The mapping class group and the Meyer function for plane curves

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Abstract For each $d \ge 2$, the mapping class group for plane curves of degree d will be defined and it is proved that there exists uniquely the Meyer function on this group. In the case of d = 4, using our Meyer function, we can define the local signature for four-dimensional fiber spaces whose general fibers are non-hyperelliptic compact Riemann surfaces of genus 3. Some computations of our local signature will be given.

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0 Introduction

Let Σ_g be a closed oriented C^{∞} -surface of genus $g \geq 0$ and let Γ_g be the mapping class group of Σ_g , namely the group of all isotopy classes of orientation preserving diffeomorphisms of Σ_g .

In [12] Meyer discovered and studied a cocycle $\tau_g: \Gamma_g \times \Gamma_g \to \mathbb{Z}$. For the sake of the reader a brief definition of τ_g will be given in Appendix. This cocycle is called *Meyer's signature cocycle*. In his paper Meyer showed that the cohomology class $[\tau_g] \in H^2(\Gamma_g; \mathbb{Z})$ is torsion for g=1,2 and has infinite order for $g\geq 3$, and gave an explicit formula for the unique \mathbb{Q} -valued 1-cochain of Γ_1 cobounding τ_1 using the Rademacher function ([12], p. 259 Satz 4). Since the hyperelliptic mapping class group Γ_g^H , a subgroup of Γ_g , was shown to be \mathbb{Q} -acyclic by Cohen [5] and Kawazumi [9] independently, it was known to specialists that there exists the unique 1-cochain

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of Γ_g^H cobounding τ_g restricted to Γ_g^H . In [7] Endo directly showed the existence and the uniqueness of such a 1-cochain ϕ_g^H : $\Gamma_g^H \to \frac{1}{2g+1}\mathbb{Z}$ using a finite presentation of Γ_g^H by Birman and Hilden [3]. He also defined the local signature for hyperelliptic fibrations using ϕ_g^H , and studied the geometry of hyperelliptic fibrations; for example, he derived a signature formula for such fibrations over a closed surface. His formula originates from Matsumoto [11,Theorem 3.3] where genus 2 fibrations are discussed. For the study of the function ϕ_g^H , see also Morifuji's paper [13].

The purpose of the present paper is to give another interesting example of these phenomena; *the Meyer function on the mapping class group for plane curves*.

For $d \ge 2$ a group $\Pi(d)$ and a homomorphism $\rho \colon \Pi(d) \to \Gamma_g$, where $g = \frac{1}{2}(d-1)(d-2)$, will be constructed. The group $\Pi(d)$ can be considered as the fundamental group of the classifying space for isotopy classes of continuous families of non-singular plane curves of degree d; the precise meaning of this statement will be given in Theorem 6.1 later.

The main results of this paper are Theorems 4.1 and 4.2. As a consequence of them it follows that the pull back $\rho^*[\tau_g]$ vanishes in the rational cohomology $H^2(\Pi(d); \mathbb{Q})$ and there exists the unique 1-cochain $\phi^d: \Pi(d) \to \mathbb{Q}$ such that $\delta \phi^d = \rho^* \tau_g$. ϕ^d will be called *the Meyer function for plane curves of degree d*.

This is similar to the case of Γ_1 , Γ_2 , and Γ_g^H , but we remark that the homomorphism ρ seems no more injective nor surjective. In fact, for d=4 we will see in Proposition 6.3 that ρ is surjective but has non-trivial kernels. In this sense our result is different from the works of Meyer and Endo where *subgroups* of Γ_g are considered.

While they did explicit computations of τ_g for certain relators of the mapping class groups to prove the vanishing of $[\tau_g]$, our method depends on the vanishing of $[\tau_g]$ pulled back to the cohomology of a fundamental group of the complement of a hypersurface in a complex vector space, which will be stated in Proposition 3.1 and proved using the definition of Meyer's signature cocycle and the standard argument in differential topology; the way from Proposition 3.1 to the vanishing of $[\tau_g]$ pulled back to $H^2(\Pi(d); \mathbb{Q})$ are elementary. Since this needs no explicit computations of τ_g , we believe that our method has its own meaning to grasp the conceptual reason of the vanishing of $[\tau_g]$ and can be applied to other cases in the future.

Our study of the vanishing of $\rho^*[\tau_g] \in H^2(\Pi(d); \mathbb{Q})$ has a connection with localization of the signature of four-dimensional fiber spaces, that is a recent hot topic studied in various fields such as topology, algebraic geometry, and complex analysis (see [1,2]).

As an application of our study, especially d=4, we define the local signature for the set of all fiber germs of four-dimensional fiber spaces whose general fibers are non-hyperelliptic compact Riemann surfaces of genus 3 by using our 1-cochain ϕ^4 of $\Pi(4)$. The fact that any non-hyperelliptic compact Riemann surface of genus 3 can be realized as a smooth quartic curve in \mathbb{P}^2 by the canonical embedding, is crucial.

In this case of non-hyperelliptic family of genus 3, Ashikaga and Konno [2] and Yoshikawa [15] have already defined local signature independently. The definition of [2] is algebro geometric and that of [15] is complex analytic. We compute some examples of values of our local signature, defined by topological way, and observe that they coincide with those computed in [2,15].



1 Definitions

Throughout this paper, d denotes a fixed integer ≥ 2 . Let V^d be the complex vector space of homogeneous polynomials of degree d in the determinates x,y, and z, and let $\mathbb{P}(d) = \mathbb{P}(V^d)$ be the projectivization of V^d . By taking the set of monomials $\{x^{\ell(k)}y^{m(k)}z^{n(k)}\}_{k=0}^N$ of degree d, where $N=\frac{1}{2}(d+2)(d+1)-1$, each element of V^d can be uniquely written as the form

$$\Phi = \sum_{k=0}^{N} a_k x^{\ell(k)} y^{m(k)} z^{n(k)},$$

where $a_k \in \mathbb{C}$. We denote the corresponding homogeneous coordinates of $\mathbb{P}(d)$ by $[a_0\colon a_1\colon \cdots\colon a_N]$. Each element $a\in \mathbb{P}(d)$ determines an algebraic curve $C_a\subset \mathbb{P}^2$ of degree d. Later we also denote by C_F the algebraic curve defined by $F\in V^d\setminus\{0\}$. We believe this use of notation does not confuse the reader. Let D be the set of points $a\in \mathbb{P}(d)$ such that the corresponding curve C_a is singular. D is called *the discriminant locus* and is well-known to be irreducible and of codimension 1. For a proof, see also the remark after the proof of Proposition 2.1 in this paper.

There is an action of $GL(3; \mathbb{C})$ on V^d given by

$$(A \cdot F)(x, y, z) = F\left((x, y, z) \cdot {}^t A^{-1}\right),\,$$

where $A \in GL(3; \mathbb{C})$ and $F \in V^d$. Here tA is the transpose of the matrix A. This action induces the action of PGL(3) on $\mathbb{P}(d)$, D, and $\mathbb{P}(d) \setminus D$.

Let $EPGL(3) \to BPGL(3)$ be the universal principal PGL(3) bundle. We denote by $\Pi(d)$ the fundamental group of the Borel construction $(\mathbb{P}(d)\backslash D)_{PGL(3)} = EPGL(3) \times_{PGL(3)} (\mathbb{P}(d)\backslash D)$ and call this group the mapping class group for plane curves of degree d.

For $(e, a) \in EPGL(3) \times (\mathbb{P}(d) \setminus D)$, we denote by [e, a] the element of $(\mathbb{P}(d) \setminus D)_{PGL(3)}$ represented by (e, a). This notation concerning Borel construction will be used several times.

Let $\bar{\mathcal{F}}$ (respectively, \mathcal{F}) be the hypersurface in $\mathbb{P}(d) \times \mathbb{P}^2$ (respectively, $(\mathbb{P}(d) \setminus D) \times \mathbb{P}^2$) defined as the zero set of Φ considered as a bi-homogeneous polynomial in a_0, \ldots, a_N and x, y, z. Then the restriction of the first projection $p \colon \mathcal{F} \to \mathbb{P}(d) \setminus D$ is a family of non-singular plane curves of degree d whose fiber over $a \in \mathbb{P}(d) \setminus D$ is C_a . Since the diagonal action of PGL(3) on $\mathbb{P}(d) \times \mathbb{P}^2$ preserves \mathcal{F} and p is PGL(3)-equivariant, we have a family of Riemann surfaces $p_u \colon \mathcal{F}_{PGL(3)} \to (\mathbb{P}(d) \setminus D)_{PGL(3)}$. We denote the topological monodromy (see Appendix) of this family by $\rho \colon \Pi(d) \to \Gamma_g$, where $g = \frac{1}{2}(d-1)(d-2)$. Note that the genus of a non-singular plane curve of degree d is given by $\frac{1}{2}(d-1)(d-2)$.

In Sect. 4 we will prove that the rational cohomology class $\rho^*[\tau_g] \in H^2(\Pi(d); \mathbb{Q})$ vanishes and compute the abelianization of $\Pi(d)$. In Sect. 6 we will prove that the space $(\mathbb{P}(d)\backslash D)_{PGL(3)}$ is the classifying space of the set of all isotopy classes of continuous families of non-singular plane curves of degree d.



2 The discriminant locus

In this section we investigate the discriminant locus D, which also can be described in terms of dual variety as follows. For generality of dual variety, see [8] or [10]. Let $\mathbb{P}(d)^{\vee}$ be the dual projective space of $\mathbb{P}(d)$, i.e., the space of all hyperplanes of $\mathbb{P}(d)$. We denote by $[\alpha^0 : \alpha^1 : \cdots : \alpha^N]$ the homogeneous coordinates of $\mathbb{P}(d)^{\vee}$ corresponding to the homogeneous coordinates $[a_0 : a_1 : \cdots : a_N]$ of $\mathbb{P}(d)$; $\alpha = [\alpha^0 : \alpha^1 : \cdots : \alpha^N]$ is the hypersurface of $\mathbb{P}(d)$ defined by

$$\alpha^0 a_0 + \alpha^1 a_1 + \dots + \alpha^N a_N = 0.$$

The Veronese embedding $v \colon \mathbb{P}^2 \to \mathbb{P}(d)^{\vee}$ is defined by

$$v([x:y:z]) = \left[x^{\ell(0)} y^{m(0)} z^{n(0)} : \cdots : x^{\ell(N)} y^{m(N)} z^{n(N)} \right].$$

Since the dual of $\mathbb{P}(d)^{\vee}$ is canonically isomorphic to $\mathbb{P}(d)$, each element $a \in \mathbb{P}(d)$ determines the hypersurface of $\mathbb{P}(d)^{\vee}$ which we denote by H_a . We set

$$\mathcal{X}':=\left\{(a,\alpha)\in\mathbb{P}(d)\times\mathbb{P}(d)^{\vee}\;;\;\alpha\in v(\mathbb{P}^2)\;\text{and}\;H_a\;\text{is tangent to}\;v(\mathbb{P}^2)\;\text{at}\;\alpha\right\}.$$

Then the image of \mathcal{X}' by the first projection is just D, i.e., D is the dual variety of $v(\mathbb{P}^2)$.

Let \mathcal{X} be the analytic subset of $\mathbb{P}(d) \times \mathbb{P}^2$ defined by the equations

$$\Phi = \Phi_x = \Phi_y = \Phi_z = 0,$$

where Φ_x is the partial derivative of Φ with respect to x, etc. Thus if (a, p) is a point of \mathcal{X} , then a is a point of D and p is a singular point of C_a . Then we see that $\mathcal{X} \to \mathcal{X}'$, $(a, p) \mapsto (a, v(p))$ is an isomorphism. \mathcal{X}' has the structure of fiber bundle over $v(\mathbb{P}^2)$ whose fiber over $\alpha \in v(\mathbb{P}^2)$ is the set of all hyperplanes in \mathcal{X}' tangent to $v(\mathbb{P}^2)$ at α , which is isomorphic to a (N-3)-dimensional projective space. From this point of view it is clear that \mathcal{X} is non-singular (see also [8], p. 30), but for later consideration we give here an alternative proof using coordinate description.

Proposition 2.1 \mathcal{X} is non-singular.

Proof Let $(a^0, [x_0: y_0: z_0])$ be a point of \mathcal{X} . We will show \mathcal{X} is non-singular at this point. Since the action of PGL(3) on $\mathbb{P}(d) \times \mathbb{P}^2$ preserves \mathcal{X} , we may assume that $[x_0: y_0: z_0] = [0: 0: 1]$. Take a polynomial representative $F \in V^d$ of a^0 , then the coefficient of z^d of F is zero because $[0: 0: 1] \in C_{a^0}$. Moreover, F cannot be written as the form

$$F = (\alpha x + \beta y)z^{d-1},$$

where $(\alpha, \beta) \neq (0, 0)$ because [0: 0: 1] is a singular point of C_{a^0} . Therefore there is a monomial $x^{\ell(k)}y^{m(k)}z^{n(k)}$ which is different from z^d , xz^{d-1} , and yz^{d-1} such that the



coefficient of $x^{\ell(k)}y^{m(k)}z^{n(k)}$ of F is not zero. By a rearrangement of indices we may assume that k=0 and a_1,a_2 , and a_3 correspond to monomials z^d,xz^{d-1} , and yz^{d-1} , respectively. Then setting $a_0=1$ and z=1, we have an inhomogeneous coordinates (a_1,\ldots,a_N,x,y) of $\mathbb{P}(d)\times\mathbb{P}^2$ near $(a^0,[0:0:1])$. In this local coordinate system \mathcal{X} is defined by the equations

$$\Psi = \Psi_x = \Psi_v = 0,$$

where $\Psi = \Phi(1, a_1, \dots, a_N, x, y, 1)$.

Now the Jacobian matrix of (Ψ, Ψ_x, Ψ_y) at $(a^0, [0:0:1])$ is

$$J = \begin{pmatrix} \Psi_{a_1} & \Psi_{a_2} & \Psi_{a_3} & \cdots & \Psi_x & \Psi_y \\ \Psi_{x,a_1} & \Psi_{x,a_2} & \Psi_{x,a_3} & \cdots & \Psi_{xx} & \Psi_{xy} \\ \Psi_{y,a_1} & \Psi_{y,a_2} & \Psi_{y,a_3} & \cdots & \Psi_{yx} & \Psi_{yy} \end{pmatrix}$$
$$= \begin{pmatrix} 1 & 0 & 0 & \cdots & 0 & 0 & 0 \\ 0 & 1 & 0 & \cdots & 0 & \Psi_{xx} & \Psi_{xy} \\ 0 & 0 & 1 & \cdots & 0 & \Psi_{yx} & \Psi_{yy} \end{pmatrix},$$

we see that the rank of J is 3. This shows that \mathcal{X} is non-singular at $(a^0, [0:0:1])$.

Let $\pi: \mathcal{X} \to D \subset \mathbb{P}(d)$ be the first projection. The above proof shows that $(a^0, [0:0:1])$ is a regular point of π if and only if

$$\det\begin{pmatrix} \Psi_{xx} & \Psi_{xy} \\ \Psi_{yx} & \Psi_{yy} \end{pmatrix} \neq 0$$

at $(a^0, [0:0:1])$. By an argument like the Morse lemma, we can take a coordinate system (X, Y) of \mathbb{P}^2 centered at [0:0:1] such that C_{a^0} is locally given by the equation $X^2 + Y^2 = 0$. Thus [0:0:1] is a nodal singularity. This holds for other points of \mathcal{X} ; $(a, p) \in \mathcal{X}$ is a regular point of π if and only if p is a nodal singularity of C_a .

Let E be the union of singular points of D and the π -image of critical points of π . E is a proper analytic subset of D by Sard's theorem.

Here we give a short proof that D is irreducible and of codimension 1. At first, $\mathcal{X} \cong \mathcal{X}'$ is non-singular and connected hence irreducible. Therefore, $D = \pi(\mathcal{X})$ is also irreducible. On the other hand D is at most N-1-dimensional because D is a proper analytic subset of $\mathbb{P}(d)$. Let a be a point of $D \setminus E$ and take a point (a, p) in the fiber $\pi^{-1}(a)$. Then D is smooth around a and the differential of π at (a, p) is of maximal rank N-1. This shows D is indeed N-1-dimensional. Note that E is at most N-2-dimensional.

In the next lemma we shall describe the hyperplane of $\mathbb{P}(d)$ tangent to D at a point in $D \setminus E$.

Lemma 2.2 Let $(a^0, [x_0: y_0: z_0])$ be a point of \mathcal{X} and suppose that $a^0 \in D \setminus E$. Then the hyperplane T_{a^0} tangent to D at a^0 is given by



$$T_{a^0} = \left\{ [\xi_0 \colon \xi_1 \colon \dots \colon \xi_N] \in \mathbb{P}(d) \; ; \; \sum_{k=0}^N \xi_k x_0^{\ell(k)} y_0^{m(k)} z_0^{n(k)} = 0 \right\}.$$

Moreover, $[x_0: y_0: z_0]$ is the unique singular point of C_{a^0} .

Proof To prove the first part, we may assume $a_0^0 = z_0 = 1$ and take an inhomogeneous coordinate system (a_1, \ldots, a_N, x, y) of $\mathbb{P}(d) \times \mathbb{P}^2$ near $(a^0, [x_0 : y_0 : 1])$. Since a^0 is a non-singular point of D and $(a^0, [x_0 : y_0 : 1])$ is a regular point of π , we have $T_{a^0}D = \tilde{\pi}_*(T_{(a^0, [x_0 : y_0 : 1])}\mathcal{X})$, where $\tilde{\pi}_* \colon T_{(a^0, [x_0 : y_0 : 1])}(\mathbb{P}(d) \times \mathbb{P}^2) \to T_{a^0}\mathbb{P}(d)$ is the differential of the first projection $\tilde{\pi} \colon \mathbb{P}(d) \times \mathbb{P}^2 \to \mathbb{P}(d)$ and we regard $T_{(a^0, [x_0 : y_0 : 1])}\mathcal{X}$ (respectively, $T_{a^0}D$) as the subspace of $T_{(a^0, [x_0 : y_0 : 1])}(\mathbb{P}(d) \times \mathbb{P}^2)$ (respectively, $T_{a^0}\mathbb{P}(d)$).

Now the Jacobian matrix J appeared in the proof of Proposition 2.1 has the form

$$J = \begin{pmatrix} x_0^{\ell(1)} y_0^{m(1)} & \cdots & x_0^{\ell(N)} y_0^{m(N)} & 0 & 0 \\ * & \cdots & * & \Psi_{xx} & \Psi_{xy} \\ * & \cdots & * & \Psi_{yx} & \Psi_{yy} \end{pmatrix}$$

at $(a^0, [x_0: y_0: 1])$. The rank of this matrix is 3, because $\det\begin{pmatrix} \Psi_{xx} & \Psi_{xy} \\ \Psi_{yx} & \Psi_{yy} \end{pmatrix} \neq 0$ at $(a^0, [x_0: y_0: 1])$ by $a^0 \notin E$ and there is an index i such that $x_0^{\ell(i)} y_0^{m(i)} \neq 0$. Therefore

$$T_{(a^0,[x_0:\ y_0:\ 1])}\mathcal{X} = \left\{ \sum_{k=1}^N \xi_k \frac{\partial}{\partial a_k} + \xi_{N+1} \frac{\partial}{\partial x} + \xi_{N+2} \frac{\partial}{\partial y} \; ; \; J \begin{pmatrix} \xi_1 \\ \vdots \\ \xi_{N+2} \end{pmatrix} = 0 \right\}$$

and

$$T_{a^0}D = \tilde{\pi}_*(T_{(a^0,[x_0:y_0:1])}\mathcal{X}) = \left\{ \sum_{k=1}^N \xi_k \frac{\partial}{\partial a_k} \; ; \; \sum_{k=1}^N \xi_k x_0^{\ell(k)} y_0^{m(k)} = 0 \right\}.$$

Interpreting this equation in terms of homogeneous coordinates of $\mathbb{P}(d)$, we obtain the desired description of T_{a^0} . The latter statement of the lemma follows from the form of T_{a^0} just proved and the injectivity of the Veronese embedding.

Combining the remark after the proof of Proposition 2.1, we can say more about the curve C_{a^0} :

Lemma 2.3 Let $a^0 \in D \setminus E$ and $[x_0: y_0: z_0]$ be as in Lemma 2.2. Then $[x_0: y_0: z_0]$ is a nodal singularity of C_{a^0} , and C_{a^0} is irreducible except for d=2. Thus if $d \geq 3$ the topological type of C_{a^0} is Lefschetz singular fiber of type I, that is obtained by pinching a non-separating simple closed curve on Σ_g into a point.



Proof We only have to show the irreducibility of C_{a^0} for $d \ge 3$. If C_{a^0} is reducible it has two irreducible components C_1 and C_2 with degrees d_1 and d_2 , and they intersect transversely at one point. We have $d_1d_2 = 1$ by Bézout's theorem, but this contradicts to $d_1 + d_2 = d \ge 3$.

The projective space $\mathbb{P}(d)$ can be regarded as the set of all complex lines through the origin in V^d . Let \widetilde{D} (respectively, \widetilde{E}) be the union of all lines in D (respectively, E). In the coordinate system (a_0, \ldots, a_N) of V^d , the tangent space of \widetilde{D} at $F \in \widetilde{D} \setminus \widetilde{E}$ is given by

$$T_F \widetilde{D} = \left\{ \sum_{k=0}^{N} \xi_k \frac{\partial}{\partial a_k} \; ; \; \sum_{k=0}^{N} \xi_k x_0^{\ell(k)} y_0^{m(k)} z_0^{n(k)} = 0 \right\},$$

where $[x_0: y_0: z_0]$ is the singular point of C_F . This follows from Lemma 2.2.

We shall prove a useful lemma which will be used in the next two sections. Let $\widetilde{\mathcal{F}}$ be the family of algebraic curves over $V^d \setminus \{0\}$ defined as in the case of $\overline{\mathcal{F}}$ over $\mathbb{P}(d)$.

Lemma 2.4 Let B be a C^{∞} -manifold of dimension $s \geq 2$ and $j: B \to V^d$ a C^{∞} -map such that $j(B) \subset V^d \setminus \widetilde{E}$ and j is transverse to \widetilde{D} . Then the total space $j^*\widetilde{\mathcal{F}}$ of the pull back of the family $\widetilde{\mathcal{F}}$ by j is a C^{∞} -manifold.

Proof $j^*\widetilde{\mathcal{F}}$ is given by

$$j^*\widetilde{\mathcal{F}} = \left\{ (b, p) \in B \times \mathbb{P}^2 \; ; \; \Phi(j(b), p) = 0 \right\}$$

and it is easy to see that if $(b^0, p_0) \in j^* \widetilde{\mathcal{F}}$ and p_0 is a smooth point of $C_{j(b^0)}$ then $j^* \widetilde{\mathcal{F}}$ is smooth at (b^0, p_0) .

Suppose $(b^0, p_0) \in j^* \widetilde{\mathcal{F}}$ and $p_0 = [x_0 \colon y_0 \colon z_0]$ is the singular point of $C_{j(b^0)}$. Note that we have $j(b^0) \in \widetilde{D} \setminus \widetilde{E}$. Let (j_0, j_1, \ldots, j_N) denote the N+1-tuples of smooth functions on B determined by j and the coordinate system (a_0, a_1, \ldots, a_N) of V^d . By the assumption of transversality and the description of $T_{j(b^0)}\widetilde{D}$ given above, we can choose a suitable local coordinate system (b_1, \ldots, b_s) of B around b_0 such that complex numbers

$$\sum_{k=0}^{N} \frac{\partial j_k}{\partial b_1} (b^0) x_0^{\ell(k)} y_0^{m(k)} z_0^{n(k)} \text{ and } \sum_{k=0}^{N} \frac{\partial j_k}{\partial b_2} (b^0) x_0^{\ell(k)} y_0^{m(k)} z_0^{n(k)}$$

are linearly independent over the real numbers. From this we can conclude that $j^*\widetilde{\mathcal{F}}$ is smooth at (b^0, p_0) . This completes the proof.

We remark that in holomorphic category one can say more; if B is a complex manifold of complex dimension ≥ 1 and j is holomorphic, $j^*\widetilde{\mathcal{F}}$ has a complex structure as a hypersurface in $B \times \mathbb{P}^2$.



3 The 1-cochain of $\pi_1(V^d \setminus \widetilde{D})$

Let $\chi_1 \colon \pi_1(V^d \setminus \widetilde{D}) \to \pi_1(\mathbb{P}(d) \setminus D)$ be the homomorphism induced by the projection map $V^d \setminus \widetilde{D} \to \mathbb{P}(d) \setminus D$ and let $\chi_2 \colon \pi_1(\mathbb{P}(d) \setminus D) \to \Pi(d)$ be the homomorphism induced by the inclusion map $\mathbb{P}(d) \setminus D \to (\mathbb{P}(d) \setminus D)_{PGL(3)}$, $a \mapsto [e_0, a]$ where e_0 is the base point of EPGL(3). We set $\chi := \chi_2 \circ \chi_1$ and $\widetilde{\rho} := \rho \circ \chi$. Then $\widetilde{\rho} \colon \pi_1(V^d \setminus \widetilde{D}) \to \Gamma_g$ is the topological monodromy of the family over $V^d \setminus \widetilde{D}$ defined as in the case of $\mathcal{F} \to \mathbb{P}(d) \setminus D$.

In this section, we shall construct a 1-cochain $c : \pi_1(V^d \setminus \widetilde{D}) \to \mathbb{Z}$ and prove that $\delta c = \widetilde{\rho}^* \tau_g$. The key is that $V^d \setminus \widetilde{E}$ is 2-connected, which follows from the fact that the complex codimension of \widetilde{E} in V^d is ≥ 2 . All of the spaces that we consider in this section as well as all of the maps are based.

We regard the circle S^1 as the boundary of the unit disk D^2 in \mathbb{R}^2 . D^2 has the natural orientation induced by that of \mathbb{R}^2 and this induces the orientation of S^1 by counter clockwise manner. Let $\ell\colon S^1\to V^d\backslash\widetilde{D}$ be a C^∞ -map. Since $V^d\backslash\widetilde{E}$ is simply connected we can extend ℓ to a C^∞ -map $\widetilde{\ell}\colon D^2\to V^d\backslash\widetilde{E}$. We may assume that $\widetilde{\ell}$ is transverse to \widetilde{D} . By Lemma 2.4 $\widetilde{\ell}^*\widetilde{\mathcal{F}}$ is a compact four-dimensional C^∞ -manifold with boundary and has the natural orientation induced by the orientation of D^2 and that of the fibers, which have the natural orientations as compact Riemann surfaces. Set

$$c([\ell]) := \operatorname{Sign}(\tilde{\ell}^* \widetilde{\mathcal{F}}),$$

where $[\ell]$ denotes the element of $\pi_1(V^d \setminus \widetilde{D})$ represented by ℓ and the right hand side is the signature of $\tilde{\ell}^* \widetilde{\mathcal{F}}$.

Proposition 3.1 The above definition of c is well defined and $\delta c = \tilde{\rho}^* \tau_g$, i.e., c is a cobounding cochain for $\tilde{\rho}^* \tau_g$.

Proof We first show that c is well defined. Let ℓ_0 and ℓ_1 are C^{∞} -maps from S^1 to $V^d \setminus \widetilde{D}$, and suppose that they represent the same element of $\pi_1(V^d \setminus \widetilde{D})$. Then there exists a C^{∞} -homotopy $H \colon S^1 \times [0,1] \to V^d \setminus \widetilde{D}$ such that $H(\cdot,0) = \ell_0$ and $H(\cdot,1) = \ell_1$.

Regard the 2-sphere S^2 as the annulus $S^1 \times [0,1]$ with two copies of D^2 attached along its two boundary circles $S^1 \times \{0\}$ and $S^1 \times \{1\}$. We denote by D_0^2 one of copies of D^2 attached to $S^1 \times \{0\}$ and D_1^2 the other. Using some extensions $\tilde{\ell}_i : D_i^2 \to V^d \setminus \widetilde{E}$ of ℓ_i for i=0 and 1, H extends to a C^∞ -map $\widetilde{H}: S^2 \to V^d \setminus \widetilde{E}$. We introduce the orientation of S^2 so that the inclusion $D_0^2 \hookrightarrow S^2$ is orientation preserving. Thus the other inclusion $D_1^2 \hookrightarrow S^2$ is orientation reversing.

Since $\pi_2(V^d \setminus \widetilde{E}) = 0$, we can extend \widetilde{H} to a C^{∞} -map $H: D^3 \to V^d \setminus \widetilde{E}$ transverse to $\widetilde{D} \setminus \widetilde{E}$. Then $H^* \widetilde{\mathcal{F}}$ is a C^{∞} -manifold with boundary $H^* \widetilde{\mathcal{F}}$. Since the signature of the boundary of a manifold is zero, we have by the Novikov additivity of the signature

$$\operatorname{Sign}(\tilde{\ell_0}^*\widetilde{\mathcal{F}}) - \operatorname{Sign}(\tilde{\ell_1}^*\widetilde{\mathcal{F}}) = 0,$$

so *c* is well defined.



We next show the latter part. Let ℓ_0 and ℓ_1 be C^{∞} -maps from S^1 to $V^d \setminus \widetilde{D}$. We will show

$$c([\ell_0]) + c([\ell_1]) - c([\ell_0][\ell_1]) = \tilde{\rho}^* \tau_g([\ell_0], [\ell_1]). \tag{1}$$

Let P denote the pair of pants; this is the 2-sphere S^2 with the interior of the three disjoint closed disks removed. We also choose two of three boundary components of P and denote them by S_0^1 and S_1^1 , respectively. S_0^1 and S_1^1 have the natural orientations induced by that of P and can be naturally identified with S^1 . Since P has the homotopy type of the bouquet $S^1 \vee S^1$, we can construct a C^∞ -map $L \colon P \to V^d \setminus \widetilde{D}$ such that the restriction of L to S_0^1 (respectively, S_1^1) are exactly same as ℓ_0 (respectively, ℓ_1).

We notice that the restriction of L to the remaining boundary component of P with the natural orientation is homotopic to the inverse of the composition loop $\ell_0 \cdot \ell_1$. We also have $\mathrm{Sign}(L^*\widetilde{\mathcal{F}}) = -\tilde{\rho}^*\tau_g([\ell_0], [\ell_1])$ by the definition of Meyer's signature cocycle τ_g . Using some extensions $\tilde{\ell_i}$ of ℓ_i for i=0 and 1, and an extension $\widetilde{\ell_0} \cdot \ell_1$ of $\ell_0 \cdot \ell_1$, L extends to a C^∞ -map $\tilde{L} \colon S^2 \to V^d \backslash \tilde{E}$. Moreover \tilde{L} extends to a map $\tilde{L} \colon D^3 \to V^d \backslash \tilde{E}$ transverse to \tilde{D} . We have $\mathrm{Sign}(\tilde{L}^*\widetilde{\mathcal{F}}) = 0$ since $\tilde{L}^*\mathcal{F}$ is the boundary of $\tilde{L}^*\mathcal{F}$ hence we obtain by the Novikov additivity

$$0 = \operatorname{Sign}\left(\widetilde{L}^*\widetilde{\mathcal{F}}\right) = \operatorname{Sign}\left(\widetilde{\ell_0}^*\widetilde{\mathcal{F}}\right) + \operatorname{Sign}\left(\widetilde{\ell_1}^*\widetilde{\mathcal{F}}\right) - \operatorname{Sign}\left(\widetilde{\ell_0 \cdot \ell_1}^*\widetilde{\mathcal{F}}\right) + \operatorname{Sign}\left(L^*\widetilde{\mathcal{F}}\right),$$

that is just the Eq. (1).

4 Main theorems

In this section we shall state and prove the main results of this paper. In Sect. 1 we defined the group $\Pi(d)$ and the homomorphism $\rho \colon \Pi(d) \to \Gamma_{\varrho}$.

Theorem 4.1
$$\rho^*[\tau_g] = 0 \in H^2(\Pi(d); \mathbb{Q}).$$

Theorem 4.2 *The first homology group of* $\Pi(d)$ *is given as follows:*

$$H_1(\Pi(d); \mathbb{Z}) = \begin{cases} \mathbb{Z}/3(d-1)^2 \mathbb{Z} & \text{if } d \equiv 0 \bmod 3, \\ \mathbb{Z}/(d-1)^2 \mathbb{Z} & \text{if } d \equiv 1 \text{ or } 2 \bmod 3. \end{cases}$$

In particular, we have $H^1(\Pi(d); \mathbb{Q}) = 0$.

As an immediate consequence of these theorems, it follows that there exists the unique 1-cochain $\phi^d: \Pi(d) \to \mathbb{Q}$ such that $\delta \phi^d = \rho^* \tau_g$. We will call ϕ^d the Meyer function for plane curves of degree d.

The rest of this section will be devoted to the proof of these theorems. In Proposition 3.1 we have showed that $\tilde{\rho}^*[\tau_g] = 0 \in H^2(\pi_1(V^d \setminus \widetilde{D}); \mathbb{Z})$. Thus Theorem 4.1 follows from the following:



Lemma 4.3 The homomorphism

$$\chi^*: H^2(\Pi(d); \mathbb{Q}) \to H^2(\pi_1(V^d \setminus \widetilde{D}); \mathbb{Q})$$

induced by χ , introduced in Sect. 3, is injective.

Proof Recall that χ is the composition of χ_1 and χ_2 . We first consider χ_1 . Let $\xi \in H^2(\mathbb{P}(d); \mathbb{Q})$ denote the first Chern class of the principal \mathbb{C}^* bundle $V^d \setminus \{0\} \to \mathbb{P}(d)$. Then the restriction of ξ to $\mathbb{P}(d) \setminus D$ is zero, for the first Chern class $c_1([D]) \in H^2(\mathbb{P}(d); \mathbb{Q})$ of the line bundle [D] determined by the divisor D of $\mathbb{P}(d)$ is a multiple of ξ and of course the restriction of $c_1([D])$ to $\mathbb{P}(d) \setminus D$ is zero.

By the Gysin sequence

$$H^0(\mathbb{P}(d)\backslash D;\mathbb{Q})\stackrel{\cup \xi}{\to} H^2(\mathbb{P}(d)\backslash D;\mathbb{Q})\to H^2(V^d\backslash \widetilde{D};\mathbb{Q})$$

of the principal \mathbb{C}^* bundle $V^d \setminus \widetilde{D} \to \mathbb{P}(d) \setminus D$ we see that $H^2(\mathbb{P}(d) \setminus D; \mathbb{Q}) \to H^2(V^d \setminus \widetilde{D}; \mathbb{Q})$ is injective. Therefore

$$\chi_1^* \colon H^2(\pi_1(\mathbb{P}(d)\backslash D); \mathbb{Q}) \to H^2(\pi_1(V^d\backslash \widetilde{D}); \mathbb{Q})$$

is also injective.

We next consider χ_2 . By the homotopy exact sequence of the $\mathbb{P}(d)\backslash D$ bundle $(\mathbb{P}(d)\backslash D)_{PGL(3)} \to BPGL(3)$, $[e,a] \mapsto \varpi(e)$ where ϖ denotes the projection map $EPGL(3) \to BPGL(3)$, we have an exact sequence

$$\mathbb{Z}/3\mathbb{Z} \cong \pi_2(BPGL(3)) \to \pi_1(\mathbb{P}(d)\backslash D) \stackrel{\chi_2}{\to} \Pi(d) \to 1. \tag{2}$$

This implies that

$$\chi_2^* \colon H^2(\Pi(d); \mathbb{Q}) \to H^2(\pi_1(\mathbb{P}(d) \backslash D); \mathbb{Q})$$

is isomorphic. Since $\chi^* = \chi_1^* \circ \chi_2^*$, the lemma follows.

We next proceed to Theorem 4.2. In the following we consider (co)homology with coefficients in \mathbb{Z} . We need the following two lemmas:

Lemma 4.4 Let $a^0 \in \mathbb{P}(d) \setminus D$ and denote by \mathbb{P} the set of all projective lines in $\mathbb{P}(d)$ through a^0 . Then there exist a non-empty Zariski open subset $U \subset \mathbb{P}$ such that each element of U does not meet E and is transverse to D.

Proof Consider the projection with center a^0

$$f: D \to \mathbb{P}$$
, $f(a) =$ the line through a^0 and a .

Note that for $a \in D \setminus E$, f is critical at a if and only if f(a) is contained in the hyperplane T_a appeared in Lemma 2.2, namely f(a) is not transverse to D at a.



 \mathbb{P} is a (N-1)-dimensional projective space and f(E) is a proper algebraic set in \mathbb{P} since $\dim E \leq N-2$. Let K denote the set of all critical values of $f \circ \pi : \mathcal{X} \to \mathbb{P}$. K contains all critical values of $f|_{D\setminus E}$ since $\pi|_{\pi^{-1}(D\setminus E)} : \pi^{-1}(D\setminus E) \to D\setminus E$ is biholomorphic by Lemma 2.2, and is algebraic and proper because K is nowhere dense in \mathbb{P} by Sard's theorem. Therefore if we set

$$U := \mathbb{P} \backslash (f(E) \cup K),$$

U has the desired property.

Lemma 4.5 Let a^0 and U be as in Lemma 4.4. For each $Q \in U$ the invariants of the complex surface $M = \{(a, p) \in Q \times \mathbb{P}^2 : p \in C_a\}$ is given as follows:

$$c_1^2(M) = -d^2 + 9$$
, $c_2(M) = d^2 + 3$, $Sign(M) = 1 - d^2$.

Proof Since $Q \cong \mathbb{P}^1$ we can regard M as a smooth hypersurface in $\mathbb{P}^1 \times \mathbb{P}^2$ determined by a (1,d) homogeneous polynomial. For i=1 and 2, respectively, we denote by $\xi_i \in H^2(\mathbb{P}^1 \times \mathbb{P}^2; \mathbb{Z})$ the pull back of the first Chern class of $\mathcal{O}(1)$ by $H^2(\mathbb{P}^i; \mathbb{Z}) \to H^2(\mathbb{P}^1 \times \mathbb{P}^2; \mathbb{Z})$ induced by the projection $\mathbb{P}^1 \times \mathbb{P}^2 \to \mathbb{P}^i$. Here $\mathcal{O}(1)$ denotes the dual of the tautological line bundle over \mathbb{P}^i . The first Chern class of the line bundle [M] determined by the divisor M of $\mathbb{P}^1 \times \mathbb{P}^2$ is $c_1([M]) = \xi_1 + d\xi_2$. Therefore by the adjunction formula, the first Chern class of M is

$$c_1(M) = \left(c_1(\mathbb{P}^1 \times \mathbb{P}^2) - c_1([M])\right)|_M$$

= $(2\xi_1 + 3\xi_2 - (\xi_1 + d\xi_2))|_M$
= $(\xi_1 + (3 - d)\xi_2)|_M$.

Then the Chern number $c_1^2(M)$ is computed as follows:

$$c_1^2(M) = \langle c_1(M)^2, \mu_M \rangle$$

$$= \langle (\xi_1 + (3 - d)\xi_2)^2 c_1([M]), \mu_{\mathbb{P}^1 \times \mathbb{P}^2} \rangle$$

$$= \langle (\xi_1 + (3 - d)\xi_2)^2 (\xi_1 + d\xi_2), \mu_{\mathbb{P}^1 \times \mathbb{P}^2} \rangle$$

$$= \langle (-d^2 + 9)\xi_1 \xi_2^2, \mu_{\mathbb{P}^1 \times \mathbb{P}^2} \rangle$$

$$= -d^2 + 9.$$

Here μ_M (respectively, $\mu_{\mathbb{P}^1 \times \mathbb{P}^2}$) denotes the fundamental homology class of M (respectively, $\mathbb{P}^1 \times \mathbb{P}^2$) and $\langle -, - \rangle$ denotes the Kronecker pairing between cohomology and homology. We next compute $c_2(M)$. Again by the adjunction formula, the second



Chern class of M is

$$c_2(M) = c_2(\mathbb{P}^1 \times \mathbb{P}^2)|_M - c_1(M) \cdot c_1([M])|_M$$

= $\left(3\xi_2^2 + 6\xi_1\xi_2 - (\xi_1 + (3-d)\xi_2)(\xi_1 + d\xi_2)\right)|_M$
= $\left(3\xi_1\xi_2 + (d^2 - 3d + 3)\xi_2^2\right)|_M$,

and the Chern number which will also be denoted by $c_2(M)$ is

$$\begin{split} c_2(M) &= \langle c_2(M), \mu_M \rangle \\ &= \left\langle (3\xi_1 \xi_2 + (d^2 - 3d + 3)\xi_2^2) c_1([M]), \mu_{\mathbb{P}^1 \times \mathbb{P}^2} \right\rangle \\ &= \left\langle (3\xi_1 \xi_2 + (d^2 - 3d + 3)\xi_2^2) (\xi_1 + d\xi_2), \mu_{\mathbb{P}^1 \times \mathbb{P}^2} \right\rangle \\ &= \left\langle (d^2 + 3)\xi_1 \xi_2^2, \mu_{\mathbb{P}^1 \times \mathbb{P}^2} \right\rangle \\ &= d^2 + 3. \end{split}$$

Finally by the Hirzebruch signature theorem we have $Sign(M) = \frac{1}{3}(c_1^2(M) - 2c_2(M)) = 1 - d^2$.

Let a^0 and $Q \in U$ be as in Lemma 4.5. Using the above two lemmas we can compute the first homology group of $\pi_1(\mathbb{P}(d)\backslash D)$:

Proposition 4.6
$$H_1(\pi_1(\mathbb{P}(d)\backslash D); \mathbb{Z}) = \mathbb{Z}/3(d-1)^2\mathbb{Z}.$$

Proof The first projection $g: M \to Q$ is a family of algebraic curves, whose all singular fibers are of type I by Lemma 2.3. Since the Euler contribution (see [4], p. 118, (11.4) Proposition) of a singular fiber of type I is +1, the number of singular fibers of $g: M \to Q \cong \mathbb{P}^1$ is

$$c_2(M) - 2(2 - 2g) = d^2 + 3 - 2\left(2 - 2 \cdot \frac{1}{2}(d - 1)(d - 2)\right) = 3(d - 1)^2.$$
 (3)

Now consider the following commutative diagram:

$$\mathbb{Z} \cong H_2(\mathbb{P}(d)) \longrightarrow H_2(\mathbb{P}(d), \mathbb{P}(d) \backslash D) \longrightarrow H_1(\mathbb{P}(d) \backslash D) \longrightarrow 0$$

$$\cong \bigvee_{\iota^*} \qquad \qquad \bigvee_{\iota^*} H^{2N-2}(\mathbb{P}(d)) \xrightarrow{\iota^*} H^{2N-2}(D) \cong \mathbb{Z}.$$

Here the vertical isomorphisms are Poincaré duality and the first horizontal sequence is a part of the homology exact sequence of the pair $(\mathbb{P}(d), \mathbb{P}(d) \setminus D)$, and ι^* is induced by the inclusion $D \hookrightarrow \mathbb{P}(d)$. For a generator of $H_2(\mathbb{P}(d))$ we can choose [Q]. We can conclude this generator is mapped to $3(d-1)^2$ times a generator of $H^{2N-2}(D)$ in the above diagram, because (3) shows that Q and D intersect transversally in $3(d-1)^2$



points. This completes the proof, since $H_1(\mathbb{P}(d)\backslash D) \cong H_1(\pi_1(\mathbb{P}(d)\backslash D); \mathbb{Z})$ is isomorphic to the cokernel of $H_2(\mathbb{P}(d)) \to H_2(\mathbb{P}(d), \mathbb{P}(d)\backslash D)$.

Now we start the proof of Theorem 4.2. Let $F_0 \in V^d \setminus \widetilde{D}$ be a base point and a^0 the image of F_0 under the map $V^d \setminus \widetilde{D} \to \mathbb{P}(d) \setminus D$. We consider the maps $\lambda \colon GL(3;\mathbb{C}) \to V^d \setminus \widetilde{D}$, $A \mapsto A \cdot F_0$ and $\overline{\lambda} \colon PGL(3) \to \mathbb{P}(d) \setminus D$, $\overline{A} \mapsto \overline{A} \cdot a^0$. Since the isomorphism $\pi_2(BPGL(3)) \cong \pi_1(PGL(3))$ induced by the homotopy exact sequence of the universal PGL(3) bundle $EPGL(3) \to BPGL(3)$ is compatible with (2) and $\overline{\lambda}_* \colon \pi_1(PGL(3)) \to \pi_1(\mathbb{P}(d) \setminus D)$, we have an exact sequence of group homology

$$\mathbb{Z}/3\mathbb{Z} \cong H_1(\pi_1(PGL(3))) \stackrel{\bar{\lambda}_*}{\to} H_1(\pi_1(\mathbb{P}(d) \setminus D)) \cong \mathbb{Z}/3(d-1)^2 \mathbb{Z} \stackrel{\chi_{2*}}{\to} H_1(\Pi(d)) \to 0.$$

Therefore we must compute the map $\bar{\lambda}_*$ to determine $H_1(\Pi(d))$. For this purpose, we consider the following exact sequence

$$\mathbb{Z} \cong H_1(\mathbb{C}^*) \to H_1(V^d \backslash \widetilde{D}) \to H_1(\mathbb{P}(d) \backslash D) \to 0$$

induced by a part of the homotopy exact sequence of the principal \mathbb{C}^* bundle $V^d \setminus \widetilde{D} \to \mathbb{P}(d) \setminus D$. We have $H_1(V^d \setminus \widetilde{D}) \cong \mathbb{Z}$ (see [6], chap. 4, Corollary (1.4)). Let γ be the generator of $H_1(\mathbb{C}^*)$ represented by the loop $\gamma(t) = e^{2\pi \sqrt{-1}t}$, $0 \le t \le 1$. By Proposition 4.6 we see that the image of γ , which is represented by the loop $t \mapsto e^{2\pi \sqrt{-1}t} \cdot F_0$, is $3(d-1)^2$ times a generator of $H_1(V^d \setminus \widetilde{D})$. On the other hand the loop

$$t \mapsto \begin{pmatrix} e^{2\pi\sqrt{-1}t} & 0 & 0\\ 0 & e^{2\pi\sqrt{-1}t} & 0\\ 0 & 0 & e^{2\pi\sqrt{-1}t} \end{pmatrix}, \quad 0 \le t \le 1$$

in $GL(3; \mathbb{C})$, representing three times a generator of $H_1(GL(3; \mathbb{C})) \cong \mathbb{Z}$, is mapped to the loop $t \mapsto (e^{2\pi\sqrt{-1}t})^{-d} \cdot F_0$ by λ . Hence in the commutative diagram

$$\mathbb{Z} \cong H_1(GL(3;\mathbb{C})) \xrightarrow{\lambda_*} H_1(V^d \backslash \widetilde{D})$$

$$\downarrow \qquad \qquad \downarrow$$

$$\mathbb{Z}/3\mathbb{Z} \cong H_1(PGL(3)) \xrightarrow{\bar{\lambda}_*} H_1(\mathbb{P}(d) \backslash D)$$

we have $\lambda_*(1) = \pm d(d-1)^2 \in \mathbb{Z} \cong H_1(V^d \setminus \widetilde{D})$ so we can conclude $\overline{\lambda}_*(1 \mod 3) = \pm d(d-1)^2 \mod 3(d-1)^2$. This completes the proof of Theorem 4.2.

5 The value of the Meyer function

By Proposition 4.6, we have $H^1(\pi_1(\mathbb{P}(d)\backslash D); \mathbb{Q}) = 0$. Therefore $\bar{\phi}^d := \phi^d \circ \chi_2$ is the unique 1-cochain of $\pi_1(\mathbb{P}(d)\backslash D)$ satisfying $(\rho \circ \chi_2)^*\tau_g = \delta \bar{\phi}^d$. In this section we will compute the value of $\bar{\phi}^d$ on a special element in $\pi_1(\mathbb{P}(d)\backslash D)$ so called *lasso*.



We first explain what a lasso is. Let M be a connected complex manifold of dimension m and N an irreducible hypersurface of M. Then the inclusion $M \setminus N \hookrightarrow M$ induces the following exact sequence:

$$1 \to \langle \sigma \rangle \to \pi_1(M \backslash N) \to \pi_1(M) \to 1.$$

Here $\langle \sigma \rangle$ denotes the normal closure of an element σ of $\pi_1(M \setminus N)$, which is described in the following. Let p be a non-singular point of N and (z_1, \ldots, z_m) a local coordinate system of M around p such that N is defined by $z_1 = 0$. For a sufficiently small $\varepsilon > 0$, consider a loop defined in this coordinate system by

$$[0,1] \rightarrow M \backslash N, \ t \mapsto (\varepsilon e^{2\pi\sqrt{-1}t}, 0, \dots, 0)$$

based at $q = (\varepsilon, 0, ..., 0)$. Joining this loop with a path from the base point of $M \setminus N$ to q, we get an element σ of $\pi_1(M \setminus N)$. Since N is irreducible, the conjugacy class of σ in $\pi_1(M \setminus N)$ is independent of choices of p and a local coordinate system. Each element of this conjugacy class is called *a lasso* around N.

Returning to $\pi_1(\mathbb{P}(d)\backslash D)$, D is an irreducible hypersurface of $\mathbb{P}(d)$. Let $\sigma^d \in \pi_1(\mathbb{P}(d)\backslash D)$ be a lasso around D. Since $\bar{\phi}^d$ is a class function (see Lemma 8.2 in Appendix), the values of $\bar{\phi}^d$ on the conjugacy class of σ^d is constant.

Proposition 5.1 For $d \geq 3$,

$$\bar{\phi}^d(\sigma^d) = -\frac{d+1}{3(d-1)}.$$

Proof Choose a^0 and $Q \in U$ as in Lemma 4.5. In the proof of Proposition 4.6 we see that Q meets D transversely in $3(d-1)^2$ points. Let $Q \cap D = \{q_1, \ldots, q_{3(d-1)^2}\}$ and let D_i $(i=1,\ldots,3(d-1)^2)$ be a small closed 2-disk in Q such that $q_i \in \operatorname{Int} D_i$ and $D_i \cap D_j = \emptyset$ for $i \neq j$. We fix a base point of $Q_0 := Q \setminus \bigcup_{i=1}^{3(d-1)^2} \operatorname{Int} D_i$ and for each $i=1,\ldots,3(d-1)^2$, choose a based loop σ_i in Q_0 such that σ_i is free homotopic to the loop traveling once the boundary ∂D_i by counter clockwise manner. Note that regarded as an element in $\pi_1(\mathbb{P}(d)\backslash D)$, σ_i is a lasso around D hence we have $\bar{\phi}^d(\sigma_i) = \bar{\phi}^d(\sigma^d)$.

Let $g: M \to Q$ be as in the proof of Proposition 4.6 and set $M_0 := g^{-1}(Q_0)$ and $M_i := g^{-1}(D_i), i = 1, \dots, 3(d-1)^2$. By Meyer's signature formula ([12] Satz 1) and the equation $(\rho \circ \chi_2)^* \tau_g = \delta \bar{\phi}^d$, we obtain

$$Sign(M_0) = \sum_{i=1}^{3(d-1)^2} \bar{\phi}^d(\sigma_i) = 3(d-1)^2 \bar{\phi}^d(\sigma^d).$$

Since the topological type of $g^{-1}(q_i)$ is Lefschetz singular fiber of type I, we have $Sign(M_i) = 0$. We compute by the Novikov additivity and Lemma 4.5



$$1 - d^2 = \operatorname{Sign}(M) = \operatorname{Sign}(M_0) + \sum_{i=1}^{3(d-1)^2} \operatorname{Sign}(M_i) = 3(d-1)^2 \bar{\phi}^d(\sigma^d).$$

This completes the proof.

In the rest of this section we consider the remaining case d=2. Since V^2 is the set of quadratic forms each element of V^2 can be expressed by a 3×3 symmetric matrix S. In this view point $V^2\setminus\widetilde{D}$ is the space of non-singular symmetric matrices and the action of $GL(3;\mathbb{C})$ on $V^2\setminus\widetilde{D}$ is given by

$$A \cdot S = {}^{t}A^{-1} \cdot S \cdot A^{-1}, \quad A \in GL(3; \mathbb{C}).$$

Since this action is transitive and the isotropy group of the unit matrix is the complex orthogonal group $O_3(\mathbb{C}) = \{A \in GL(3; \mathbb{C}) ; {}^tA \cdot A = I\}$, we have

$$V^2 \setminus \widetilde{D} \cong GL(3; \mathbb{C}) / O_3(\mathbb{C}).$$

Also we have

$$\mathbb{P}(2)\backslash D \cong PGL(3)/SO_3(\mathbb{C}),$$

where $SO_3(\mathbb{C}) = \{A \in O_3(\mathbb{C}) ; \det A = 1\}$ is regarded as a subgroup of PGL(3) by the injection $SO_3(\mathbb{C}) \hookrightarrow PGL(3)$ induced by the projection $GL(3; \mathbb{C}) \to PGL(3)$. Therefore, we obtain

$$(\mathbb{P}(2)\backslash D)_{PGL(3)} = EPGL(3) \times_{PGL(3)} (\mathbb{P}(2)\backslash D)$$

$$\cong EPGL(3)/SO_3(\mathbb{C}) = BSO_3(\mathbb{C}) \simeq BSO_3.$$

The last homotopy equivalence holds because the natural inclusion $SO_3 \hookrightarrow SO_3(\mathbb{C})$ is homotopy equivalence. In particular, we have

$$\Pi(2) \cong \pi_1(BSO_3) = 1.$$

6 The universal property of $(\mathbb{P}(d)\backslash D)_{PGL(3)}$

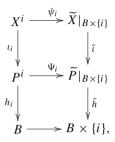
In this section we will show the universal property of the space $(\mathbb{P}(d)\backslash D)_{PGL(3)}$. In the latter part of the section, we consider the case d=4 more detail; in particular, we prove that $\rho \colon \Pi(4) \to \Gamma_3$ is surjective.

We first make some definitions. Let $\iota: X \to P$ be a continuous map and $h: P \to B$ a \mathbb{P}^2 bundle whose structure group is PGL(3). We call $\xi = (X, \iota, P, h, B)$ a family of non-singular plane curves of degree d if

- 1. $p := h \circ \iota \colon X \to B$ is a continuous family of compact Riemann surfaces of genus $g = \frac{1}{2}(d-1)(d-2)$, and
- 2. for each $b \in B$, the restriction $\iota|_{X_b} \colon X_b \to P_b$ is a holomorphic embedding where $X_b = p^{-1}(b)$ and $P_b = h^{-1}(b)$.



For each $b \in B$, the image $\iota(X_b) \subset P_b \cong \mathbb{P}^2$ is a non-singular plane curve of degree d. Two such families $\xi_i = (X^i, \iota_i, P^i, h_i, B), i = 0, 1$, are called *isotopic* if there exists a family of non-singular curves of degree d over $B \times [0, 1]$, denoted by $\tilde{\xi} = (\widetilde{X}, \widetilde{\iota}, \widetilde{P}, \widetilde{h}, B \times [0, 1])$, such that for i = 0, 1, the restriction of $\tilde{\xi}$ to $B \times \{i\}$ is isomorphic to ξ_i , i.e., for i = 0, 1, there exists a homeomorphism $\Psi_i : P^i \to \widetilde{P}|_{B \times \{i\}}$ and $\psi_i : X^i \to \widetilde{X}|_{B \times \{i\}}$ such that the diagram



where the last horizontal arrow is the homeomorphism $B \to B \times \{i\}$ given by $b \mapsto (b, i)$, commutes and Ψ_i (respectively, ψ_i) maps each fiber P_b^i (respectively, X_b^i) onto $\tilde{h}^{-1}(b, i)$ (respectively, $(\tilde{h} \circ \tilde{\iota})^{-1}(b, i)$) biholomorphically.

For a given space B, we denote by $\mathcal{PC}_d(B)$ the set of all isotopy classes of families of non-singular plane curves of degree d over B. $\mathcal{PC}_d(\bullet)$ is contravariant; for a given continuous map $f: B' \to B$ we have a natural map $\mathcal{PC}_d(B) \to \mathcal{PC}_d(B')$ which assigns the isotopy class of ξ the isotopy class of the pull back of ξ by f, which will be denoted by $f^*\xi$. In fact, the isotopy class of $f^*\xi$ is uniquely determined by the homotopy class $[f] \in [B', B]$.

Among such families of non-singular plane curves of degree d, there is a universal one. Consider the inclusion map $\mathcal{F} \hookrightarrow (\mathbb{P}(d)\backslash D) \times \mathbb{P}^2$ and the first projection $(\mathbb{P}(d)\backslash D) \times \mathbb{P}^2 \to \mathbb{P}(d)\backslash D$. For simplicity, we write Y instead of $(\mathbb{P}(d)\backslash D) \times \mathbb{P}^2$. Since these maps are PGL(3)-equivariant, we obtain

$$\iota_u \colon \mathcal{F}_{PGL(3)} \to Y_{PGL(3)}$$

and

$$h_u: Y_{PGL(3)} \to (\mathbb{P}(d)\backslash D)_{PGL(3)}.$$

The map $p_u := h_u \circ \iota_u$ is the same as the map defined in Sect. 1 and

$$\xi_u := \left(\mathcal{F}_{PGL(3)}, \iota_u, Y_{PGL(3)}, h_u, (\mathbb{P}(d) \backslash D)_{PGL(3)} \right)$$

is a family of non-singular plane curves of degree d. The next theorem says that $(\mathbb{P}(d)\backslash D)_{PGL(3)}$ is the classifying space for the functor $\mathcal{PC}_d(\bullet)$ and ξ_u is the universal family.



Theorem 6.1 For any space B, the map

$$\eta: [B, (\mathbb{P}(d)\backslash D)_{PGL(3)}] \to \mathcal{PC}_d(B)$$

which assigns the homotopy class of $f: B \to (\mathbb{P}(d)\backslash D)_{PGL(3)}$ the isotopy class of the pull back $f^*\xi_u$, is bijective.

In the following we shall construct the inverse of η .

Let $\xi = (X, \iota, P, h, B)$ be given. We divide the argument in three steps.

Step 1 We first consider the case when P is trivial: suppose that $P = B \times \mathbb{P}^2$. Then for each $b \in B$, $\iota(X_b) \subset \{b\} \times \mathbb{P}^2 \cong \mathbb{P}^2$ is a non-singular plane curve of degree d, so the defining equation of $\iota(X_b)$ in \mathbb{P}^2 is uniquely determined as an element of $\mathbb{P}(d) \setminus D$. Denoting it by Eq(b), we obtain a map

Eq:
$$B \to \mathbb{P}(d) \backslash D$$
.

Lemma 6.2 *The map* Eq *is continuous.*

Proof Regard \mathbb{P}^2 as the set of all complex lines through the origin in \mathbb{C}^3 . Then the holomorphic line bundle $\mathcal{O}(d)$ over \mathbb{P}^2 is given by

$$\mathcal{O}(d) = \mathcal{O}(1)^{\otimes d} = \bigcup_{\ell \in \mathbb{P}^2} \operatorname{Hom}(\ell, \mathbb{C})^{\otimes d}.$$

Let $p_2 \colon B \times \mathbb{P}^2 \to \mathbb{P}^2$ be the second projection and consider the pull back $L := (p_2 \circ \iota)^* \mathcal{O}(d)$. $L \to X$ is a continuous family over B of holomorphic vector bundles. Now $H^0(\mathbb{P}^2; \mathcal{O}(d))$ is canonically isomorphic to V^d and for each $b \in B$ there is the natural homomorphism

$$\sigma_b \colon V^d \cong H^0(\mathbb{P}^2; \mathcal{O}(d)) \to H^0\left(\iota(X_b); \mathcal{O}(d)|_{\iota(X_b)}\right) \cong H^0(X_b; L_b),$$

where L_b is the restriction of L to X_b . Combining all σ_b , $b \in B$ together, we obtain a homomorphism of vector bundles

$$\sigma: B \times V^d \to \bigcup_{b \in B} H^0(X_b; L_b).$$

We see that for each $b \in B$, σ_b is surjective and its kernel is one-dimensional generated by the defining equation of $\iota(X_b)$, i.e., $\operatorname{Eq}(b) = \ker \sigma_b$. This shows that Eq is continuous.

We also define a PGL(3)-equivariant continuous map $\Psi \colon B \times PGL(3) \to \mathbb{P}(d) \setminus D$ by

$$\Psi(b, g) = g \cdot \text{Eq}(b).$$

Here we regard $B \times PGL(3)$ as the trivial principal PGL(3) bundle with $left\ PGL(3)$ action.



Step 2 We next consider the general case $\xi = (X, \iota, P, h, B)$. Let $\{U_i\}_{i \in I}$ be an open covering of B trivializing $h \colon P \to B$: There is an isomorphism $\varphi_i \colon h^{-1}(U_i) \to U_i \times \mathbb{P}^2$ for each i and a system of transition functions $g_{ij} \colon U_i \cap U_j \to PGL(3)$ for each (i, j) satisfying $U_i \cap U_j \neq \emptyset$, such that

$$(\varphi_i \circ \varphi_j^{-1})(b,p) = (b,g_{ij}(b) \cdot p), \quad b \in U_i \cap U_j, \quad p \in \mathbb{P}^2.$$

As in Step 1, we have a continuous map $\operatorname{Eq}^i: U_i \to \mathbb{P}(d) \backslash D$ and a PGL(3)-equivariant map $\Psi^i: U_i \times PGL(3) \to \mathbb{P}(d) \backslash D$ for each i. Let $Q(\xi)$ be a principal PGL(3) bundle over B associated to $h\colon P \to B$: namely $Q(\xi)$ is constructed from the disjoint union $\coprod_{i \in I} U_i \times PGL(3)$ by identifying $(b,g) \in U_i \times PGL(3)$ with $(b,g\cdot g_{ij}(b)) \in U_j \times PGL(3)$ where $b \in U_i \cap U_j$. We have $g_{ij}(b) \cdot \operatorname{Eq}^j(b) = \operatorname{Eq}^i(b)$ for $b \in U_i \cap U_j$ because $g \cdot C_a = C_{g \cdot a}$ for $g \in PGL(3)$, $a \in \mathbb{P}(d) \backslash D$. Therefore piecing all Ψ^i , $i \in I$ together, we obtain a PGL(3) equivariant map $\Psi: Q(\xi) \to \mathbb{P}(d) \backslash D$ and a continuous map

$$\Psi_{PGL(3)} \colon Q(\xi)_{PGL(3)} \to (\mathbb{P}(d)\backslash D)_{PGL(3)}.$$

Note that $Q(\xi)$ and Ψ are determined up to isomorphism over B.

Step 3 The natural map

$$T: Q(\xi)_{PGL(3)} = EPGL(3) \times_{PGL(3)} Q(\xi) \rightarrow PGL(3) \setminus Q(\xi) \cong B$$

is a homotopy equivalence because this is an EPGL(3) bundle. Taking a homotopy inverse map $\zeta: B \to Q(\xi)_{PGL(3)}$ of T, we set

$$\theta([\xi]) := [\Psi_{PGL(3)} \circ \zeta].$$

Here $[\xi]$ denotes the element of $\mathcal{PC}_d(B)$ represented by ξ and $[\Psi_{PGL(3)} \circ \zeta]$ denotes the element of $[B, (\mathbb{P}(d)\backslash D)_{PGL(3)}]$ represented by $\Psi_{PGL(3)} \circ \zeta$. It is easy to see that

$$\theta: \mathcal{PC}_d(B) \to [B, (\mathbb{P}(d)\backslash D)_{PGL(3)}]$$

is well defined.

Before starting the proof of Theorem 6.1, we describe the above construction applied to the family ξ_u . In the below, $e \in EPGL(3)$, $g, h \in PGL(3)$ and $a \in \mathbb{P}(d) \setminus D$. We can write

$$Q(\xi_u) \cong ((\mathbb{P}(d)\backslash D) \times PGL(3))_{PGL(3)} \tag{4}$$

where the action of PGL(3) on $(\mathbb{P}(d)\backslash D)\times PGL(3)$ is diagonal, i.e.,

$$g \cdot (a, h) = (g \cdot a, g \cdot h),$$



and the left action of PGL(3) on the right hand side of (4) is given by

$$g \cdot [e, (a, h)] = [e, (a, h \cdot g^{-1})].$$

The PGL(3)-equivariant map $\Psi_u: Q(\xi_u) \to \mathbb{P}(d) \setminus D$ defined as in Step 2 is given by

$$\Psi_u([e, (a, g)]) = g^{-1} \cdot a,$$

and moreover, the induced map $Q(\xi_u)_{PGL(3)} \to (\mathbb{P}(d)\backslash D)_{PGL(3)}$ has a section s_u given by

$$s_u([e, a]) = [e, [e, (a, 1)]].$$

Proof of Theorem 6.1 We first prove $\eta \circ \theta = id_{\mathcal{PC}_d(B)}$. Let $\xi = (X, \iota, P, h, B)$ be given. By construction, there is the canonical isomorphism $T^*\xi \to \Psi^*_{PGL(3)}\xi_u$ as families of non-singular plane curves of degree d over $Q(\xi)_{PGL(3)}$. Thus we have

$$(\Psi_{PGL(3)} \circ \zeta)^* \xi_u = \zeta^* \Psi_{PGL(3)}^* \xi_u = \zeta^* T^* \xi = (T \circ \zeta)^* \xi.$$

Since $T \circ \zeta$ is homotopic to the identity map of E, this shows $\eta \circ \theta = id_{\mathcal{PC}_d(B)}$.

We next show $\theta \circ \eta = id_{[B,(\mathbb{P}(d)\setminus D)_{PGL(3)}]}$. Let $f: B \to (\mathbb{P}(d)\setminus D)_{PGL(3)}$ be a continuous map.

Starting from the family $f^*\xi$ and tracing the construction of θ , we construct the map

$$\Psi_{PGL(3)} \colon Q(f^*\xi_u)_{PGL(3)} \to (\mathbb{P}(d)\backslash D)_{PGL(3)}.$$

 $Q(f^*\xi_u)_{PGL(3)}$ is naturally isomorphic to the pull back of $Q(\xi_u)_{PGL(3)} \to (\mathbb{P}(d) \setminus D)_{PGL(3)}$ by f. Thus pulling back the section s_u , we obtain a map $\zeta' := f^*s_u : B \to Q(f^*\xi_u)_{PGL(3)}$ such that $T \circ \zeta' = id_B$ and $\Psi_{PGL(3)} \circ \zeta' = f$. Then ζ' is a homotopy inverse of T and $\theta \circ \eta([f]) = [\Psi_{PGL(3)} \circ \zeta'] = [f]$, so we obtain $\theta \circ \eta = id_{[B,(\mathbb{P}(d) \setminus D)_{PGL(3)}]}$.

We call any representative of $\theta([\xi])$ the classifying map for the family ξ .

For d=4, we do not have to consider \mathbb{P}^2 bundles. Recall that a non-hyperelliptic Riemann surface C of genus 3 can be realized as a non-singular plane curve of degree 4 (=plane quartic) by the canonical embedding. This means that the canonical map

$$\iota_C \colon C \to \mathbb{P}\left(H^0(C; K_C)^{\vee}\right)$$

where $H^0(C; K_C)$ is the space of holomorphic 1-forms on C, is an embedding and if we identify $\mathbb{P}(H^0(C; K_C)^{\vee})$ with \mathbb{P}^2 by a choice of a basis of $H^0(C; K_C)$, the image of C is a non-singular plane curve of degree 4. The defining equation of the image is uniquely determined as an element of $\mathbb{P}(4)\backslash D$.



Let $p: X \to B$ be a continuous family of compact Riemann surfaces of genus 3. We call it *a non-hyperelliptic family of genus 3* if the complex structure of each fiber $p^{-1}(b), b \in B$ is non-hyperelliptic. Two such families $p_i: X_i \to B$ (i = 0, 1) are called isotopic if there exists a non-hyperelliptic family of genus 3 over $B \times [0, 1]$ such that for i = 0, 1, its restriction to $B \times \{i\}$ is isomorphic to $p_i: X_i \to B$ as continuous family of Riemann surfaces over $B \cong B \times \{i\}$.

For a given space B, we denote by $\mathcal{NH}_3(B)$ the set of all isotopy classes of non-hyperelliptic families of genus 3 over B. Then the forgetful functor

$$\mathcal{PC}_4(\bullet) \to \mathcal{NH}_3(\bullet)$$
 (5)

defined by an obvious manner, is bijective. For, let $p: X \to B$ be a given non-hyperelliptic family of genus 3. Set $\Lambda_X := \bigcup_{b \in B} H^0(p^{-1}(b); K_b)$, where $H^0(p^{-1}(b); K_b)$ denotes the space of holomorphic 1-forms on $p^{-1}(b)$. This has the structure of complex vector bundle over B. Projectivising the dual of Λ_X , we obtain a \mathbb{P}^2 bundle

$$h' \colon P' = \bigcup_{b \in B} \mathbb{P}\left(H^0(p^{-1}(b); K_b)^{\vee}\right) \to B,$$

and piecing the fiberwise canonical maps ι_{X_b} , $b \in B$ together, we get a map $\iota: X \to P'$. Then we obtain an element $\xi = (X, \iota, P', h', B) \in PC_4(\bullet)$. This correspondence gives the inverse of (5).

We continue the consideration of the case d = 4. We next prove that:

Proposition 6.3 The homomorphism $\rho: \Pi(4) \to \Gamma_3$ is surjective.

Combining this with Theorem 4.1, which implies that $\rho^* \colon H^2(\Gamma_3; \mathbb{Q}) \to H^2(\Pi(4); \mathbb{Q})$ is not injective, we see that the order of the kernel of ρ is infinite.

Proof of Proposition 6.3 Let \mathcal{T}_3 be the Teichmüller space of compact Riemann surfaces of genus 3 and H_3 the hyperelliptic locus of \mathcal{T}_3 ; namely the set of marked Riemann surfaces whose complex structure is hyperelliptic. H_3 is a complex analytic closed submanifold of codimension 1 with infinitely many components (see [14], pp. 259–260). In particular, $\mathcal{T}_3 \setminus H_3$ is path connected.

We recall; there is a holomorphic family $\pi: V_3 \to \mathcal{T}_3$ called the universal Teichmüller curve, whose fiber over the marked Riemann surface [f, C] is isomorphic to C; the mapping class group Γ_3 acts on \mathcal{T}_3 and V_3 , and π is equivariant with respect to these actions; it is well known that the quotient space $\Gamma_3 \setminus \mathcal{T}_3$ is the Riemann moduli space. Since the action of Γ_3 on \mathcal{T}_3 preserves H_3 , Γ_3 also acts on $\mathcal{T}_3 \setminus H_3$ and its inverse image by π . Restricting π to $\mathcal{T}_3 \setminus H_3$ and taking the Borel construction, we obtain a non-hyperelliptic family of genus 3 over $(\mathcal{T}_3 \setminus H_3)_{\Gamma_3}$.

It is not difficult to see that this family also have the universal property which the family p_u over $(\mathbb{P}(4)\backslash D)_{PGL(3)}$ has. Therefore, $(\mathcal{T}_3\backslash H_3)_{\Gamma_3}$ is homotopy equivalent to $(\mathbb{P}(4)\backslash D)_{PGL(3)}$ hence its fundamental group is isomorphic to $\Pi(4)$.

By the homotopy exact sequence of the $\mathcal{T}_3 \backslash H_3$ bundle $(\mathcal{T}_3 \backslash H_3)_{\Gamma_3} \to B\Gamma_3 = K(\Gamma_3, 1)$ we obtain an exact sequence

$$\Pi(4) \cong \pi_1((\mathcal{T}_3 \backslash H_3)_{\Gamma_3}) \stackrel{\rho'}{\to} \pi_1(B\Gamma_3) = \Gamma_3 \to \pi_0(\mathcal{T}_3 \backslash H_3).$$



We notice that the homomorphism ρ' just coincides with the topological monodromy over $(\mathcal{T}_3 \backslash H_3)_{\Gamma_3}$, and $\pi_0(\mathcal{T}_3 \backslash H_3)$ is one point. This shows ρ' is surjective, so ρ is.

7 Local signature for four-dimensional non-hyperelliptic fibration of genus 3

As an application, we will define the local signature for the set of all fiber germs of four-dimensional fiber spaces whose general fibers are non-hyperelliptic Riemann surfaces of genus 3, using the Meyer function ϕ^4 . This local signature is used to derive a signature formula for a class of four-dimensional fiber spaces, whose general fibers are non-hyperelliptic Riemann surfaces of genus 3.

Let Δ be a closed oriented 2-disk and p its center. A 4-tuple $\mathcal{F} = (E, \pi, \Delta, p)$ is called a fiber germ of non-hyperelliptic family of genus 3 if

- 1. E is a C^{∞} manifold of dimension 4 and $\pi: E \to \Delta$ is a C^{∞} map,
- 2. the restriction of π to $\Delta \setminus \{p\}$ is a non-hyperelliptic family of genus 3.

Note that E has the natural orientation and compact, hence its signature $\mathrm{Sign}(E)$ is defined. Two such germs (E,π,Δ,p) and (E',π',Δ',p') are called *equivalent* if there exist a smaller disk $\Delta_0 \subset \Delta$ (respectively, $\Delta_0' \subset \Delta'$) whose center is p (respectively, p'), and there exist orientation preserving diffeomorphisms $\varphi \colon (\Delta_0,p) \to (\Delta_0',p')$ and $\tilde{\varphi} \colon \pi^{-1}(\Delta_0) \to \pi'^{-1}(\Delta_0')$ such that $\varphi \circ \pi = \pi' \circ \tilde{\varphi}$ and

$$\tilde{\varphi}|_{\pi^{-1}(\Delta_0\setminus\{p\})}:\pi^{-1}(\Delta_0\setminus\{p\})\to\pi'^{-1}(\Delta_0'\setminus\{p'\})$$

maps each fiber biholomorphically.

Let \mathcal{NH}_3 denote the set of all equivalence classes of such 4-tuples. We denote the element of \mathcal{NH}_3 also by $\mathcal{F}=(E,\pi,\Delta,p)$. For $\mathcal{F}=(E,\pi,\Delta,p)\in\mathcal{NH}_3$, γ denotes the element of $\pi_1(\Delta\backslash\{p\})$ traveling once the boundary $\partial\Delta$ by counter clockwise manner. We denote by \mathcal{F}^0 the restriction of $\pi:E\to\Delta$ to $\Delta\backslash\{p\}$. \mathcal{F}^0 is a non-hyperelliptic family of genus 3 and can be considered as an element of $\mathcal{PC}_4(\Delta\backslash\{p\})$ in view of (5).

Definition 7.1 Define loc.sig $\mathcal{Q}: \mathcal{NH}_3 \to \mathbb{Q}$ by

$$\operatorname{loc.sig}^{\mathcal{Q}}(\mathcal{F}) := \phi^{4}(\theta(\mathcal{F}^{0})_{*}(\gamma)) + \operatorname{Sign}(E).$$

Here, $\theta(\mathcal{F}^0)_*$ is the homomorphism from $\pi_1(\Delta \setminus \{p\})$ to $\Pi(4)$ induced by the classifying map $\theta(\mathcal{F}^0)$ for \mathcal{F}^0 . It is assumed that suitable base points of $\Delta \setminus \{p\}$ and $(\mathbb{P}(4) \setminus D)_{PGL(3)}$ are chosen. Since ϕ^4 is a class function, we don't have to care about base point so we omit it.

We call a triple (E, π, B) a four-dimensional non-hyperelliptic fibration of genus 3 if

- 1. E (respectively, B) is a closed oriented C^{∞} -manifold of dimension 4 (respectively, 2) and $\pi: E \to B$ is a C^{∞} -map,
- 2. there exist finitely many points $b_1, \ldots, b_n \in B$ such that the restriction of π to $B \setminus \{b_1, \ldots, b_n\}$ is a non-hyperelliptic family of genus 3.



For i = 1, ..., n, we obtain an element of \mathcal{NH}_3 by restricting π to a small closed disk neighborhood of b_i , we denote it by \mathcal{F}_i . Then, we obtain

Theorem 7.2 (The signature formula) Let (E, π, B) be a four-dimensional non-hyperelliptic fibration of genus 3. Then

$$Sign(E) = \sum_{i=1}^{n} loc.sig^{\mathcal{Q}}(\mathcal{F}_i).$$

Proof For $i=1,\ldots,n$, take a small closed 2-disk D_i around b_i so that they do not intersect each other. Then $\mathcal{F}_i = (\pi^{-1}(D_i), \pi, D_i, b_i)$. We denote by \mathcal{F}_i^0 the restriction of π to $D_i \setminus \{b_i\}$ and set $B_0 := B \setminus \bigcup_{i=1}^n \operatorname{Int} D_i$. By Meyer's signature formula, we get

$$\operatorname{Sign}(\pi^{-1}(B_0)) = \sum_{i=1}^n \phi^4 \left(\theta(\mathcal{F}_i^0)_*(\gamma) \right).$$

Using the Novikov additivity, we compute

$$\operatorname{Sign}(E) = \operatorname{Sign}(\pi^{-1}(B_0)) + \sum_{i=1}^{n} \operatorname{Sign}(\pi^{-1}(D_i))$$

$$= \sum_{i=1}^{n} \phi^4(\theta(\mathcal{F}_i^0)_*(\gamma)) + \sum_{i=1}^{n} \operatorname{Sign}(\pi^{-1}(D_i))$$

$$= \sum_{i=1}^{n} \operatorname{loc.sig}^{\mathcal{Q}}(\mathcal{F}_i).$$

Corollary 7.3 *Let* $g: E \to B$ *be a non-hyperelliptic family of genus 3 over a closed oriented surface* B. Then Sign(E) = 0.

We compute some examples. Comparing the following computations with those in [2,15], we see that their values coincide.

Singular fiber of type I Let $\Delta \subset \mathbb{P}(4)$ be a closed 2-disk intersecting with D only in its center $p \in \Delta$ transversely. Let $\pi_I : E_I \to \Delta$ be the restriction of $\bar{\mathcal{F}} \to \mathbb{P}(4)$ to Δ . Then E_I is smooth by Lemma 2.4 and $\mathcal{F}_I = (E_I, \pi_I, \Delta, p)$ is a fiber germ of non-hyperelliptic family of genus 3. By Lemma 2.3 the topological type of $\pi_I^{-1}(p)$ is Lefschetz singular fiber of type I, therefore we also call $\mathcal{F}_I \in \mathcal{NH}_3$ a singular fiber germ of type I. The signature of E_I is 0 and by definition, the inclusion $\Delta \setminus \{p\} \hookrightarrow \mathbb{P}(4) \setminus D \hookrightarrow (\mathbb{P}(4) \setminus D)_{PGL(3)}$ is the classifying map for \mathcal{F}_I^0 and the boundary of Δ is a lasso about D. Therefore, by Proposition 5.1, we have

Proposition 7.4

$$loc.sig^{\mathcal{Q}}(\mathcal{F}_I) = -\frac{5}{9}.$$



Hyperelliptic fiber Let $F \in V^4 \setminus \{0\}$ be a polynomial such that C_F intersects with the non-singular conic $C: yz - x^2 = 0$ in eight points, and let Δ be a small closed 2-disk around $0 \in \mathbb{C}$ with the complex coordinate s. Let S_F be the hypersurface in $\Delta \times \mathbb{P}^2$ defined by the equation

$$(yz - x^2)^2 + s^2 F(x, y, z) = 0.$$

 S_F is singular along $C' = \{0\} \times C$. Blowing up $\Delta \times \mathbb{P}^2$ along C, let $\widetilde{S_F}$ be the proper transform of S_F and $\pi : \widetilde{S_F} \to \Delta$ the composition of $\widetilde{S_F} \to S_F$ and the first projection $S_F \to \Delta$. Then $\widetilde{S_F}$ is non-singular and the exceptional divisor $\pi^{-1}(0)$ is a non-singular hyperelliptic curve of genus 3 with a natural projection onto $C' \cong \mathbb{P}^1$, which is a double cover.

Choose Δ small enough so that the singular fiber of π is $\pi^{-1}(0)$ only. Set $\mathcal{F}_h = (\widetilde{S_F}, \pi, \Delta, 0)$ and call this fiber germ *a hyperelliptic germ*. Let ℓ_h be the corresponding loop in $\mathbb{P}(4) \setminus D$ defined by

$$\ell_{h}(t) = (yz - x^{2})^{2} + \left(\varepsilon e^{2\pi\sqrt{-1}t}\right)^{2} F(x, y, z), \quad 0 \le t \le 1,$$

where ε is the radius of Δ .

Proposition 7.5

$$loc.sig^{\mathcal{Q}}(\mathcal{F}_h) = \bar{\phi}^4([\ell_h]) = \frac{4}{9}.$$

Proof We first note that $loc.sig^{\mathcal{Q}}(\mathcal{F}_h) = \bar{\phi}^4([\ell_h])$ since a hyperelliptic germ is topologically trivial.

The set W of all polynomials in V^4 such that the corresponding curve intersects with C in eight points is a non-empty Zariski open subset of V^4 . Since $[\ell_h]$ and $\operatorname{Sign}(\widetilde{S_F})$ does not change under any small perturbation of F in V^4 , it suffices to show the proposition for a particular element of W. But by the same reason as in Lemma 4.4, there is actually an element $F \in W$ such that the map

$$\mathbb{P}^1 \to \mathbb{P}(4), [w_0: w_1] \mapsto w_0^2 (yz - x^2)^2 + w_1^2 F(x, y, z),$$

does not meet E and is transverse to D, except at $[w_0: w_1] = [1:0]$. Then for this choice of F, the complex surface S in $\mathbb{P}^1 \times \mathbb{P}^2$ defined by the equation

$$w_0^2(yz - x^2)^2 + w_1^2 F(x, y, z) = 0,$$

has singularities only along the conic $\{[1:0]\} \times C$. After blowing up $\mathbb{P}^1 \times \mathbb{P}^2$ along this conic, we obtain the proper transform \widetilde{S} of S. By the choice of F, \widetilde{S} is non-singular. The composition of $\widetilde{S} \to S$ and the first projection $S \to \mathbb{P}^1$ is a family of algebraic curves whose all singular fiber germs are singular fiber germ of type I except the fiber germ around [1:0], and the fiber germ around [1:0] is a hyperelliptic germ. The invariants of \widetilde{S} are computed as: $c_1^2(\widetilde{S}) = -6$, $c_2(\widetilde{S}) = 18$, and $\operatorname{Sign}(\widetilde{S}) = -14$.



Now the number of singular fiber germs of type I is equal to the total Euler contribution

$$18 - 2(2 - 2 \cdot 3) = 26.$$

Note that a hyperelliptic germ, which is topologically trivial, does not contribute to the Euler number. By Theorem 7.2 and Proposition 7.4, we have

$$-14 = -\frac{5}{9} \times 26 + loc.sig^{\mathcal{Q}}(\mathcal{F}_h),$$

hence loc.sig $^{\mathcal{Q}}(\mathcal{F}_h) = \frac{4}{9}$.

Singular fiber of type II Let Δ be as in the previous example, and let S be the surface in $\Delta \times \mathbb{P}^2$ defined by

$$z^3x + y^2x^2 + y^4 + s^6x^4 = 0.$$

S has an isolated singularity at $p_0 = (0, [1:0:0])$ so called a singularity of type \widetilde{E}_8 . The inverse image C_2 of $0 \in \Delta$ by the first projection $p_1: S \to \Delta$ is a curve of geometric genus 2 with one cusp singularity.

Let $\varpi: \widetilde{S} \to S$ be the minimal resolution of the singularity of S at p_0 . Then the exceptional curve is a non-singular elliptic curve C_1 with self intersection number -1. If Δ is small enough, $\mathcal{F}_{II} = (\widetilde{S}, p_1 \circ \varpi, \Delta, 0)$ is a fiber germ of non-hyperelliptic family of genus 3. The topological type of the singular fiber $(p_1 \circ \varpi)^{-1}(0)$ is obtained by the disjoint union of C_1 and C_2 by identifying a point of C_1 with the cusp singularity of C_2 , that is, Lefschetz singular fiber of type II. We call \mathcal{F}_{II} a singular fiber germ of type II.

Let ℓ_{II} be the corresponding loop in $\mathbb{P}(4)\backslash D$ defined by

$$\ell_{II}(t) = z^3 x + y^2 x^2 + y^4 + (\varepsilon e^{2\pi\sqrt{-1}t})^6 x^4, \quad 0 \le t \le 1.$$

Proposition 7.6

loc.sig
$$^{\mathcal{Q}}(\mathcal{F}_{II}) = \frac{1}{3}, \quad \bar{\phi}^4([\ell_{II}]) = \frac{4}{3}.$$

Proof In this case $\bar{\phi}^4([\ell_{II}]) = \mathrm{loc.sig}^{\mathcal{Q}}(\mathcal{F}_{II}) + 1$ because the intersection form of \widetilde{S} is given by $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ hence $\mathrm{Sign}(\widetilde{S}) = -1$.

We perturb S slightly by adding a higher term about s; consider the surface in $\Delta \times \mathbb{P}^2$ defined by

$$z^{3}x + y^{2}x^{2} + y^{4} + s^{6}x^{4} + s^{m}F(x, y, z) = 0,$$

where m is an integer ≥ 7 and F is a polynomial in V^4 . The singularity of this surface remains at the origin and is still of type \widetilde{E}_8 . Taking the minimal resolution of this



singularity and taking Δ to be smaller if needed, we obtain a new fiber germ \mathcal{F}'_{II} and a new loop ℓ'_{II} in $\mathbb{P}(4)\backslash D$. This perturbation does not influence the value of ϕ^4 and the topology of the fiber neighborhood of the singular fiber. So it suffices to compute loc.sig $\mathcal{Q}(\mathcal{F}'_{II})$.

Let S' be the complex surface in $\mathbb{P}^1 \times \mathbb{P}^2$ defined by the equation

$$w_0^m(z^3x + y^2x^2 + y^4) + w_0^{m-6}w_1^6x^4 + w_1^mF(x, y, z) = 0,$$

and let $\widetilde{S}' \to S'$ be the minimal resolution of the singularity of S' at $p_0 = ([1:0], [1:0:0])$. If a generic F is chosen, then \widetilde{S}' is non-singular and the singular fiber germs of the family of algebraic curves $\widetilde{S}' \to S' \to \mathbb{P}^1$ are all of type I except the fiber germ around [1:0], and the fiber germ around [1:0] is \mathcal{F}'_{II} . The invariants of \widetilde{S}' are computed as: $c_1^2(\widetilde{S}') = 9m - 17$, $c_2(\widetilde{S}') = 27m - 19$, and $\mathrm{Sign}(\widetilde{S}') = -15m + 7$.

Now the number of singular fiber germs of type I is equal to

$$27m - 19 - 2(2 - 2 \cdot 3) - 1 = 27m - 12.$$

This time, the fiber over [1: 0] do contribute to the Euler number. By the signature formula,

$$-15m + 7 = -\frac{5}{9} \times (27m - 12) + \text{loc.sig}^{\mathcal{Q}}(\mathcal{F}'_{II}).$$

Thus we obtain $loc.sig^{\mathcal{Q}}(\mathcal{F}_{II}) = loc.sig^{\mathcal{Q}}(\mathcal{F}'_{II}) = \frac{1}{3}$.

Appendix

In this appendix we give a definition of Meyer's signature cocycle in the form used in the present paper and review its properties. For details, see Meyer's original paper [12].

We first explain the topological monodromy of surface bundles. Let $\pi: E \to B$ be an oriented Σ_g bundle whose structure group is the group of all orientation preserving diffeomorphisms of Σ_g . Choose a base point $b_0 \in B$ and fix an identification $\phi\colon \Sigma_g \xrightarrow{\cong} \pi^{-1}(b_0)$. For each based loop $\ell\colon [0,1]\to B$ the pull back $\ell^*(E)\to [0,1]$ of $\pi\colon E\to B$ by ℓ is trivial. Hence there exist a trivialization $\Phi\colon \Sigma_g\times [0,1]\to \ell^*(E)$ such that $\Phi(x,0)=\phi(x)$. By assigning the isotopy class of $\Phi(x,1)^{-1}\circ \phi$ to the homotopy class of ℓ , we obtain a map $\chi\colon \pi_1(B,b_0)=\pi_1(B)\to \Gamma_g$. This map becomes a homomorphism under the conventions; (1) for any two mapping classes f_1 and f_2 , the multiplication $f_1\circ f_2$ means that f_2 is applied first, (2) for any two homotopy classes of based loops ℓ_1 and ℓ_2 , their product $\ell_1\cdot \ell_2$ means that ℓ_1 is traversed first. χ is called *the topological monodromy of* $\pi\colon E\to B$ and determined up to inner automorphisms of Γ_g .

Let P denote the pair of pants, i.e., $P = S^2 \setminus \bigcup_{i=1}^3 \operatorname{Int} D_i$ where D_i , i = 1, 2, and 3 are the three disjoint closed disks in the 2-sphere S^2 . Choose a base point $p_0 \in \operatorname{Int} P$ and fix a based loop ℓ_1 and ℓ_2 such that ℓ_i is free homotopic to the loop traveling once



the boundary ∂D_i by counter clockwise manner (i=1,2). For $(f_1,f_2)\in \Gamma_g\times \Gamma_g$, we can construct an oriented Σ_g bundle $E(f_1,f_2)$ over P such that the topological monodromy $\chi:\pi_1(P)\to \Gamma_g$ sends $[\ell_i]$ to f_i for i=1,2. (If $g\geq 2$, the isomorphism class of this bundle is unique.) $E(f_1,f_2)$ is a compact C^∞ -manifold of dimension 4 and has the natural orientation induced by the orientation of P and that of the fibers. Then the signature of $E(f_1,f_2)$ is defined and we set

$$\tau_g(f_1, f_2) := -\text{Sign}(E(f_1, f_2)).$$

This turns out to be well defined even when g=1, and $\tau_g \colon \Gamma_g \times \Gamma_g \to \mathbb{Z}$ is called *Meyer's signature cocycle*. The basic properties of τ_g are

- (1) $\tau_g(f_1f_2, f_3) + \tau_g(f_1, f_2) = \tau_g(f_1, f_2f_3) + \tau_g(f_2, f_3);$
- (2) $\tau_g(f_1, 1) = \tau_g(1, f_1) = \tau_g(f_1, f_1^{-1}) = 0;$
- (3) $\tau_g(f_1^{-1}, f_2^{-1}) = -\tau_g(f_1, f_2);$
- (4) $\tau_g(f_1, f_2) = \tau_g(f_2, f_1);$
- (5) $\tau_g(f_3f_1f_3^{-1}, f_3f_2f_3^{-1}) = \tau_g(f_1, f_2),$

where f_1 , f_2 , and f_3 are elements of Γ_g .

For an oriented Σ_g bundle $\pi: E \to B$ and a choice of base point b_0 of B, we obtain a 2-cocycle $\chi^*\tau_g$ of $\pi_1(B) = \pi_1(B,b_0)$ by pulling back τ_g by the topological monodromy $\chi: \pi_1(B) \to \Gamma_g$. Although χ is determined only up to conjugacy, $\chi^*\tau_g$ is uniquely determined by the property (5) of τ_g above. Moreover, $\chi^*\tau_g$ does not depend on the choice of base point of B in the following sense: suppose $b_0' \in B$ and b_0 are in the same path component of B then under any isomorphism $\pi_1(B,b_0) \cong \pi_1(B,b_0')$ using a path from b_0 to b_0' , two cocycles of $\pi_1(B,b_0)$ and $\pi_1(B,b_0')$ defined as the pull back of τ_g by topological monodromies, correspond to each other.

Let G be a group and $\varphi: G \to \Gamma_g$ a homomorphism.

Definition 8.1 A \mathbb{Q} -valued 1-cochain $\phi: G \to \mathbb{Q}$ is called a Meyer function with respect to the pull back $\varphi^*\tau_g$ of τ_g by φ if it satisfies $\delta\phi = \varphi^*\tau_g$, i.e., ϕ cobounds the 2-cocycle $\varphi^*\tau_g$.

If a Meyer function exists on G, the cohomology class $\varphi^*[\tau_g] \in H^2(G; \mathbb{Z})$ is torsion. The following properties of ϕ are easily derived by the above properties of τ_g (see also [7, Proposition 3.1]).

Lemma 8.2 If ϕ is a Meyer function with respect to $\phi^*\tau_g$, we have

- (1) $\phi(xy) = \phi(x) + \phi(y) \varphi^* \tau_g(x, y);$
- (2) $\phi(1) = 0$;
- (3) $\phi(x^{-1}) = -\phi(x)$;
- (4) $\phi(yxy^{-1}) = \phi(x)$,

where $x, y \in G$.

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