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# Whitehead torsion of free products

By John Stallings\*

0. There is a covariant functor from groups to abelian groups known as Whitehead torsion. It has been of interest mainly in combinatorial and differential topology. Our main result is that, if  $A \rightarrow A*B \leftarrow B$  represents  $A*B$  as the free product of the groups  $A$  and  $B$ , then the induced diagram of Whitehead torsions,  $\mathcal{W}\mathcal{J}(A) \rightarrow \mathcal{W}\mathcal{J}(A*B) \leftarrow \mathcal{W}\mathcal{J}(B)$ , represents  $\mathcal{W}\mathcal{J}(A*B)$  as the direct sum of  $\mathcal{W}\mathcal{J}(A)$  and  $\mathcal{W}\mathcal{J}(B)$ . Or, as we shall say to save space,  $\mathcal{W}\mathcal{J}(A*B) = \mathcal{W}\mathcal{J}(A) + \mathcal{W}\mathcal{J}(B)$ .

The proof is purely algebraic and will be developed in a broader algebraic context.

My original proof was a geometric monstrosity and may be forgotten because of the cleaner algebraic proof in this paper. The basic tools used are S. Gersten's theorem [4] on  $K_1$  of a free ring, P. Cohn's description of the free product of rings [2], and a few trivial algebraic tricks.

## 1. The functor $K_1$

Let  $\Lambda$  be a ring with 1. Let  $\mathcal{G}$  denote the set of square, invertible matrices over  $\Lambda$ ; in  $\mathcal{G}$  there is a composition law  $\oplus$ , described thus:

$$A \oplus B = \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix}$$

" $I$ " will denote the identity matrix of any size. Then  $\approx$  will denote the equivalence relation on  $\mathcal{G}$  generated by:

$$(1) \quad A \approx A \oplus I; \text{ and}$$

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<sup>1</sup> *On the notation.* In Whitehead's paper [9], the symbol  $\mathcal{G}$  is used for what we call  $\mathcal{G}$ ; the symbols  $\mathcal{G}/\mathcal{S}$  are used for what we call  $\mathcal{W}(\Lambda)$ ; also,  $\tau(\alpha)$  has the same meaning as ours in §7; and  $T$  is used for what we call  $\mathcal{W}\mathcal{J}(\Pi)$ . Our guess is that Whitehead's  $T$  stood for "torsion," since the values of  $\tau$  lie in  $T$ , and  $\tau(\alpha)$  is called the "torsion of  $\alpha$ ." More recent notation has been derived from the analogy to the groups of vector bundles over a space; thus  $K^1(\Lambda)$ ,  $K_1(\Lambda)$ ,  $K^{-1}(\Lambda)$  are used for what we call  $\mathcal{W}(\Lambda)$ ; such things as  $\bar{K}_1(\Lambda)$  and  $\tilde{K}^1(\Lambda)$  are used for our  $K_1(\Lambda)$ . The symbols  $\text{Wh}(\Pi)$  have also been used to denote Whitehead's  $T$  and our  $\mathcal{W}\mathcal{J}(\Pi)$ .

In our opinion, the basic functor, which we call  $K_1$ , should have a simple, reasonable symbol; the other functors, which have only isolated uses, deserve more bizarre symbolism. The use of the subscript "1" is defensible only because there is another functor  $K_0$  (or  $\bar{K}_0$  or  $\tilde{K}^0$  or "projective class group") which sometimes occurs as the target of a boundary operator from some source  $K_1$  (but this phenomenon does not occur in this paper).

(2)  $A \approx EA$ , where  $E$  is any matrix of the form

$$\begin{pmatrix} I & X \\ 0 & I \end{pmatrix} \text{ or } \begin{pmatrix} I & 0 \\ X & I \end{pmatrix}.$$

This equivalence relation is compatible with the operation  $\oplus$ ; the set of equivalence classes forms a commutative group  $\mathcal{W}(\Lambda)$ ; the equivalence relation is the same as that generated by (1).  $A \approx A \oplus I$ ; and

(3)  $AB \approx BA$  if  $A$  and  $B$  have the same size;

it also follows that  $AB \approx A \oplus B$ . Finally, if  $\varphi: \Lambda \rightarrow \Gamma$  is a map of rings (with “ $\varphi(1) = 1$ ” included in the definition of map of rings), then the correspondence  $A \rightarrow A^\varphi$ , where  $A$  is a  $\Lambda$ -matrix and  $A^\varphi$  the  $\Gamma$ -matrix whose entries are the images of those of  $A$  by  $\varphi$ , induces a homomorphism  $\mathcal{W}(\varphi): \mathcal{W}(\Lambda) \rightarrow \mathcal{W}(\Gamma)$ ; so that  $\mathcal{W}$  is a covariant functor from the category of rings to the category of commutative groups. These facts are well-known and due to Whitehead [9].

Let  $R$  denote a fixed ring. We shall call  $\Lambda$  an  $R$ -ring, if  $\Lambda$  is a ring containing  $R$ , and the inclusion  $R \subset \Lambda$  is a map of rings, and there exists a map of rings  $\varepsilon: \Lambda \rightarrow R$  such that for all  $r \in R$ ,  $\varepsilon(r) = r$ ; the map  $\varepsilon$  is not part of the structure of  $R$ , only its existence is necessary for  $\Lambda$  to be an  $R$ -ring. If  $\varphi: \Lambda \rightarrow \Gamma$  is a map of rings, and if  $\Lambda$  and  $\Gamma$  are  $R$ -rings, and if for all  $r \in R$ ,  $\varphi(r) = r$ , then we call  $\varphi$  a *map of  $R$ -rings*. Clearly,  $R$ -rings and their maps form a category.

Define  $K_1(\Lambda; R)$  to be the cokernel of the map induced from the inclusion,  $\mathcal{W}(R) \rightarrow \mathcal{W}(\Lambda)$ . Since we shall not in this paper have occasion to consider more than one ground ring  $R$  at a time, we abbreviate the notation to  $K_1(\Lambda)$ . From  $\mathcal{W}$ ,  $K_1$  inherits the fact that it is a covariant functor, from  $R$ -rings to commutative groups.

## 2. Free products

The word “free product” is used to denote the direct sum in a category whose objects have multiplicative structures. For example, we say that the  $R$ -ring  $C$  is described as the free product of  $R$ -rings  $A$  and  $B$ , if there are given maps  $\alpha: A \rightarrow C$  and  $\beta: B \rightarrow C$ , such that for any  $R$ -ring  $X$  and maps  $\alpha': A \rightarrow X$  and  $\beta': B \rightarrow X$ , there is one and only one consistent map  $\gamma: C \rightarrow X$ . We abbreviate this sentence to  $C = A * B$ .

Similarly, if  $G$  and  $H$  are groups, we may speak of their free product  $G * H$ .

The *group ring* of a group  $G$ , with coefficient ring  $R$ , denoted  $R(G)$ , is an  $R$ -ring  $\Lambda$ , together with a homomorphism of  $G$  into the group of units of  $\Lambda$ , such that given any homomorphism of  $G$  into the group of units of an arbitrary  $R$ -ring  $X$ , there is one and only one consistent map  $\Lambda \rightarrow X$ .

It is clear by abstract argument, then, that  $R(G*H) = R(G)*R(H)$ .

There is a well-known construction for free products of groups [7, p. 11].

If we consider only the more restrictive category of  $R$ -algebras, that is,  $R$ -rings  $\Lambda$  such that every element of  $R$  commutes with every element of  $\Lambda$ , then the analogous concept of the group algebra has a simple construction. Specifically,  $R$  will be a commutative ring and  $R(G)$  will be the free  $R$ -module with basis  $\{G\}$ , in which  $r \in R$  is identified with  $r \cdot 1$ . It has multiplication defined by  $(r_1 g_1)(r_2 g_2) = (r_1 r_2)(g_1 g_2)$ .

$Z$  will denote the integers. It is obvious that every  $Z$ -ring is a  $Z$ -algebra. Hence the integral group ring always exists, by the above construction. Therefore we conclude that for certain  $Z$ -rings, namely  $Z(G)$  and  $Z(H)$ , their free product as  $Z$ -rings exists, namely,  $Z(G)*Z(H) = Z(G*H)$ .

We now wish to prove the existence of free products of  $R$ -rings in general, and to establish a particularly convenient description of it.

(The nature of group rings, for arbitrary  $R$  and  $G$ , seems interesting and is open.)

### 3. Graded rings

Let  $S$  be a semigroup with identity element, usually written multiplicatively. That  $\Sigma$  is an  $S$ -graded ring, means that to each  $w \in S$  there is associated an abelian group  $\Sigma_w$ , and that to each  $u, v \in S$  there is associated a homomorphism  $\mu_{u,v} : \Sigma_u \otimes \Sigma_v \rightarrow \Sigma_{uv}$ ; such that the obvious associative law holds, and such that in  $\Sigma_1$  there is a two-sided unit element for this multiplication. We shall confuse this concept of  $\Sigma$  with the direct sum, over all  $w \in S$ , of  $\Sigma_w$ , together with its multiplication defined *via*  $\mu_{u,v}$  for all  $u$  and  $v$  in  $S$ . Then  $\Sigma$  is an ordinary ring.

In case of the additive semigroup  $N$  of non-negative integers, we use additive notation on the indices.

One important example of an  $N$ -graded ring is the *tensor ring of a bimodule*. Let  $M$  be a bimodule over the ring  $R$ . We define a graded ring  $T(M)$  as follows:

$$\begin{aligned} T(M)_0 &= R \\ T(M)_{n+1} &= M \otimes_R T(M)_n \\ \mu_{p,q} : T(M)_p \otimes T(M)_q &\rightarrow T(M)_p \otimes_R T(M)_q = T(M)_{p+q} \end{aligned}$$

is the natural map, using the natural associativity of the tensor product.

That  $T(M)$  is a graded ring is obvious. It is an  $R$ -ring since  $T(M)$  can be retracted onto  $R$  by sending  $T(M)_n$  to 0 for  $n > 0$ . And it has the following defining universal property: There is a map of bimodules  $\alpha : M \rightarrow T(M)$ , such

that for any  $R$ -ring  $X$  and any map of bimodules  $\alpha' : M \rightarrow X$  there is one and only one consistent map of  $R$ -rings  $\beta : T(M) \rightarrow X$ .

It is now seen that we can construct the free product of two tensor rings easily; namely,  $T(M_1) * T(M_2) = T(M_1 + M_2)$ , where “+” denotes the direct sum of bimodules, which can be explicitly realized as a set of ordered pairs,  $M_1 \times M_2$ .

### 3.1. Ring graded on $F$

$F$  will denote the free semigroup on two symbols  $\{a, b\}$ .  $F$  is the set of all finite words in  $\{a, b\}$ , including the empty word 1, with juxtaposition as multiplication.

Suppose that  $A$  and  $B$  are two  $R$ -bimodules. Define a ring  $\Lambda$  graded on  $F$  (it will turn out that  $\Lambda$  is just  $T(A + B)$  with a more complicated grading) thus:

$$\begin{aligned}\Lambda_1 &= R \\ \Lambda_{aw} &= A \otimes_R \Lambda_w \\ \Lambda_{bw} &= B \otimes_R \Lambda_w.\end{aligned}$$

[Roughly,  $\Lambda_w$  is just  $w$  with occurrences of  $a$  replaced by  $A$  and  $b$  replaced by  $B$  and  $\otimes_R$  inserted between terms.]

$$\mu_{u,v} : \Lambda_u \otimes \Lambda_v \rightarrow \Lambda_u \otimes_R \Lambda_v = \Lambda_{uv}$$

is the natural map.

A little thought will show that this is the free product of  $T(A)$  and  $T(B)$ . With this gradation we shall denote the ring by  $T(A, B)$ .

### 3.2. The semigroup $G$

$G$  will denote the semigroup on two symbols  $\{a, b\}$  with the relations  $aa = a$  and  $bb = b$ . We shall now describe the free product of two  $R$ -rings  $\Lambda$  and  $\Gamma$  as a  $G$ -graded ring. Let us remark that given any word in the symbols  $\{a, b\}$ , by applying reductions which change any segment  $aa$  to  $a$  and any segment  $bb$  to  $b$ , we eventually obtain a reduced word, one with no segment of the form  $aa$  or  $bb$ . And this reduced word is independent of the chain of reductions used.

Now, let  $\Lambda$  and  $\Gamma$  be  $R$ -rings. Let  $\varepsilon_\Lambda : \Lambda \rightarrow R$  and  $\varepsilon_\Gamma : \Gamma \rightarrow R$  be retractions. Let  $A$  denote  $\varepsilon_\Lambda^{-1}(0)$  and  $B$  denote  $\varepsilon_\Gamma^{-1}(0)$ . Then  $A$  and  $B$  are  $R$ -bimodules, and as bimodules,  $\Lambda = R + A$  and  $\Gamma = R + B$ . Further the multiplications on  $\Lambda$  and  $\Gamma$  define associative maps  $A \otimes_R A \rightarrow A$  and  $B \otimes_R B \rightarrow B$ .

Now,  $\Lambda$  can be recovered from  $A$  (and  $\Gamma$  from  $B$  similarly) and this multiplication by taking the tensor ring  $T(A)$  and factoring modulo the relations which say that an element equals its image under the map  $T(A)_2 = A \otimes_R A \rightarrow A = T(A)_1$ .

Therefore, without further ado or proof, it is clear that  $\Lambda * \Gamma$  may be described as  $T(A) * T(B)$  modulo the relations which identify an element with its image under the multiplications  $A \otimes_R A \rightarrow A$  and  $B \otimes_R B \rightarrow B$ . Using the gradation  $T(A, B)$  these are maps  $T(A, B)_{aa} \rightarrow T(A, B)_a$  and  $T(A, B)_{bb} \rightarrow T(A, B)_b$ .

Let us observe what these relations do to the gradation on  $T(A, B)$ . If  $u$  is obtained, for example, by reducing a segment  $aa$  of  $w$  to  $a$ , then the multiplication  $A \otimes_R A \rightarrow A$  identifies  $T(A, B)_w$  with its image in  $T(A, B)_u$  under the map consisting of the appropriate tensor product of identity maps and multiplication. If  $u$  is a reduced word, obtained from  $w$  by two different sequences of reductions, then the relations introduced by the two sequences of multiplications will be exactly the same, *because of associativity*.

Hence, adding the relations to  $T(A, B)$  makes every element of  $T(A, B)_w$  equivalent to an element of  $T(A, B)_u$ , where  $u$  is the reduced version of  $w$ , and no two elements of  $T(A, B)_u$  are identified.

This shows that  $\Lambda * \Gamma$  can be described as a  $G$ -graded ring. The components  $(\Lambda * \Gamma)_g$  are isomorphic as  $R$ -bimodules to  $T(A, B)_u$  where  $u$  is the reduced word representing  $g \in G$ . The multiplicative structure is a mixture of the multiplicative structures of  $\Lambda$  and  $\Gamma$  and tensor product.

This is the structure theorem we wish. We may write it mnemonically thus:

*If  $\Lambda = R + A$  and  $\Gamma = R + B$ , then*

$$\Lambda * \Gamma = R + A + B + A \otimes B + B \otimes A + A \otimes B \otimes A + B \otimes A \otimes B + \dots$$

*where  $\otimes$  means  $\otimes_R$ . The multiplicative structure is determined by multiplying components by the tensor product and then collapsing if possible using the multiplications  $A \otimes A \rightarrow A$  and  $B \otimes B \rightarrow B$  derived from  $\Lambda$  and  $\Gamma$ .*

3.2.1. Let us observe the very important fact that  $\Lambda * \Gamma$  contains as a subring the tensor ring of  $A \otimes_R B$ . And that this may be explicitly described as:

$$R + (\text{left ideal generated by } A \otimes_R B) \cap (\text{right ideal generated by } A \otimes_R B) = T(A \otimes_R B).$$

For, the left ideal generated by  $A \otimes_R B$  consists of all  $T(A, B)_{wab}$  where  $wab$  is reduced; and the right ideal generated by  $A \otimes_R B$  consists of all  $T(A, B)_{abw}$  where  $abw$  is reduced. Their intersection then is exactly the set of all  $T(A, B)_{(ab)^n}$  for  $n = 1, 2, \dots$ . And multiplication between these components is just that found in the tensor ring.

### 3.3. A remark about groups

This construction of the free product of rings gives a rather unusual con-

struction for the free product of groups. Let  $\Pi_1$  and  $\Pi_2$  be multiplicative groups; construct  $Z(\Pi_1)$  and  $Z(\Pi_2)$ ; then by the above method construct  $Z(\Pi_1)*Z(\Pi_2)$ . Define the group  $\Delta$  to be the group of those units in  $Z(\Pi_1)*Z(\Pi_2)$  which are generated by  $\Pi_1$  and  $\Pi_2$ . It is claimed that  $\Delta$  is the free product of  $\Pi_1$  and  $\Pi_2$ :

Let  $X$  be any test group and  $\alpha: \Pi_1 \rightarrow X$ ,  $\beta: \Pi_2 \rightarrow X$  homomorphisms. These extend, by the universal property of group rings, to maps  $Z(\Pi_1) \rightarrow Z(X)$  and  $Z(\Pi_2) \rightarrow Z(X)$ , and by the universal property of free product of rings, to a map  $Z(\Pi_1)*Z(\Pi_2) \rightarrow Z(X)$ . This, restricted to  $\Delta$ , maps  $\Delta$  into  $X$ , since  $\Delta$  is generated by  $\Pi_1$  and  $\Pi_2$  and these are mapped into  $X$ .

Therefore,  $\Delta$  is indeed the free product of  $\Pi_1$  and  $\Pi_2$ . The reader might find it amusing to work on a few simple examples. For example, if we express  $aba^{-1}b^{-1}$  as a sum of homogeneous components, we get

$$1 - a^*(b^{-1})^* + b^*(a^{-1})^* + a^*b^*(a^{-1})^* + b^*(a^{-1})^*(b^{-1})^* + a^*b^*(a^{-1})^*(b^{-1})^*$$

where  $x^*$  denotes  $x - 1$ .

It would seem that the homogeneous components in the  $G$ -grading are related to the free derivatives in the sense of Fox [3].

#### 4. Higman's trick

G. Higman [6], in work on the Whitehead torsion of an infinite cyclic group, performed basically the trick leading to simplification which follows.

Consider a matrix  $M$  whose entries belong to a free product  $\Lambda*\Gamma$  of  $R$ -rings. Evidently, we may write each entry as a sum of terms each of which is the product of elements of  $\Lambda$  and  $\Gamma$ . We perform an inductive simplification of  $M$  by changing  $M$  to  $M \oplus I$  and multiplying on the left or the right by elementary matrices. We reduce the number of terms which have been written as the longest products until we obtain a matrix  $M'$  in which every entry is the sum of an element of  $\Lambda$  and an element of  $\Gamma$ . This reduction process can be described thus:

$$\begin{pmatrix} \& & \& \\ \& \% + xy & \& \\ \& & \& \end{pmatrix} \approx \begin{pmatrix} \& & \& 0 \\ \& \% + xy & \& 0 \\ \& & \& 0 \\ 0 & 0 & 0 & 1 \end{pmatrix} \approx \begin{pmatrix} \& & \& 0 \\ \& \% + xy & \& 0 \\ \& & \& 0 \\ 0 & y & 0 & 1 \end{pmatrix} \approx \begin{pmatrix} \& \& \& 0 \\ \& \% & \& -x \\ \& \& \& 0 \\ 0 & y & 0 & 1 \end{pmatrix}$$

Hence, if  $M$  is an invertible matrix over  $\Lambda*\Gamma$ , it is equivalent in the group  $\mathcal{W}(\Lambda*\Gamma)$  to a matrix of the form  $M_1 + M_a + M_b$ , where the entries of  $M_1$  belong to  $R$ , the entries of  $M_a$  to  $A$ , the entries of  $M_b$  to  $B$ ; in what follows the subscripts 1,  $a$ ,  $b$  will always have this meaning.

Recall that  $A = \varepsilon_\Lambda^{-1}(0)$  and  $B = \varepsilon_\Gamma^{-1}(0)$ , where  $\varepsilon_\Lambda$  and  $\varepsilon_\Gamma$  are retractions of  $\Lambda$  and  $\Gamma$  onto  $R$ . Applying the map  $\varepsilon_\Lambda * \varepsilon_\Gamma$  to  $\Lambda * \Gamma$  maps our invertible matrix  $M_1 + M_a + M_b$  into  $M_1$  and maps  $\Lambda * \Gamma$  onto  $R$ . Therefore  $M_1$  is invertible over  $R$ . Multiplying  $M_1 + M_a + M_b$  by  $M_1^{-1}$  changes the class of the matrix only by an element of  $\mathcal{U}(R)$ , and therefore represents the same element of  $K_1(\Lambda * \Gamma)$ . Hence:

*Every element of  $K_1(\Lambda * \Gamma)$  is represented by an invertible matrix of the form*

$$I + N_a + N_b.$$

### 5. Another trick

On applying the maps (identity)  $* \varepsilon_\Gamma$  and  $\varepsilon_\Lambda * (\text{identity})$  to the matrix  $I + N_a + N_b$  we obtain  $I + N_a$  and  $I + N_b$ , and we discern that these are matrices invertible over  $\Lambda$  and  $\Gamma$ , respectively. Their inverses are evidently of the form  $I + P_a$  and  $I + P_b$ , respectively.

The following identity is the trick referred to:

$$I + N_a + N_b = (I + N_a)(I - P_a P_b)(I + N_b)$$

which it is suggested that the reader derive from the equations

$$(I + N_a)(I + P_a) = I = (I + P_b)(I + N_b).$$

Now the matrix in the middle,  $I - P_a P_b$ , must therefore be invertible over  $\Lambda * \Gamma$ , with inverse  $I + Q$ . Where do the entries of  $Q$  lie? We note:

$$(I + Q)(I - P_a P_b) = I \quad \text{and} \quad (I - P_a P_b)(I + Q) = I.$$

This is the same as to say:

$$Q = (I + Q)P_a P_b \quad \text{and} \quad Q = P_a P_b(I + Q).$$

The entries of  $P_a P_b$  lie in  $A \otimes_R B$ . Hence, from the first equation, we conclude that the entries of  $Q$  lie in the left ideal generated by  $A \otimes_R B$ ; and, from the second equation, that they lie in the right ideal.

Therefore, recalling observation 3.2.1, we know that  $I - P_a P_b$  is an invertible matrix over the subring  $T(A \otimes_R B)$  of  $\Lambda * \Gamma$ .

Hence:

$K_1(\Lambda * \Gamma)$  is generated by the images, under the obvious maps, of  $K_1(\Lambda)$ ,  $K_1(\Gamma)$ , and  $K_1(T(A \otimes_R B))$ .

### 6. Fundamental theorems

**LEMMA.** *Let  $H$  be a covariant functor from  $R$ -rings to abelian groups, such that  $H(R) = 0$ . Then the maps  $\Lambda \rightarrow \Lambda * \Gamma \leftarrow \Gamma$  induce an embedding of  $H(\Lambda) + H(\Gamma)$  in  $H(\Lambda * \Gamma)$ .*



The proof is easy.

**6.1. THEOREM.** *Let  $A$  and  $B$  be the kernels of retractions of the  $R$ -rings  $\Lambda$  and  $\Gamma$  onto  $R$ . If  $K_1(T(A \otimes_R B)) = 0$ , then  $K_1(\Lambda * \Gamma) = K_1(\Lambda) + K_1(\Gamma)$ .*

**PROOF.** By 5 and the hypothesis that  $K_1(T(A \otimes_R B)) = 0$ , we know that  $K_1(\Lambda * \Gamma)$  is generated by the image of  $K_1(\Lambda) + K_1(\Gamma)$ . By the Lemma, the map  $K_1(\Lambda) + K_1(\Gamma) \rightarrow K_1(\Lambda * \Gamma)$  is an embedding. Hence the natural equality.

We now recall a result of Gersten [4] that, if  $K_1(R(x)) = 0$ , then  $K_1$  of a “free” ring is zero; and this is in particular true if  $R$  is a principal ideal domain.

The terminology means this.  $R(x)$  is the tensor ring of an  $R$ -bimodule isomorphic to  $R$  itself. A “free” ring is the tensor ring of an  $R$ -bimodule isomorphic to the direct sum of several copies of  $R$ ; such an  $R$ -bimodule we shall call “free”.

A very brief sketch of the proof of Gersten’s result follows.

The “free” ring  $\Lambda$  can be thought of as a polynomial ring over  $R$  in non-commuting variables  $\{x_1, \dots, x_n\}$ , which, however, commute freely with  $R$ .

An invertible matrix over  $\Lambda$  can, by Higman’s trick, be made equivalent in  $K_1(\Lambda)$  to a matrix

$$I + N_1 x_1 + \dots + N_n x_n.$$

The inverse of this matrix can be written explicitly in the ring of formal power series; since the inverse exists in the polynomial ring, all but a finite number of its coefficients are zero. Hence we find that there is a number  $k$  such that any product of more than  $k$  of the matrices  $\{N_i\}$  is zero.

It then follows that the matrix is the product of matrices of the form  $I + P_i w_i$ , where  $P_i$  are nilpotent  $R$ -matrices and  $w_i$  are words in the  $x$ ’s. To see this, multiply  $I + N_1 x_1 + \dots + N_n x_n$  by  $(I + N_1 x_1)^{-1} \dots (I + N_n x_n)^{-1}$  and verify that the homogeneous components of this matrix are twice as nilpotent. Then perform the same sort of trick on this matrix, and so on.

Such matrices  $I + Pw$  are the images of invertible matrices  $I + Px$  under a map of  $R(x)$  into the “free” ring. Hence,  $K_1$  (“free” ring) is generated by the images, under all  $R$ -ring maps  $R(x) \rightarrow$  “free” ring, of  $K_1(R(x))$ .

Finally, if  $R$  is a principal ideal domain, and we consider a matrix  $I + Px$ , where  $P$  is nilpotent, this is similar (and hence equivalent in  $\mathcal{W}(R(x))$ ) to a matrix  $I + Qx$  where  $Q$  is in upper triangular form; such matrices are obviously the product of elementary matrices.

From 6.1 and Gersten’s result, we clearly have:

**6.2. THEOREM.** *If  $A \otimes_R B$  is “free” as an  $R$ -bimodule, and if  $K_1(R(x)) = 0$ , then  $K_1(\Lambda * \Gamma) = K_1(\Lambda) + K_1(\Gamma)$ .*

Now, one easily sees that the functors  $K_1$  and  $T$  commute with direct limit. We might call a direct limit of "free"  $R$ -bimodules semi-"free". So that we can replace the condition on  $A \otimes_R B$  by requiring that it merely be semi-"free".

In case  $R$  is a commutative ring, we can use the theorem of Lazard [8] to deduce that semi-"free"  $R$ -bimodules are the same as *flat*  $R$ -modules. Furthermore, Bass, Heller, and Swan [1] have shown that rings  $R$  which are *regular* have the property that  $K_1(R(x)) = 0$ ; this extends our rather easy remark that this is so when  $R$  is a principal ideal domain. We can therefore conclude, for example:

**6.2.1. COROLLARY.** *If  $R$  is a regular, commutative ring, and if  $A$  and  $B$  are kernels of retractions of the  $R$ -rings  $\Lambda$  and  $\Gamma$  onto  $R$ , and if  $A \otimes_R B$  is a flat  $R$ -module; then,  $K_1(\Lambda * \Gamma) = K_1(\Lambda) + K_1(\Gamma)$ .*

A special case of this, in proof of which we do not need to use these more general results of Lazard, Bass, Heller, and Swan, is:

**6.3. COROLLARY.** *If  $\Lambda$  and  $\Gamma$  are  $Z$ -rings which are  $Z$ -torsion-free, then  $K_1(\Lambda * \Gamma) = K_1(\Lambda) + K_1(\Gamma)$ .*

Now, let  $\Pi$  denote a group, and consider the category of  $Z$ -rings. There is a homomorphism  $\Pi \rightarrow K_1(Z(\Pi))$  determined by associating to  $\pi \in \Pi$  the  $1 \times 1$  matrix  $(\pi)$ . The quotient of  $K_1(Z(\Pi))$  by the image of  $\Pi$  under this homomorphism has been called  $T$  by Whitehead. To emphasize its functorial character, we call it  $\mathcal{W}\mathcal{J}(\Pi)$ , the *Whitehead torsion* of  $\Pi$ .  $\mathcal{W}\mathcal{J}$  is clearly a covariant functor from groups to commutative groups, such that  $\mathcal{W}\mathcal{J}(\text{trivial group}) = 0$ .

It therefore follows a remark like the Lemma at the beginning of this section, that  $\mathcal{W}\mathcal{J}(\Pi_1) + \mathcal{W}\mathcal{J}(\Pi_2)$  is embedded in  $\mathcal{W}\mathcal{J}(\Pi_1 * \Pi_2)$ . To show the two are equal, it is therefore enough to show  $\mathcal{W}\mathcal{J}(\Pi_1 * \Pi_2)$  is generated by  $\mathcal{W}\mathcal{J}(\Pi_1)$  and  $\mathcal{W}\mathcal{J}(\Pi_2)$ . Since  $\mathcal{W}\mathcal{J}$  is a quotient group of  $K_1$ , it is enough to show that  $K_1(Z(\Pi_1 * \Pi_2))$  is generated by  $K_1(Z(\Pi_1))$  and  $K_1(Z(\Pi_2))$ .

But, by 2,  $Z(\Pi_1 * \Pi_2) = Z(\Pi_1) * Z(\Pi_2)$ . And by 6.3,

$$K_1(Z(\Pi_1) * Z(\Pi_2)) = K_1(Z(\Pi_1)) + K_1(Z(\Pi_2)).$$

Hence:

**6.4. THEOREM.** *The Whitehead torsion of a free product of groups is equal to the direct sum of the Whitehead torsions of the factors.*

## 7. Conclusion

From 6.4 and Higman's result that the Whitehead torsion of an infinite cyclic group is zero, it evidently follows that *the Whitehead torsion of a free group  $F$  is zero and that  $\mathcal{W}\mathcal{J}(\Pi * F)$  is naturally isomorphic to  $\mathcal{W}\mathcal{J}(\Pi)$ .*

This suggests that the Whitehead torsion might be conceived of as a sort of "obstruction" thus:

Let  $\varphi: F \rightarrow \Pi$  be a homomorphism of a free group onto  $\Pi$ ; let  $M_F$  and  $M_\Pi$  be free modules over  $Z(F)$  and  $Z(\Pi)$ , of the same rank; pick some epimorphism  $M_F \rightarrow M_\Pi$ . Given an automorphism  $\alpha: M_\Pi \rightarrow M_\Pi$ , there is always an endomorphism  $\tilde{\alpha}: M_F \rightarrow M_F$  covering it. When can  $\alpha$  be covered by an *auto*-morphism? The answer is, stably, if and only if the torsion of  $\alpha$ ,  $\tau(\alpha) \in \mathcal{WT}(\Pi)$  is zero. Thus  $\tau(\alpha)$  appears to be very much like a  $K$ -theoretic characteristic class in the realm of vector bundles.

It seems that there is much more of significance in this analogy, cf. Gersten's thesis [5].

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