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# A NOTE ON OBSTRUCTIONS AND CHARACTERISTIC CLASSES.\*

By MICHEL A. Kervaire.

The present paper is a generalization of [7]. Relations will be established between the obstructions associated with cross-sections in a stable  $U(n)$ ,  $SO(n)$  or  $Sp(n)$ -bundle over a complex  $K$  and the characteristic classes of such bundles.

In the  $U(n)$ -case, we obtain as a corollary a theorem of F. Peterson [10] stating that a  $U(n)$ -bundle over a torsion free complex  $K$  of dimension  $\leq 2n$  is trivial if and only if the Chern classes of the bundle vanish.

A similar statement in the  $SO(n)$  or  $Sp(n)$  case, involving the Pontryagin, resp. symplectic Pontryagin classes, would be wrong. In case of an  $SO(n)$  [resp.  $Sp(n)$ ] bundle there are obstructions in  $H^{8s+1}(K; \mathbb{Z}_2)$  and  $H^{8s+2}(K; \mathbb{Z}_2)$  [resp.  $H^{8s+5}(K; \mathbb{Z}_2)$  and  $H^{8s+6}(K; \mathbb{Z}_2)$ ] which are not expressible in terms of characteristic classes of the bundle (see Lemma 4.3 for a precise statement). The information about these obstructions is still very poor.

In [10], F. Peterson deduces his theorem from a computation of the Postnikov decomposition of  $B_{U(n)}$ . We proceed the other way around and obtain the Postnikov decomposition of  $B_{U(n)}$ ,  $B_{SO(n)}$  and  $B_{Sp(n)}$  in the stable range from the main lemma (Lemma 1.1).

I am indebted to J. Milnor, B. Eckmann and A. Borel for their suggestions during the preparation of this paper.

1. Let  $G$  be one of the groups  $U(n)$ ,  $SO(n)$  or  $Sp(n)$ . Let  $\xi$  be a stable principal  $G$ -bundle over a  $CW$ -complex  $K$  (stability means that the homotopy groups  $\pi_{q-1}(G)$  are stable for  $q \leq \dim K$ ). Assume that  $\xi$  admits a cross-section  $f$  over the  $(q-1)$ -skeleton  $K^{(q-1)}$  of  $K$ . Take  $q$  to be even  $= 2r$  if  $G = U(n)$  and  $q$  divisible by 4,  $q = 4k$ , if  $G = SO(n)$  or  $Sp(n)$ . Then, by [4],  $\pi_{q-1}(G) \approx \mathbb{Z}$  in all cases. The obstruction class  $o(\xi, f) \in H^q(K; \pi_{q-1}(G))$  to extending  $f$  over the  $q$ -skeleton can be regarded up to sign as an integer class. Denote by  $c_r(\xi)$ ,  $p_k(\xi)$ ,  $e_k(\xi)$  the Chern class, the Pontryagin or the symplectic Pontryagin class<sup>1</sup> of  $\xi$  in dimension  $q$  according as  $G = U(n)$ ,  $SO(n)$  or  $Sp(n)$  respectively.

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<sup>1</sup> See [3], 9. 6, for the definition.

LEMMA 1.1. *The characteristic classes  $c_r(\xi)$ ,  $p_k(\xi)$ ,  $e_k(\xi)$  are given by the formulae*

- (i)  $c_r(\xi) = (r-1)! \circ(\xi, f)$  if  $\mathbf{G} = \mathbf{U}(n)$ ,
- (ii)  $p_k(\xi) = (2k-1)! a_k \circ(\xi, f)$  if  $\mathbf{G} = \mathbf{SO}(n)$ ,
- (iii)  $e_k(\xi) = (2k-1)! b_k \circ(\xi, f)$  if  $\mathbf{G} = \mathbf{Sp}(n)$ ,

where, as in [6],  $a_k \cdot b_k = 2$  and  $a_k$  is equal to 1 for  $k$  even and to 2 for  $k$  odd.

*Proof.* Let  $\mathbf{G} = \mathbf{U}(n)$ . Denote by  $\xi'$  the associated bundle with fibre  $W_{n,n-r+1} = \mathbf{U}(n)/\mathbf{U}(r-1)$ . Let  $q: \mathbf{U}(n) \rightarrow \mathbf{U}(n)/\mathbf{U}(r-1)$  be the natural projection and  $q'$  the induced map of the total space of  $\xi$  into the total space of  $\xi'$ . The map  $f' = q' \circ f$  is a cross-section of  $\xi'$  restricted to the  $(q-1)$ -skeleton. Denote by  $q_*: \pi_{2r-1}(\mathbf{U}(n)) \rightarrow \pi_{2r-1}(W_{n,n-r+1})$  and

$$q_{**}: H^{2r}(K; \pi_{2r-1}(\mathbf{U}(n))) \rightarrow H^{2r}(K; \pi_{2r-1}(W_{n,n-r+1}))$$

the homomorphisms induced by  $q$ . Clearly,  $q_{**}\circ(\xi, f) = \circ(\xi', f') =$  the obstruction to extending  $f'$  over the  $2r$ -skeleton. In other words  $q_{**}\circ(\xi, f) = c_r(\xi)$ . Identifying  $\pi_{2r-1}(\mathbf{U}(n))$  and  $\pi_{2r-1}(W_{n,n-r+1})$  with  $\mathbf{Z}$  (disregarding signs), we have  $q_{**}u = (r-1)!u$  for any  $u \in H^*(K; \mathbf{Z})$  because  $q_*$  maps a generator of  $\pi_{2r-1}(\mathbf{U}(n))$  onto  $(r-1)!$  times a generator of  $\pi_{2r-1}(W_{n,n-r+1})$  according to [5]. Thus  $c_r(\xi) = q_{**}\circ(\xi, f) = \pm(r-1)! \circ(\xi, f)$ .

The proofs of (ii) and (iii) are entirely similar and are left to the reader (compare also [9]).

2. As a corollary we obtain the

THEOREM 2.1 (F. Peterson). *Let  $\xi$  be a  $\mathbf{U}(n)$ -bundle over a complex  $K$  with  $\dim K \leq 2n$  and assume that  $H^{2r}(K; \mathbf{Z})$  has no torsion except possibly prime to  $(r-1)!$  for  $r=1, 2, \dots$ . Then  $\xi$  is trivial if and only if the Chern classes  $c_1, \dots, c_n$  vanish.*

*Proof.* Half of the statement is trivial. We prove that  $\xi$  is the product bundle provided  $c_1(\xi) = 0$ ,  $c_2(\xi) = 0, \dots, c_n(\xi) = 0$  by stepwise extension of a cross-section in the associated principal bundle  $\xi_P$ .

If  $f$  is a cross-section in  $\xi_P$  restricted to  $K^{(q-1)}$  and  $q$  is odd, there is no obstruction to extending  $f$  to  $K^{(q)}$  since  $\pi_{2i}(\mathbf{U}(n)) = 0$  for  $i < n$  by [4]. Let  $q$  be even:  $q = 2r$ . Then by Lemma 1.1 the obstruction class  $\circ(\xi, f)$  satisfies the identity  $c_r = \pm(r-1)! \circ(\xi, f)$ . Under the assumptions of the theorem this implies  $\circ(\xi, f) = 0$ . It follows (see [11], 34.2) that  $f|K^{(q-2)}$  is extendable over  $K^{(q)}$ . This proves the theorem by induction on  $q$ .

Some information on the obstructions arising in the  $\mathbf{SO}(n)$  and  $\mathbf{Sp}(n)$  cases is given in Lemmas 4.1 and 4.2 below. We need a preliminary lemma.

**3.** Let  $\mathbf{G}$  be any Lie group and  $\mathbf{H}$  a closed subgroup of  $\mathbf{G}$  such that the sequence

$$(3.1) \quad 0 \rightarrow \pi_q(\mathbf{G}/\mathbf{H}) \xrightarrow{\partial} \pi_{q-1}(\mathbf{H}) \xrightarrow{i_*} \pi_{q-1}(\mathbf{G}) \rightarrow 0$$

is exact for some  $q$  (here  $i_*$  is induced by the inclusion  $i: \mathbf{H} \rightarrow \mathbf{G}$ ). Assume that the  $\mathbf{G}$ -bundle  $\xi$  over the complex  $K$  admits a cross-section  $f$  over the  $(q-1)$ -skeleton. Let  $\mathfrak{o}(\xi, f) \in H^q(K; \pi_q(\mathbf{G}))$  be the obstruction class to extending  $f$  over  $K^{(q)}$ . We want to compute  $\delta^*\mathfrak{o}(\xi, f)$ , where  $\delta^*$  is the boundary homomorphism  $\delta^*: H^q(K; \pi_q(\mathbf{G})) \rightarrow H^{q+1}(K; \pi_q(\mathbf{G}/\mathbf{H}))$  of the cohomology exact sequence associated with the coefficient sequence (3.1). (Compare Steenrod [11], 38.5.)

Let  $\xi'$  be the associated bundle with fibre  $\mathbf{G}/\mathbf{H}$ . The cross-section  $f$  induces a cross-section  $f'$  of  $\xi'$  restricted to the  $(q-1)$ -skeleton.

**LEMMA 3.2.** *Under the above exactness assumption of (3.1), the cross-section  $f'$  is always extendable to a cross-section  $F'$  of  $\xi'$  restricted to  $K^{(q)}$ . Let  $\mathfrak{o}(\xi', F') \in H^{q+1}(K; \pi_q(\mathbf{G}/\mathbf{H}))$  be the obstruction class to extending  $F'$  over  $K^{(q+1)}$ . Then  $\delta^*\mathfrak{o}(\xi, f) = \mathfrak{o}(\xi', F')$ .*

*Proof.* Let  $p: \pi_{q-1}(\mathbf{G}) \rightarrow \pi_{q-1}(\mathbf{G}/\mathbf{H})$  be induced by the projection  $\mathbf{G} \rightarrow \mathbf{G}/\mathbf{H}$ , and  $p_*: Z^q(K; \pi_{q-1}(\mathbf{G})) \rightarrow Z^q(K; \pi_{q-1}(\mathbf{G}/\mathbf{H}))$  be the homomorphism induced by the coefficient homomorphism  $p$ . Let  $z \in \mathfrak{o}(\xi, f)$  be the obstruction cocycle to extending  $f$  over  $K^{(q)}$  and  $z'$  be the obstruction cocycle to extending  $f'$  over  $K^{(q)}$ . We have  $p_*z = z'$  and since  $p$  is zero, it follows  $z' = 0$ . In other words,  $f'$  can be extended to a cross-section  $F'$  of  $\xi'$  restricted to  $K^{(q)}$ . The map  $F': K^{(q)} \rightarrow E'$ , where  $E'$  is the total space of  $\xi'$  induces over  $K^{(q)}$  an  $\mathbf{H}$ -bundle  $\eta$  ( $E'$  is the quotient of the total space  $E$  of  $\xi$  by the action of  $\mathbf{H}$  as a subgroup of  $\mathbf{G}$ ).  $f_\eta(x) = (x, f(x))$  for  $x \in K^{(q-1)}$  defines a cross-section of  $\eta$  restricted to  $K^{(q-1)}$  (compare Steenrod [11], 10.2). Let  $u_\eta \in Z^q(K^{(q)}; \pi_{q-1}(\mathbf{H}))$  be the obstruction cocycle to extending  $f_\eta$  over  $K^{(q)}$  and let  $u \in C^q(K; \pi_{q-1}(\mathbf{H}))$  be defined by  $u[\tau] = u_\eta[\tau]$  for every  $q$ -cell  $\tau \in K^{(q)} \subset K$ . Clearly,  $i_{**}u = z$ , where  $i_{**}: C^q(K; \pi_{q-1}(\mathbf{H})) \rightarrow C^q(K; \pi_{q-1}(\mathbf{G}))$  is induced by  $i_*: \pi_{q-1}(\mathbf{H}) \rightarrow \pi_{q-1}(\mathbf{G})$ . The assertion of the lemma can be stated as

$$(3.3) \quad \delta u = \partial_* z',$$

where  $\partial_*: Z^{q+1}(K; \pi_q(\mathbf{G}/\mathbf{H})) \rightarrow Z^{q+1}(K; \pi_{q-1}(\mathbf{H}))$  is induced by  $\partial: \pi_q(\mathbf{G}/\mathbf{H})$

$\rightarrow \pi_{q-1}(\mathbf{H})$ , and  $z'$  is the obstruction to extending  $F'$  over  $K^{(q+1)}$ . (Compare Steenrod [11], 38.5 formula (8).)

Let  $\Phi: B^{q+1} \rightarrow K$  be the attaching map of a  $(q+1)$ -cell  $\sigma$  of  $K$ , and denote by  $\phi$  the restriction of  $\Phi$  to the boundary  $S^q$  of  $B^{q+1}$ . Then  $F' \circ \phi: S^q \rightarrow E'$  induces over  $S^q$  an  $\mathbf{H}$ -bundle whose characteristic map  $\chi = \Delta\alpha$  is the image of the element  $\alpha \in \pi_q(E')$  represented by  $F' \circ \phi$  under the boundary operator  $\Delta: \pi_q(E') \rightarrow \pi_{q-1}(H)$  of the homotopy sequence of  $H \rightarrow E \xrightarrow{\pi} E'$ . Since  $F' \circ \phi(S^q) \subset \rho^{-1}(\Phi B)$ , where  $\rho$  is the projection  $\rho: E' \rightarrow K$  of the bundle  $\xi'$ ,  $F' \circ \phi$  as a map in  $\rho^{-1}(\Phi B)$  represents an element of  $\pi_q(G/H)$  which is equal to  $z'[\sigma]$ . Thus  $j'_*(z'[\sigma]) = \alpha$ , where  $j': \mathbf{G}/\mathbf{H} \rightarrow E'$  is the inclusion of the fibre. On the other hand  $\chi$  is equal to  $u[\phi S^q]$ . Consider the commutative diagram

$$\begin{array}{ccc} \pi_q(\mathbf{G}/\mathbf{H}) & \xrightarrow{\partial} & \pi_{q-1}(\mathbf{H}) \\ \downarrow j'_* & \Delta & \downarrow \text{triple lines} \\ \pi_q(E') & \xrightarrow{\quad} & \pi_{q-1}(\mathbf{H}) \end{array}$$

induced by the bundle map

$$\begin{array}{ccc} \mathbf{G} & \xrightarrow{j} & E \\ p \downarrow & & \downarrow \pi \\ \mathbf{G}/\mathbf{H} & \xrightarrow{j'} & E'. \end{array}$$

We have

$$\partial u[\sigma] = u[\phi S^q] = \Delta\alpha = \Delta j'_*(z'[\sigma]) = \partial_*(z'[\sigma]) = (\partial_* z')[\sigma].$$

Since this is true for any  $(q+1)$ -cell  $\sigma$ , the proof of (3.3) is complete.

4. We apply this to the cases  $\mathbf{G} = \mathbf{SO}(2n)$  and  $\mathbf{G} = \mathbf{Sp}(n)$ ,  $\mathbf{H} = \mathbf{U}(n)$ .

LEMMA 4.1. *Let the stable principal  $\mathbf{SO}(2n)$ -bundle  $\xi$  admit a cross-section  $f$  over the  $(8s+1)$ -skeleton  $K^{(8s+1)}$  of the base complex  $K$ . Let  $\mathfrak{o}(\xi, f) \in H^{8s+2}(K; \mathbf{Z}_2)$  be the obstruction class (compare Bott [4]). The induced cross-section  $f'$  of the associated bundle  $\xi'$  with fibre  $\mathbf{SO}(2n)/\mathbf{U}(n)$  restricted to  $K^{(8s+1)}$  is extendable over  $K^{(8s+2)}$  to a cross-section  $F'$ . One has  $\beta\mathfrak{o}(\xi, f) = \pm \mathfrak{o}(\xi', F')$ , where  $\beta$  is the Bockstein operation and  $\mathfrak{o}(\xi', F')$  is the obstruction class to extending  $F'$  over  $K^{(8s+3)}$ .*

*Proof.* Take  $\mathbf{G} = \mathbf{SO}(2n)$  and  $\mathbf{H} = \mathbf{U}(n)$  in Lemma 3.2. The sequence

$$0 \rightarrow \pi_{8s+2}(\mathbf{SO}(2n)/\mathbf{U}(n)) \rightarrow \pi_{8s+1}(\mathbf{U}(n)) \rightarrow \pi_{8s+1}(\mathbf{SO}(2n)) \rightarrow 0$$

is exact and reads  $0 \rightarrow \mathbf{Z} \rightarrow \mathbf{Z} \rightarrow \mathbf{Z}_2 \rightarrow 0$ . (Compare Bott [4].) The associated coboundary homomorphism  $\delta^*$  is  $\beta$  by definition. Similarly,

**LEMMA 4.2.** *Let the stable principal  $\mathbf{Sp}(n)$ -bundle  $\xi$  admit a cross-section  $f$  over the  $(8s+5)$ -skeleton of the base complex  $K$ . Denote by  $o(\xi, f) \in H^{8s+6}(K; \mathbf{Z}_2)$  the obstruction class.  $f$  induces a cross-section  $f'$  of the associated bundle  $\xi'$  with fibre  $\mathbf{Sp}(n)/\mathbf{U}(n)$  restricted to  $K^{(8s+5)}$ . Then  $f'$  is extendable over the  $(8s+6)$ -skeleton. Let  $F'$  be an extension and  $o(\xi', F') \in H^{8s+7}(K; \mathbf{Z})$  the obstruction class (up to sign). We have  $\beta o(\xi, f) = \pm o(\xi', F')$ .*

*Proof.* The sequence

$$0 \rightarrow \pi_{8s+6}(\mathbf{Sp}(n)/\mathbf{U}(n)) \rightarrow \pi_{8s+5}(\mathbf{U}(n)) \rightarrow \pi_{8s+5}(\mathbf{Sp}(n)) \rightarrow 0$$

is exact and reads  $0 \rightarrow \mathbf{Z} \rightarrow \mathbf{Z} \rightarrow \mathbf{Z}_2 \rightarrow 0$ . (Compare Bott [4].)

Next we show that the Stiefel-Whitney class  $w_q$  of an  $\mathbf{SO}(n)$ -bundle  $\xi$  is in general independent of the obstruction to extending over  $K^{(q)}$  a cross-section of  $\xi$  restricted to  $K^{(q-1)}$ . In fact:

**LEMMA 4.3.** *If there exists a cross-section of the  $\mathbf{SO}(n)$ -bundle  $\xi$  restricted to the  $(q-1)$ -skeleton of the base complex  $K$ ,  $q > 0$  and  $q \neq 2, 4, 8$ , then the Stiefel-Whitney class  $w_q$  of  $\xi$  is zero.*

*Proof.* Let  $o(\xi, f)$  be the cohomology class of the obstruction to extending the given cross-section  $f$  over the  $q$ -skeleton. Let  $p: \mathbf{SO}(n) \rightarrow V_{n, n-q+1} = \mathbf{SO}(n)/\mathbf{SO}(q-1)$  be the natural projection, and

$$p_*: \pi_{q-1}(\mathbf{SO}(n)) \rightarrow \pi_{q-1}(V_{n, n-q+1}),$$

$$p_{**}: H^q(K; \pi_{q-1}(\mathbf{SO}(n))) \rightarrow H^q(K; \pi_{q-1}(V_{n, n-q+1}))$$

the induced homomorphisms. Clearly,  $p_{**}o(\xi, f) = w_q$ . The lemma follows from the fact that  $p_*$  is zero provided  $q \neq 2, 4, 8$ . This is trivial for  $q \equiv 3, 5, 6, 7$  modulo 8 ( $\pi_{q-1}(\mathbf{SO}(n))$  is zero in these cases by [4]). It is also trivial for  $q \equiv 1 \pmod 8$  since  $\pi_0(\mathbf{SO}(n)) = 0$  and  $\pi_{8s}(\mathbf{SO}(n)) = \mathbf{Z}_2$ ,  $\pi_{8s}(V_{n, n-8s}) \approx \mathbf{Z}$  for  $s > 0$ . For  $q \equiv 2 \pmod 8$ ,  $p_* = 0$  follows easily from considering the homotopy exact sequence of  $\mathbf{SO}(n)/\mathbf{SO}(q-1)$ . For  $q \equiv 4 \pmod 8$ ,  $p_* = 0$  was stated and proved in [6], Lemma 3. The case  $q \equiv 0 \pmod 8$  will be treated in a forth coming paper [8].<sup>2</sup>

Using the Lemma 1.1 above, we obtain the  $k$ -invariants of the classifying spaces  $B_{\mathbf{U}(n)}$ ,  $B_{\mathbf{SO}(n)}$ ,  $B_{\mathbf{Sp}(n)}$  in the *stable range*. (For the  $k$ -invariants of

<sup>2</sup> (Added in proof)  $p_* = 0$  also follows from comparison with  $p'_*: \pi_{q-1}(\mathbf{SO}(q)) \rightarrow \pi_{q-1}(S^{q-1})$  as in the proof of Lemma 6.4 below.

$B_{U(n)}$ , compare F. Peterson [10]). We need a few probably well known lemmas about  $k$ -invariants which we derive in the next section.

5. Let  $X$  be a simply connected space and  $X_q \supset X$  such that

- (i)  $(X_q, X)$  is a relative  $CW$ -complex (compare G. W. Whitehead [12]),
- (ii)  $\pi_i(X_q) = 0$  for  $q < i$ ,
- (iii)  $\pi_i(X) \approx \pi_i(X_q)$  for  $i \leq q$  under inclusion.

Then,  $\pi_i(X_q, X) = 0$  for  $i \leq q + 1$  and since  $\pi_1(X) = 0$  by assumption, it follows from the relative Hurewicz theorem that  $H_i(X_q, X) = 0$  for  $i \leq q + 1$ , and  $\pi_{q+1}(X) \approx \pi_{q+2}(X_q, X) \approx H_{q+2}(X_q, X)$ . It follows that  $H^{q+2}(X_q, X; \pi_{q+1}) = \text{Hom}(H_{q+2}(X_q, X), \pi_{q+1})$  contains a fundamental class  $u$  ( $\pi_{q+1}$  denotes  $\pi_{q+1}(X)$  for brevity).

LEMMA 5.1.  $a^*u = k^{q+2} \in H^{q+2}(X_q; \pi_{q+1})$  is the  $(q+2)$ -dimensional  $k$ -invariant of  $X$ , where  $a^*: H^*(X_q, X; \pi_{q+1}) \rightarrow H^*(X_q; \pi_{q+1})$  is induced by the inclusion  $a: (X_q, 0) \rightarrow (X_q, X)$ .

In fact the lemma is a special case of the following definition of the characteristic class.

Let  $p: E \rightarrow B$  be a fibering with  $q$ -connected fibre  $F$ , and let  $i: F \rightarrow E$  be the inclusion of the fibre. Assume that  $E$  (and thus also  $B$ ) is simply connected. By the homotopy exact sequence,  $p_*: \pi_i(E) \rightarrow \pi_i(B)$  is an isomorphism for  $i \leq q$ , and  $p_*: \pi_{q+1}(E) \rightarrow \pi_{q+1}(B)$  is surjective. Therefore,  $\pi_i(B', E) = 0$  for  $i \leq q + 1$ , where  $B'$  is the mapping cylinder of  $p$  ( $B$  and  $B'$  have the same homotopy type). Since  $\pi_1(E) = 0$ , it follows  $H_i(B', E) = 0$  for  $i \leq q + 1$ , and  $\pi_{q+2}(B', E) \approx H_{q+2}(B', E)$ . Thus  $H^{q+2}(B', E; \pi_{q+2}(B', E)) = \text{Hom}(H_{q+2}(B', E), \pi_{q+2}(B', E))$  contains a fundamental class  $u$ .

Define a homomorphism  $\phi: \pi_i(F) \rightarrow \pi_{i+1}(B', E)$  for every  $i$  as follows: If  $f: S^i \rightarrow F$  represents a class  $\alpha \in \pi_i(F)$ , the formula

$$f'(x, t) = (f(x), t),$$

$0 \leq t \leq 1$  defines a mapping of the cone over  $S^i$  into  $B'$ . The boundary of this cone is mapped into  $F \subset E$ . Let  $\phi\alpha$  be the class of  $f'$  in  $\pi_{i+1}(B', E)$ .

LEMMA 5.1'.  $\phi$  is an isomorphism, and  $\phi_*c = a^*u$ , where  $\phi_*$  is induced by the coefficient homomorphism  $\phi: \pi_{q+1}(F) \rightarrow \pi_{q+2}(B', E)$ ;  $c$  is the characteristic class and  $a^*$  is induced by the inclusion  $(B', 0) \subset (B', E)$ .

*Proof.* Consider the diagram

$$\begin{array}{ccccccccc}
 \pi_{i+1}(E) & \longrightarrow & \pi_{i+1}(E, F) & \xrightarrow{\partial} & \pi_i(F) & \xrightarrow{i_*} & \pi_i(E) & \longrightarrow & \pi_i(E, F) \\
 \downarrow \text{id.} & & \downarrow p_* & & \downarrow \phi & & \downarrow \text{id.} & & \downarrow p_* \\
 \pi_{i+1}(E) & \xrightarrow{p_*} & \pi_{i+1}(B) & \xrightarrow{a_*} & \pi_{i+1}(B', E) & \xrightarrow{\partial'} & \pi_i(E) & \xrightarrow{p_*} & \pi_i(B).
 \end{array}$$

It is easily seen from the definition of  $\phi$  that commutativity holds in each square. Since  $p_*$  is an isomorphism,  $\phi$  is an isomorphism by the 5-Lemma.

Let  $\psi: H_i(F) \rightarrow H_{i+1}(B', E)$  be defined similarly to  $\phi$ . The cycle  $z$  being a representative of a class  $\zeta \in H_i(F)$ ,  $\psi\zeta$  is the class in  $H_{i+1}(B', E)$  of the cone over  $z$  in  $B'$ , regarded as a cycle modulo  $E$ . Clearly, the following diagram is commutative:

$$\begin{array}{ccccccccc}
 H_{i+1}(E) & \longrightarrow & H_{i+1}(E, F) & \xrightarrow{\partial} & H_i(F) & \xrightarrow{i_*} & H_i(E) & \longrightarrow & H_i(E, F) \\
 \downarrow \text{id.} & & \downarrow p_* & & \downarrow \psi & & \downarrow \text{id.} & & \downarrow p_* \\
 H_{i+1}(E) & \longrightarrow & H_{i+1}(B) & \xrightarrow{a_*} & H_{i+1}(B', E) & \xrightarrow{\partial'} & H_i(E) & \longrightarrow & H_i(B).
 \end{array}$$

It follows by a standard argument that the dual diagram with coefficient group  $\pi_j(F) = \pi_{j+1}(B', E)$ , identified by  $\phi: \pi_j(F) \rightarrow \pi_{j+1}(B', E)$ , is also commutative. In particular,

$$\begin{array}{ccc}
 H^{q+2}(E, F; \pi_{q+1}) & \xleftarrow{\delta} & H^{q+1}(F; \pi_{q+1}) \\
 \uparrow p^* & & \uparrow \psi^* \\
 H^{q+2}(B; \pi_{q+1}) & \xleftarrow{\alpha^*} & H^{q+2}(B', E; \pi_{q+1})
 \end{array}$$

is commutative ( $\pi_{q+1}$  denotes  $\pi_{q+1}(F)$  identified with  $\pi_{q+2}(B', E)$  by  $\phi$ ). Since  $c$  is characterized by  $p^*c = \delta v$ , where  $v$  is the fundamental class in  $H^{q+1}(F; \pi_{q+1})$ , it remains only to prove that  $\psi^*u = v$ . This is obvious, and the proof of Lemma 5.1' is complete.

Consider the cohomology exact sequence

$$\cdots \rightarrow H^{q+1}(X; \pi_{q+1}) \xrightarrow{\delta} H^{q+2}(X_q, X; \pi_{q+1}) \xrightarrow{\alpha^*} H^{q+2}(X_q; \pi_{q+1}) \rightarrow \cdots$$

Clearly,  $\delta$  annihilates every decomposable element of  $H^{q+1}(X; \pi_{q+1})$ .

In fact, if  $c \in H^{q+1}(X; \pi_{q+1})$  is any class,  $\delta c$  as a homomorphism of  $\pi_{q+1}$  into  $\pi_{q+1}$  is given by

$$(5.2) \quad \delta c[\alpha] = \alpha^*c, \text{ for every } \alpha \in \pi_{q+1},$$

where  $\alpha^*: H^{q+1}(X; \pi_{q+1}) \rightarrow H^{q+1}(S^{q+1}; \pi_{q+1})$  is induced by any map  $S^{q+1} \rightarrow X$  representing  $\alpha$ , and  $H^{q+1}(S^{q+1}; \pi_{q+1})$  is identified with  $\pi_{q+1}$ .



6. As an application of Lemma 1.1 and the preceding remarks, we obtain:

**THEOREM 6.1.** *Let  $X = B_{U(n)}$  and  $\pi_{q+1} = \pi_{q+1}(B_{U(n)}) \approx \pi_q(U(n))$ . Then, for  $i < n$ , we have  $\mathbf{k}^{2i+2} = 0$ , and  $X_{2i+1}$  may be taken equal to  $X_{2i}$ .  $H^{2i+3}(X_{2i+1}; \pi_{2i+2}) = H^{2i+3}(X_{2i+1}; \mathbf{Z})$  is a finite cyclic group of order  $i!$  generated by the  $k$ -invariant  $\mathbf{k}^{2i+3}$ .*

**THEOREM 6.2.** *Let  $X = B_{SO(n)}$  and  $\pi_{q+1} = \pi_{q+1}(B_{SO(n)}) \approx \pi_q(SO(n))$ . Then, in the stable range,  $\mathbf{k}^{4j} = 0$ ,  $\mathbf{k}^{8i-2} = 0$ ,  $\mathbf{k}^{8i-1} = 0$ . One can take  $X_{4j-1} = X_{4j-2}$ ,  $X_{8i-2} = X_{8i-3} = X_{8i-4}$ . The  $k$ -invariants  $\mathbf{k}^{4j+1}$ ,  $\mathbf{k}^{8i+2}$ ,  $\mathbf{k}^{8i+3}$  are different from zero. Specifically, we have exact sequences*

$$(6.2') \quad 0 \rightarrow \mathbf{Z}_{(2j-1)!a_j} \xrightarrow{\bar{a}^*} H^{4j+1}(X_{4j-1}; \pi_{4j}) \rightarrow H^{4j+1}(X; \pi_{4j}) \rightarrow 0$$

which split for  $j \geq 3$ . The  $k$ -invariant  $\mathbf{k}^{4j+1}$  is the image under  $\bar{a}^*$  of a generator of  $\mathbf{Z}_{(2j-1)!a_j}$ . For  $j = 1$  or  $2$ ,  $\mathbf{k}^{4j+1}$  can be halved and  $\frac{1}{2}\mathbf{k}^{4j+1}$  can be chosen so as to project onto  $W^{4j+1} \in H^{4j+1}(X; \pi_{4j})$ . Similarly, the sequences

$$(6.2'') \quad \begin{aligned} 0 \rightarrow \mathbf{Z}_2 &\xrightarrow{a^*} H^{8i+2}(X_{8i}; \pi_{8i+1}) \rightarrow H^{8i+2}(X; \pi_{8i+1}) \rightarrow 0, \\ 0 \rightarrow \mathbf{Z}_2 &\xrightarrow{a^*} H^{8i+3}(X_{8i+1}; \pi_{8i+2}) \rightarrow H^{8i+3}(X; \pi_{8i+2}) \rightarrow 0, \end{aligned}$$

are exact and split.  $\mathbf{k}^{8i+2}$ , resp.  $\mathbf{k}^{8i+3}$  are the images under  $a^*$  of the generator of  $\mathbf{Z}_2$ . ( $\pi_{4j} \approx \mathbf{Z}$ ,  $\pi_{8i+1} \approx \pi_{8i+2} \approx \mathbf{Z}_2$ , by [4].)

**THEOREM 6.3.** *Let  $X = B_{Sp(n)}$  and  $\pi_{q+1} = \pi_{q+1}(B_{Sp(n)}) \approx \pi_q(Sp(n))$ . Then, in the stable range,  $\mathbf{k}^{4j} = 0$ ,  $\mathbf{k}^{8i+2} = 0$ ,  $\mathbf{k}^{8i+3} = 0$ . One can take  $X_{4j-1} = X_{4j-2}$ ,  $X_{8i+2} = X_{8i+1} = X_{8i}$ . The other  $k$ -invariants are non-zero. Precisely,*

$$\begin{aligned} H^{4j+1}(X_{4j-1}; \mathbf{Z}) &\approx \mathbf{Z}_{(2j-1)!b_j} \text{ generated by } \mathbf{k}^{4j+1}, \\ H^{8i-2}(X_{8i-4}; \mathbf{Z}_2) &\approx \mathbf{Z}_2 \text{ generated by } \mathbf{k}^{8i-2}, \\ H^{8i-1}(X_{8i-3}; \mathbf{Z}_2) &\approx \mathbf{Z}_2 \text{ generated by } \mathbf{k}^{8i-1}. \end{aligned}$$

*Proof of Theorem 6.1.* The first assertion is trivial since  $\pi_{2i+1}(B_{U(n)}) = 0$  for  $i < n$ . Consider the cohomology exact sequence

$$H^{2i+2}(X) \xrightarrow{\delta} H^{2i+3}(X_{2i+1}, X) \xrightarrow{a^*} H^{2i+3}(X_{2i+1}) \rightarrow H^{2i+3}(X)$$

with coefficients in  $\pi_{2i+2}(X) \approx \mathbf{Z}$ . Since  $H^*(X) \approx \mathbf{Z}[c_1, \dots, c_n]$ ,  $\deg c_j = 2j$  (see [2], Theorem 21.3), we have  $H^{2i+3}(X) = 0$  and  $H^{2i+2}(X)$  is the direct

sum of  $\pi(i+1)$  copies of  $\mathbf{Z}$ , where  $\pi(i+1)$  is the number of partitions of  $i+1$ . By (5.2) and Lemma (1.1),  $\delta$  is zero on the decomposable elements and  $\delta c_{i+1} = \pm i! \cdot u$ , where  $u$  is the fundamental class in  $H^{2i+3}(X_{2i+1}, X) \approx \mathbf{Z}$ . It follows that  $H^{2i+3}(X_{2i+1})$  is cyclic of order  $i!$ , generated by  $a^*u = k^{2i+3}$ .

*Proof of Theorem 6.2.* The first assertions are trivial since  $\pi_{4j-2}(\mathbf{SO}(n))$ ,  $\pi_{8i-4}(\mathbf{SO}(n))$  and  $\pi_{8i-3}(\mathbf{SO}(n))$  are zero in the stable range (see [4]). Consider the cohomology exact sequence.

$$H^{4j}(X) \xrightarrow{\delta} H^{4j+1}(X_{4j-1}, X) \xrightarrow{a^*} H^{4j+1}(X_{4j-1}) \rightarrow H^{4j+1}(X) \xrightarrow{\delta'}$$

with coefficients in  $\pi_{4j}(B_{\mathbf{SO}(n)}) \approx \mathbf{Z}$ . Since  $H^*(B_{\mathbf{SO}}; \mathbf{R}) = \mathbf{R}[p_1, \dots, p_r, \dots]$ ,  $H^*(B_{\mathbf{SO}(n)}; \mathbf{Z}_2) = \mathbf{Z}_2[w_2, \dots, w_n]$ , and  $B_{\mathbf{SO}(n)}$  has no other torsion than 2-torsion (see [3], Appendix II), every class  $c \in H^*(B_{\mathbf{SO}(n)})$  with  $\deg c < n$  can be written in the form  $c = P(p_1, \dots, p_r) + \beta Q(w_2, \dots, w_s)$ , where  $\beta$  is the Bockstein homomorphism and  $P, Q$  are polynomials. Hence,  $\delta: H^{4j}(X) \rightarrow H^{4j+1}(X_{4j-1}, X)$  kills every element except possibly  $p_j$ . It follows from (5.2) and Lemma (1.1) that  $\delta p_j = \pm (2j-1)! a_j \cdot u$ , where  $u \in H^{4j+1}(X_{4j-1}, X)$  is the fundamental class. If  $c \in H^{4j+1}(X)$ , it has the form  $\beta c'$ , with  $c' \in H^{4j}(X; \mathbf{Z}_2)$ . Thus  $\delta'c = 0$ , except possibly if  $c = \beta w_{4j} = W_{4j+1}$ , and then  $\delta'W_{4j+1} = \beta \delta w_{4j}$ . Since by (5.2)  $\delta w_{4j}$  is the  $4j$ -dimensional Stiefel-Whitney class of the  $\mathbf{SO}(n)$ -bundle induced over  $S^{4j}$  by a map  $S^{4j} \rightarrow B_{\mathbf{SO}(n)}$  representing a generator of  $\pi_{4j}(B_{\mathbf{SO}(n)}) \approx \mathbf{Z}$ , we obtain information about  $\delta w_{4j}$  from the following lemma:

LEMMA 6.4. *Let  $\xi$  be the stable  $\mathbf{SO}(n)$ -bundle induced over  $S^{4j}$  by a map representing a generator of  $\pi_{4j}(B_{\mathbf{SO}(n)}) \approx \mathbf{Z}$ . The Stiefel-Whitney class  $w_{4j}(\xi) \in H^{4j}(S^{4j}; \mathbf{Z}_2) \approx \mathbf{Z}_2$  is different from zero if and only if  $S^{4j-1}$  is parallelizable. (Compare Bott and Milnor [5].)*

Since  $S^{4j-1}$  is parallelizable only for  $j=1, 2$  (see [5] or [6]), it follows that for  $j \geq 3$ , the sequence

$$0 \rightarrow \mathbf{Z}_{(2j-1)!a_j} \xrightarrow{\tilde{a}^*} H^{4j+1}(X_{4j-1}) \rightarrow H^{4j+1}(X) \rightarrow 0,$$

is exact. In order to show that the sequence splits, consider the diagram

$$\begin{array}{ccccccc} H^{4j}(X) & \xrightarrow{\delta} & H^{4j+1}(X_{4j-1}, X) & \xrightarrow{a^*} & H^{4j+1}(X_{4j-1}) & \rightarrow & H^{4j+1}(X) \\ \downarrow \phi_* & & \downarrow \phi_* & & \downarrow \phi_* & & \downarrow \phi_* \\ H_2^{4j}(X) & \xrightarrow{\delta} & H_2^{4j+1}(X_{4j-1}, X) & \xrightarrow{a^*} & H_2^{4j+1}(X_{4j-1}) & \rightarrow & H_2^{4j+1}(X) \end{array}$$

where  $H_2^*$  is the cohomology with coefficients in  $\mathbf{Z}_2$  and  $\phi_*$  is induced by the coefficient epimorphism  $\phi: \mathbf{Z} \rightarrow \mathbf{Z}_2$ . Since  $\phi_* u \neq 0$  and  $\delta$  kills decomposable elements in  $H_2^{4j}(X)$ , it follows that  $\phi_* \mathbf{k}^{4j+1} = 0$  if and only if  $\delta w_{4j} \neq 0$ . Hence, by Lemma 6.4 above,  $\mathbf{k}^{4j+1}$  cannot be halved unless  $j = 1$  or  $2$ . Since  $H^{4j+1}(X; \mathbf{Z})$  is the direct sum of copies of  $\mathbf{Z}_2$ , it follows that every element  $\neq 0$  in  $H^{4j+1}(X; \mathbf{Z})$  is the image of an element of order two in  $H^{4j+1}(X_{4j-1}; \mathbf{Z})$ . Thus (6.2') splits for  $j \geq 3$ .

Now, let  $j = 1$  or  $2$ . Since  $S^3$  and  $S^7$  are parallelizable, it follows that  $\delta w_4 \neq 0$ ,  $\delta w_8 \neq 0$ . Thus  $\mathbf{k}^5$  and  $\mathbf{k}^9$  can be halved. Consider first the case  $j = 1$ : The sequence we are interested in reads

$$H^4(X) \rightarrow H^5(X_3, X) \xrightarrow{a^*} H^5(X_3) \rightarrow H^5(X) \xrightarrow{\delta'} \cdots$$

Since  $H^5(B_{\text{so}(n)}) \approx \mathbf{Z}_2$  generated by  $W_5$ , the only possible value of the projection in  $H^5(X)$  of  $\frac{1}{2}\mathbf{k}^5$  is  $W_5$ . Therefore,  $\delta'$  is trivial also in this case and exactness of (6.2') holds for  $j = 1$ .

Let  $j = 2$ . Consider the sequence

$$H^8(X) \xrightarrow{\delta} H^9(X_7, X) \xrightarrow{a^*} H^9(X_7) \xrightarrow{p^*} H^9(X) \xrightarrow{\delta'} \cdots$$

$H^9(B_{\text{so}(n)}) \approx \mathbf{Z}_2 + \mathbf{Z}_2 + \mathbf{Z}_2$  generated by  $p_1 W_5$ ,  $(W_3)^3$  and  $W_9$ . Let  $h$  be an element in  $H^9(X_7)$  such that  $2h = \mathbf{k}^9$ . Claim:  $p^*h = W_9 + \alpha p_1 W_5 + \beta (W_3)^3$ , where  $\alpha$  and  $\beta$  are remainders mod 2 depending on the choice of  $h$ . This is equivalent to proving  $p^*h \neq \alpha p_1 W_5 + \beta (W_3)^3$ . Since  $p^*$  is an isomorphism in dimensions  $< 8$ , there exist classes  $p'_1$ ,  $W'_5$ ,  $W'_3$  whose projection under  $p^*$  are  $p_1$ ,  $W_5$ ,  $W_3$ . Thus  $\alpha p'_1 W'_5 + \beta (W'_3)^3 \in H^9(X_7)$  is an element of order 2 whose image by  $p^*$  is  $\alpha p_1 W_5 + \beta (W_3)^3$ . If  $p^*h$  were equal to  $\alpha p_1 W_5 + \beta (W_3)^3$ , we would get an element  $h' = h - \alpha p'_1 W'_5 - \beta (W'_3)^3$  with the properties  $p^*h' = 0$  and  $2h' = \mathbf{k}^9$ . Such an element however does not exist. It follows from  $p^*h = W_9 + \text{decomposable elements}$ , that  $\delta W_9 = 0$ . Hence (6.2') is seen to be exact in any case.

To obtain the exactness of (6.2''), consider the cohomology sequence

$$H^{8i+s-1}(X) \xrightarrow{\delta} H^{8i+s}(X_{8i+s-2}, X) \xrightarrow{a^*} H^{8i+s}(X_{8i+s-2}) \xrightarrow{p^*} H^{8i+s}(X) \xrightarrow{\delta'}$$

with coefficients in  $\pi_{8i+s-2}(SO(n)) \approx \mathbf{Z}_2$  for  $s = 2$  or  $3$ .

We have to prove that  $\delta$  and  $\delta'$  are zero. Since  $\delta$  and  $\delta'$  kill decomposable elements, it suffices to prove  $\delta w_{8i+1} = 0$ ,  $\delta w_{8i+2} = 0$ ,  $\delta' w_{8i+2} = 0$ ,  $\delta' w_{8i+3} = 0$ . The first two assertions follow from (5.2), by which

$$\delta w_{8i+s-1} \in H^{8i+s}(X_{8i+s-2}, X; \mathbf{Z}_2) \approx \mathbf{Z}_2$$

is the value  $\alpha^* w_{8i+s-1}[S^{8i+s-1}]$  of the  $(8i+s-1)$ -th Stiefel-Whitney class of the  $\mathbf{SO}(n)$ -bundle over  $S^{8i+s-1}$  induced by a map representing the generator  $\alpha$  of  $\pi_{8i+s-1}(B\mathbf{SO}(n)) \simeq \mathbf{Z}_2$ . Since such a Stiefel-Whitney class vanishes, it follows that  $\delta w_{8i+s-1} = 0$ . To prove  $\delta' w_{8i+s} = 0$ , observe that

$$w_{8i+2} = Sq^2 w_{8i} \text{ for } i \geq 1, \text{ and } w_{8i+3} = Sq^1 w_{8i+2}$$

(see Wu Wen-Tsün [13] and Borel [1]). It follows that

$$\delta' w_{8i+2} = \delta' Sq^2 w_{8i} = Sq^2 \delta w_{8i} = 0, \text{ and similarly } \delta' w_{8i+3} = 0.$$

The splitting of (6.2'') is trivial since every element in  $H^{8i+s}(X_{8i+s-2}; \mathbf{Z}_2)$  has order two.

It remains to prove Lemma 6.4. Let  $\xi'$  be the associated bundle with fibre  $V_{n, n-4j+1} = \mathbf{SO}(n)/\mathbf{SO}(4j-1)$ , and let

$$p_*: H^{4j}(S^{4j}; \pi_{4j-1}(\mathbf{SO}(n))) \rightarrow H^{4j}(S^{4j}; \pi_{4j-1}(V_{n, n-4j+1}))$$

be induced by  $p: \pi_{4j-1}(\mathbf{SO}(n)) \rightarrow \pi_{4j-1}(V_{n, n-4j+1})$ . Identifying  $H^{4j}(S^{4j}; \pi_{4j-1}(\mathbf{SO}(n)))$  with  $\pi_{4j-1}(\mathbf{SO}(n))$ , we clearly have  $p_* \alpha = w_{4j}(\xi)$ , where  $\alpha$  is a generator of  $\pi_{4j-1}(\mathbf{SO}(n))$ . Since  $\pi_{4j-1}(V_{n, n-4j+1}) \simeq \mathbf{Z}_2$ , it follows that  $w_{4j}(\xi)$  is different from zero if and only if  $p: \pi_{4j-1}(\mathbf{SO}(n)) \rightarrow \pi_{4j-1}(V_{n, n-4j+1})$  is surjective. The commutative diagram

$$\begin{array}{ccc} \pi_{4j-1}(\mathbf{SO}(4j)) & \rightarrow & \pi_{4j-1}(\mathbf{SO}(n)) \\ \downarrow p' & & \downarrow p \\ \pi_{4j-1}(S^{4j-1}) & \rightarrow & \pi_{4j-1}(V_{n, n-4j+1}) \end{array}$$

shows that  $p$  is surjective if and only if  $p'$  is; in other words, if and only if  $S^{4j-1}$  is parallelizable.

*Remark.* The proof in [6] can be improved by using the above diagram from which Lemma 2 of [6] follows immediately.

*Proof of Theorem 6.3.* Let  $X = B_{\mathbf{Sp}(n)}$ . Again the first statements of the theorem follow trivially from the results of [4].

Consider the exact sequence

$$H^{4j}(X) \xrightarrow{\delta} H^{4j+1}(X_{4j-1}, X) \xrightarrow{a^*} H^{4j+1}(X_{4j-1}) \rightarrow H^{4j+1}(X)$$

with integer coefficients ( $\pi_{4j}(X) \simeq \mathbf{Z}$  by [4]).

Since  $H^*(B_{\mathbf{Sp}(n)}; \mathbf{Z}) = \mathbf{Z}[K_1, \dots, K_n]$ ,  $\deg K_j = 4j$ , it follows that

$H^{4j+1}(X) = 0$ , and the multiples of  $K_j$  are the only non-decomposable elements of  $H^{4j}(X)$ . By (5.2) and Lemma 1.1,

$$\delta K_j = (2j-1)! b_j \cdot u,$$

where  $u$  is the fundamental class in  $H^{4j+1}(X_{4j-1}, X; \mathbf{Z}) \approx \mathbf{Z}$ .

It follows that  $H^{4j+1}(X_{4j-1})$  is cyclic of order  $(2j-1)! b_j$  generated by  $k^{4j+1}$ . The assertions about  $k^{8i-1}$  and  $k^{8i-2}$  are trivial.

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