# Meyer functions and the signatures of fibered 4-manifolds

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**Abstract.** We give a survey on Meyer functions, with emphasis on their application to the signatures of fibered 4-manifolds.

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### 1 Introduction

In this chapter, we give a survey on secondary invariants called *Meyer functions* with emphasis on their application to the signatures of fibered 4-manifolds. These secondary invariants are associated to the vanishing of the primary invariant called the *first MMM class*  $e_1$ , the first in a series of characteristic classes of surface bundles [31] [33] [36]. There have been known various representatives of  $e_1$  coming from different geometric contexts, as group 2-cocycles on the mapping class group or differential 2-forms on the moduli space of curves (see [21], especially for the latter). The view point we take here is the signature of surface bundles over surfaces, and we work with the *signature cocycle*  $\tau_g$  introduced by W. Meyer [30] (and by Turaev [40] independently) a  $\mathbb{Z}$ -valued 2-cocycle of the mapping class group  $\mathcal{M}_g$  of a closed oriented surface of genus g, whose cohomology class is proportional to  $e_1$ .

As was shown by Meyer, if g = 1 or 2, the cocycle  $\tau_g$  is the coboundary of a unique  $\mathbb{Q}$ -valued 1-cochain  $\phi_g$  of  $\mathcal{M}_g$ . The existence of such a 1-cochain implies that over the rationals,  $e_1$  of a surface bundle with fiber a surface of genus 1 or 2 vanishes. The uniqueness of  $\phi_g$  follows from the fact  $H^1(\mathcal{M}_g; \mathbb{Q}) = 0$ . These 1-cochains are called the Meyer functions of genus 1 or 2. Meyer [30] extensively studied the case of genus 1 and gave an explicit formula for  $\phi_1$  which involves the Dedekind sums. In [6], Atiyah reproved Meyer's formula by a quite different method and also showed various number theoretic or differential geometric aspects of  $\phi_1$ .

In §2, we recall basic results of Meyer and Atiyah with a sketch of proof for several assertions. In §3, we mention an application of Meyer functions to localization of the signature of fibered 4-manifolds. This topic has been studied also from algebro-geometric point of view, which we shall mention in §3.1. Recently, various higher genera or higher dimensional analogues of  $\phi_1$ have been considered and a part of Atiyah's result has been generalized to these generalizations. In §4, we present three examples of these generalizations.

Some conventions about surface bundles follow. Throughout this chapter g is an integer  $\geq 1$ . Let  $\Sigma_g$  be a closed oriented  $C^{\infty}$ -surface of genus g. By a  $\Sigma_g$ bundle we mean a smooth fiber bundle  $\pi \colon E \to B$  over a  $C^{\infty}$ -manifold B with fiber  $\Sigma_g$  such that the fibers are coherently oriented: the tangent bundle along the fibers  $T\pi := \{v \in TE; \pi_*(v) = 0\}$  is oriented. The transition functions of such bundles take values in  $\text{Diff}^+(\Sigma_g)$ , the group of orientation preserving diffeomorphisms of  $\Sigma_g$  endowed with  $C^{\infty}$ -topology. The mapping class group  $\mathcal{M}_g := \pi_0(\text{Diff}^+(\Sigma_g))$  is the group of connected components of  $\text{Diff}^+(\Sigma_g)$ . In other words,  $\mathcal{M}_g$  is the quotient group  $\text{Diff}^+(\Sigma_g)/\text{Diff}_0(\Sigma_g)$ , where  $\text{Diff}_0(\Sigma_g)$ is the group of diffeomorphisms isotopic to the identity.

For a  $\Sigma_g$ -bundle  $\pi: E \to B$  over a path connectd space B, the associated is (the conjugacy class of) a homomorphism  $\chi: \pi_1(B) \to \mathcal{M}_g$  called the

$$\{\Sigma_g - \text{bundles over } B\}/\text{isom} = [B, B\text{Diff}^+(\Sigma_g)] \to \text{Hom}(\pi_1(B), \mathcal{M}_g)/\text{conj.}$$
(1.1)

Namely, if  $f: B \to BDiff^+(\Sigma_g)$  is a classifying map of  $\pi: E \to B$ , then  $\chi = f_*$ , the induced map from  $\pi_1(B)$  to  $\pi_1(BDiff^+(\Sigma_g)) = \pi_0(Diff^+(\Sigma_g)) = \mathcal{M}_g$ . To be more careful about the base points and to give a more direct description, choose a base point  $b_0 \in B$  and fix an orientation preserving diffeomorphism  $\varphi: \Sigma_g \to \pi^{-1}(b_0)$ . Let  $\ell: [0,1] \to B$  be a based loop. Since [0,1] is contractible, the pull back  $\ell^*(E) \to [0,1]$  of  $\pi: E \to B$  is a trivial  $\Sigma_g$ -bundle. Hence there exist a trivialization  $\Phi: \Sigma_g \times [0,1] \to \ell^*(E)$  such that  $\Phi(x,0) = \varphi(x)$ . In this setting,  $\chi: \pi_1(B,b_0) \to \mathcal{M}_g$  is given by  $\chi([\ell]) = [\Phi(x,1)^{-1} \circ \varphi]$ . Here our convention is: 1) for any two mapping classes  $f_1$  and  $f_2$ , their multiplication  $f_1 \circ f_2$  means that  $f_2$  is applied first, 2) for any two homotopy classes of based loops  $\ell_1$  and  $\ell_2$ , their product  $\ell_1 \cdot \ell_2$  means that  $\ell_1$  is traversed first.

By the result of Earle-Eells [13], if  $g \ge 2$  the space  $\text{Diff}_0(\Sigma_g)$  is contractible, so the classifying space  $B\text{Diff}^+(\Sigma_g)$  is a  $K(\mathcal{M}_g, 1)$ -space. Hence the map (1.1) is a bijection. If g = 1, then  $\Sigma_1 = T^2$ , the two torus. The embedding  $T^2 \hookrightarrow \text{Diff}_0(T^2)$  as parallel translations is a homotopy equivalence, and  $\mathcal{M}_1$  is isomorphic to  $SL(2; \mathbb{Z})$ . Thus we have a fibration  $B\text{Diff}^+(T^2) \to BSL(2; \mathbb{Z}) =$  $K(SL(2; \mathbb{Z}), 1)$  with fiber  $BT^2 = \mathbb{C}P^{\infty} \times \mathbb{C}P^{\infty}$ . In particular, by elementary obstruction theory, it follows that if the base space B has a homotopy type of a 1-dimensional CW complex, then the isomorphism class of  $T^2$ -bundles over B is also classified well by monodromies: (1.1) is bijective.

### 2 The signature cocycle and Meyer's theorem

In this section we review the signature cocycle, its variants, and the original version of Meyer functions, i.e., the Meyer function of genus 1 and 2.

### 2.1 Prehistory

In study of the topology of fiber bundles, a basic question is how the topological invariants of the total space, the base space and the fiber are related. In 50's Chern, Hirzebruch and Serre studied the signature of the total space of a fiber bundle, by an application of the Serre spectral sequence. Recall that the signature of a compact oriented manifold M of dimension 4n (possibly with boundary), denoted by  $\operatorname{Sign}(M)$ , is the signature of the intersection form  $H_{2n}(M;\mathbb{R}) \times H_{2n}(M;\mathbb{R}) \to \mathbb{R}$ , which is a symmetric bilinear form. If the

dimension of M is not a multiple of 4, we understand that the signature of M is zero.

**Theorem 2.1** (Chern-Hirzebruch-Serre [11]). Let E and B be closed oriented manifolds and  $E \to B$  a fiber bundle with fiber a closed oriented manifold F. We arrange that the orientation of F is compatible with those of E and B. If  $\pi_1(B)$  trivially acts on the homology  $H_*(F; \mathbb{R})$ , then the signature of E is the product of the signatures of B and  $F: \operatorname{Sign}(E) = \operatorname{Sign}(B)\operatorname{Sign}(F)$ .

The assumption that  $\pi_1(B)$  trivially acts on the homology of the fiber is crucial, and the conclusion of the theorem does not hold in general. Indeed, Atiyah [5] and Kodaira [22] independently constructed an algebraic surface with non-zero signature, which is the total space of a complex analytic family of compact Riemann surfaces over a compact Riemann surface. Their method uses branched covering of algebraic surfaces, and can be used to produce examples such that the genus of the fiber can be taken arbitrarily integers  $\geq 4$ .

One important consequence is that there are non-trivial characteristic classes of surface bundles. In fact, since the signature of a manifold which is the boundary of some manifold is zero, the map

Sign: 
$$\Omega_2(BDiff^+(\Sigma_q)) \to \mathbb{Z}, \quad [f] \mapsto Sign(f^*\xi)$$

is well-defined. Here  $\Omega_2(X)$  is the second oriented bordism group of a space X (hence its element is represented by some continuous map f from a closed oriented surface to X) and  $\xi$  is a universal  $\Sigma_g$ -bundle over the classifying space  $B\text{Diff}^+(\Sigma_g)$ . Since  $\Omega_2(X)$  is naturally isomorphic to  $H_2(X;\mathbb{Z})$ , the map Sign becomes an element in  $\text{Hom}(H_2(B\text{Diff}^+(\Sigma_g)),\mathbb{Z})$ , and the examples by Atiyah and Kodaira shows that the map Sign is non-trivial. Hence  $H^2(B\text{Diff}^+(\Sigma_g);\mathbb{Z}) \cong H^2(\mathcal{M}_g;\mathbb{Z})$  is non-trivial and contains an element of infinite order, provided  $g \geq 4$ . As we recall in the following, Meyer showed that this non-triviality holds when  $g \geq 3$ .

# 2.2 The signature cocycle

W. Meyer [29] [30] studied the signature of surface bundles over surfaces and introduced the signature cocycle. The basic idea of Meyer is to decompose the base space into simple pieces: pairs of pants.

Let  $\Sigma_{0,n}$  be a compact surface obtained from the two sphere by removing n open disks with embedded disjoint closures. Specifying an orientation of  $\Sigma_{0,n}$  and a base point  $* \in \text{Int}(\Sigma_{0,n})$ , we take n based loops  $\ell_1, \ldots, \ell_n \in \pi_1(\Sigma_{0,n}, *)$  such that each  $\ell_i$  is freely homotopic to one of the boundaries with the counterclockwise orientation, and the relation  $\ell_1 \cdots \ell_n = 1 \in \pi_1(\Sigma_{0,n}, *)$  holds. The group  $\pi_1(\Sigma_{0,n}, *)$  is free of rank n - 1, generated by any n - 1 of  $\ell_1, \ldots, \ell_n$ . The surface  $P = \Sigma_{0,3}$  is called a *pair of pants*. Given  $f_1, \ldots, f_{n-1} \in \mathcal{M}_g$ , consider a  $\Sigma_g$ -bundle  $\pi \colon E(f_1, \ldots, f_{n-1}) \to \Sigma_{0,n}$ with  $\pi^{-1}(*) = \Sigma_g$  whose monodromy  $\chi \colon \pi_1(\Sigma_{0,n}, *) \to \mathcal{M}_g$  sends  $\ell_i$  to  $f_i$  $(i = 1, \ldots, n-1)$ . Since  $\Sigma_{0,n}$  is homotopy equivalent to a 1-dimensional CW complex, such a bundle exists and is unique up to isomorphism (see §1). The total space  $E(f_1, \ldots, f_{n-1})$  is a compact oriented 4-manifold with boundary.

**Definition 2.2.** The signature cocycle  $\tau_g \colon \mathcal{M}_g \times \mathcal{M}_g \to \mathbb{Z}$  is defined by

$$\tau_g(f_1, f_2) := \operatorname{Sign}(E(f_1, f_2)), \quad f_1, f_2 \in \mathcal{M}_g.$$

The map  $\tau_q$  is actually a normalized two cocycle of  $\mathcal{M}_q$ .

**Lemma 2.3.** For  $f_1, f_2, f_3 \in \mathcal{M}_q$ , we have

- (1)  $\tau_q(f_1f_2, f_3) + \tau_q(f_1, f_2) = \tau_q(f_1, f_2f_3) + \tau_q(f_2, f_3);$
- (2)  $\tau_g(f_1, 1) = \tau_g(1, f_1) = \tau_g(f_1, f_1^{-1}) = 0;$
- (3)  $\tau_q(f_1^{-1}, f_2^{-1}) = -\tau_q(f_1, f_2);$
- (4)  $\tau_g(f_1, f_2) = \tau_g(f_2, f_1);$
- (5)  $\tau_q(f_3f_1f_3^{-1}, f_3f_2f_3^{-1}) = \tau_q(f_1, f_2).$

sketch of proof. Recall the Novikov additivity of the signature. Let  $M_1$  and  $M_2$  be compact oriented manifolds of the same dimension,  $Y_1$  and  $Y_2$  closed and open submanifolds of  $\partial M_1$  and  $\partial M_2$ , respectively, and  $\varphi \colon Y_1 \to Y_2$  an orientation reversing homeomorphism. Then the signature of the glued manifold  $M_1 \cup_{\varphi} M_2$  is the sum of the signatures of  $M_1$  and  $M_2$ .

We only give the proof of (1), the cocycle condition for  $\tau_g$ . Consider a  $\Sigma_g$ -bundle  $\pi: E(f_1, f_2, f_3) \to \Sigma_{0,4}$  and let  $C_1, C_2 \subset \Sigma_{0,4}$  be essential simple closed curves intersecting each other in two points, such that  $C_1$  cuts  $\Sigma_{0,4}$  into two pairs of pants and the boundary of one of the two contains the free homotopy class of  $\ell_1$  and  $\ell_2$ . According to the decomposition of the base space, the total space  $E(f_1, f_2, f_3)$  can be written as a connected sum of  $E(f_1f_2, f_3)$  and  $E(f_1, f_2)$ . By the Novikov additivity of the signature, we obtain  $\text{Sign}(E(f_1, f_2, f_3)) = \tau_g(f_1f_2, f_3) + \tau_g(f_1, f_2)$ . On the other hand cutting along  $C_2$  and arguing similarly, we obtain  $\text{Sign}(E(f_1, f_2, f_3)) = \tau_g(f_1, f_2f_3) + \tau_g(f_2, f_3)$ .

The signature cocycle has a purely algebraic description. We denote by  $I_n$  the  $n \times n$  identity matrix. The *integral symplectic group*  $Sp(2g;\mathbb{Z})$ , also called the *Siegel modular group*, is defined by

$$Sp(2g;\mathbb{Z}) := \{A \in GL(2g;\mathbb{Z}); \ ^{t}AJA = J\},\$$

where  $J = \begin{pmatrix} 0 & I_g \\ -I_g & 0 \end{pmatrix}$  and  $I_g$  is the  $g \times g$  identity matrix. Fix a symplectic basis of  $H_1(\Sigma_g; \mathbb{Z})$ , i.e., elements  $A_1, \ldots, A_g, B_1, \ldots, B_g \in H_1(\Sigma_g; \mathbb{Z})$  whose

algebraic itersection numbers satisfy

$$A_i \cdot B_j) = \delta_{ij}, \quad (A_i \cdot A_j) = (B_i \cdot B_j) = 0.$$

In terms of a symplectic basis, the (left) action of  $\mathcal{M}_g$  on  $H_1(\Sigma_g; \mathbb{Z})$  is expressed as matrices and we get a (surjective) group homomorphism

$$\rho\colon \mathcal{M}_g \to Sp(2g;\mathbb{Z}). \tag{2.1}$$

Given  $A, B \in Sp(2g; \mathbb{Z})$ , consider a  $\mathbb{R}$ -linear space

$$V_{A,B} := \{ (x,y) \in \mathbb{R}^{2g} \oplus \mathbb{R}^{2g}; (A^{-1} - I_{2g})x + (B - I_{2g})y = 0 \}$$

and a bilinear form  $\langle , \rangle_{A,B} \colon V_{A,B} \times V_{A,B} \to \mathbb{R}$  defined by

$$\langle (x,y), (x',y') \rangle_{A,B} := {}^t (x+y) J (I_{2g} - B) y'.$$

It turns out that  $\langle , \rangle_{A,B}$  is symmetric hence its signature  $\operatorname{Sign}(V_{A,B}, \langle , \rangle_{A,B})$ is defined. We denote by  $\tau_g^{\operatorname{sp}}$  the map  $Sp(2g; \mathbb{Z}) \times Sp(2g; \mathbb{Z}) \to \mathbb{Z}, (A, B) \mapsto \operatorname{Sign}(V_{A,B}, \langle , \rangle_{A,B})$ . Note that  $\tau_g^{\operatorname{sp}}$  is naturally defined on the Lie group  $Sp(2g; \mathbb{R})$ .

**Theorem 2.4** (Meyer [29]). The signature cocycle on  $\mathcal{M}_g$  is the pull-back of  $\tau_a^{\mathrm{sp}}$  on  $Sp(2g;\mathbb{Z})$ , *i.e.*, for any  $f_1, f_2 \in \mathcal{M}_g$ , we have

$$\tau_g(f_1, f_2) := \operatorname{Sign}(V_{\rho(f_1), \rho(f_2)}, \langle , \rangle_{\rho(f_1), \rho(f_2)}).$$

sketch of proof. The proof proceeds following the proof of Theorem 2.1. Consider the Serre cohomology spectral sequence of  $E(f_1, f_2) \to P$ . The  $E_2$  page is  $E_2^{p,q} = H^p(P, \partial P; \mathcal{H}^q(\Sigma_g; \mathbb{R}))$ , where  $\mathcal{H}^q(\Sigma_g; \mathbb{R})$  denotes the local system on P whose stalk at  $b \in P$  is the cohomology of  $\pi^{-1}(b)$ . On the other hand each page  $E_r$  is a *Poincaré ring* in the sense of [11], in particular its signature  $\operatorname{Sign}(E_r)$  is defined. The proof is done through three steps: (1) to show that  $\operatorname{Sign}(E_r) = \operatorname{Sign}(E_{r+1})$ , (2) to show that  $\operatorname{Sign}(E_\infty) = \operatorname{Sign}(E(f_1, f_2))$ , and (3) to show that  $\operatorname{Sign}(E_2) = \operatorname{Sign}(V_{\rho(f_1),\rho(f_2)}\langle \ , \ \rangle_{\rho(f_1),\rho(f_2)}\rangle$ . To prove the last step, by taking a simplicial decomposition of P, Meyer [29] observed that  $E_2^{1,1} = H^1(P, \partial P; \mathcal{H}^1(\Sigma_g; \mathbb{R}))$  is isomorphic to  $V_{\rho(f_1),\rho(f_2)}$ , and the cup product on the former corresponds to  $\langle \ , \ \rangle_{\rho(f_1),\rho(f_2)}$ .

The signature cocycle is independently introduced by Turaev [40]. He gave another algebraic description for  $\tau_g^{\rm sp}$  and directly proved that  $\tau_g^{\rm sp}$  is a normalized two cocycle. He also discusses a relation with the Maslov index. For coincidence of the definition of  $\tau_g^{\rm sp}$  by Meyer and Turaev, see Endo-Nagami [15] Appendix.

**Remark 2.5.** Let M be a closed oriented manifold of dimension 4n - 2 and  $\pi: E \to B$  an oriented M-bundle with B path connected. By mimicking Definition 2.2, i.e., by constructing a M-bundle over P and taking the signature

of the total space, we obtain a normalized 2-cocycle  $c_M : \pi_1(B) \times \pi_1(B) \to \mathbb{Z}$ . In another direction, Atiyah [6] introduced the signature cocycle on the Lie group U(p,q), the unitary group of the Hermitian form with signature (p,q). The restriction to  $Sp(2g; \mathbb{R}) \subset U(p,p)$  is  $\tau_q^{sp}$ .

## 2.3 Evaluation of the signature class

The cocycle  $\tau_g \in Z^2(\mathcal{M}_g; \mathbb{Z})$  determines a cohomology class  $[\tau_g] \in H^2(\mathcal{M}_g; \mathbb{Z})$ , which here we call the signature class. We give a combinatorial method to compute the order of  $[\tau_g]$ . Following Meyer [30], we consider the following slightly general situation: let G be a group and  $k: G \times G \to \mathbb{Z}$  a normalized 2-cocycle satisfying  $z(x, x^{-1}) = 0$  for any  $x \in G$ . Suppose a presentation of Gis given. Namely G fits into an exact sequence

$$1 \to R \to F \stackrel{\varpi}{\to} G \to 1$$

where F is the free group generated by a set  $\{e_i\}_{i \in I}$ . Any  $x \in F$  can be written as  $x = x_1 x_2 \cdots x_m$ , where  $x_j \in \{e_i\} \cup \{e_i^{-1}\}$ . Define  $c \colon F \to \mathbb{Z}$  by

$$c(x) := \sum_{j=1}^{m} z(\varpi(x_1 \cdots x_{j-1}), \varpi(x_j))$$

It follows that c is well-defined and  $\delta c = -\varpi^* z$ , i.e.,  $c(xy) = c(x) + c(y) + z(\varpi(x), \varpi(y))$  for  $x, y \in F$ . Moreover, c is a class function:  $c(yxy^{-1}) = c(x)$  for  $x, y \in F$ . The 1-cochain c is involved in a commutative diagram



where the vertical isomorphisms is due to Hopf's formula (see [10]) and the upper right arrow is the evaluation map  $ev([z]): H_2(G; \mathbb{Z}) \to \mathbb{Z}$  by [z]. For  $i \in I$ , let  $e_i^*: F \to \mathbb{Z}$  be the map counting the total exponents of  $e_i$  in elements of F.

**Proposition 2.6** (Meyer [30]). For  $m \in \mathbb{Z} \setminus \{0\}$ , the order of  $[z] \in H^2(G; \mathbb{Z})$  divides m if and only if there exists  $\{m_i\}_{i \in I} \subset \mathbb{Z}$  such that  $mc|_R = \sum_{i \in I} m_i e_i^*|_R$ . In particular, if R is the normal closure of a set  $\{r_j\}_{j \in J} \subset F$ , then  $[z] = 0 \in H^2(G; \mathbb{Q})$  if and only if the liner equation  $c(r_j) = \sum_{i \in I} m_i e_i^*(r_j), j \in J$ , has a solution  $\{m_i\}_{i \in I} \subset \mathbb{Q}$ .

The proof is straightforward, but we briefly mention "if" part. Take  $\{m_i\}_{i \in I}$  satisfying the condition. Consider the  $(1/n)\mathbb{Z}$ -valued 1-cochain  $c_1 := c - c_1$ 

 $(1/n) \sum_{i \in I} n_i e_i^*$  of F. Then it turns out that  $c_1$  descends to a 1-cochain  $\overline{c_1}: G = F/R \to (1/n)\mathbb{Z}$ . In fact, for  $x \in F$  and  $r \in R$ , we have

$$c_1(xr) = c(x) + c(r) + \varpi^* z(x, r) - \frac{1}{n} \sum_{i \in I} n_i (e_i^*(x) + e_i^*(r))$$
$$= c(x) - \frac{1}{n} \sum_{i \in I} n_i e_i^*(x) = c_1(x)$$

(we use  $\varpi(r) = 1$ ). Since  $\varpi$  is surjective, it follows that  $\delta \overline{c}_1 = -z$ .

In a special situation, this criterion becomes simpler. Let  $Art(\mathcal{G})$  be a (small) Artin group associated to a connected graph  $\mathcal{G}$  without loops. This means that  $Art(\mathcal{G})$  is generated by the vertex set  $\{a_i\}_{i\in I}$  of  $\mathcal{G}$ , subject to the defining relations  $a_i a_j a_i = a_j a_i a_j$  if  $a_i$  and  $a_j$  are adjacent, and  $a_i a_j = a_j a_i$  if not. Further let  $\{r_j\}_{j\in J}$  be a set of words in  $\{a_i\}_i$ . We shall consider the case G is the group obtained by adding relations  $r_j = 1, j \in J$  to  $Art(\mathcal{G})$ . Suppose there exists  $\{m_i\}_{i\in I} \subset \mathbb{Q}$  satisfying the condition of Proposition 2.6, and let  $a_k$  and  $a_\ell$  be adjacent vertices of  $\mathcal{G}$ . Now we have  $r_{k,\ell} := a_k a_\ell a_k a_\ell^{-1} a_k^{-1} a_\ell^{-1} \in R$ , and

$$c(r_{k,\ell}) = c(a_k) + c((a_\ell a_k)a_\ell^{-1}(a_\ell a_k)^{-1}) + z(\varpi(a_k), \varpi(a_k)^{-1})$$
  
=  $c(a_k) + c(a_\ell^{-1}) = 0.$ 

Here we use the condition  $z(x, x^{-1}) = 0$  and the fact that c is a class function. On the other hand, we have  $\sum_{i \in I} m_i e_i^*(r_{k,\ell}) = m_k - m_\ell$ . Therefore we obtain  $m_k = m_\ell$ . Since  $\mathcal{G}$  is connected, we conclude  $m_k = m_\ell$  for any  $k, \ell \in I$ . In summary, we have the following.

**Proposition 2.7.** Suppose G is the quotient of an Artin group as above, and let  $z \in Z^2(G; \mathbb{Z})$  be a normalized 2-cocycle with  $z(x, x^{-1}) = 0$  for any  $x \in G$ .

- (1) For  $n \in \mathbb{N}$ ,  $n[z] = 0 \in H^2(G; \mathbb{Z})$  if and only if there exist  $m \in \mathbb{Z}$  such that  $n \cdot c(r_j) = m \cdot \alpha(r_j)$  for all  $j \in J$ .
- (2) In the situation of (1), the 1-cochain  $\phi: G \to (1/n)\mathbb{Z}$  defined by  $\phi(\varpi(x)) = -c(x) + (m/n)\alpha(x), x \in F$  is well-defined. Moreover,  $\delta \phi = z$ .

Here  $\alpha \colon F \to \mathbb{Z}$  is a homomorphism given by  $\alpha(a_i) = 1$  for  $i \in I$ .

For example, the mapping class group admits a presentation as the quotient of an Artin group where the relation  $a_i a_j a_i = a_j a_i a_j$  corresponds to the braid relation among two Dehn twists. Thus we can apply this proposition.

# 2.4 Meyer's theorems

Using the combinatorial criterion in the previous section, Meyer determined the order of the cohomology class  $[\tau_q] \in H^2(\mathcal{M}_q; \mathbb{Z})$ .

**Theorem 2.8** (Meyer [30], Satz 2). The order of  $[\tau_1]$  is 3, the order of  $[\tau_2]$  is 5, and the order of  $[\tau_g]$  is infinite if  $g \ge 3$ .

To settle the case g = 1 and 2, Meyer used a classical presentation of  $\mathcal{M}_1 \cong SL(2;\mathbb{Z})$  and a presentation of  $\mathcal{M}_2$  by Birman-Hilden [8]. For  $g \geq 3$ , no finite presentation of  $\mathcal{M}_g$  was known at that time. Still, using some of the known relations and showing that  $[\tau_g]$  is divisible by 4, Meyer proved that the image of  $ev([\tau_g])$  is  $4\mathbb{Z}$ . We remark that by the Hirzebruch signature formula, we have  $e_1 = 3[\tau_g] \in H^2(\mathcal{M}_g;\mathbb{Z})$ .

**Remark 2.9.** Nowadays several finite presentations of  $\mathcal{M}_g$  for  $g \geq 3$  are known. Using one of them, say the one due to Wajnryb [41], one can directly show that the image of  $ev([\tau_q])$  is  $4\mathbb{Z}$ .

The following is an immediate consequence of Theorem 2.8.

- **Theorem 2.10** (Meyer [30], Satz 3). (1) If  $g \leq 2$ , the signature of the total space of any  $\Sigma_g$ -bundle over a closed oriented surface is zero.
  - (2) If g ≥ 3, the signature of the total space of a Σ<sub>g</sub>-bundle over a closed oriented surface is a multiple of 4. Conversely, for any g ≥ 3 and n ∈ 4Z, there exist a Σ<sub>g</sub>-bundle E → B over a closed oriented surface with Sign(E) = n.

As a consequence of Theorem 2.8, there exist 1-cochains  $\phi_1 : \mathcal{M}_1 \to (1/3)\mathbb{Z}$ and  $\phi_2 : \mathcal{M}_2 \to (1/5)\mathbb{Z}$  such that  $\delta\phi_1 = \tau_1$  and  $\delta\phi_2 = \tau_2$ . Here for a 1-cochain  $\phi : G \to A$  with coefficient in an abelian group A, its coboundary  $\delta\phi$  is a map from  $G \times G$  to A given by  $\delta\phi(x, y) = \phi(x) - \phi(xy) + \phi(y)$  (for terminologies of cohomology of groups, see for example, [10]). Thus the condition  $\delta\phi_g = \tau_g$ (g = 1 or 2) is equivalent to

$$\tau_q(x,y) = \phi_q(x) - \phi_q(xy) + \phi_q(y), \quad x, y \in \mathcal{M}_q.$$
(2.2)

Moreover, since  $H^1(\mathcal{M}_1; \mathbb{Q}) = H^1(\mathcal{M}_2; \mathbb{Q}) = 0$ , such 1-cochains are unique and characterized by (2.2). The 1-cochain  $\phi_1$  (resp.  $\phi_2$ ) is called the *Meyer* function of genus 1 (resp. of genus 2).

The following lemma can be directly proved by Lemma 2.3 and (2.2).

**Lemma 2.11.** The Meyer functions  $\phi_1$  and  $\phi_2$  satisfy the following properties: for  $x, y \in \mathcal{M}_g$  (g = 1 or 2),

- (1)  $\phi_q(1) = 0;$
- (2)  $\phi_g(x^{-1}) = -\phi_g(x);$
- (3)  $\phi_g(yxy^{-1}) = \phi_g(x).$

Consider a surface bundle over a compact oriented surface. Then the values of  $\phi_g$  around a boundary circle (which is well-defined by Lemma 2.11 (3)) is interpreted as signature defects.

**Proposition 2.12.** Suppose g = 1 or 2 and let  $\pi: E \to B$  be a  $\Sigma_g$ -bundle over a compact oriented surface B with boundary components  $\partial B_i$ ,  $i \in I$ . Then

$$\operatorname{Sign}(E) = \sum_{i \in I} \phi_g(x_i),$$

where  $x_i \in \mathcal{M}_g$  is the monodromy along the boundary component  $\partial B_i$  with the counter-clockwise orientation.

sketch of proof. Take a pants decomposition of B. By the Novikov additivity of the signature, Sign(E) is the sum of the signatures of the components, which is expressed in terms of  $\tau_g$ . Using (2.2), we obtain the formula.

Meyer extensively studied the function  $\phi_1$  and gave its explicit formula. Note that the mapping class group  $\mathcal{M}_1$  is isomorphic to  $SL(2;\mathbb{Z}) = Sp(2;\mathbb{Z})$  by the homomorphism (2.1). To state his result, let us prepare some notations. The *Rademacher function* [37] is a map  $\Psi: SL(2;\mathbb{Z}) \to \mathbb{Q}$  defined by

$$\Psi\left(\left(\begin{array}{cc}a&b\\c&d\end{array}\right)\right) = \begin{cases} \frac{a+d}{c} - 12\mathrm{sign}(c)s(a,c) - 3\mathrm{sign}(c(a+d)) & \text{if } c \neq 0,\\ \frac{b}{d} & \text{if } c = 0. \end{cases}$$

Here sign(x)  $\in \{0, \pm 1\}$  is the sign of x if  $x \neq 0, 0$  if x = 0, and s(a, c) is the Dedekind sum

$$s(a,c) := \sum_{k \mod |c|} \left( \left( \frac{ak}{c} \right) \right) \left( \left( \frac{k}{c} \right) \right)$$

where

$$((x)) = \begin{cases} x - [x] - \frac{1}{2} & \text{if } x \in \mathbb{R} \setminus \mathbb{Z}, \\ 0 & \text{if } x \in \mathbb{Z} \end{cases}$$

([x] denotes the integer part of x). Also, for  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2; \mathbb{Z})$ , set  $\sigma(\alpha) = \tau_1(\alpha, -1)$ , which by a direct computation turns out to be the signature of the symmetric matrix  $\begin{pmatrix} -2c & a-d \\ a-d & 2b \end{pmatrix}$ .

**Theorem 2.13** (Meyer [30], Satz 4). For any  $\alpha = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL(2;\mathbb{Z})$ , we have

$$\phi_1(\alpha) = -\frac{1}{3}\Psi(\alpha) + \sigma(\alpha) \cdot \frac{1}{2}(1 + \operatorname{sign}(a+d)).$$

In particular, if  $a + d \neq 0, 1, 2$ , then  $\phi_1(\alpha) = -(1/3)\Psi(\alpha)$ .

Meyer's proof is based on a certain cocycle identity of  $\Psi$ , behind which is the transformation law under  $SL(2;\mathbb{Z})$  of the logarithm of the *Dedekind*  $\eta$ -function

$$\eta(\tau) = e^{\pi i \tau/12} \prod_{n=1}^{\infty} (1 - e^{2\pi i n \tau}), \quad \tau \in \{ z \in \mathbb{C}; \operatorname{Im}(z) > 0 \}.$$

Atiyah [6] gave another proof of Theorem 2.13 of more topological nature.

# 2.5 Atiyah's theorem

Atiyah [6] showed that the value of  $\phi_1$  on hyperbolic elements coincides with various invariants. Recall that  $\alpha \in SL(2;\mathbb{Z})$  is called *hyperbolic* if  $|\text{Tr}(\alpha)| > 2$ .

**Theorem 2.14** (Atiyah [6]). For a hyperbolic element  $\alpha \in SL(2;\mathbb{Z})$ , the following quantities coincide.

- (1)  $\phi_1(\alpha);$
- (2) Hirzebruch's signature defect  $\delta(\alpha)$ ;
- (3) the transformation low of the logarithm of the Dedekind  $\eta$ -function under  $\alpha$ ;
- (4) the logarithmic monodromy of Quillen's determinant line bundle of the mapping torus of α;
- (5) the value  $L_{\alpha}(0)$  of the Shimizu L-function;
- (6) The Atiyah-Patodi-Singer invariant  $\eta(\alpha)$  of the mapping torus of  $\alpha$ ;
- (7) The adiabatic limit  $\eta^0(\alpha)$ .

Since the invariants (6)(7) will appear again in §4, we give a brief explanation of these invariants here. The Atiyah-Patodi-Singer invariant [7], also called the  $\eta$ -invariant, is a spectral invariant of a closed oriented odd dimensional Riemannian manifold (M, g) and is denoted by  $\eta(M, g)$  or  $\eta(M)$  shortly. Further, let E and B be closed oriented  $C^{\infty}$ -manifolds and  $\pi: E \to B$  a oriented M-bundle with the dimension of E is divisible by 4. Once a metric  $g^{E/B}$ on the relative tangent bundle T(E/B), a metric  $g^B$  on B, and a connection

 $\nabla$  on TE are given, the metric on E is given by  $g^E := g^{E/B} \oplus \pi^* g^E$  according to the decomposition  $TE = T(E/B) \oplus \pi^* TB$  induced from  $\nabla$ . Then the one parameter family of metrics on E is defined by  $g_{\varepsilon}^E := g^{E/B} \oplus \varepsilon^{-1} \pi^* g^B$ ,  $\varepsilon \in \mathbb{R}_{>0}$ . By Bismut-Cheeger [9], it is shown that the limit  $\lim_{\varepsilon \to 0} \eta(E, g_{\varepsilon}^E)$  exists. The limit is called the *adiabatic limit* of the  $\eta$ -invariants and is denoted by  $\eta^0(E)$ . In Theorem 2.14, a suitable metric is chosen for the mapping torus of  $\alpha$ .

In fact, Atiyah also showed the following result, giving an analytic expression of the value of  $\phi_1$  on any element of  $SL(2;\mathbb{Z})$ .

**Theorem 2.15** (Atiyah [6]). For  $\alpha \in SL(2; \mathbb{Z})$ , we have  $\phi_1(\alpha) = \eta^0(\alpha)$ .

A generalization of this result to  $\phi_2$  will be dealt in §4.2.

# 3 Local signatures

Consider a closed oriented 4-manifold M admitting a fibration  $f: M \to B$ onto a closed oriented surface B. Under some conditions, the signature of Mhappens to *localize* to finitely many singular fibers of f. This phenomenon is called the *localization of the signature*, and has been studied from several point of view. In this section we review some of these treatments, and recall an approach using Meyer functions.

### 3.1 Local signatures and Horikawa index

Let E and B be compact oriented  $C^{\infty}$ -manifolds of dimension 4 and 2 respectively,  $f: E \to B$  a proper surjective  $C^{\infty}$ -map having the structure of  $\Sigma_g$ -bundle outside of finitely many points  $\{b_i\}_{i \in I} \subset \text{Int}(B)$ . We call such a triple (E, f, B) a fibered 4-manifold (of genus g). For  $b \in B$ , we denote by  $\mathcal{F}_b$  the fiber germ of f around b. If  $b \in B \setminus \{b_i\}_{i \in I}$ ,  $\mathcal{F}_b$  is called a general fiber. If  $b = b_i$  for some  $i \in I$ ,  $\mathcal{F}_b$  is called a singular fiber.

Typical examples of fibered 4-manifolds are elliptic surfaces and Lefschetz fibrations. When we work with holomorphic category, then E is a complex surface, B is a Riemann surface, and f is a holomorphic map. In this case if we say, for example, that  $f: E \to B$  is a hyperelliptic fibration, then general fibers are hyperelliptic Riemann surfaces.

Among the topological invariants of such E, the topological Euler number  $\chi(E)$  is easy to compute. For simplicity we assume that E and B are closed, and let g(B) be the genus of B. Let  $\Delta_i \subset B$  be a small closed disk with center  $b_i$  and we denote  $E_i = f^{-1}(\Delta_i)$  and  $E_0 = f^{-1}(B \setminus \bigcup_i \operatorname{Int}(\Delta_i))$ . Since the topological Euler number is multiplicative in fiber bundles, we have

 $\chi(E_0)=(2-2g)(2-2g(B)-|I|).$  Moreover, since f is proper we have  $\chi(E_i)=\chi(f^{-1}(b_i)).$  Thus

$$\chi(E) = (2 - 2g)(2 - 2g(B)) + \sum_{b \in B} \varepsilon(\mathcal{F}_b),$$

where the number  $\varepsilon(\mathcal{F}_b) := \chi(f^{-1}(b_i)) - (2 - 2g)$  is called the *topological Euler* contribution. In short, we can compute  $\chi(E)$  by the contributions  $\varepsilon(\mathcal{F}_b)$ .

On the other hand, the signature of E is not so easy to compute and in general one cannot compute it from the data of singular fiber germs. Nevertheless, under some conditions on the general fibers, it happens that we can assign a rational number  $\sigma(\mathcal{F}_b)$  to each fiber  $\mathcal{F}_b$  satisfying the following two conditions:

(1) if  $\mathcal{F}_b$  is a general fiber, then  $\sigma(\mathcal{F}_b) = 0$ .

(2) if E is closed, then Sign $(E) = \sum_{b \in B} \sigma(\mathcal{F}_b)$ .

The assignment  $\sigma$  is called a *local signature*, and when such phenomena happens, we say that the signature of E is localized.

The first example of a local signature is the one for fibered 4-manifolds of genus 1 due to Y. Matsumoto [27]. He called such assignment a fractional signature. Later he also gave a local signature for Lefschetz fibrations of genus 2 [28]. In both the examples, he used the Meyer functions  $\phi_1$  and  $\phi_2$  to construct a local signature. See the next subsection for details.

In algebro-geometric setting, local signatures are closely related to an invariant of fiber germs which originates in the work of Horikawa [17] [18]. He studied global family of curves of genus 2  $f: E \to B$  and defined an invariant  $\mathcal{H}(\mathcal{F}_b) \geq 0$  to each fiber germ, and showed the equality

$$K_E^2 = 2\chi(\mathcal{O}_E) - 6 + 6g(B) + \sum_{b \in B} \mathcal{H}(\mathcal{F}_b).$$
(3.1)

Here g(B) is the genus of B,  $K_E^2$  is the self intersection number of the canonical bundle of E, and  $\chi(\mathcal{O}_E)$  is the Euler characteristic number of the structure sheaf of E. In the geography of complex surfaces of general type, one often studies complex surfaces with the pair of specified numerical invariants  $(K_E^2, \chi(\mathcal{O}_E))$ . Note that by the Hirzebruch signature formula  $\operatorname{Sign}(E) =$  $(1/3)(K_E^2 - 2\chi(E))$  and the Noether formula  $\chi(\mathcal{O}_E) = (1/12)(K_E^2 + \chi(E))$ , to fix  $(K_E^2, \chi(\mathcal{O}_E))$  is equivalent to fix  $(\operatorname{Sign}(E), \chi(E))$ . The inequality  $K_E^2 \geq$  $2\chi(\mathcal{O}_E) - 6$  is called the *Noether inequality*, a lower bound for the numerical invariants of complex surfaces of general type. Thus  $\mathcal{H}(\mathcal{F}_b)$  is regarded as a local contribution of each fiber germ to the distance from the geographical lower bound for  $(K_E^2, \chi(\mathcal{O}_E))$ . The invariant  $\mathcal{H}(\mathcal{F}_b)$  is called the *Horikawa index*.

There are several situations in which the Horikawa index exists. M. Reid [38] defined it for fiber germs of non-hyperelliptic fibrations of genus 3. This is generalized by Konno [24] to Clifford general fibrations of odd genus.

Arakawa and Ashikaga [1] introduced the Horikawa index for hyperelliptic fibrations, which is regarded as a direct generalization of the work of Horikawa. Let  $f: E \to B$  be a hyperelliptic fibration of genus g with B closed. They introduced an invariant  $\mathcal{H}(\mathcal{F}_b) \geq 0$  for each fiber germ satisfying

$$K_{E/B}^{2} = \frac{4(g-1)}{g} \chi_{f} + \sum_{b \in B} \mathcal{H}(\mathcal{F}_{b}), \qquad (3.2)$$

where  $K_{E/B}^2 = K_S^2 - 8(g-1)(g(B)-1)$  and  $\chi_f = \chi(\mathcal{O}_E) - (g-1)(g(B)-1)$ . Moreover, they defined a local signature for hyperelliptic fibrations of genus g by

$$\sigma_g^{\text{alg}}(\mathcal{F}_b) := \frac{1}{2g+1} (g\mathcal{H}(\mathcal{F}_b) - (g+1)\varepsilon(\mathcal{F}_b)). \tag{3.3}$$

Here  $\varepsilon(\mathcal{F}_b)$  is the topological Euler contribution as above. That  $\sigma_g^{\text{alg}}$  is a local signature follows from (3.2). More generally, if we find a Horikawa index in a class of fibrations (say non-hyperelliptic fibrations of genus 3), then a formula of type (3.3) gives a local signature for such fibrations.

For more detail about local signatures, we refer to the survey articles Ashikaga-Endo [2] and Ashikaga-Konno [3]. We also refer to recent works by Ashikaga-Yoshikawa [4] and Sato [39].

### 3.2 Matsumoto's formula

For a while we assume g is 1 or 2. Let (E, f, B) be a fibered 4-manifold of genus g. For each  $b \in B$ , take a small closed disk neighborhood  $\Delta \subset B$  of b and consider the restriction of f to  $\Delta \setminus \{b\}$ . Let  $x_b \in \mathcal{M}_g$  be the monodromy of this  $\Sigma_g$ -bundle along the boundary  $\partial \Delta$  with the counter-clockwise orientation, and set

$$\sigma_q(\mathcal{F}_b) := \phi_q(x_b) + \operatorname{Sign}(f^{-1}(\Delta)) \in \mathbb{Q}.$$
(3.4)

Here  $\phi_g$  is the Meyer function of genus g. Note that although  $x_b$  is only defined up to conjugacy,  $\phi_g(x_b)$  is well defined by Lemma 2.11 (3).

**Proposition 3.1** (Y. Matusmoto [27] [28]). Let g = 1 or 2. The assignment  $\sigma_g(\mathcal{F}_b)$  is a local signature for fibered 4-manifolds of genus g.

*Proof.* The property (1) is clear since  $x_b$  is trivial if  $\mathcal{F}_b$  is non-singular. To prove (2), for each i let  $\Delta_i$  be a small closed disk neighborhood of  $b_i$ . By

Proposition 2.12, we have

$$\operatorname{Sign}(E) = \operatorname{Sign}(f^{-1}(B_0)) + \sum_{i \in I} \operatorname{Sign}(f^{-1}(\Delta_i))$$
$$= \sum_{i \in I} \phi_g(x_{b_i}) + \sum_{i \in I} \operatorname{Sign}(f^{-1}(\Delta_i)) = \sum_{i \in I} \sigma(\mathcal{F}_{b_i}).$$

Matsumoto [27] [28] also gave some computations of his local signatures. Using the Meyer function on the hyperelliptic mapping class group and applying the formula (3.4), Endo [14] introduced a local signature for hyperelliptic fibrations (see §4.1). By Terasoma, it was shown that Endo's local signature and Arakawa-Ashikaga's local signature (3.3) coincide. See [14] Appendix.

The formula (3.4) implies that the local signature is only determined by topological data. But as Konno [23] observed, there exists a topologically non-singular fiber germ of non-hyperelliptic fibrations of genus 3 which has a non-zero Horikawa index. In fact, in the central fiber  $f^{-1}(b)$  of Konno's example is a non-singular hyperelliptic curve of genus 3. From the view point of local signatures, this fiber germ should be thought as a singular fiber. A modification of the formula (3.4) for such situations will be explained in §4.3.

# 4 Variations

In this section we review higher genera analogues and higher dimensional analogues of Meyer's  $\phi_1$  or  $\phi_2$ . First note that by Theorem 2.8, Meyer functions does not exist on  $\mathcal{M}_g$  for g > 2. But the signature cocycle happens to be a coboundary when it is pulled back to some group, for example, a subgroup of  $\mathcal{M}_g$ . The examples in §4.1 and §4.3 are those of this kind. The example in §4.2 is in a situation of Remark 2.5, and can be regard as a generalization of Theorem 2.15.

# 4.1 Hyperelliptic mapping class group

Let  $\iota \in \mathcal{M}_g$  be a hyperelliptic involution, i.e., (the class of) an involution of  $\Sigma_g$  acting on  $H_1(\Sigma_g; \mathbb{Z})$  as -id. The hyperelliptic mapping class group  $\mathcal{H}_g$  is the centralizer of  $\iota$ :

$$\mathcal{H}_g := \{ f \in \mathcal{M}_g; f\iota = \iota f \}.$$

Let  $\tau_g^H \in Z^2(\mathcal{H}_g;\mathbb{Z})$  be the restriction of  $\tau_g$  to the subgroup  $\mathcal{H}_g \subset \mathcal{M}_g$ . Using a finite presentation of  $\mathcal{H}_g$  by Birman-Hilden [8] and Proposition 2.6, Endo [14] proved the following theorem.

**Theorem 4.1** (Endo [14]). The order of  $[\tau_g^H] \in H^2(\mathcal{H}_g; \mathbb{Z})$  is 2g + 1. Furthermore, there uniquely exists a function  $\phi_g^H \colon \mathcal{H}_g \to (1/2g+1)\mathbb{Z}$  such that  $\delta \phi_q^H = \tau_q^H$ .

The 1-cochain  $\phi_g^H$  is called the Meyer function for the hyperelliptic mapping class group of genus g.

**Remark 4.2.** The existence and uniqueness of  $\phi_g^H$  also follow from the  $\mathbb{Q}$ -acyclicity of  $\mathcal{H}_g$  which is independently proved by Cohen [12] and Kawazumi [20].

Remark that  $\mathcal{H}_g = \mathcal{M}_g$  if g = 1 or 2. Thus the series  $\phi_g^H$ ,  $g \geq 3$  could be a higher genus analogue of Meyer's  $\phi_1$  and  $\phi_2$ . The values of  $\phi_g^H$  on Dehn twists are given as follows ([14] [32]). Let C be an  $\iota$ -invariant simple closed curve on  $\Sigma_g$ . We denote by  $t_C$  the right handed Dehn twist along C, which is an element of  $\mathcal{H}_g$ . If C is non-separating, then  $\phi_g^H(t_C) = (g+1)/2g+1$ ; if C is separating and separates  $\Sigma_g$  into surfaces of genus h and g - h, then  $\phi_g^H(t_C) = -4h(g-h)/2g+1$ .

A fibered 4-manifold (E, f, B) is called *hyperelliptic* if the monodromy of the  $\Sigma_g$ -bundle over  $B \setminus \{b_i\}_i$  can take value in  $\mathcal{H}_g$  by a suitable identification of a reference fiber with  $\Sigma_g$ . Replacing  $\phi_g$  with  $\phi_g^H$  in (3.4), Endo [14] introduced a local signature for hyperelliptic fibered 4-manifold.

Morifuji [32] studied geometrical aspects of  $\phi_g^H$ . He showed if  $f \in \mathcal{H}_g$  is of finite order, then  $\phi_g^H(f)$  equals  $\eta(f)$ , the  $\eta$ -invariant (see §2.5) of the mapping torus  $\Sigma_g \times [0,1]/(x,0) \sim (f(x),1)$ . Further, he showed that  $\phi_g^H(f) = d_0(f)$  if f belongs to the hyperelliptic Torelli group, where  $d_0$  is the so-called core of the Casson invariant introduced by Morita [34] [35].

### 4.2 Family of smooth theta divisors

Iida [19] gave a higher dimensional analogue of Meyer's  $\phi_2$ , which he called the *Meyer function for smooth theta divisors*.

Let  $\mathfrak{S}_g := \{\tau \in M(g; \mathbb{C}); \ t\tau = \tau, \operatorname{Im}(\tau) > 0\}$  be the Siegel upper half space of degree g and  $f: \mathbb{A}_g \to \mathfrak{S}_g$  the universal family of principally polarized Abelian varieties. The fiber of f at  $\tau \in \mathfrak{S}_g$  is the complex torus  $A_\tau = \mathbb{C}^g/\Lambda_g$ , where  $\Lambda_g$  is the lattice spanned by the column vectors of the  $g \times 2g$  matrix  $(I_g \tau)$ . We denote  $\mathbf{e}(t) = \exp(2\pi\sqrt{-1}t)$ . The Riemann theta function

$$\theta(z,\tau) := \sum_{n \in \mathbb{Z}^g} \mathbf{e} \left( \frac{1}{2} n \tau^{-t} n + n^{-t} z \right), \quad z \in \mathbb{C}^g,$$

defines a holomorphic section of a certain holomorphic vector bundle on  $A_{\tau}$ and its zero locus is called the *theta divisor*. Set

$$\Theta := \{ (z,\tau); \tau \in \mathfrak{S}_g, z \in A_\tau, \theta(z,\tau) = 0 \}$$

and let  $p: \Theta \to \mathfrak{S}_g$  be the natural projection. This is the universal family of theta divisors. We denote by  $\Theta_{\tau}$  the fiber of p at  $\tau$ . The group  $Sp(2g; \mathbb{Z})$ , which for simplicity we denote here by  $\Gamma_g$ , naturally acts on  $\mathfrak{S}_g$ . Iida introduced a  $\Gamma_g$ -action on  $\Theta$  so that p is  $\Gamma_g$ -equivariant.

The Zariski closed set  $\mathcal{N}_g := \{\tau \in \mathfrak{S}_g; \operatorname{Sing}(\Theta_\tau) \neq \emptyset\}$  is called the Andreotti-Mayer locus. The group  $\Gamma_g$  acts on the complement  $\mathfrak{S}_g^\circ := \mathfrak{S}_g \setminus \mathcal{N}_g$  properly discontinuously. Let  $\mathcal{S}_g$  be the orbifold fundamental group of the quotient orbifold  $\Gamma_g \setminus \mathfrak{S}_g^\circ$ . In other words,  $\mathcal{S}_g$  is the fundamental group of the Borel construction  $(\mathfrak{S}_g^\circ)_{\Gamma_g} := E\Gamma_g \times_{\Gamma_g} \mathfrak{S}_g^\circ$ , where  $E\Gamma_g$  is the total space of the classifying space of  $\Gamma_g$ . The group  $\mathcal{S}_g$  fits into an exact sequence

$$1 \to \pi_1(\mathfrak{S}_q^\circ) \to \mathcal{S}_g \to \Gamma_g \to 1. \tag{4.1}$$

If g = 1,  $\mathcal{N}_g = \emptyset$  and  $\Gamma_1 \setminus \mathfrak{S}_1^\circ$  is the moduli space of curves of genus 1, hence  $\mathcal{S}_1 = \mathcal{M}_1$ . By the Torelli theorem,  $\Gamma_2 \setminus \mathfrak{S}_2^\circ$  is the moduli space of curves of genus 2 and  $\mathcal{S}_2 = \mathcal{M}_g$ .

The projection p induces a fiber bundle over  $(\mathfrak{S}_g^{\circ})_{\Gamma_g}$ . The fiber is diffeomorphic to a smooth theta divisor. By the construction given in Remark 2.5, we get the signature cocycle  $c_g \colon \mathcal{S}_g \times \mathcal{S}_g \to \mathbb{Z}$ . If g is odd,  $c_g \equiv 0$  since the real dimension of a smooth theta divisor is 2g - 2. When g = 2,  $c_2$  is the pull back of  $\tau_2^{\rm sp}$  by (4.1). But if  $g \geq 3$ , this is not the case.

Using adiabatic limits of  $\eta$ -invariants and a certain automorphic form, Iida constructed a 1-cochain of  $S_g$  which cobounds  $c_g$ . Suppose g is even. An element  $\sigma \in S_g$  can be written as  $\sigma = (\alpha, \gamma)$ , where  $\alpha : [0,1] \to \mathfrak{S}_g^{\circ}$  is a continuous map with  $\alpha(0)$  a specified basepoint of  $\mathfrak{S}_g^{\circ}$  and  $\gamma \in \Gamma_g$  such that  $\alpha(1) = \gamma \cdot \alpha(0)$ . Consider the mapping torus  $M_{\sigma} := [0,1] \times_{\alpha} \Theta/(0,x) \sim (1,\gamma x)$ and the projection  $\pi : M_{\sigma} \to S^1 = [0,1]/0 \sim 1$ . He introduced a metric of the relative tangent bundle  $T(M_{\sigma}/S^1)$  and a connection on  $M_{\sigma}$ . Then the adiabatic limit  $\eta^0(M_{\sigma})$  is defined (see §2.5). Set

$$\Phi_g(\sigma) := \eta^0(M_\sigma) + \frac{(-1)^{\frac{g}{2}} 2^{g+3} (2^{g+2} - 1)}{(g+3)!} B_{\frac{g}{2}+1} \int_{S^1} \alpha^* d^c \log ||\Delta_g(\tau)||.$$

Here  $\Delta_g(\tau)$  is a Siegel cusp form of weight (g+3)g!/2 with zero divisor  $\mathcal{N}_g$ and  $B_k$  is the k-th Bernoulli number.

**Theorem 4.3** (Iida [19]). The 1-cochain  $\Phi_q$  cobounds  $c_q$ , i.e.,

$$c_g(\sigma_1, \sigma_2) = \Phi_g(\sigma_1) - \Phi_g(\sigma_1 \sigma_2) + \Phi_g(\sigma_2), \quad \sigma_1, \sigma_2 \in \mathcal{S}_g.$$

It should be remarked that the uniqueness of  $\Phi_g$  does not hold. In fact, Iida proved that  $H^1(\mathcal{S}_g;\mathbb{Z}) = \mathbb{Z}$  for  $g \geq 4$  ([19] Theorem 13). The 1-cochain  $c_g$ 

actually takes values in  $\mathbb{Q}$  ([19] Theorem 15). As a special case, Iida obtained an analytic expression of the Meyer function of genus 2.

**Corollary 4.4** (Iida [19]). For  $\sigma = (\alpha, \gamma) \in S_2 = \mathcal{M}_2$ , we have

$$\phi_2(\sigma) = \eta^0(M_{\sigma}) - \frac{2}{15} \int_{S^1} \alpha^* d^c \log ||\chi_2(\tau)||^2$$

Here  $\chi_2(\tau)$  is a Siegel modular form of weight 5 called the Igusa modular form.

# 4.3 The Meyer functions for projective varieties

We mention an approach by Kuno [25] [26] to extend Matsumoto's formula (3.4) for generic non-hyperelliptic fibrations of small genera.

Let  $X \subsetneq \mathbb{P}_N$  be a smooth projective variety of dimension  $n \ge 2$ , embedded in a complex projective space of dimension N. The intersection of X and a generic plane in  $\mathbb{P}_N$  of codimension n-1 is non-singular of dimension 1. Set k := N - n + 1 and let  $G_k(\mathbb{P}_N)$  be the Grassmann manifold of k-planes of  $\mathbb{P}_N$ . The set

$$D_X := \{ W \in G_k(\mathbb{P}_N); W \text{ meets } X \text{ not transversally } \}$$

is called the k-th associated subvariety of X [16]. Over the complement  $U^X := G_k(\mathbb{P}_N) \setminus D_X$ , there is a family of compact Riemann surfaces  $p_X : \mathcal{C}^X \to U^X$ whose fiber at  $W \in U^X$  is  $X \cap W$ . Let g be the genus of the fibers and let  $\rho_X : \pi_1(U^X) \to \mathcal{M}_g$  be the monodromy of this family.

**Theorem 4.5** (Kuno [26]). There exists a unique  $\mathbb{Q}$ -valued 1-cochain  $\phi_X : \pi_1(U^X) \to \mathbb{Q}$  whose coboundary equals the pull-back  $\rho_X^* \tau_g$ .

The 1-cochain  $\phi_X$  is called the Meyer function associated to  $X \subset \mathbb{P}_N$ . The fundamental group  $\pi_1(U^X)$  is normally generated by a single element called a lasso, which is represented by a loop "going once around  $D_X$ ". By  $\rho_X$ , a lasso is mapped to a Dehn twist. By a certain extension of theory of Lefschetz pencils, the value of  $\phi_X$  on a lasso is given in terms of invariants of X. Under a mild condition on X, it follows that  $\phi_X$  is an unbounded function. As a consequence, we can show that the group  $\pi_1(U^X)$  is non-amenable for such X.

As an application, we can define a local signature for generic non-hyperelliptic fibrations of small genera. Let us illustrate this by an example. Let (E, f, B) be a fibered 4-manifold of genus 3, such that the restriction of f to  $B \setminus \{b_i\}_{i \in I}$  is a continuous family of Riemann surfaces with fiber non-hyperelliptic. We call such (E, f, B) a non-hyperelliptic fibration of genus 3. Note that we assume a fiberwise complex structure on the general fibers, but do not assume a global complex structure. The idea is to construct a certain universal family and to lift the monodromy to the fundamental group of the base space of it.

Hereafter let X be the image of the Veronese embedding  $v_4 \colon \mathbb{P}_2 \to \mathbb{P}_{14}$  of degree 4. A generic hyperplane section of  $\mathbb{P}_{14}$  corresponds to a smooth plane curve of degree 4 in  $\mathbb{P}_2$ , which is non-hyperelliptic of genus 3. The group  $\mathcal{G} = PGL(3)$  naturally acts on  $\mathbb{P}_{14}$  preserving  $D_X$ . This induces  $\mathcal{G}$ -actions on  $\mathcal{C}_X$  and  $U^X$ , making  $p_X \colon \mathcal{C}^X \to U^X$  a  $\mathcal{G}$ -equivariant map. Therefore we have a continuous family of non-hyperelliptic Riemann surfaces of genus 3 over the Borel construction  $U_{\mathcal{G}}^X := E\mathcal{G} \times_{\mathcal{G}} U^X$ , which we denote by  $p_u \colon \mathcal{C}_{\mathcal{G}}^X \to U_{\mathcal{G}}^X$ . This family has a certain universal property: if  $p \colon E \to B$  is a continuous family of non-hyperelliptic Riemann surfaces of genus 3, then there exist a continuous map  $g \colon B \to U_{\mathcal{G}}^X$  such that the fiber product  $\mathcal{C}^X \times_g B$  and the original family are *isotopic*. Moreover, such g is unique up to homotopy. The fundamental group  $\pi_1(U_{\mathcal{G}}^X)$  fits into an exact sequence

$$\pi_1(PGL(3)) \cong \mathbb{Z}/3\mathbb{Z} \to \pi_1(U^X) \to \pi_1(U^X_{\mathcal{G}}) \to 1.$$

From this and the existence of  $\phi_X$  on  $\pi_1(U^X)$ , we can deduce that there exists a unique Q-valued 1-cochain  $\phi_3^{NH} \colon \pi_1(U_{\mathcal{G}}^X) \to \mathcal{Q}$  which cobounds the pull-back of  $\tau_3$  by the monodromy  $\rho_u \colon \pi_1(U_{\mathcal{G}}^X) \to \mathcal{M}_3$ .

Now, let  $\mathcal{F}_b$  be a fiber germ of non-hyperelliptic fibration of genus 3. Take a small closed disk  $\Delta$  with center b, so that there is no singular fiber on  $\Delta \setminus \{b\}$ . By the universality of  $p_u$ , there is a continuous map  $g_{\mathcal{F}_b} \colon \Delta \setminus \{b\} \to U_{\mathcal{G}}^X$ . Set  $x_{\mathcal{F}_b} := (g_{\mathcal{F}_b})_*(\partial \Delta) \in \pi_1(U_{\mathcal{G}}^X)$ , where we give  $\partial \Delta$  the counterclockwise orientation. Note that  $x_{\mathcal{F}_b}$  is uniquely determined up to conjugacy. Set

$$\sigma_3^{NH}(\mathcal{F}_b) := \phi_3^{NH}(x_{\mathcal{F}_b}) + \operatorname{Sign}(f^{-1}(\Delta)).$$

By applying the proof of Proposition 3.1, we have the following.

**Theorem 4.6** ([25]). The assignment  $\sigma_3^{NH}$  is a local signature for non-hyperelliptic fibrations of genus 3.

The formulation of  $\sigma_3^{NH}$  gives a topological interpretation of Konno's example in §3.2. While the monodromy around *b* is trivial, its lift  $x_{\mathcal{F}_b} \in \pi_1(U_{\mathcal{G}}^X)$  is non-trivial and contributes to  $\sigma_3^{NH}$ . Similar constructions are possible for generic non-hyperelliptic fibrations of genus 4 and 5. For details, see [26].

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