# **Annals of Mathematics**

The Engulfing Theorem for Topological Manifolds Author(s): M. H. A. Newman Source: *The Annals of Mathematics*, Second Series, Vol. 84, No. 3 (Nov., 1966), pp. 555-571 Published by: Annals of Mathematics Stable URL: <u>http://www.jstor.org/stable/1970460</u> Accessed: 14/05/2010 01:10

Your use of the JSTOR archive indicates your acceptance of JSTOR's Terms and Conditions of Use, available at <a href="http://www.jstor.org/page/info/about/policies/terms.jsp">http://www.jstor.org/page/info/about/policies/terms.jsp</a>. JSTOR's Terms and Conditions of Use provides, in part, that unless you have obtained prior permission, you may not download an entire issue of a journal or multiple copies of articles, and you may use content in the JSTOR archive only for your personal, non-commercial use.

Please contact the publisher regarding any further use of this work. Publisher contact information may be obtained at http://www.jstor.org/action/showPublisher?publisherCode=annals.

Each copy of any part of a JSTOR transmission must contain the same copyright notice that appears on the screen or printed page of such transmission.

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact support@jstor.org.



Annals of Mathematics is collaborating with JSTOR to digitize, preserve and extend access to The Annals of Mathematics.

# The engulfing theorem for topological manifolds

# By M. H. A. NEWMAN

A version of the Stallings engulfing theorem is proved by methods that do not depend on the theory of combinatorial manifolds and regular neighbourhoods, but are developed in detail within the paper.

THEOREM. Every locally tame closed set X, of dimension  $p \leq n-3$  in a p-connected topological n-manifold-without-boundary M, can be engulfed by any (p-1)-connected open set V, such that  $X \setminus V$  is compact.<sup>1</sup>

This means that there is a self-homeomorphism h, of M such that  $X \subseteq hV$ . There is an isotopy of h to the identity composed of a finite number of small *pushes*, each of which moves the points of a subset of a euclidean neighbourhood along parallel lines and leaves all else fixed.

The theorem is in fact proved for a somewhat larger class of sets X, the p-dominated sets  $(p \leq n-3)$  defined in ¶ 9.

By an argument due to E. H. Connell, based on Stallings' stretching process between dual skeletons, the Poincaré hypothesis for topological *n*-manifolds  $(n \ge 5)$  is derived from this form of the engulfing theorem.

### 1. Stretching theorems

1. In §1 space means metric space, and function real function.<sup>2</sup>

If f is a bounded function on the space X, f. (X), and f'(x) denote lim inf f and lim sup f at x, respectively. If  $A \subseteq X$ ,  $\Pi(f, g \mid A)$  is the set of points

$$\{(x, t) \mid x \in A, f.(x) \leq t \leq g^{\bullet}(x)\}$$
 of  $X \times R$ ,

and  $\Pi(f | A) = \Pi(f, f | A)$ .  $\Pi(f, g | A)$  is the (f, g)-prism on A, and if  $f \leq g$ ,  $\Pi(f | A)$  and  $\Pi(g | A)$  are the base and top of the prism.

2. LEMMA 1. Let f be bounded and u.s.c. (upper semi-continuous) in X, and U be open in  $X \times R$ . If  $\Pi(f \mid X) \subseteq U$ , there is a continuous function  $\varphi$  on X such that  $f < \varphi$  and  $\Pi(f, \varphi \mid X) \subseteq U$ .

<sup>&</sup>lt;sup>1</sup> The theorem remains true if the conditions "M p-connected, V(p-1)-connected" are replaced by "the pair (M, V) is p-connected."

<sup>&</sup>lt;sup>2</sup> All the results of 1 hold for sets in paracompact spaces, in view of Dowker's extension of the Baire insertion theorem to these spaces (see Dugundji, *Topology*, p. 170) and the proofs need only minor changes.

We may suppose that  $U \subseteq [t < 1 + \sup f]$ . Then the set E in  $X \times R$  defined by

$$E = [f(x) \leq t] \setminus U$$

is closed and meets each line  $x \times R$  ( $x \in X$ ). Therefore  $\mu$  is well defined by

$$\mu(x) = \inf \left\{ t \mid (x, t) \in E \right\}$$

and is l.s.c.. Since

$$[f(x) \leq t \leq f(x)] = \Pi(f \mid X) \subseteq U,$$

*E* may also be defined as  $[f(x) \leq t] \setminus U$ . Therefore for each  $x, \mu(x) \geq f(x)$ . Since  $(x, f(x)) \in U$ , an open set,  $\mu(x) \neq f(x)$ , i.e.,  $\mu > f$ .

By Baire's insertion theorem,<sup>3</sup> there is a continuous function  $\varphi$ , on X such that  $f < \varphi < \mu$ , and by the definition of  $\mu$ ,  $\Pi(f, \varphi \mid X) \subseteq U$ . Lemma 1 is proved. If  $\alpha < \beta < \gamma$  and  $\alpha < \beta' < \gamma$ .

 $\theta(\alpha; \beta, \beta'; \gamma)$ 

denotes the homeomorphism  $\theta : R \doteq R$  which maps  $[\alpha, \beta]$  and  $[\beta, \gamma]$  linearly on to  $[\alpha, \beta']$  and  $[\beta', \gamma]$  respectively, leaving other points fixed. If  $\alpha, \beta, \beta'$ and  $\gamma$  are continuous real functions on X, the same notation

$$\theta_x = \theta(\alpha; \beta, \beta'; \gamma)$$

may be used on the understanding that all the functions are to be evaluated at x. If then  $h(x, t) = (x, \theta_x(t))$ , h is a homeomorphism of  $X \times R$ .

If  $\alpha \leq \beta \leq \gamma$ ,  $\alpha \leq \beta' \leq \gamma$ , h is still a homeomorphism provided that, for each x, the consistency conditions

$$lpha(x)=eta(x)\Leftrightarrowlpha(x)=eta'(x)$$

and

$$\beta(x) = \gamma(x) \Leftrightarrow \beta'(x) = \gamma(x)$$

hold. Any such equality makes  $\theta_x = 1$  on the line  $x \times R$ .

3. It is convenient to use the word *engulfing* in the following sense. Let X be a space and A, U, a closed and an open set in X, respectively. Then A is *engulfed by* hU if h is a homeomorphism,  $h: X \doteq X$  such that

(1)  $A \subseteq hU$ , and

(2) h is isotopic to 1 (the identity) through  $h_{\tau}$ . We say that h is active only in W if  $h_{\tau} = 1$  outside W.

LEMMA 2. Let  $f_0 f_1$  be bounded continuous functions on the closed set F in X, and U, W, open sets of  $X \times R$ . Let  $f_0 \leq f_1$ ; let  $f_0 = f_1$  on fr <sub>x</sub>F. Sup-

<sup>&</sup>lt;sup>3</sup> For the theorem in metric spaces see, e.g., Aumann: Reelle Funktionen, p. 150.

pose that

$$\Pi(f_{\scriptscriptstyle 0},f_{\scriptscriptstyle 1}\,|\,F)\subseteq W, \qquad \Pi(f_{\scriptscriptstyle 0}\,|\,F)\subseteq U\,.$$

Then  $\Pi(f_0, f_1 | F)$  can be engulfed by hU, where h is active only in W.

Put  $\Pi(f, g | F) = \Pi(f, g)$  for any functions f, g. We make two applications of Lemma 1.

(1) There is a continuous function  $\chi$  such that  $f_1 < \chi$  and  $\Pi(f_1, \chi) \subseteq W$ . Let  $f_{1+} = \min(\chi, f_1 + (f_1 - f_0))$ . Thus  $\Pi(f_0, f_{1+}) \subseteq W$ .

(2) There is a continuous  $\varphi$  such that  $f_0 < \varphi$  and  $\Pi(f_0, \varphi) \subseteq U$ . Let

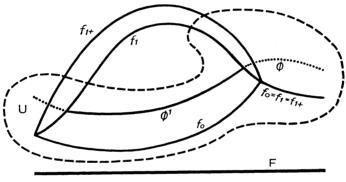


FIGURE 1.

 $arphi' = \min(arphi, f_1)$ . Then  $f_0 \leq arphi' \leq f_1 \leq f_{1+}$ . Let  $heta_x = heta(f_0; arphi', f_1; f_{1+})$ ,

for  $x \in F$ .

The consistency conditions are satisfied, for since  $f_0 < \varphi$ ,

$$f_0(x) = \varphi'(x) \Longrightarrow \varphi'(x) = f_1(x);$$

hence  $f_0(x) = f_1(x)$ . Similarly

$$f_1(x) = f_{1+}(x) \Rightarrow f_{1+}(x) = (f_1 + (f_1 - f_0))(x)$$
.

Hence  $f_1(x) = f_0(x)$ , and therefore  $\varphi'(x) = f_1(x)$ . Thus  $h(x, t) = (x, \theta_x(t))$ defines a homeomorphism of  $X \times R$  which is the identity at (x, t) unless  $x \in \operatorname{int}_x F$  and  $f_0(x) < t < f_{1+}(x)$ , and therefore  $(x, t) \in W$ . Since  $\Pi(f_0, \varphi') \subseteq U$ ,  $\Pi(f_0, f_1) \subseteq hU$ , by the definition of  $\theta_x$ .

An isotopy of h to the identity, active only in W, is defined by replacing  $f_1$  by  $(1 - \tau)\varphi' + \tau f_1$  in the definition of  $\theta_x$ .

4. The following Theorem 1 shows that the stretching transformation of  $X \times R$  in Lemma 2 can be extended into a product space  $X' \times X \times R$ , pulling the adjacent parts of the space with it. This is the theorem that replaces

Whitehead's theorem on the engulfing of a k-simplex (k < n) in a (combinatorial) *n*-manifold by its regular neighbourhood.

If  $A \subseteq X$  let

$$egin{aligned} \Pi_{\scriptscriptstyle 0}(f,\,g\,|\,A) &= \Pi(f,\,g\,|\,A) ackslash \Pi(f\,|\,A) \ &= \left[x \in A,\,f^{\scriptscriptstyle ullet}(x) < t \leqq g^{\scriptscriptstyle ullet}(x)
ight] \,. \end{aligned}$$

Thus  $\Pi_0(f, g) \cap \Pi(f) = \emptyset$ .

Let Y, Z be spaces, and let a point o be chosen in Y as origin.

THEOREM 1. Let  $g_0$ ,  $g_1$  be bounded functions on the closed set F in Z; let U, W, be open sets of  $Y \times Z \times R$ , and suppose that

(4.1)  $g_0$  is u.s.c.,  $g_1$  continuous,  $g_0 \leq g_1$ , and  $g_0 = g_1$  on fr<sub>z</sub>F;

(4.2)  $o \times \Pi(g_0 | F) \subseteq U;$ 

 $(4.3) o \times \Pi_0(g_0,g_1 | F) \subseteq W.$ 

Then  $o \times \Pi(g_0, g_1 | F)$  can be engulfed by hU, where h is active only in W. Case 1.  $g_0$  is continuous, and the stronger form  $(A | C) \longrightarrow \Pi(g_0, g_1 | F) \subseteq W = f(A | C)$  holds

(4.3')  $o \times \Pi(g_0, g_1 | F) \subseteq W$  of (4.3) holds.

The plan of the proof of Case 1 is first to costruct a *floor* V, an open set in  $Y \times Z$  containing  $o \times \operatorname{int}_{z} F$ , but narrow in the Y-dimension; then a continuous function  $\varphi$  on  $\overline{V}$ , equal to  $g_1$  on  $o \times F$  and to  $g_0(z)$  at points (y, z) of  $\operatorname{fr}_{Y \times Z} V$ ; and finally to use  $\varphi$  to taper off the *h* of Lemma 2, transferred to  $o \times Z \times R$ , to the identity on  $(\operatorname{fr} V) \times R$ .

Put  $g_i^*(y, z) = g_i(z)$ , for i = 0, 1 and  $y \in Y$ ,  $z \in F$ . There is an open set V in  $Y \times Z$  such that

(4.4.1)  $o \times \operatorname{int}_{z} F \subseteq V \subseteq Y \times \operatorname{int}_{z} F$ ;

(4.4.2)  $\Pi(g_0^*, g_1^* \mid \overline{V}) \subseteq W, \ \Pi(g_0^* \mid \overline{V}) \subseteq U.$ 

In the normal space  $Y \times Z \times R$ , there exist open sets  $U_1$ ,  $W_1$  satisfying (4.2) and (4.3'), and  $\overline{U}_1 \subseteq U$ ,  $\overline{W}_1 \subseteq W$ . Let  $z \in \operatorname{int} _z F$ . Then there exist real numbers  $\lambda < g_0(z)$ ,  $\mu > g_1(z)$  such that  $o \times z \times [\lambda, \mu] \subseteq W_1$ . By the compactness of  $[\lambda, \mu]$ , there are open neighbourhoods  $N_0(o)$  in Y and  $N_1(z)$  in Z (both depending on z) such that  $N_0 \times N_1 \times [\lambda, \mu] \subseteq W_1$ ; and  $N_0$  may be so chosen that, further,

$$z' \in N_{\scriptscriptstyle 0} \,{\Rightarrow}\, g_{\scriptscriptstyle 0}(z') > \lambda$$
 &  $g_{\scriptscriptstyle 1}(z') < \mu$  .

Then

$$\Pi(g_{\scriptscriptstyle 0}^*,g_{\scriptscriptstyle 1}^*\,|\,N_{\scriptscriptstyle 0} imes N_{\scriptscriptstyle 1}) \subseteq N_{\scriptscriptstyle 0} imes N_{\scriptscriptstyle 1} imes [\lambda,\,\mu]\subseteq W_{\scriptscriptstyle 1}$$
 .

Similarly it can be arranged that

$$\Pi(g_{\scriptscriptstyle 0}^*\,|\,N_{\scriptscriptstyle 0}\, imes\,N_{\scriptscriptstyle 1}) \sqsubseteq U_{\scriptscriptstyle 1}$$
 .

Then

$$V = igcup (N_{\scriptscriptstyle 0} imes N_{\scriptscriptstyle 1}; z \in \operatorname{int} {}_{z}F)$$

satisfies (4.4.1) and (4.2.2). (Recall that  $g_0^*$  is continuous in Case 1.)

Now let functions  $\alpha$  and  $\beta$  be defined on  $\overline{V}$ :

$$egin{array}{ll} lpha \ ee s \ F = g_1^st \ , & lpha \ ee V ackslash (o imes F) = g_0^st \ , \ eta \ ee s \ ee s$$

Then  $\alpha$  is u.s.c.,  $\beta$  is l.s.c., and  $\alpha \leq \beta$  on  $\overline{V}$  since  $g_0 = g_1$  on  $\operatorname{fr}_z F$ . Let  $\varphi$  be a continuous function on  $\overline{V}$  such that  $\alpha \leq \varphi \leq \beta$ , with strict inequalities where  $\alpha < \beta$ . It follows that

(4.5)  $g_0^* \leq \varphi \leq g_1^*$  in  $\overline{V}$ ,  $g_0^* = \varphi$  on fr V,  $\varphi = g_1$  in  $o \times F$ . By the last clause of (4.5),

$$egin{aligned} o imes \Pi(g_{\mathfrak{0}},\,g_{\mathfrak{1}}\,|\,F) &\subseteq \Pi(g_{\mathfrak{0}}^*,\,arphi\,|\,V) \ &\subseteq \Pi(g_{\mathfrak{0}}^*,\,g_{\mathfrak{1}}^*\,|\,ar{V}) \subseteq W\,. \end{aligned}$$

Therefore,  $o \times \Pi(g_0, g_1 | F)$  can be engulfed by hU, h being active only in W (put  $f_0 = g_0^*$ ,  $f_1 = \varphi$ ,  $F = \overline{V}$  in Lemma 2).

General case of Theorem 1. By (4.2) and Lemma 1, there is a continuous function  $\psi_0$  on F such that  $g_0 < \psi_0$  and  $o \times \Pi(g_0, \psi_0 | F) \subseteq U$ . Let  $\psi_1 = \min(\psi_0, g_1)$ , and let H be the subset  $[\psi_1(z) < g_1(z)]$  of F. In H,

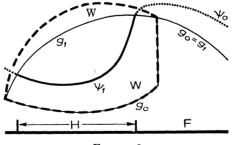


FIGURE 2

and therefore in  $\bar{H}$ ,  $\psi_1 = \psi_0$  and hence  $\psi_1 > g_0$ . The conditions of Case 1 are satisfied if  $\psi_1$  and  $\bar{H}$  replace  $g_0$  and F, other sets and functions being unchanged: (4.2) because

$$\Pi(\psi_1 \,|\, H) \subseteq \Pi(g_0, \,\psi_0 \,|\, F);$$

and (4.3') because  $\psi_{\scriptscriptstyle 1} > g_{\scriptscriptstyle 0}$  on  $ar{H}$  and so

 $o\, imes\,\Pi(\psi_{\scriptscriptstyle 1},\,g_{\scriptscriptstyle 1}\,|\,ar{H})\subseteq o\, imes\,\Pi_{\scriptscriptstyle 0}(g_{\scriptscriptstyle 0},\,g_{\scriptscriptstyle 1}\,|\,F)\subseteq\,W$  .

Therefore hU engulfs  $\Pi(\psi_1, g_1 | \overline{H})$ , with h active only in W. Now

 $\Pi(\psi_1, g_1 \,|\, F ackslash ar H) = \Pi(\psi_1 \,|\, F ackslash ar H)$ 

#### M. H. A. NEWMAN

by the definition of  $H \subseteq \Pi(g_0, \psi_1 | F)$ . Since  $\psi_1$  replaced  $g_0$  in the application of Case 1, and  $g_0 < \psi_1$  in  $\overline{H}$ , h = 1 in  $o \times \Pi(g_0, \psi_1 | F)$ , and we infer that  $o \times \Pi(\psi_1, g_1 | F) \subseteq hU$ . Hence finally the engulfing by h follows from

$$\Pi(g_{\scriptscriptstyle 0},\,g_{\scriptscriptstyle 1}\,|\,F)=\Pi(g_{\scriptscriptstyle 0},\,\psi_{\scriptscriptstyle 1}\,|\,F)\,\cup\,\Pi(\psi_{\scriptscriptstyle 1},\,g_{\scriptscriptstyle 1}\,|\,F)$$
 .

5. THEOREM 2. With the notations of Theorem 1, let A be a closed set in  $Y \times Z \times R$ , and suppose in addition to the conditions of Theorem 1, that (5.1)  $A \subseteq U$ 

(5.2)  $A \cap (o \times \Pi(g_0, g_1 | F)) \subseteq o \times \Pi(g_0 | F)$ .

Then the conclusion of Theorem 1 can be strengthened to " $A \cup (o \times \Pi(g_0, g_1 | F))$  can be engulfed by hU", h being, as before, active only in W.

Condition (5.2) implies that A does not meet  $o \times \Pi_0(g_0, g_1 | F)$ . Therefore if W is replaced by  $W \setminus A$ , the conditions of Theorem 1 are still satisfied. It follows that there is an engulfing map h such that h = 1 outside  $W \setminus A$ , and therefore h = 1 in A. Since  $A \subseteq U$  it follows that  $A \subseteq hU$ .

## 2. Optimal maps

6. Polyhedral sets in the euclidean space  $R^{n}$ , i.e., loci of (rectilinear, locally finite, simplicial) complexes, are denoted by H, K, L, etc., and complexes with the locus H by  $H_{0}$ ,  $H_{1}$ , etc.<sup>4</sup> If  $L_{0} \leq K_{0}$  (i.e., if  $L_{0}$  is a subcomplex of  $K_{0}$ ) and  $K_{1}$  is a further subdivision of  $K_{0}$ ,  $L_{1}$  is the induced subdivision of  $L_{0}$ .

All maps of polyhedral sets are understood to be locally finite (i.e., the set of image-simplexes is locally finite for some subdivision, and therefore for all).

A p.l. (piecewise linear) map  $f: K \to R^n$  is *optimal* if there is a subdivision  $K_0$  such that

(1) f is a non-degenerate<sup>5</sup> map of  $K_0$ , and

(2) for each pair of *principal* simplexes  $\sigma_1$ ,  $\sigma_2$  of  $K_0$ ,

(6.1) either  $f\sigma_1 \cap f\sigma_2 = f(\sigma_1 \cap \sigma_2)$  or dim  $(f\sigma_1 \cap f\sigma_2) \leq \dim \sigma_1 + \dim \sigma_2 - n$ .

This is a geometrical property, i.e., it is preserved if  $K_0$  is further subdivided. A non-degenerate linear map of  $K_0$  may be called *optimal in all dimensions* if (6.1) holds for all  $\sigma_1, \sigma_2 \in K_0$ . This is not a geometrical property and will not be used in this paper.

LEMMA 3. If  ${}^{6}K_{0} \cup [\sigma]$  is a complex and  $\sigma \notin K_{0}$ , and if  $f: K \cup \sigma \rightarrow R^{n}$  is optimal, then

<sup>&</sup>lt;sup>4</sup> The notation  $|H_0|$  for the locus is still required, e.g., for  $|H_0^q|$ , where  $H_0^q$  is the q-skeleton of  $H_0$ .

<sup>&</sup>lt;sup>5</sup>  $f \mid \sigma$  is injective if  $\sigma \in K_0$ .

<sup>&</sup>lt;sup>6</sup> The complex  $[\sigma]$  is  $\sigma$  and all its faces.

(6.2)  $f\sigma \cap fK = f(\sigma \cap K) \cup A$ , where  $A = \emptyset$  or dim  $A \leq \dim \sigma + \dim K - n$ .

This follows easily from (6.1) since  $\sigma$  is a principal simplex of  $K_0 \cup [\sigma]$ , and every simplex of  $K_0 \cup [\sigma]$  is contained in a principal simplex.

It is an elementary result that the optimal p.l. maps of K lie dense in the space of continuous maps of K; but difficulties may arise if a subset  $L \leq K$ , mapped optimally, but perhaps not in general position, is to be held fixed.

7. If  $K_0$  is a complex, and  $E \subseteq K$ ,  $E_0$  is the smallest subcomplex of  $K_0$  containing E.

Two maps  $f, g: K \rightarrow R^n$  agree in  $X \subseteq R^n$  if, for some subdivision  $K_0$ ,

$$(f^{-1}X)_{\scriptscriptstyle 0} = (g^{-1}X)_{\scriptscriptstyle 0} = H_{\scriptscriptstyle 0}$$

say, and  $f \mid H_0 = g \mid H_0$ .

Let  $C^n$  be the cube  $[|x_i| \leq 1, i = 1, \dots, n]$  in  $\mathbb{R}^n$ . If  $\alpha > 0$ ,  $C^n_{\alpha}$  is the concentric cube  $[|x_i| \leq \alpha]$ . It is sometimes convenient to use also  $C^n(c)$ , the cube  $[|x_i - c_i| \leq 1]$ , where  $c = (c_i)$ .

THEOREM 3. Let K be a polyhedral set and  $L \leq K$ . Let  $f: K \rightarrow R^n$  be such that  $f \mid L$  is a p.l. embedding. Then if  $\varepsilon > 0$  and  $0 < \alpha < 1$ , there is a map  $f': K \rightarrow R^n$  such that

(7.1) f' agrees with f in  $\mathbb{R}^n \setminus \mathbb{C}^n$  and with a p.l. optimal map in  $\mathbb{C}^n_{\alpha}$ ;

(7.2) f' | L = f | L;

(7.3)  $|f - f'| < \varepsilon$ .

Let  $\beta = (\alpha + 1)/2$ . There are subdivisions  $C_1^{\beta}$  of  $C_{\beta}^{n}$  and  $L_1$  of L(which can be extended to  $K_1$  of K) such that  $fL \cap C_{\beta}^{n}$  is the locus of a common subcomplex of  $fL_1$  and  $C_1^{\beta}$ .

For any set E of  $R^n$  let  $\hat{E}$  be the convex cover. Let<sup>7</sup>

$$P_{\scriptscriptstyle 1} = (f^{\scriptscriptstyle -1}(R^{\,n} \backslash \mathring{C}^{\,n}))_{\scriptscriptstyle 1} \,, \qquad Q_{\scriptscriptstyle 1} = [\sigma \,|\, \sigma \in K_{\scriptscriptstyle 1} \ \& \ \widehat{f\sigma} \cap C^{\,n}_{\,eta} 
eq arnothing] \,.$$

Suppose the division  $K_1$  so fine that  $P \cap Q = \emptyset$ . A map  $f_1$  agreeing with f in  $\mathbb{R}^n \setminus \mathbb{C}^n$  and with a p.l. map in  $\mathbb{C}^n_\beta$  is obtained by putting  $f_1 = f$  at all points of P and at all vertices of  $K_1$ , making  $f_1$  linear in each simplex of  $Q_1$ , and extending it to the transition zone  $K \setminus (P \cup Q)$  by a coning process: if  $\sigma$  is a transitional simplex with centre c, and c' is the barycentre of the  $f_1$ -images of vertices of  $\sigma$ , let  $f_1(c) = c'$ ; and supposing  $f_1 \mid \dot{\sigma}$  defined extend  $f_1$  linearly along radii. Then  $f_1 \mid L = f \mid L$  and  $f_1 \sigma \subseteq \hat{f\sigma}$  for all  $\sigma \in K$ . From this the stated properties of  $f_1$  follow.

Now let  $P'_1$ ,  $Q'_1$  be defined as  $P_1$ ,  $Q_1$  were, but with  $\mathring{C}^n_{\beta}$ ,  $\mathring{C}^n_{\beta}$  in place of  $\mathring{C}^n$ ,

<sup>&</sup>lt;sup>7</sup> The square brackets in  $Q_1 = [\cdot \cdot]$  denote the simplicial closure.

 $C_{\beta}^{n}$  respectively, and make the further assumption that  $P' \cap Q' = \emptyset$ . Let  $f_{2}$  be obtained from  $f_{1}$  by a  $\delta$ -displacement of the images of vertices of  $Q'_{1}$  (including those in  $L_{1}$ ) into general position in  $\mathring{C}^{n}$ , other vertices remaining fixed, and  $f_{2} | P = f_{1} | P$ ; and let  $f_{2}$  then be extended linearly in  $Q'_{1}$  and by *coning* in  $K \setminus (P' \cup Q')$ , as before. If  $\delta$  is small enough,  $f_{2}$  agrees with f in  $\mathbb{R}^{n} \setminus \mathbb{C}^{n}$  and with a p.l. optimal map in  $\mathbb{C}^{n}_{\alpha}$  (indeed with a linear map optimal in all dimensions).

If x = f(y), where y is a vertex of  $L_1$ , this vertex is unique, since f | L is an embedding, and we put  $\varphi(x) = f_2(y)$ ; if x is any other vertex of  $C_1^{\beta}$  put  $\varphi(x) = x$ . If  $\delta$  is small enough,  $\varphi$ , extended linearly into the simplexes of  $C_1^{\beta}$ , is a p.l. homeomorphism of  $C_{\beta}$  onto itself, and  $\varphi | \dot{C}_{\beta}^{n} = 1$ . Therefore  $\varphi$  may be extended to the rest of  $\mathbb{R}^{n}$  by the identity.

Let  $f'(x) = \varphi^{-1} f_2(x)$  for all  $x \in K$ . Then f' is p.l. in  $C^n_{\alpha}$ , and since  $\varphi$  is a p.l. homeomorphism f' is optimal. (This uses the geometrical character of *optimal*, cf.  $\P$  6.) If  $y \in L$ ,

$$f'(y) = \varphi^{-1}f_2(y) = \varphi^{-1}\varphi f(y) = f(y).$$

That |f - f'| can be made arbitrarily small is clear.

**REMARK 1.** Since  $\varphi(x) = x$  at a vertex  $x \in fL$ , such vertices are in general position.

REMARK 2. The following corollary, not used in this paper, but in fact proved above, may be found useful if the property *optimal in all dimen*sions has to be used.

COROLLARY 3A. Under the conditions of Theorem 3 there is a map  $f_2: K \to R^n$ , a subdivision  $K_1$ , and a homeomorphism  $\varphi: R^n \doteq R^n$  such that

(7.3)  $f_2$  agrees with f in  $\mathbb{R}^n \setminus \mathring{C}$  and with a linear map of  $K_1$ , optimal in all dimensions in  $\mathbb{C}^n_{\alpha}$ ; and if  $\beta = (\alpha + 1)/2$ ,

(7.4)  $\varphi \mid C_{\beta}^{n}$  is p.l.,  $\varphi \mid R^{n} \setminus C_{\beta}^{n} = 1$ ,  $\mid \varphi - 1 \mid < \varepsilon$ ,  $\mid f - f_{2} \mid < \varepsilon$ ; (7.5)  $\varphi^{-1}f_{2} \mid L = f \mid L$ .

8. A manifold is in this paper a topological manifold without boundary. A euclidean nbd in a manifold  $M^n$  is a pair  $(U, \psi)$  where U is an open set in M and  $\psi: C^n \to \overline{U}$  a homeomorphism.<sup>8</sup> The symbol  $|\psi|$  denotes the set  $\psi(C^n)$  but also implies that  $\psi$  is the homeomorphism;  $|\tilde{\psi}|, |\psi|_{\alpha}$ , etc., will denote  $\psi(\tilde{C}^n), \psi(\tilde{C}^n)$ , etc.

A map  $f: K \to M$  is locally p.l. if f(K) has a covering by a set of euclidean nbds  $|\dot{\psi_i}|$ , called a smoothing system, and for each *i* there is a subdivision<sup>9</sup>

<sup>&</sup>lt;sup>8</sup> Or occasionally  $\psi : C^n(c) \longrightarrow \overline{U}$ : see above.

<sup>&</sup>lt;sup>9</sup> It follows that there is a single subdivision with this property.

 $K_0$  such that

(8.1)  $f^{-1}(|\psi_i|)$  is a subcomplex,  $H_0$ , of  $K_0$  and  $\psi^{-1}(f|H_0)$  is linear. By a slight abuse of language (8.1) is sometimes abbreviated to f is linear in  $|\psi_i|$ , and the words "polyhedral", "subdivision", etc. are applied in a similar way to sets in  $|\psi|$ , (usually with quotation marks).

The following is a direct consequence of Theorem 3.

THEOREM 4. Let  $f: K \to M$  and  $L \leq K$ . Let  $f \mid L$  be a locally p.l. embedding and  $\mid \psi \mid$  be one of the smoothing nbds for  $f \mid L$ . Let  $0 < \alpha < 1$  and  $\varepsilon > 0$ . Then there is a map  $f': K \to M$  such that (supposing d a metric on M),

(8.2) f' agrees with f in  $M \setminus | \psi |$  and with an optimal p.l. map in  $|\psi|_{\alpha}$ ; and  $d(f, f') < \varepsilon$ ;

(8.3) f' | L = f | L.

**PROOF.** Let  $0 < \gamma < 1$  and let  $K_0$  be a subdivision of K such that if

$$H_{\scriptscriptstyle 0} = ig( f^{\scriptscriptstyle -1}(\mid \psi \mid_{\scriptscriptstyle Y}) ig)_{\scriptscriptstyle 0}$$
 ,

 $f(H) \subseteq |\check{\psi}|$ . Apply Theorem 3 with H for K,  $L \cap H$  for L and g for f, where

$$g=arphi\psi^{-1}(f\,|\,H):H\,{ o}\,R^{\,n}$$
 ,

and  $\varphi$  is the radial  $\theta$ -map of  $R^n$  onto itself:

$$\theta(\alpha; \gamma, 1; 2)$$
.

If g' is the map provided by Theorem 3, then  $f' = \psi \varphi^{-1} g'$ , extended by the identity to  $M^n | \psi |_{\gamma}$ , is the required map.

There is a Corollary 4A corresponding to 3A.

# 3. The engulfing theorem

9. A set of points X in  $M^n$  is *p*-dominated if there is a covering of X by open nbds  $| \psi^{\circ} |$  of  $M^n$  (the smoothing nbds) such that  $X \cap |\psi|$  is contained in a "polyhedral set" in  $|\psi|$  of dimension  $\leq p$ .<sup>10</sup> Clearly every subset of a *p*-dominated set is *p*-dominated. If for each smoothing nbd  $X \cap |\psi|$  itself is polyhedral, X is locally tame. Thus a *p*-dimensional locally tame set is *p*-dominated.

The main theorem of the paper is

THEOREM 5. Let X be closed and V open in  $M^n$ . Suppose that M is pconnected, V is (p-1)-connected, and that X is p-dominated, where  $p \leq$ 

<sup>&</sup>lt;sup>10</sup> I.e.,  $\psi^{-1}$   $(X \cap |\psi|)$  is contained in a *p*-dimensional polyhedral set in  $C^n$ . Note that  $|\psi|$  is the closed set  $\psi(C^n)$ .

n-3. Let  $X \setminus V$  be compact.

Then X can be engulfed by hV, where h is active only in some compact set.<sup>11</sup>

The proof shows that the isotopy is made up of small *pushes* along parallel lines in a euclidean nbd.

The main step in the argument, the use of induction to engulf the intersection of a simplex with the set already engulfed, is taken directly from Stallings' proof; but the logical structure of the argument is a good deal more complicated. To carry through the inductive arguments the following more general theorem will be proved.

THEOREM 6. Let X be closed and V open in  $M^n$ . Let  $\Gamma$  be a polyhedral set in  $\mathbb{R}^N$ ,  $f: \Gamma \to M$  a map. Let  $L \leq \Gamma$ ,  $H \leq \Gamma$ ,  $\varepsilon > 0$ . Suppose that

(9.1) M is p-connected, V is (p-1)-connected, dim  $\Gamma \leq p, p \leq n-3$ ;

(9.2)  $(X \cup fH) \setminus V$  is compact;

(9.3)  $f \mid L$  is a locally p.l. embedding, X is p-dominated, and there is a smoothing system  $\Sigma$  for  $f \mid L$ , every member of which is a smoothing nbd for X.

Then there is a map  $g: \Gamma \rightarrow M$  such that

(9.4)  $X \cup gh$  can be engulfed by hV, h being active only in a compact subset of M;

(9.5) g | L = f | L.

(9.6)  $d(f, g) < \varepsilon$  and f = g outside a compact set.

The inert complex  $\Gamma$ , which is not engulfed, is brought in to provide a common domain for the various maps g,  $g_i$ , etc., a technical convenience.

It will, we believe, be clear from the proof that the *nearness* conditions, (9.6) and the compact action of  $h_{\tau}$  are satisfied. They will usually be referred to in such general terms as d(f, g) can be made sufficiently small.

10. The first step is to show that it is sufficient to deal with the case where  $X \subseteq V$  and  $H \setminus f^{-1}V \subseteq \hat{\sigma}^q$ , where  $\sigma^q \in H_0$ , a subdivision of H.

**LEMMA 5.** It is sufficient to prove Theorem 6 assuming that  $X \subseteq V$ .

(This does not mean that X disappears from the theorem. It must still be proved that  $X \subseteq hV$ .)

For each point x of M choose

- (i) if  $x \in fL$ , a member of the smoothing system  $\Sigma$  containing x;
- (ii) if  $x \in X \setminus fL$ , a smoothing nbd of x for X, not meeting fL;
- (iii) if  $x \in M \setminus (X \cup fL)$  a euclidean nbd not meeting  $X \cup fL$ .

<sup>&</sup>lt;sup>11</sup> See ¶ 3; and compare the footnote in ¶ 1.

We call the members of this covering the  $|\psi_i|$  nbds.

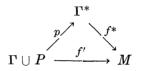
Let A(m) stand for the assertion of Theorem 6, with the added condition (10.1)  $X \setminus V$  is contained in the union of  $m | \hat{\psi}_i |$ -nbds.

The fact that  $X \setminus | \mathring{\psi}_1 |$  is closed and *p*-dominated shows, by a simple induction on *m*, that A(1) implies A(m) for all  $m \ge 1$ . Lemma 5 will therefore follow from: A(0) implies A(1). Suppose  $X \setminus V \subseteq | \mathring{\psi}_1 |$ .

It is given that  $X \cap |\psi_1| \subseteq \psi_1 P$ , where  $P_0$  is a *p*-complex in  $\mathring{C}^n$ ; and we may suppose that  $\Gamma \cap P = \emptyset$ . Define  $f': \Gamma \cup P \to M$  by

$$f'\,|\,\Gamma=f$$
 ,  $f'\,|\,P=\!\psi_{\scriptscriptstyle 1}$  .

Let  $\Gamma^*$  be formed from  $\Gamma \cup P$  by identifying points of  $L \cup P$  that have the same f'-image. If p is the projection  $p: \Gamma \cup P \to \Gamma^*$ , f' can be factored through  $p, f' = f^*p$ , and, if  $L^* = p(L \cup P)$ ,



 $f^* \mid L^*$  is an embedding. If  $\Gamma_0$  and  $P_0$  are suitable subdivisions, the identifying relation f'(x) = f'(y) is a simplicial isomorphism between the parts identified, and therefore  $\Gamma_0^*$  can be regarded as a complex, p is simplicial, and  $f^* \mid L^*$  a locally p.l. map with  $\psi_1$  as one of its smoothing nbds. Let  $X^* = X \setminus | \psi_1^* |$ ,  $H^* = p(H \cup P)$ .

The conditions of Theorem 6 are satisfied by  $\Gamma^*$ ,  $H^*$ ,  $X^*$ ,  $f^*$ , and V; and we have  $X^* \subseteq V$ . Therefore, assuming A(0) true, it follows that h and  $g^*$ exist satisfying the smallness conditions, and such that

$$g^* \mid L^* = f^* \mid L^*$$
 ,  $X^* \cup g^* H^* \subseteq h \, V^*$  .

Let  $g = g^* p$ . Then if  $x \in L$ ,

$$g(x) = g^* p(x) = f^* p(x) = f'(x) = f(x)$$
,

i.e.,  $g \mid L = f \mid L$ . Similarly  $g \mid P = \psi_1 \mid P$ , and therefore

$$egin{aligned} h\,V &\supseteq X^* \cup g^*H^* = X^* \cup gH \cup \psi_1P \ &\supseteq (X ackslash \psi_1^\circ) \cup gH \cup (X \cap |\psi_1|) = X \cup gH \,. \end{aligned}$$

The smallness condition for g follows easily, and Lemma 5 is proved.

11. Let B(q) stand for A(0) with the added condition:

$$\dim (H \setminus f^{-1} V) \leq q$$
 .

Let C(q, m) stand for B(q) with the added conditions that, for some subdivision  $\Gamma_0$ ,

#### M. H. A. NEWMAN

 $f(H_0^{q-1}) \subseteq V$ ; there are at most m q-simplexes  $\sigma^q$  of  $H_0$  such that  $f\sigma^q$  meets  $M \setminus V$ ; and none such that  $f\sigma^q$  meets both X and  $M \setminus V$ .

LEMMA 6. It is sufficient to prove that, for all  $q \leq p$ , B(q-1) implies C(q, 1).

By Lemma 5, it is sufficient to prove B(q) for all q, and since B(-1) is true we may assume B(q-1) in doing so.

Since  $fH \setminus V$  is compact, a simple induction argument shows that C(q, 1) implies C(q, m) for all positive m.

Therefore Lemma 6 will follow from

$$(B(q-1) \& \forall m C(q, m)) \Longrightarrow B(q)$$
.

Suppose that the conditions of B(q) are satisfied. Let  $\Gamma_0$  be so fine a division of  $\Gamma$  that if  $P_0$  is the set of simplexes of  $H_0$  mapped into V by f,  $f(\overline{H\setminus P}) \cap X = \emptyset$ . By B(q-1) there exist h and g such that

$$X\cup gig(P\cup [H_{\scriptscriptstyle 0}ackslash P_{\scriptscriptstyle 0}]^{q-1}ig)\subseteq h\,V\,,\qquad g\,|\,L=f\,|\,L\,\,,$$

and d(f, g) is so small that  $g(\overline{H \setminus P}) \cap X = \emptyset$ . If there are  $m_0(\geq 1)$  q-simplexes<sup>12</sup> of  $[H_0 \setminus P_0]$  the conditions of  $C(q, m_0)$  (which we may assume true) are satisfied, with g and hV in place of f and V. We may infer that  $X \cup g'H$  can be engulfed, for some g' near g, with  $g' \mid L = g \mid L$ . Lemma 6 is proved.

It has now been shown that it is sufficient to prove Theorem 6 with the extra assumptions:

(11.1) 
$$X \subseteq V$$

(11.2) 
$$H \setminus f^{-1} V \subseteq \mathring{\sigma}^{q}$$

(11.3)  $f\sigma^q \cap X = \emptyset$ ,

where  $\sigma^q \in H_0$ , a subdivision of H; and that in doing so we may assume the truth of B(q-1).

12. Let a be a point of the euclidean space containing  $\Gamma$ , such that  $a\sigma^q \cap \Gamma = \sigma^q$ . Then  $a\sigma^q \cup \Gamma$  is a polyhedral set, which we denote by G. By condition (9.1) of Theorem 6,  $f | \dot{\sigma}^q$  can be extended to a map, which may also be called f, of  $a\dot{\sigma}^q$  into V, and  $f | (a\sigma^q)$ , so defined, can be extended to a map  $f: a\sigma^q \to M$ . It is convenient to add  $a\dot{\sigma}^q$  to H. Condition (11.2) is then still satisfied, but now  $H \cap a\sigma^q = (a\sigma^q)$ .

In the rest of the proof the membrane  $f(a\sigma^q)$  is used as a guide in engulfing  $\sigma^q$ .

It follows from (11.2) that  $\sigma^q$  is a principal simplex of H, which may therefore be called  $K \cup \sigma^q$ , where  $\sigma^q \notin K$ ,  $a\dot{\sigma}^q \subseteq K$ . Since the new simplex  $a\sigma^q$ meets L at most in  $\sigma^q$  it follows from (11.3) that  $f(L \cap a\sigma^q) \cap X = \emptyset$ . It is

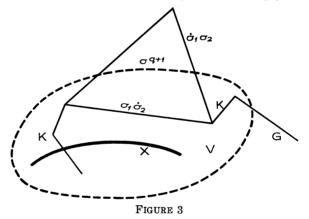
<sup>&</sup>lt;sup>12</sup> If  $m_0 = 0$  there is nothing to prove.

convenient to generalize this situation slightly by taking the new (q + 1)simplex of G to be any join,  $\sigma^{q+1} = \sigma_1 \sigma_2$ , with  $\sigma_1 \dot{\sigma}_2 \subseteq K$ , and  $\dot{\sigma}_1 \sigma_2$  the part of H still to be engulfed. The whole proof is thus finally reduced to that of the following Lemma, and we may assume B(q - 1) in proving it.

LEMMA 7. Let  $M^n$ , X be as in Theorem 6, and let M, V satisfy (9.1). Let G be a polyhedral set (in  $\mathbb{R}^N$ ),  $f: G \to M$  a map,  $L \leq G$ ,  $K \cup \sigma^{q+1} \leq G$ ,  $\varepsilon > 0$ . Suppose that

- (12.1)  $\sigma^{q+1} = \sigma_1 \sigma_2, K \cap \sigma^{q+1} = \sigma_1 \dot{\sigma}_2, \dim K \leq p;$
- (12.2)  $X \cup fK \subseteq V$ ;
- (12.3)  $X \cap f(L \cap \sigma^{q+1}) = \emptyset;$
- (12.4) is (9.3) of Theorem 6.

Then the conclusions of Theorem 6 hold if  $H = K \cup \dot{\sigma}_1 \sigma_2$ .



Case 1. Let a covering by  $|\hat{\psi_i}|$ -nbds be chosen as in the proof of Lemma 5, and suppose that

(12.5)  $f\sigma^{q+1} \subseteq |\psi|_{\alpha}$  where  $|\psi|$  is some  $\psi_i$ -nbd and  $0 < \alpha < 1$ . For any set  $E \subseteq G$  let  $E^{\alpha} = f^{-1}(|\psi|_{\alpha} \cap E)$ .

By Theorem 4, there is a map  $f': G \to M$  agreeing with f outside  $| \psi |$ , and with a p.l. and optimal map in  $|\psi|_{\alpha}$ ; and f' | L = f | L. The  $\varepsilon$  of Theorem 4 is to be so chosen that  $f'(K) \subseteq V$ . Let  $G_0$  be a subdivision of Gsuch that  $f' | G_0^{\alpha}$  is linear<sup>13</sup> and let  $Q_0 = (\sigma^{q+1})_0$ , a (q + 1)-complex.

From condition (12.3) of Lemma 7 it follows that if x is a vertex of a principal simplex,  $\tau$ , of  $Q_0$  such that  $f_{\tau}$  meets X, f'(x) may be moved into "general position" in  $|\psi|$  relative to X, without infringing the condition f' | L = f | L; indeed we may suppose that f' itself already has the property (cf. Remark 1 of ¶ 7). If  $\tau$  is a principal simplex of  $Q_0$ , then by Lemma 3,

 $(12.6) \ \ f'(\tau) \cap (X \cup f'(K \cup | \ Q^q_0 | )) = f'(\tau \cap (K \cup | \ Q^q_0 | )) \cup \ T, \ \ \text{where} \ \ Q^q_0 \ \ \text{is}$ 

<sup>&</sup>lt;sup>13</sup> For the notation  $G_0^{\alpha}$  see ¶ 10.

#### M. H. A. NEWMAN

the q-skeleton of  $Q_0$ , T is a polyhedral subset of  $f'(\tau)$ , and

$$egin{array}{lll} \dim \, T & \leq \dim au + \dim ig( X \cup (K \cup |\, Q^q_0\,|) ig) - n \ & \leq q+1+p-n \leq q-2 \;, \end{array}$$

since  $p - n \leq -3$ .

13. A further subdivision,  $G_1$ , can be made so that  $K_1$  expands to  $K_1 \cup Q_1$  through (q + 1)-simplexes<sup>\*</sup>. This means that there exists a series of (q + 1)-complexes  ${}_{i}Q_1(i = 0, \dots, s)$  such that

(13.1) 
$${}_{_{0}Q_{1}} = \varnothing, {}_{_{s}Q_{1}} = Q_{1} = (\sigma^{q+1})_{1}$$
  
 ${}_{i+i}Q_{1} = {}_{i}Q_{1} \cup [{}_{i}\tau^{q+1}], \text{ where } {}_{i}\tau^{q+1} = \tau_{1}\tau_{2}$   
 $(K \cup {}_{i}Q) \cap ({}_{i}\tau^{q+1}) = \tau_{1}\dot{\tau}_{2}.$ 

We make the inductive hypothesis that there is a map  $g_i: G \to M$  such that

- (13.2)  $X \cup g_i(K \cup |_iQ_1^q|) \subseteq h_i V$ , for some engulfing  $h_i$ ; and that
- (13.3)  $g_i | L \cup G^{\alpha} = f' | L \cup G^{\alpha}$

(13.4)  $d(g_i, f')$  is sufficiently small.

The induction starts with  $g_0 = f'$ ,  $h_0 = 1$ .

Let  $C^{n-p-1}$ ,  $C^{q}$ ,  $C^{1}$  be the unit cubes in the  $(x_{1}, \dots, x_{n-q-1})$ -subspace, the  $(x_{n-q}, \dots, x_{n-1})$ -subspace, and the  $x_{n}$ -axis of  $R^{n}$ . Then  $| \psi |$  may be regarded as  $Y \times Z \times R$ , where  $Y = \psi(\mathring{C}^{n-q-1})$ ,  $Z = \psi(\mathring{C}^{q})$  and  $R = \psi(\mathring{C}^{1})$ . By a linear change of the coordinate system  $\psi$  (without change of name) it can be arranged that the simplex  $g_{i}(\tau^{q+1}) = f'(\tau^{q+1})$  is a prism in  $Z \times I$ :

$$g_i(_i au^{q+1}) = \Pi(\gamma_0, \gamma_1 \,|\, F) \;, \qquad \qquad F \subseteq Z,$$

with base  $g_i(\tau_1\dot{\tau}_2) = \Pi(\gamma_0 | F)$  and top  $g_i(\dot{\tau}_1\tau_2) = \Pi(\gamma_1 | F)$ . The functions  $\gamma_0, \gamma_1$  are continuous,  $\gamma_0 \leq \gamma_1$  and  $\gamma_0 = \gamma_1$  on fr<sub>z</sub>F.

Let J be the projection of the set T (above) into Z, so that  $J \subseteq F$ . Let  $\Pi J = \Pi(\gamma_0, \gamma_1 | J), P = g_i^{-1}(\Pi J),$ 

$$D=K\cup \mid {}_iQ^q_1\mid \cup P$$

a polyhedal subset of G.

The inductive hypothesis B(q-1) will now be used to engulf  $X \cup g_{i+1}D$ , where  $g_{i+1}$  is to be specified. First G is replaced by  $G^*$ , formed by identifying points of  $D \cap G^{\alpha}$  with the same  $g_i$ -image. As in Lemma 5,  $G^*$  is a polyhedral set since  $g_i | G^{\alpha} = f' | G^{\alpha}$  is p.l.. If p is the projection  $p: G \to G^*$ , and  $f^*$  is defined by  $g_i = f^*p$ ,  $L^*$  as  $p(L \cup (D \cap G^{\alpha}))$ , then  $f^* | L^*$  is a locally p.l. embed-

<sup>\*</sup>For example by using the theorem that every subdivision of a complex  $K_0$  has a further subdivision which is a stellar subdivision of  $K_0$ .

ding. If the  $\Gamma$ , H, L, f, V, X of B(q-1) are taken to be  $G^*$ ,  $D^*$  (= p(D)),  $L^*$ ,  $f^*$ ,  $h_iV$ , X, the conditions of B(q-1) are satisfied, since  $X \subseteq h_iV$ , and " $H \setminus f^{-1}V$ " is of dimension  $\leq q-1$  since

$$H \setminus f^{-1} V$$
  $D = D^* \setminus f^{*-1}(h_i V) = p(D \setminus g_i^{-1}(h_i V)) \subseteq p(P)$ ,

by (13.2).

It follows that there is a map  $g^*: G^* \to M$  such that  $X \cup g^*D^*$  can be engulfed by  $h'(h_i V)$ , and  $g^* | L^* = f^* | L^*$ ,  $d(g^*, f^*)$  is sufficiently small. On putting  $g_{i+1} = g^*p$ , it follows, as in Lemma 5, that  $g_{i+1} = f'$  in  $L \cup (D \cap G^{\alpha})$ , that  $X \cup g_{i+1}D \subseteq h'h_i V$  and that the smallness conditions are satisfied.

14. What has so far been done is to engulf the subset  $\Pi J$  of  $\Pi F = g_i(_i\tau^{q+1}) = g_{i+1}(_i\tau^{q+1})$ . Theorem 2 is now used to engulf the rest of  $\Pi F$ . The function " $g_1$ " of Theorem 2 (which we hope will not be confused with the  $g_i$  of the preceding paragraphs) is to be  $\gamma_1$ ; " $g_0$ " is  $\gamma_0$  in  $F \setminus J$  and  $\gamma_1$  in J, and so is u.s.c.;  $U = V' \cap |\hat{\psi}|$ , where  $V' = h'h_iV$ ; W is any open set containing  $\Pi F$  and contained in  $|\hat{\psi}|$ ; and

$$A = ig( X \cup g_{i+1} D) \cap | \, \psi \, |_{lpha} ig) \cup \Pi J$$
 .

The conditions of Theorem 2 are satisfied since  $\Pi J$  contains all the common points of A and  $\Pi F$  except those in the base of  $\Pi F$ .

It follows that  $A \cup \Pi F$  can be engulfed by h''U, where h'' = 1 in

$$|ec{\psi}| \setminus W \supseteq |ec{\psi}| \setminus |ec{\psi}|_{lpha}$$
 ,

and can therefore be extended to  $M \setminus |\psi|_{\alpha}$  by the identity. Since the part of  $X \cup g_{i+1}(K \cup |_{i+1}Q_1^{q}|)$  in  $|\psi|_{\alpha}$  is  $A \cup \Pi F \subseteq h''V'$ , and the part in  $M \setminus |\psi|_{\alpha}$  is in  $X \cup g_{i+1}K \subseteq V'$ , it follows that  $X \cup g_{i+1}(K \cup |_{i+1}Q_1^{q}|) \subseteq h''V'$ .

On putting  $h_{i+1} = h''h'h_i$  the inductive step is completed and Case 1 proved.

15. General Case. Let a covering of  $M^n$  by a system of  $|\psi_j|$ -nbds be chosen, as in Case 1, and let  $\Gamma$  be so finely subdivided into  $\Gamma_0$  that each simplex  $\tau$  of  $(\sigma^{q+1})_0$  is mapped by f into a set  $|\psi_j|_{\alpha}$ . Let  $\Gamma_0$  be then further subdivided into  $\Gamma_1$  so that  $K_1$  expands through (q + 1)-simplexes to  $K_1 \cup (\sigma^{q+1})_1$ . If now  ${}_iQ_1$ and  ${}_i\tau^{q+1}$  are defined as in Case 1, and the inductive assumption again made of maps  $g_i: \Gamma \to M$  and  $h_i: M \doteq M$  such that

$$egin{aligned} X \cup g_i(K \cup \mid_i Q_1^q \mid) & \sqsubseteq h_i V \ g_i \mid L = f \mid L \;, \ & d(g_i, f) ext{ is small}, \end{aligned}$$

the conditions of Lemma 7 are satisfied if  $G, K \cup |_i Q_1^q |_i \tau^{q+1}, h_i V, g_i, L$  replace  $G, K, \sigma^{q+1}, V, f, L$ ; in particular

$$X\cap g_iig(L\cap (_i au^{q+1})ig)=X\cap f(L\cap _i au^{q+1})\subseteq X\cap f(L\cap \sigma^{q+1})=arnothing$$
 ,

but more:  $\tau^{q+1}$  (the new  $\sigma^{q+1}$ ) is contained in a  $|\psi_i|$ -nbd. Therefore by Case 1,

 $X\cup g_{i+1}(K\cup \mid_{i+1}Q_1^q\mid)$  can be engulfed for a suitable  $g_{i+1}.$ 

The inductive step is complete and the proof of Theorem 6 is finished.

16. The following method of deducing the topological Poincaré hypothesis  $(n \ge 5)$  from Theorem 5 is due to E. Connell.

THEOREM 7. If  $n \ge 5$  every  $\lfloor n/2 \rfloor$ -connected closed topological n-manifold M is a topological n-sphere.

By a well-known argument, M is (n-1)-connected.

Suppose that M has a covering by k open topological *n*-cells,  $|\check{\psi}_i|$ ,  $i = 1, \dots k$ . It is known<sup>14</sup> that k = 2 implies that  $M \doteq S^n$ . It is therefore sufficient to show how to reduce k by 1 if  $k \ge 3$ .

There is an  $\alpha < 1$  such that  $M \subseteq \bigcup_{i=1}^{k} |\check{\psi}_{i}|_{\alpha}$ . Let  $\beta = (\alpha + 1)/2$ , and let  $P_{0}$  be a subdivision of  $|\psi_{3}|_{\beta}$  into an "*n*-complex"<sup>15</sup> such that  $|\psi_{3}|_{\alpha}$  is a subcomplex, and no simplex of  $P_{0}$  meets both  $|\dot{\psi}_{1}|_{\alpha}$  and  $|\dot{\psi}_{1}|_{\beta}$ .

If  $p \leq n-3$ ,  $M_1 = M \setminus |\psi_1|_{\beta}$  is a *p*-connected open manifold,  $V_1 = |\mathring{\psi}_1| \setminus |\psi_1|_{\beta}$ a (p-1)-connected open set in  $M_1$ , and  $X_1 = |P_0^2| \setminus |\psi_1|_{\beta}$  a closed locally tame set in  $M_1$  of dimension  $2 \leq n-3$ ; and  $X_1 \setminus V_1 = |P_0^2| \setminus |\mathring{\psi}_1|$  is compact. By Theorem 5,  $X_1 \subseteq h_1 V_1$  for some  $h_1 : M_1 \doteq M_1$  which is the identity outside a compact subset of  $M_1$ , and therefore near  $|\psi_1|_{\beta}$ . Hence  $h_1$  can be extended to  $|\psi_1|_{\beta}$ by the identity, giving

$$U_1=h_1(|\psi_1|)=h_1V_1\cup|\check{\psi_1}|_{oldsymbol{eta}}\supseteq X_1\cup|\psi_1|_{oldsymbol{eta}}=|P_0^2|\cup|\psi_1|_{oldsymbol{eta}}$$

Similarly there is an open *n*-cell  $U_2$  in *M* containing  $|Q_0^{n-3}| \cup |\psi_2|_{\beta}$ , where  $Q_0^{n-3}$  is the dual (n-3)-complex.<sup>16</sup>

Now<sup>17</sup> every point of  $|P_0^n| \setminus (|P_0^2| \cup Q^{n-3})$  is on a unique segment joining a point of  $|P_0^2|$  to a point of  $Q^{n-3}$ ; and  $\theta$ -homeomorphisms

$$\theta(0; \varepsilon, 1-\varepsilon; 1)$$

on these segments define a self-homeomorphism  $h_{\theta}$  of  $|\psi_0|_{\beta}$ . The  $\varepsilon$  may vary continuously from segment to segment. We suppose that it is constant over the set of segments in  $|\psi_3|_{\alpha}$ , and tends to 1/2 as the segment tends to a position on  $|\psi_3|_{\beta}$ . Then  $h_{\theta} = 1$  on  $|\psi_3|_{\beta}$ , and so can be extended to  $M \setminus |\psi_3|_{\beta}$  by the identity. If the constant value of  $\varepsilon$  in  $|\psi_3|_{\alpha}$  is small enough,  $h_{\theta}U_1 \cup U_2 \supseteq$  $|\psi_3|_{\alpha}$ . Therefore

<sup>&</sup>lt;sup>14</sup> By the Mazur-Morton Brown collar theorem.

 $<sup>^{15}</sup>$  Cf.  $\P$  8. The quotation marks are omitted after this specimen.

<sup>&</sup>lt;sup>16</sup>  $Q_0^{n-3}$  is the set of all simplexes of the derived complex  $(P_0^n)'$  that do not meet  $|P_0^2|$ .

<sup>&</sup>lt;sup>17</sup> Cf. Stallings' proof of the Poincaré hypothesis, Bull. Amer. Math. Soc., 66 (1960), 485.

#### ENGULFING THEOREM

$$h_{\theta}U_1 \cup U_2 \supseteq h_{\theta}(|\psi_1|_{\beta}) \cup |\psi_2|_{\beta} \cup |\psi_3|_{\alpha}$$

Now  $h_{\theta}$  leaves x fixed if  $x \notin |\psi_3|_{\beta}$  and moves it within a simplex of  $P_0$  if  $x \in |\psi_3|_{\beta}$ . Since no simplex meets both  $|\dot{\psi}_1|_{\alpha}$  and  $|\dot{\psi}_1|_{\beta}$ ,

$$|\psi_1|_{\alpha} \subseteq h_{\theta}(|\psi_1|_{\beta})$$
.

Therefore  $|\mathring{\psi}_1|_{\alpha} \cup |\mathring{\psi}_2|_{\alpha} \cup |\mathring{\psi}_3|_{\alpha}$  is contained in two open *n*-cells.

UNIVERSITY OF WISCONSIN AND RICE UNIVERSITY

(Received April 26, 1966)