal{U}; \mathcal{O})^\lambda\}$ [$\{C^q(M, \mathcal{U}; \mathcal{O})^\lambda\}$]. It is easy to verify that:

$$H^q(M, \mathcal{O})^\lambda = \text{dir lim } H^q(M, \mathcal{U}; \mathcal{O})^\lambda$$

$$H^q(M, \mathcal{O})^\lambda = \text{dir lim } H^q(M, \mathcal{U}; \mathcal{O})^\lambda$$

where the direct limit is taken over all \mathcal{G} -invariant coverings of M .

Consider the direct image sheaves $\Phi_* \mathcal{O}_M$ and $\Phi_* \mathcal{O}_M$ on $S \times R$. The maps $\rho^*: \mathcal{O}_M \rightarrow \mathcal{O}_M$ and $\rho_*: \mathcal{O}_M \rightarrow \mathcal{O}_M$ induce automorphisms (which we denote by the same symbols) $\rho^*: \Phi_* \mathcal{O}_M \rightarrow \Phi_* \mathcal{O}_M$ and $\rho_*: \Phi_* \mathcal{O}_M \rightarrow \Phi_* \mathcal{O}_M$ respectively, covering the identity on $S \times R$.

Definition 3.2.

$$\Phi_*^{(\lambda)} \mathcal{O} = \{f \in \Phi_* \mathcal{O}_M \mid \rho^* f = \lambda f\}$$

$$\Phi_*^{(\lambda)} \mathcal{O} = \{\theta \in \Phi_* \mathcal{O}_M \mid \rho_* \theta = \lambda \theta\}$$

(Compare Grothendieck [4]).

We describe these sheaves explicitly. If $p \in S \times R$, we distinguish two cases:

(i) p is not contained in the image of the branch locus of $\Phi: M \rightarrow S \times R$. Let $\Phi^{-1}(p) = \{q_1, q_2, \dots, q_r\}$ where the points q_i are indexed by integers modulo r and arranged in such a way that $\rho(q_i) = q_{i+1}$. Then,

$$(\Phi_*^{(\lambda)} \mathcal{O})_p = \{(f_1, f_2, \dots, f_r) \mid f_i \in \mathcal{O}_{M, q_i}, f_i = \lambda f_{i+1}\}$$

$$(\Phi_*^{(\lambda)} \mathcal{O})_p = \{(\theta_1, \theta_2, \dots, \theta_r) \mid \theta_i \in \mathcal{O}_{M, q_i}, \rho_* \theta_i = \lambda \theta_{i+1}\}.$$

(ii) p is contained in the image of the branch locus of $\Phi: M \rightarrow S \times R$. Let $q = \Phi^{-1}(p)$. Then,

$$(\Phi_*^{(\lambda)} \mathcal{O})_p = \{f \in \mathcal{O}_{M, q} \mid f = \lambda f\rho\}$$

$$(\Phi_*^{(\lambda)} \mathcal{O})_p = \{\theta \in \mathcal{O}_{M, q} \mid \rho_* \theta = \lambda \theta\}.$$

Let $\mathcal{U} = \{U\}$ be a \mathcal{G} -invariant covering of M , and let $\Phi(\mathcal{U}) = \{\Phi(U)\}$ be the covering of $S \times R$ consisting of the sets $\{\Phi(U) \mid U \in \mathcal{U}\}$. It is immediately obvious that:

$$C^q(M, \mathcal{U}; \mathcal{O})^\lambda \cong C^q(S \times R, \Phi(\mathcal{U}); \Phi_*^{(\lambda)} \mathcal{O})$$

$$C^q(M, \mathcal{U}; \mathcal{O})^\lambda \cong C^q(S \times R, \Phi(\mathcal{U}); \Phi_*^{(\lambda)} \mathcal{O}).$$

The following theorem is clear from the above remarks.

THEOREM 3.1.

$$H^q(M, \mathcal{O}) \cong \bigoplus_{\lambda'=1} H^q(S \times R, \Phi_*^{(\lambda)} \mathcal{O})$$

$$H^q(M, \mathcal{O}) \cong \bigoplus_{\lambda'=1} H^q(S \times R, \Phi_*^{(\lambda)} \mathcal{O}).$$

4. Deformations of Kodaira surfaces. We will prove a series of lemmas describing the structure of the sheaves $\Phi_*^{(\lambda)} \mathcal{O}$ and $\Phi_*^{(\lambda)} \mathcal{O}$.

LEMMA 4.1. *The sheaves $\Phi_*^{(\lambda)} \mathcal{O}$ and $\Phi_*^{(\lambda)} \mathcal{O}$ are locally free.*

Proof. We need only consider the restriction of these sheaves to a neighborhood of a point $p = \Phi(q) \in S \times R$ where $q \in M$ lies on the branch locus. We may choose local coordinates (\tilde{z}, \tilde{w}) on M with the center at q , and local coordinates (z, w) on $S \times R$ with the center at p such that in terms of these coordinates:

$$\Phi: (\tilde{z}, \tilde{w}) \rightarrow (z, w) = (\tilde{z}^r, \tilde{w})$$

$$\rho: (\tilde{z}, \tilde{w}) \rightarrow (\epsilon^k \tilde{z}, \tilde{w})$$

where $\epsilon = \exp(2\pi i/r)$, $0 < k < r$, and k and r are relatively prime. Let $\lambda = \epsilon^j$, $0 \leq j < r$. Then $(\Phi_*^{(\lambda)} \mathcal{O})_p = \{f \in \mathcal{O}_{M,q} \mid f = \lambda f \circ \rho\}$. Let $f \in (\Phi_*^{(\lambda)} \mathcal{O})_p$. In local coordinates, let $f(\tilde{z}, \tilde{w}) = \sum f_n(\tilde{w}) \tilde{z}^n$. The condition that $f = \lambda f \circ \rho$ becomes:

$$\sum f_n(\tilde{w}) \tilde{z}^n = \sum f_n(\tilde{w}) \epsilon^{nk+j} \tilde{z}^n.$$

It follows that $f(\tilde{z}, \tilde{w})$ must have the form:

$$f(\tilde{z}, \tilde{w}) = z^h \sum f_n(\tilde{w}) \tilde{z}^{rn} = \tilde{z}^h g(z, w)$$

where $0 \leq h < r$, $hk \equiv -j$ modulo r . The correspondence $f(\tilde{z}, \tilde{w}) \rightarrow g(z, w)$ clearly determines an isomorphism $\Phi_*^{(\lambda)} \mathcal{O} \mid U \cong \mathcal{O}_{S \times R} \mid U$ for a suitably small neighborhood U of p . Hence the sheaf $\Phi_*^{(\lambda)} \mathcal{O}$ is locally free. By a similar argument, the sheaf $\Phi_*^{(\lambda)} \mathcal{O}$ is also locally free.

LEMMA 4.2. $\Phi_*^{(1)} \mathcal{O} = \mathcal{O}_{S \times R}$.

Proof. Obvious.

Let $L^{(\lambda)}$ be a holomorphic line bundle on $S \times R$ such that $\Phi_*^{(\lambda)} \mathcal{O} \cong \mathcal{O}(L^{(\lambda)})$, the sheaf of germs of holomorphic sections of $L^{(\lambda)}$.

LEMMA 4.3. *Let $\lambda = \epsilon^j \neq 1$, where $\epsilon = \exp(2\pi i/r)$, $0 < j < r$. Then*

$$\binom{r}{j, r} L^{(\lambda)} = - \sum_{g \in K} \binom{h_g}{j, r} [\Gamma_g]$$

(Cf. *Definition 2.2*), where h_g is an integer, $0 < h_g < r$, and where (j, r) is the greatest common divisor of j and r .

Proof. Let $\mathcal{A} = \mathcal{O}(-\sum_{g \in K} (\frac{h_g}{(j, r)}) [\Gamma_g])$. We identify \mathcal{A} with the sheaf of germs of holomorphic functions on $S \times R$ whose divisors are multiples of the divisor $\sum_{g \in K} (\frac{h_g}{(j, r)}) \Gamma_g$. For each point $p \in S \times R$ we define an isomor-

phism $\sigma_p: \Phi_*^{(\lambda)} \mathcal{O}_p \otimes \frac{r}{(j, r)} \cong \mathcal{A}_p$ as follows:

(i) if $p \notin \Gamma_g$, $g \in K$, let $\Phi^{-1}(p) = \{q_1, \dots, q_r\}$, $\rho(q_i) = q_{i+1}$ (Cf. § 3).

Let $f^{(1)} \otimes f^{(2)} \otimes \dots \otimes f^{(m)} \in (\Phi_*^{(\lambda)} \mathcal{O})_p \otimes^m$, where $m = \frac{r}{(j, r)}$, and let

$$f^{(i)} = (f_1^{(i)}, f_2^{(i)}, \dots, f_r^{(i)}), f_i^{(i)} = \lambda f_{i+1}^{(i)} \circ \rho.$$

Then it is easy to verify that $\prod_{i=1}^m f_i^{(i)} = (\prod_{i=1}^m f_{i+1}^{(i)}) \circ \rho$; hence $\prod f_i^{(i)} = g \circ \Phi$, $g \in \mathcal{O}_{S \times R, p}$. We let $\sigma_p(f^{(1)} \otimes \dots \otimes f^{(m)}) = g$. σ_p may be extended linearly to an isomorphism $\sigma_p: (\Phi_*^{(\lambda)} \mathcal{O})_p \otimes^m \cong \mathcal{A}_p$. (ii) If $p \in \Gamma_g$, $g \in K$, $p = \Phi(q)$, we choose local coordinates (z, w) at p and (\tilde{z}, \tilde{w}) at q , and we use the notation in the proof of Lemma 4.1. Thus,

$$\Phi: (\tilde{z}, \tilde{w}) \rightarrow (z, w) = (\tilde{z}^r, \tilde{w}), \rho: (\tilde{z}, \tilde{w}) \rightarrow (e^k \tilde{z}, \tilde{w})$$

where $(k, r) = 1$. Let h_g be the unique integer such that $0 < h_g < r$, $kh \equiv -j$ modulo r . Then $(\Phi_*^{(\lambda)} \mathcal{O})_p$ is isomorphic to the germs of functions $f(\tilde{z}, \tilde{w})$ of the form $f(\tilde{z}, \tilde{w}) = \tilde{z}^{h_g} F(z, w)$. If $f^{(1)} \otimes \dots \otimes f^{(m)} \in (\Phi_*^{(\lambda)} \mathcal{O})_p \otimes^m$, where in terms of the local coordinates $f^{(i)} = \tilde{z}^{h_g} F^{(i)}(z, w)$, then

$$\prod_{i=1}^m f^{(i)} = \tilde{z}^{h_g m} \prod_{i=1}^m F^{(i)} = \tilde{z}^{h_g / (j, r)} F(z, w).$$

We let $\sigma_p(f^{(1)} \otimes \dots \otimes f^{(m)}) = \tilde{z}^{h_g / (j, r)} F(z, w) \in \mathcal{A}_p$. It is easy to see that σ_p may be extended linearly to an isomorphism $\sigma_p: (\Phi_*^{(\lambda)} \mathcal{O})_p \otimes^m \cong \mathcal{A}_p$ which does not depend on a choice of coordinates. One can easily verify that the isomorphisms σ_p "fit together" coherently to determine an isomorphism $\sigma: (\Phi_*^{(\lambda)} \mathcal{O}) \otimes^m \cong \mathcal{A}$. The lemma follows immediately from the definition of these sheaves.

Let \mathcal{N}_g be the sheaf, concentrated on Γ_g , of germs of holomorphic sections of the normal bundle of Γ_g in $S \times R$. There is a natural projection $\mathcal{O}_{S \times R} \rightarrow \mathcal{N}_g$. Let Ξ be the kernel of the map $\mathcal{O}_{S \times R} \rightarrow \sum_{g \in K} \mathcal{N}_g$, so that the sequence of sheaves:

$$0 \rightarrow \Xi \rightarrow \mathcal{O} \rightarrow \sum_{g \in K} \mathcal{N}_g \rightarrow 0$$

is exact. Clearly Ξ is the sheaf of germs of holomorphic vector fields on $S \times R$ whose restrictions to each curve Γ_g , $g \in K$, is tangent to Γ_g .

LEMMA 4.4. $\Phi_*^{(1)}\Theta \cong \Xi$.

Proof. It is enough to consider the stalks of these sheaves at a point $p \in \Gamma_g$, $p \in K$. Then, using the notation of the preceding proofs, $(\Phi_*^{(1)}\Theta)_p = \{\theta \in \Theta_{M,g} \mid \rho_*\theta = \theta\}$. In local coordinates, if $\theta = a(\tilde{z}, \tilde{w})\partial/\partial\tilde{z} + b(\tilde{z}, \tilde{w})\partial/\partial\tilde{w}$, then $\rho_*\theta = \epsilon^k a(\epsilon^{-k}\tilde{z}, \tilde{w})\partial/\partial\tilde{z} + b(\epsilon^{-k}\tilde{z}, \tilde{w})\partial/\partial\tilde{w}$. Then the condition that $\rho_*\theta = \theta$ becomes:

$$\begin{cases} \epsilon^k a(\epsilon^{-k}\tilde{z}, \tilde{w}) = a(\tilde{z}, \tilde{w}) \\ b(\epsilon^{-k}\tilde{z}, \tilde{w}) = b(\tilde{z}, \tilde{w}). \end{cases}$$

It is easily verified that these conditions imply:

$$\begin{cases} a(\tilde{z}, \tilde{w}) = \tilde{z}c(z, w) \\ b(\tilde{z}, \tilde{w}) = d(z, w) \end{cases}$$

where $c(z, w)$ and $d(z, w)$ are holomorphic functions of (z, w) . It follows that the holomorphic vector field $\theta = a(\tilde{z}, \tilde{w})\partial/\partial\tilde{z} + b(\tilde{z}, \tilde{w})\partial/\partial\tilde{w}$ is "projectable" and $\Phi_*\theta = rzc(z, w)\partial/\partial w + d(z, w)\partial/\partial w$. Clearly $\Phi_*\theta \in \Xi_p$. It is easily verified that $\theta \rightarrow \Phi_*\theta$ determines an isomorphism $\Phi_*^{(1)}\Theta \cong \Xi$.

LEMMA 4.5. $\Phi_*^{(\lambda)}\Theta \cong (\Phi_*^{(1)}\Theta) \otimes (\Phi_*^{(\lambda)}\mathcal{O})$.

Proof. Obvious.

Let W be the holomorphic vector bundle on $S \times R$ such that $\Xi = \mathcal{O}(W)$. It follows from Lemma 4.5 that $\Phi_*^{(\lambda)}\Theta = \mathcal{O}(W \otimes L^{(\lambda)})$.

THEOREM 4.1. $H^1(M, \Theta) \cong H^1(S \times R, \Xi)$.

Proof. By Theorem 3.1, $H^1(M, \Theta) \cong \bigoplus_{\lambda \neq 1} H^1(S \times R, \Phi_*^{(\lambda)}\Theta)$. By Lemmas 4.4 and 4.5, it is sufficient to prove that $H^1(S \times R, W \otimes L^{(\lambda)}) = 0$ if $\lambda \neq 1$. Let T be the holomorphic tangent bundle on $S \times R$. We have the exact sequence:

$$0 \rightarrow \Phi_*^{(\lambda)}\Theta \rightarrow \Theta_{S \times R} \otimes \Phi_*^{(\lambda)}\mathcal{O} \rightarrow \sum_{g \in K} \mathcal{O}([[\Gamma_g] + L^{(\lambda)}]_{\Gamma_g}) \rightarrow 0$$

and the exact cohomology sequence:

$$\begin{aligned} \cdots \rightarrow \sum_{g \in K} H^0(\Gamma_g, ([\Gamma_g] + L^{(\lambda)})_{\Gamma_g}) &\rightarrow H^1(S \times R, W \otimes L^{(\lambda)}) \\ &\rightarrow H^1(S \times R, T \otimes L^{(\lambda)}) \rightarrow \cdots \end{aligned}$$

Thus it is sufficient to prove:

- (i) $H^0(\Gamma_g, ([\Gamma_g] + L^{(\lambda)})_{\Gamma_g}) = 0$, if $\lambda \neq 1$
 (ii) $H^1(S \times R, T \otimes L^{(\lambda)}) = 0$, $\lambda \neq 1$.

Proof of (i). Obviously $(\Gamma_g^2) = 2 - 2g_s < 0$. By Lemma 4.3, the intersection number $(\Gamma_g + L^{(\lambda)}) \cdot \Gamma_g = (1 - h_g/r)(\Gamma_g^2) < 0$ since $h_g < r$; hence $H^0(\Gamma_g, ([\Gamma_g] + L^{(\lambda)})_{\Gamma_g}) = 0$.

Proof of (ii). Let $\sum z_j$ be a canonical divisor on S , where $z_j \in S$, and let $\sum w_k$ be a canonical divisor on R . Let $C_j' = z_j \times R$ and $C_k'' = S \times w_k$. Then $T = [-\sum C_j'] \oplus [-\sum C_k'']$, and

$$H^1(S \times R, T \otimes L^{(\lambda)}) = H^1(S \times R, [-\sum C_j'] + L^{(\lambda)}) \oplus H^1(S \times R, [-\sum C_k''] + L^{(\lambda)}).$$

We consider each of these summands separately. We have the exact sequence:

$$0 \rightarrow \mathcal{O}([- \sum C_j'] + L^{(\lambda)}) \rightarrow \mathcal{O}(L^{(\lambda)}) \rightarrow \sum_j \mathcal{O}(L^{(\lambda)} | C_j') \rightarrow 0,$$

and the exact cohomology sequence:

$$\cdots \rightarrow \sum H^0(C_j', L^{(\lambda)} | C_j') \rightarrow H^1(S \times R, [-\sum C_j'] + L^{(\lambda)}) \rightarrow H^1(S \times R, L^{(\lambda)}) \rightarrow \cdots$$

By Theorem 2.2, $\dim H^1(M, \mathcal{O}_M) = \dim H^1(S \times R, \mathcal{O}_{S \times R})$. Hence by Theorem 3.1 and Lemma 4.2, we have $H^1(S \times R, L^{(\lambda)}) = 0$. It follows from Lemma 4.3 that the intersection number $(L^{(\lambda)} \cdot C_j') < 0$; hence $H^0(C_j', L^{(\lambda)} | C_j') = 0$. It follows from the exact sequence that $H^1(S \times R, [-\sum C_j'] + L^{(\lambda)}) = 0$ if $\lambda \neq 1$. By a similar argument, $H^1(S \times R, [-\sum C_k''] + L^{(\lambda)}) = 0$ if $\lambda \neq 1$; hence $H^1(S \times R, T \otimes L^{(\lambda)}) = 0$, $\lambda \neq 1$, and the theorem is proved. Q. E. D.

Let P_1 and P_2 denote the projections of $S \times R$ onto S and R respectively. Let $T(S)$, $T(R)$, $T(\Gamma_g)$ denote the tangent bundles of S , R and Γ_g , and let $N(\Gamma_g)$ denote the normal bundle of Γ_g in $S \times R$. We identify the tangent bundle T of $S \times R$ with $P_1^*(T(S)) \oplus P_2^*(T(R))$ in an obvious way, and if $(w, z) \in S \times R$, we identify the fibre $T_{(w, z)}$ with $T_w(S) \oplus T_z(R)$. Let $T|_{\Gamma_g}$ be the restriction of T to Γ_g , and consider the inclusion map $\iota: T(\Gamma_g) \rightarrow T|_{\Gamma_g}$. One easily verifies that under our identifications, if $(w, z) \in \Gamma_g$;

$$\iota(T_{(w, z)}(\Gamma_g)) = \{(u, v) \in T_w(S) \oplus T_z(R) | v = (g\pi)_*(u)\}.$$

We get a splitting, $T|_{\Gamma_g} = T(\Gamma_g) \oplus N(\Gamma_g)$ if we identify $T(\Gamma_g)$ with $\iota(T(\Gamma_g))$ and let $N_{(z, w)}(\Gamma_g) = \{(u, v) \in T_w(S) \oplus T_z(R) | v = -(g\pi)_*(u)\}$. In terms of this splitting, the projection $T|_{\Gamma_g} \rightarrow N(\Gamma_g)$ is defined at each point (w, z) by

$$(u, v) \rightarrow \frac{1}{2}(u - (g\pi)_*^{-1}(v), v - (g\pi)_*(u)),$$

where $(u, v) \in T_w(S) \oplus T_z(R)$.

If X and Y are compact complex-analytic manifolds and $\phi: X \rightarrow Y$ is a holomorphic mapping which makes X an unramified covering of Y , then there are natural maps $\phi_*^{-1}: H^q(Y, \Theta_Y) \rightarrow H^q(X, \Theta_X)$. To describe these maps explicitly, we consider open coverings $\mathcal{U} = \{U_\alpha\}$ of X and $\mathcal{V} = \{V_j\}$ of Y such that there exists a surjective map $\alpha \rightarrow j(\alpha)$ from the index set of \mathcal{U} onto the index set of \mathcal{V} such that for every $U_\alpha \in \mathcal{U}$, $\phi|U_\alpha$ is a biholomorphic map from U_α onto $V_{j(\alpha)}$. If $\xi \in H^q(Y, \Theta_Y)$ is represented by a q -cocycle $(\xi_{j_0 j_1 \dots j_q})$, then $\phi_*^{-1}(\xi) = \tilde{\xi} \in H^q(X, \Theta_X)$ is represented by the q -cocycle $(\tilde{\xi}_{\alpha_0 \alpha_1 \dots \alpha_q})$ such that: $\phi_* \tilde{\xi}_{\alpha_0 \alpha_1 \dots \alpha_q} = \xi_{j(\alpha_0) \dots j(\alpha_q)}$. The following lemma is trivial.

LEMMA 4.6. *The linear maps $\phi_*^{-1}: H^q(Y, \Theta_Y) \rightarrow H^q(X, \Theta_X)$ are injective.*

We return to our Kodaria surface M . Let $W = R/G$ (Cf. *Definition 2.2* and the remark following that definition). We have the unramified coverings:

- (i) $R \rightarrow W = R/G$
- (ii) $S \rightarrow W$, defined by $S \xrightarrow{\pi} R \rightarrow W$
- (iii) $g\pi: S \rightarrow R$.

These coverings give rise to linear maps:

- (i) $\ell_R: H^1(W, \Theta_W) \rightarrow H^1(R, \Theta_R)$
- (ii) $\ell_S: H^1(W, \Theta_W) \rightarrow H^1(S, \Theta_S)$
- (iii) $(g\pi)_*^{-1}: H^1(R, \Theta_R) \rightarrow H^1(S, \Theta_S)$.

It is well known (Künneth formula) and easily verified that $H^1(S \times R, \Theta) \cong H_1(S, \Theta_S) \oplus H^1(R, \Theta_R)$. Let $\sigma: H^1(W, \Theta_W) \rightarrow H^1(S \times R, \Theta)$ be the monomorphism determined by

$$\ell_S \oplus \ell_R: H^1(W, \Theta_W) \rightarrow H^1(S, \Theta_S) \oplus H^1(R, \Theta_R).$$

LEMMA 4.7. $\sigma(H^1(W, \Theta_W)) = H^1(S \times R, \Xi)$.

Proof. We need to prove that the sequence:

$$0 \rightarrow H^1(W, \Theta_W) \xrightarrow{\sigma} H^1(S \times R, \Theta) \xrightarrow{\kappa} \sum_{g \in K} H^1(\Gamma_g, \mathcal{N}_g)$$

is exact. Identifying $H^1(S \times R, \Theta)$ with $H^1(S, \Theta_S) \oplus H^1(R, \Theta_R)$, one easily verifies that the kernel of κ corresponds to

$$\{(\xi, \eta) \in H^1(S, \Theta_S) \oplus H^1(R, \Theta_R) \mid (g\pi)_*^{-1}(\eta) = \xi, \text{ for every } g \in K\}$$

(Cf. our remarks above on the bundle $T \mid \Gamma_g$). It follows that if $(\xi, \eta) \in \text{kernel } \kappa$, then $\eta = g_* \eta$ for every $g \in G$ and $\xi = \pi_*^{-1}(\eta)$. Since η is invariant under G , and $\xi = \pi_*^{-1}(\eta)$, there exists some $\tau \in H^1(W, \Theta_W)$ with $(\xi, \eta) = (\ell_S(\tau), \ell_R(\tau))$. It follows that the sequence is exact.

Let $\{W_t\}_{t \in A}$ be a complex analytic family of curves parametrized by a complex manifold A , such that $W_o = W$ where $o \in A$ is a base point. This family induces in an obvious way a family $\{M_t\}_{t \in A}$ where $M_o = M$.

THEOREM 4.2. *If the complex analytic family of curves $\{W_t\}_{t \in A}$ is complete and effectively parametrized at a point $t_o \in A$, then the family $\{M_t\}$ is complete and effectively parametrized at $t_o \in A$. (For the definitions cf. Kodaira and Spencer [9]).*

Proof. By Theorem 4.1 and Lemma 4.7, we have an isomorphism $H^1(W_{t_o}, \Theta_{t_o}) \cong H^1(M_{t_o}, \Theta_{t_o})$. Let

$$\rho_{t_o}: T_{t_o}(A) \rightarrow H^1(W_{t_o}, \Theta_{t_o}), \quad \rho'_{t_o}: T_{t_o}(A) \rightarrow H^1(M_{t_o}, \Theta_{t_o})$$

be the maps of infinitesimal deformation (cf. [9], page 364). One can easily verify that the diagram

$$\begin{array}{ccc} H^1(W_{t_o}, \Theta_{t_o}) & \cong & H^1(M_{t_o}, \Theta_{t_o}) \\ \rho_{t_o} \swarrow & & \nearrow \rho'_{t_o} \\ & T_{t_o}(A) & \end{array}$$

is commutative. It follows that $\rho'_{t_o}: T_{t_o}(A) \rightarrow H^1(M_{t_o}, \Theta_{t_o})$ is an isomorphism and $\{M_t\}_{t \in A}$ is effectively parametrized at t_o . By a theorem of Kodaira and Spencer [8], the family $\{M_t\}_{t \in A}$ is complete at t_o .

STANFORD UNIVERSITY.

REFERENCES.

-
- [1] L. V. Ahlfors, "The complex analytic structure of the space of closed Riemann surfaces," in *Analytic Functions*, Princeton University Press (1960), pp. 45-66.
 - [2] L. Bers, "Spaces of Riemann surface as bounded domains," *Bulletin of the American Mathematical Society*, vol. 66 (1960), pp. 98-103.
 - [3] W. Fischer and H. Grauert, "Lokal-triviale Familien kompakter komplexer Mannigfaltigkeiten," *Nachrichten der Akademie von Wissenschaften Göttingen Math.-Phys.*, Kl. II (1965), pp. 89-94.

- [4] A. Grothendieck, "Sur le Mémoire de A. Weil: Généralisation des fonctions abéliennes," *Seminaire Bourbaki* 9, Paris (1956), exp. 141.
- [5] K. Kodaira, "On compact complex analytic surfaces, I," *Annals of Mathematics*, vol. 71 (1960), pp. 111-152.
- [6] ———, "On compact analytic surfaces, II," *Annals of Mathematics*, vol. 78 (1963), pp. 563-626.
- [7] ———, "A certain type of irregular algebraic surface," *Journal d'Analyse Mathématique*, vol. 19 (1967), pp. 207-215.
- [8] K. Kodaira and D. C. Spencer, "A theorem of completeness for complex analytic fibre spaces," *Acta Mathematica*, vol. 100 (1958), pp. 281-294.
- [9] ———, "On deformations of complex analytic structures, I," *Annals of Mathematics*, vol. 67 (1957), pp. 328-401.
- [10] J. Wavrik, "Deformations of banach coverings of complex manifolds," *American Journal of Mathematics*, vol. 90 (1968), pp. 926-960.