## NOTE ON DOUBLE POINTS OF IMMERSIONS

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ABSTRACT. We show that not any smooth manifold can be double point manifold of a smooth self-transverse immersion of a closed n-manifold into the euclidean space  $R^{n+k}$ . We investigate also the double covers which can (or can not) occur in this situation.

### 0. Notation

In this note  $M^n$  will denote a closed smooth *n*-manifold and  $f: M^n \to R^{n+k}$ shall be its selftransverse immersion. (*n* and *k* will be sometimes specified).  $\theta_2(f)$  will denote the (n-k) dimensional closed manifold formed by the double points in  $R^{n+k}$ . If *f* has no triple points then  $\theta_2(f)$  is an embedded submanifold of  $R^{n+k}$ . Its preimage  $f^{-1}(\theta_2(f)) \subset M^n$  will be denoted by  $D_2(f)$ . (The manifolds  $D_2(f)$  and  $\theta_2(f)$  can be defined in a standard way even if *f* has multiple points, although in this case they are not embedded in  $M^n$  and  $R^{n+k}$ but immersed there.)

1. Immersions  $M^n \to R^{2n-1}$ 

Let k be n-1, i.e. we consider immersions  $M^n \to R^{2n-1}$ . Then the double point set  $\theta_2(f)$  is a 1-manifold. Call a component C of  $\theta_2(f)$  nontrivial if the double cover  $f^{-1}(C) \to C$  is nontrivial, i.e.  $f^{-1}(C)$  is connected. Let  $\delta(f) \in \mathbb{Z}_2$ be the parity of nontrivial components of the curve  $\theta_2(f)$ .

Question (M. Rost): Given  $M^n$  what are the possible values of  $\delta(f)$ ?

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Theorem 1:

- a) If n is odd then for any n-manifold  $M^n$  there are immersions  $f_0, f_1: M^n \to \mathbb{R}^{2n-1}$  with different values of  $\delta: \delta(f_0) = 0$  and  $\delta(f_1) = 1$ .
- b) If n is even then for any immersion  $f: M^n \to R^{2n-1}$  the following holds:

$$\delta(f) = \langle W_1 \overline{W}_{n-1}(M), [M] \rangle.$$

**Proof:** (This proof uses ideas of Uwe Kaiser and Li Bang He [6]).

a) For *n* odd any immersion  $g: M^n \to R^{2n}$  has a nonzero vectorfield and so by a theorem of Hirsch it is regularly homotopic to an immersion  $\bar{g}: M^n \to R^{2n-1}$ . By Whitney's theorem there are immersions  $M^n \to R^{2n}$ with arbitrary number of double points. Let  $g_0: M^n \to R^{2n}$  and  $g_1: M^n \to R^{2n}$  be immersions with 0 and 1 double point respectively. Now it follows from the lemma below that the corresponding maps  $\bar{g}_0: M^n \to R^{2n-1}$  and  $\bar{g}_1: M^n \to R^{2n-1}$  have  $\delta$  invariants 0 and 1 respectively. (Compare with [7]).

**Lemma:** Let  $\bar{g}: M^n \to R^{2n-1}$  be a generic immersion and let  $h: M \to R^1$  be a function such that the map  $g = (\bar{g}, h): M \to R^{2n} = R^{2n-1} \times R^1$ ,  $g(x) = (\bar{g}(x), h(x))$  is a generic immersion. Then the number of double points of  $g \equiv \delta(\bar{g}) \mod 2$ . (\*)

**Proof:** Let  $\tau$  be the free involution on the double point set  $D(\bar{g})$  such that  $\bar{g}(x) = \bar{g}(\tau x)$ . Denote by  $\ell_{\tau}$  the line bundle over  $\theta(\bar{g}) = D(\bar{g})/\tau$  for which the sphere bundle is  $D(\bar{g})$ .

Then both sides of (\*) coincide mod 2 with  $\langle W_1(\ell_\tau), [\theta(\bar{g})] \rangle$ . For the right side (i.e. for  $\delta(\bar{g})$ ) this is so by definition. For the left side (for the double points of g) it is also easy. Namely let  $\varphi(x)$  be  $h(x) - h(\tau x)$ . Then  $\varphi: D(\bar{g}) \to R'$  is an antisymmetric function (i.e.  $\varphi(\tau x) = -\varphi(x)$ ) and so ist defines a section s of the line bundle  $\ell_{\tau}$ .

The double points of g correspond to the pairs  $(x, \tau x)$  such that  $x \in D(\bar{g})$  and  $h(x) = h(\tau x)$ . These pairs are precisely the zeros of  $\varphi$  and the latters are in 1-1-correspondence with the zeros of s, and the pairity of the zeros of s is  $\langle W_1(\ell_{\tau}), [\theta(\bar{g})] \rangle$ . The lemma and thus part a) of Theorem 1 are proven.

b) Let  $\nu$  denote the normal bundle of  $D_2(f)$  in M and let  $E^1$  be the trivial line bundle. Then  $\nu \oplus \epsilon^1 = TM|_{D_2(f)}$ . Hence  $W_1(\nu) = W_1(M)|_{D_2(f)}$ . Then

$$\langle W_1(\nu), [D_2(f)] \rangle = \langle W_1 \overline{W}_{n-1}(M), [M] \rangle$$

because the homology class in  $H_1(M; \mathbb{Z}_2)$  represented by  $D_2(f)$  is dual to  $\overline{W}_{n-1}(M)$  (see [3]). On the other hand if n is even then  $\delta(f) = (W_1(\nu), [D_2(f)])$ . Indeed, both sides coincide mod 2 with the number of those components of the double point set  $D_2(f)$  which have nonorientable normal bundles in M.

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# 2. Immersions $M^3 \to R^4$

In the next theorem we deal with immersions of closed 3-manifolds in  $\mathbb{R}^4$  having no triple points. The double point surface  $\theta_2(f)$  can be any surface of even Euler characteristic (see [8]). What sort of double covers can arise over  $\theta_2(f)$  as the double cover  $D_2(f) \to \theta_2(f)$ ?

**Theorem 2:** Let  $f: M^3 \to R^4$  be a selftransverse immersion having no triple points and let F be a nonorientable component of the double point surface  $\theta_2(f) (\subset R^4)$ . Then the double cover  $f^{-1}(F) \to F$  can not be trivial.

**Proof:** Suppose that the cover  $f^{-1}(F) \to F$  is trivial. Then  $f^{-1}(F) = F_1 \cup F_2$ , where  $F_1$  and  $F_2$  are disjoint and both homeomorphic to F.

Let us denote by  $\ell_1$  and  $\ell_2$  the normal line bundles of the embeddings  $F_1 \subset M^3$  and  $F_2 \subset M^3$ . Identifying F with  $F_1$  and  $F_2$  we can say that the normal bundle of F in  $\mathbb{R}^4$  is the sum  $\ell_1 \oplus \ell_2$ . Let  $S(\ell_1)$  and  $S(\ell_2)$  be the  $S^0$ -sphere bundles over F associated to  $\ell_1$  and  $\ell_2$ . If T is a small tubular neighbourhood of F in  $\mathbb{R}^4$ , then  $\partial T \cap f(M^3) = S(\ell_1) \cup S(\ell_2)$ .

We are going to show that

Claim 1:  $S = S(\ell_1) \cup S(\ell_2)$  is "linked" with F in  $\mathbb{R}^4$ .

Claim 2: S and F are not "linked" with F in  $\mathbb{R}^4$ .

Then the contradiction between these two claims will prove the theorem. Of course the expression "linked" in  $R^4$  should be defined since S and F are not linked in  $R^4$  in the usual sense, they do not have the right dimension for that. (dim  $S = \dim F = 2$ ).

**Definition:** Let  $\sigma$  be any 3 dimensional singular chain in  $\mathbb{R}^4$  such that  $\partial \sigma = S$ . One can suppose that each simplex of  $\sigma$  intersects F transversally. (Especially the simplices of  $\sigma$  of dimension 0 and 1 do not intersect F at all.) Then  $\sigma \cap F$ is a 1-cycle in F and its homology class in  $H_1(F; \mathbb{Z}_2)$  will be called the linking class of S and F and will be denoted by  $\ell(S, F)$ . We say that S and F are linked if  $\ell(S, F) \neq 0$ .

**Lemma:**  $\ell(S, F)$  is well defined.

**Proof:** Let  $\bar{\sigma}$  be another singular chain in  $\mathbb{R}^4$  such that  $\partial \bar{\sigma} = S$ . Then  $\sigma \bigcup \bar{\sigma}$  is a 3-cycle in  $\mathbb{R}^4$  and therefore there is a 4-chain  $\tau$  in  $\mathbb{R}^4$  such that  $\partial \tau = \sigma \bigcup_S \bar{\sigma}$ . We can suppose that each simplex of  $\tau$  intersects F transversally. Then  $\tau \cap F$  is a 2-chain with bundary  $\partial(\tau \cap F) = (\sigma \cap F) \cup (\bar{\sigma} \cap F)$ .

**Proof of Claim 2:**  $f(M^3) \setminus T$  forms a 3 chain  $\sigma$  such that  $\partial \sigma = S$  and  $\sigma \cap F = \emptyset$ . Hence  $\ell(S, F) = 0$ .

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**Proof of Claim 1:** Let  $D(\ell_1)$  and  $D(\ell_2)$  be the disc bundles of  $\ell_1$  and  $\ell_2$  with boundaries  $S(\ell_1)$  and  $S(\ell_2)$ . Then  $\sigma = D(\ell_1) \cup D(\ell_2)$  is also a 3-chain such that  $\partial \sigma = S$ . By small perturbation can be achieved that  $D(\ell_1)$  intersect transversally the surface F in a cycle having homology class dual to  $W_1(\ell_2)$ . Similarly, perturbing  $D(\ell_2)$  we can have that the homology class of  $D(\ell_2) \cap F$  is dual to  $W_1(\ell_1)$ .

Hence the homomology class of  $\sigma \cap F$  is dual to  $W_1(\ell_1) + W_1(\ell_2) = \overline{W}_1(F) \neq 0$ .

#### 3. Cases when $n-k \ge 4$

In this last section we consider two cases when  $\theta_2(f)$  has higher dimension.

**Theorem 3:** Let  $f: M^8 \to R^{12}$  be a selftransverse immersion without triple points. Then  $M^8$  is cobordant either to zero or to  $P_2 \times P_6$ . The double point set  $\theta_2(f) (\subset R^{12})$  is a 4-manifold, which is cobordant either to zero or to  $P_2 \times P_2$ .

**Proof:** The eight dimensional cobordism group is  $Z_2 \oplus Z_2 \oplus Z_2 \oplus Z_2 \oplus Z_2$ . The generators are the classes of the following manifolds:  $P_8$ ,  $P_6 \times P_2$ ,  $P_4 \times P_4$ ,  $P_2 \times P_2 \times P_4$ ,  $(P_2)^4$ . Computing the normal Stiefel-Whitney numbers of these manifolds we get the following:

	P <sub>8</sub>	$P_6 \times P_2$	$P_4 \times P_4$	$P_2 \times P_2 \times P_4$	$P_2 \times P_2 \times P_2 \times P_2$
$\overline{W}_1\overline{W}_7$	1	0	0	0	0
$\overline{W}_2\overline{W}_6$	1	0	1	0	0
$\overline{W}_3\overline{W}_5$	1	0	0	1	0
$\overline{W}_{4}^{2}$	1	0	0	1	1

Since for our manifold  $M^8$  all these characteristic numbers must vanish  $M^8$  must be either null-cobordant or cobordant to  $P_6 \times P_2$ . Note that  $P_6$  is cobordant to such a manifold  $V^6$ , which embeds in  $R^{10}$  and the normal bundle has three independent sections. (See [8].) Therefore  $V^6 \times P_2$  embeds in  $R^{12}$ .

Now we finish the proof using the arguments of [8] in Claim 1. Let  $W^9$  be a manifold such that either

i) 
$$\partial W^9 = M^8$$
 or ii)  $\partial W^9 = M^8 \cup V_6 \times P_2$ .

There exists a generic map  $h: W^9 \to R^{12} \times [0,1]$  such that  $h(M^8)$  goes into  $R^{12} \times 0$  and h restricted to M is f. In the case (ii) we require also that

 $h(V^6 \times P_2) \subset \mathbb{R}^{12} \times 1$  and h restricted to  $V^6$  is an embedding. The map h has only  $\Sigma^{1,0}$  singularities (i.e. Whitney umbrellas). The normal bundle of the singular manifold  $\Sigma(h)$  has the form:  $\varepsilon \oplus \nu^8$ , where  $\varepsilon$  is the trivial line bundle and  $\nu^8$  admits as structure group the following group of matrices:

$$\left\{ \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix} \quad ext{and} \quad \begin{pmatrix} 0 & A \\ A & 0 \end{pmatrix} \ \Big| \ A \in O(4) 
ight\}.$$

Hence  $\Sigma(h)$  is an orientable 4-manifold. The double points of h form a 5-manifold  $\theta_2(h)$  with boundary consisting of the double points of f and  $\Sigma(h)$ . Therefore the double point set  $\theta_2(f)$  of f is cobordant to an orientable 4-manifold (to  $\Sigma(h)$ ). Therefore its cobordism class is zero or  $[P_2 \times P_2]$ .

I do not know the answer to the following questions:

Question 1: Can the double point set be cobordant to  $P_2 \times P_2$ ?

**Question 2:** What can be the cobordism class of the double point set if f has triple points?

The following theorem can be proven in the same way as the previous one.

**Theorem 4:** Let  $f: M^n \to \mathbb{R}^{n+k}$  be a selftransverse immersion of the closed *n*-manifold  $M^n$ , where *k* is even and  $n \leq 2k$ . Let  $[\theta_2(f)] \in \mathfrak{N}_{n-k}$  denote the cobordism class of the double point set  $\theta_2(f)$ . Then the image of  $[\theta_2(f)]$  in the quotient group  $\mathfrak{N}_{n-k}$ /image  $\mathfrak{O}_{n-k}$  depends only on the cobordism class  $[M^n] \in \mathfrak{N}_n$ .

Especially if  $M^n$  is 0-cobordant or  $\alpha(n) > n - k$  then  $\theta_2(f)$  is cobordant to an orientable manifold. ( $\alpha(n)$  denotes the number of digits 1 in the binary decomposition of n.)

**Proof:** In the case  $\alpha(n) > n-k$  we use R. Brown's theorem saying that  $M^n$  is cobordant to a manifold, which embeds in  $\mathbb{R}^{2n-\alpha(n)+1}$ .

For example if k = n - 6 then we get a homomorphism into  $\mathfrak{N}_6 = \mathbb{Z}_2 \oplus \mathbb{Z}_2 \oplus \mathbb{Z}_2$ (since  $\Omega_6 = 0$ .) defined on those elements of  $\mathfrak{N}_n$ , which contain a manifold that embeds in  $\mathbb{R}^{2n-6}$ .

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Question 3: What is the image of this homomorpism? References

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