

# ALGEBRAIC K-THEORY OVER THE INFINITE DIHEDRAL GROUP

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## Algebraic $K$ -theory

- ▶ The **algebraic  $K$ -groups** of an exact category  $\mathbb{E}$  are

$$K_*(\mathbb{E}) = \pi_*(K(\mathbb{E})) \quad (* \in \mathbb{Z}) ,$$

the homotopy groups of a spectrum  $K(\mathbb{E})$  (Quillen, 1972).

- ▶  $K_0(\mathbb{E}) =$  **class group** of  $\mathbb{E}$ , with one generator  $[P]$  for each object  $P$  in  $\mathbb{E}$ , and one relation  $[P] - [Q] + [R] = 0$  for each exact sequence in  $\mathbb{E}$

$$0 \rightarrow P \rightarrow Q \rightarrow R \rightarrow 0 .$$

- ▶  $K_1(\mathbb{E}) =$  **torsion group** of  $\mathbb{E}$ , with one generator  $\tau(f)$  for each automorphism  $f : P \rightarrow P$  in  $\mathbb{E}$ , and relations

$$\tau(f \oplus f') = \tau(f) + \tau(f') , \quad \tau(gf) = \tau(f) + \tau(g) .$$

- ▶ The algebraic  $K$ -groups of a ring  $R$  are

$$K_*(R) = K_*(\text{Proj}(R))$$

with  $\text{Proj}(R) =$  exact category of f.g. projective  $R$ -modules.

## Executive summary

- ▶ The 40-year old splitting theorems for the algebraic  $K$ -theory of polynomial extensions

$$K_*(R[t]) = K_*(R) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R) ,$$

$$K_*(R[t, t^{-1}]) = K_*(R) \oplus K_{*-1}(R) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R)$$

have been recently extended to a splitting theorem for the algebraic  $K$ -theory of a dihedral extension

$$K_*(R[D_\infty]) = K_*(R \rightarrow R[\mathbb{Z}_2] \times R[\mathbb{Z}_2]) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R)$$

involving the same  $\widetilde{\mathrm{Nil}}$ -groups.

- ▶ Motivation from the algebraic  $K$ -theory obstructions to the codimension 1 splitting of homotopy equivalences of finite CW complexes.
- ▶ **Reference**

J.Davis, Q.Khan, A.Ranicki, *Algebraic K-theory over  $D_\infty$*

ArXiv/math.AT.0803.1639

## Kernel modules

- ▶ The **kernels** of a map  $f : M \rightarrow X$  of connected spaces are the relative homology  $\mathbb{Z}[\pi_1(X)]$ -modules

$$K_r(M) = H_{r+1}(\tilde{f} : \tilde{M} \rightarrow \tilde{X})$$

with  $\tilde{X}$  the universal cover of  $X$ ,  $\tilde{M} = f^*\tilde{X}$  the pullback cover of  $M$ , and  $\tilde{f} : \tilde{M} \rightarrow \tilde{X}$  a  $\pi_1(X)$ -equivariant lift of  $f$ .

- ▶ **Definition** A map  $f : M \rightarrow X$  is  $\pi_1$ -**iso** if it induces isomorphisms  $f_* : \pi_1(M) \cong \pi_1(X)$ .
- ▶ **Theorem** (Hurewicz, Whitehead, 1930's) Let  $f : M \rightarrow X$  be a  $\pi_1$ -iso map of connected CW complexes.
  - ▶ If  $K_r(M) = 0$  for  $r < n$  then the forgetful map

$$\pi_{n+1}(f : M \rightarrow X) \rightarrow K_n(M)$$

is an isomorphism.

- ▶  $f$  is a homotopy equivalence if and only if  $K_*(M) = 0$ .

## The Wall finiteness obstruction

- ▶  $K_0(\mathbb{Z}[\pi]) = K_0(\mathbb{Z}) \oplus \tilde{K}_0(\mathbb{Z}[\pi])$  for any group  $\pi$ .
- ▶ A f.g. projective  $\mathbb{Z}[\pi]$ -module  $P$  is such that  $[P] = 0 \in \tilde{K}_0(\mathbb{Z}[\pi])$  if and only if  $P$  is stably f.g. free, i.e. such that  $P \oplus F \cong G$  for f.g. free  $F, G$ .
- ▶ A CW complex  $X$  is **finitely dominated** if it is a homotopy retract of a finite CW complex, or equivalently if there exists  $\pi_1$ -iso map  $f : M \rightarrow X$  from a finite CW complex  $M$  with  $K_r(M) = 0$  for  $r \neq n$ , and  $K_n(M)$  a f.g. projective  $\mathbb{Z}[\pi_1(X)]$ -module.
- ▶ **Finiteness obstruction** (Wall, 1965) A finitely dominated CW complex  $X$  has an algebraic  $K$ -theory invariant

$$[X] = (-)^n [K_n(M)] \in \tilde{K}_0(\mathbb{Z}[\pi_1(X)])$$

such that  $[X] = 0 \in \tilde{K}_0(\mathbb{Z}[\pi_1(X)])$  if and only if  $X$  is homotopy equivalent to a finite CW complex, if and only if  $K_n(M)$  is a stably f.g. free  $\mathbb{Z}[\pi_1(X)]$ -module.

## Whitehead torsion

- ▶ The **torsion group** of a ring  $R$  is the abelian group

$$K_1(R) = K_1(\text{Proj}(R)) = \varinjlim_n GL_n(R)^{ab}.$$

- ▶ An  $n \times n$  invertible matrix  $M$  with entries in  $R$  has a **torsion**  $\tau(M) \in K_1(R)$  such that  $\tau(M) = 0$  if and only if  $M$  can be reduced to  $1 \in R$  by stabilizations  $M \mapsto M \oplus 1$ , destabilizations and elementary row and column operations.
- ▶ (J.H.C. Whitehead, 1951) A homotopy equivalence  $f : X \rightarrow Y$  of finite CW complexes has a **torsion**

$$\tau(f) \in Wh(\pi_1(X)) = K_1(\mathbb{Z}[\pi_1(X)]) / \{\pm g \mid g \in \pi_1(X)\}$$

such that  $\tau(f) = 0$  if and only if  $f$  is **simple**, i.e. can be deformed to  $1 : Y \rightarrow Y$  by elementary cell expansions and collapses.

## The infinite dihedral group $D_\infty$

- ▶ Free product of two cyclic groups of order 2

$$D_\infty = \mathbb{Z}_2 * \mathbb{Z}_2 = \{t_1, t_2 \mid (t_1)^2 = (t_2)^2 = 1\}$$

- ▶ Contains infinite cyclic subgroup of index 2

$$\{1\} \rightarrow \mathbb{Z} = \langle t_1 t_2 \rangle \rightarrow D_\infty \rightarrow \mathbb{Z}_2 \rightarrow 0 .$$

- ▶  $D_\infty$  acts on  $\mathbb{R}$  by

$$t_1 = \text{reflection in } 1/2 : \mathbb{R} \rightarrow \mathbb{R} ; x \mapsto 1 - x ,$$

$$t_2 = \text{reflection in } -1/2 : \mathbb{R} \rightarrow \mathbb{R} ; x \mapsto -1 - x$$

with

$$t_1 t_2 = \text{translation by } +2 : \mathbb{R} \rightarrow \mathbb{R} ; x \mapsto 2 + x .$$

## $\mathbf{Nil}_*(R, B)$

- ▶ Let  $R$  be a ring, and  $B$  an  $(R, R)$ -bimodule,  $P$  an  $R$ -module. An  $R$ -module morphism  $\nu : P \rightarrow B \otimes_R P$  is **nilpotent** if for some  $k \geq 1$

$$\nu^k = 0 : P \rightarrow B^k \otimes_R P, \quad B^k = B \otimes_R B \otimes_R \cdots \otimes_R B.$$

- ▶ Let  $\mathbf{Nil}(R, B)$  be the exact category of pairs  $(P, \nu)$  with  $P$  a f.g. projective  $R$ -module and  $\nu : P \rightarrow B \otimes_R P$  nilpotent,

$$\mathbf{Nil}_*(R, B) = K_*(\mathbf{Nil}(R, B)).$$

- ▶ The composite of the exact functors

$$\mathrm{Proj}(R) \rightarrow \mathbf{Nil}(R, B) ; P \mapsto (P, 0)$$

$$\mathbf{Nil}(R, B) \rightarrow \mathrm{Proj}(R) ; (P, \nu) \mapsto P$$

is the identity, so that

$$\mathbf{Nil}_*(R, B) = K_*(R) \oplus \widetilde{\mathbf{Nil}}_*(R, B)$$

with  $\widetilde{\mathbf{Nil}}_*(R, B) = \ker(\mathbf{Nil}_*(R, B) \rightarrow K_*(R)).$

## The algebraic $K$ -theory of the tensor algebra $T(B)$

- **Definition** The **tensor algebra** of an  $(R, R)$ -bimodule  $B$  is the ring

$$T(B) = R \oplus \bigoplus_{k=1}^{\infty} B^k .$$

- **Theorem** (Waldhausen, 1978) If  $B$  is flat as a right  $R$ -module and f.g. projective as an  $R$ -module then

$$K_*(T(B)) = K_*(R) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R, B)$$

with

$$\widetilde{\mathrm{Nil}}_0(R, B) \rightarrow K_1(T(B)) ;$$

$$[P, \nu] \mapsto \tau(1 + \nu : T(B) \otimes_R P \rightarrow T(B) \otimes_R P) .$$

- **Idea of proof** Higman linearization, an algebraic transversality technique which reduces all expressions involving  $B^k$  for  $k \geq 1$  to the case  $k = 1$ .

## The algebraic $K$ -theory of $R[t]$ and $R[\mathbb{Z}]$

- **Example** If  $B = R$  then  $T(B) = R[t]$  is the polynomial extension, and  $\text{Nil}(R, B) = \text{Nil}(R)$  is the exact category of nilpotent endomorphisms  $(P, \nu : P \rightarrow P)$ . Linearization:

$$\begin{pmatrix} a_0 + a_1 t + a_2 t^2 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & -t \\ 0 & 1 \end{pmatrix} \begin{pmatrix} a_0 + a_1 t & t \\ -a_2 t & 1 \end{pmatrix} \begin{pmatrix} 1 & 0 \\ a_2 t & 1 \end{pmatrix}$$

- **Theorem** (Bass, 1968 and Quillen, 1972) For any ring  $R$

$$K_*(R[t]) = K_*(R) \oplus \widetilde{\text{Nil}}_{*-1}(R) ,$$

$$K_*(R[\mathbb{Z}]) = K_*(R) \oplus K_{*-1}(R) \oplus \widetilde{\text{Nil}}_{*-1}(R) \oplus \widetilde{\text{Nil}}_{*-1}(R)$$

with  $R[\mathbb{Z}] = R[t, t^{-1}]$  and

$$\widetilde{\text{Nil}}_0(R) \rightarrow K_1(R[t]) ; [P, \nu] \mapsto \tau(1 + \nu t : P[t] \rightarrow P[t]) .$$

$$\mathbf{Nil}_*(R, B_1, B_2)$$

- For any ring  $R$

$$\mathrm{Proj}(R \times R) = \mathrm{Proj}(R) \times \mathrm{Proj}(R) .$$

- For any  $(R, R)$ -bimodules  $B_1, B_2$

$$\mathrm{Nil}(R, B_1, B_2) = \mathrm{Nil}\left(R \times R, \begin{pmatrix} 0 & B_2 \\ B_1 & 0 \end{pmatrix}\right)$$

is the exact category of quadruples

$$( P_1 , P_2 , \rho_1 : P_1 \rightarrow B_1 \otimes_R P_2 , \rho_2 : P_2 \rightarrow B_2 \otimes_R P_1 )$$

with  $P_1, P_2$  f.g. projective  $R$ -modules and  $\rho_1, \rho_2$   $R$ -module morphisms such that the composite

$$\rho_2 \rho_1 : P_1 \rightarrow B_1 \otimes_R B_2 \otimes_R P_1$$

is a nilpotent  $R$ -module morphism.

## Generalized free products

- ▶ A group  $G$  is a **generalized free product** if it is
  - ▶ either an **amalgamated free product**

$$G = G_1 *_H G_2$$

with  $i_1 : H \rightarrow G_1$ ,  $i_2 : H \rightarrow G_2$  group morphisms, and

$$i_1(x) = i_2(x) \in G \quad (x \in H) .$$

- ▶ or an **HNN extension**

$$G = G_1 *_H \{t\}$$

with  $i_1, i_2 : H \rightarrow G_1$  group morphisms, and

$$i_1(x)t = ti_2(x) \in G \quad (x \in H) .$$

- ▶ A generalized free product is **injective** if  $i_1, i_2$  are injections, in which case  $H, G_1, G_2 \subset G$ .

## The Seifert-van Kampen and Mayer-Vietoris theorems

- ▶ A subspace  $Y \subset X$  is **codimension 1** if  $Y$  has an open neighbourhood  $Y \times \mathbb{R} \subset X$ .
- ▶ **Theorem** (1930's) If  $X, Y$  are connected then:
  - ▶ (S-vK) the fundamental group of  $\pi_1(X)$  is a generalized free product of  $\pi_1(Y)$  and  $\pi_1(X \setminus Y)$ ,
  - ▶ (M-V) the homology groups  $H_*(X)$  fit into an exact sequence

$$\cdots \rightarrow H_n(Y) \rightarrow H_n(X \setminus Y) \rightarrow H_n(X) \rightarrow H_{n-1}(Y) \rightarrow \cdots$$

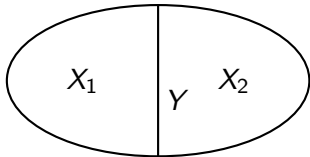
- ▶ For connected  $X, Y$  there are two cases:
  - ▶ (A)  $Y$  separates  $X$ , with  $X \setminus Y$  disconnected
  - ▶ (B)  $Y$  does not separate  $X$ , with  $X \setminus Y$  connected

## The separating case (A)

- ▶  $X$  is a union

$$X = X_1 \cup_Y X_2$$

with  $X_1, X_2$  connected.



- ▶ The fundamental group is an amalgamated free product

$$\pi_1(X) = \pi_1(X_1) *_{\pi_1(Y)} \pi_1(X_2)$$

- ▶ The homology groups are related by a Mayer-Vietoris exact sequence

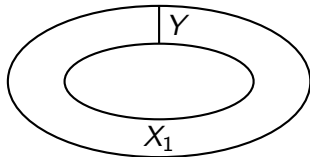
$$\cdots \rightarrow H_n(Y) \rightarrow H_n(X_1) \oplus H_n(X_2) \rightarrow H_n(X) \rightarrow H_{n-1}(Y) \rightarrow \cdots$$

## The non-separating case (B)

- $X$  is a union

$$X = X_1 \cup_{Y \times \{0,1\}} Y \times [0,1]$$

with  $X_1$  connected.



- The fundamental group is an *HNN* extension

$$\pi_1(X) = \pi_1(X_1) *_{\pi_1(Y)} \{t\} .$$

- The homology groups are related by a Mayer-Vietoris exact sequence

$$\dots \rightarrow H_n(Y) \xrightarrow{i_1 - i_2} H_n(X_1) \rightarrow H_n(X) \rightarrow H_{n-1}(Y) \rightarrow \dots .$$

## The algebraic $K$ -theory of generalized free products

- **Theorem** (Waldhausen, 1978) (A) For an injective amalgamated free product  $G = G_1 *_H G_2$

$$K_n(R[G]) = K_n(R[H] \rightarrow R[G_1] \times R[G_2]) \oplus \widetilde{\mathrm{Nil}}_{n-1}(R[H], B_1, B_2)$$

with  $B_j = R[G_j \setminus H]$  ( $j = 1, 2$ ), and an almost-Mayer-Vietoris exact sequence

$$\begin{aligned} \cdots \rightarrow K_n(R[H]) \oplus \widetilde{\mathrm{Nil}}_n(R[H], B_1, B_2) &\rightarrow K_n(R[G_1]) \oplus K_n(R[G_2]) \\ &\rightarrow K_n(R[G]) \xrightarrow{\partial} K_{n-1}(R[H]) \oplus \widetilde{\mathrm{Nil}}_{n-1}(R[H], B_1, B_2) \rightarrow \cdots \end{aligned}$$

- (B) For an injective  $HNN$  extension  $G = G_1 *_H \{t\}$

$$K_n(R[G]) = K_n(i_1 - i_2 : R[H] \rightarrow R[G_1]) \oplus \widetilde{\mathrm{Nil}}_{n-1}$$

with an almost-Mayer-Vietoris exact sequence

$$\begin{aligned} \cdots \rightarrow K_n(R[H]) \oplus \widetilde{\mathrm{Nil}}_n &\rightarrow K_n(R[G_1]) \\ &\rightarrow K_n(R[G]) \xrightarrow{\partial} K_{n-1}(R[H]) \oplus \widetilde{\mathrm{Nil}}_{n-1} \rightarrow \cdots \end{aligned}$$

## The algebraic $K$ -theory of $R[D_\infty]$

- For  $R[D_\infty] = R[\mathbb{Z}_2 * \mathbb{Z}_2]$  take  $B_1 = B_2 = R$ , so that

$$K_*(R[D_\infty]) = K_*(R \rightarrow R[\mathbb{Z}_2] \times R[\mathbb{Z}_2]) \oplus \widetilde{\text{Nil}}_{*-1}(R, R, R) .$$

- The exact category

$$\text{Nil}(R, R, R) = \text{Nil}(R \times R, \begin{pmatrix} 0 & R \\ R & 0 \end{pmatrix})$$

has objects quadruples  $(P_1, P_2, \rho_1 : P_1 \rightarrow P_2, \rho_2 : P_2 \rightarrow P_1)$  with  $P_1, P_2$  f.g. projective  $R$ -modules and  $\rho_2\rho_1 : P_1 \rightarrow P_1$  nilpotent, and

$$K_*(\text{Nil}(R, R, R)) = K_*(R) \oplus K_*(R) \oplus \widetilde{\text{Nil}}_*(R, R, R) .$$

- An element  $x_1 \in P_1$  can be “killed” by an “algebraic cell exchange”

$$(P_1, P_2, \rho_1, \rho_2) \rightarrow (P_1/\langle x_1 \rangle, P_2, [\rho_1], [\rho_2])$$

if and only if  $\rho_1(x_1) = 0 \in P_2$ . Similarly for  $x_2 \in P_2$ .

## The Nil–Nil theorem

- ▶ The construction of the groups  $\widetilde{\text{Nil}}_*(R, R, R)$  is more complicated than that of  $\widetilde{\text{Nil}}_*(R)$ . However:
- ▶ **Nil–Nil Theorem** (DKR, 2008) For any ring  $R$  the functors of exact categories

$$i : \text{Nil}(R) \rightarrow \text{Nil}(R, R, R) ; (P, \nu) \mapsto (P, P, \nu, 1) ,$$

$$j : \text{Nil}(R, R, R) \rightarrow \text{Nil}(R) ; (P_1, P_2, \rho_1, \rho_2) \mapsto (P_1, \rho_2 \rho_1)$$

induce inverse isomorphisms

$$i : \widetilde{\text{Nil}}_*(R) \cong \widetilde{\text{Nil}}_*(R, R, R) ,$$

$$j : \widetilde{\text{Nil}}_*(R, R, R) \cong \widetilde{\text{Nil}}_*(R) .$$

- ▶ **Idea of proof** For any  $(P_1, P_2, \rho_1, \rho_2)$  it is possible to make  $\rho_2$  an isomorphism by elementary algebraic cell exchanges. Details in DKR.

## The transfer theorem

- **Theorem** (DKR, 2008) The transfer for the index 2 subgroup  $\mathbb{Z} \subset D_\infty$

$$\begin{aligned} K_*(R[D_\infty]) &= K_*(R \rightarrow R[\mathbb{Z}_2] \times R[\mathbb{Z}_2]) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R, R, R) \\ &\rightarrow K_*(R[\mathbb{Z}]) = K_*(R) \oplus K_{*-1}(R) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R) \end{aligned}$$

is the diagonal embedding on the  $\widetilde{\mathrm{Nil}}$ -groups

$$\begin{aligned} \widetilde{\mathrm{Nil}}_{*-1}(R, R, R) &\cong \widetilde{\mathrm{Nil}}_{*-1}(R) \\ &\rightarrow \widetilde{\mathrm{Nil}}_{*-1}(R) \oplus \widetilde{\mathrm{Nil}}_{*-1}(R) ; \quad x \mapsto (x, x) . \end{aligned}$$

## Some non-zero $\widetilde{\text{Nil}}$ -groups

- **Example** (Bass, 1968) For  $R = \mathbb{Z}[\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}]$

$$\widetilde{\text{Nil}}_0(R) = \ker(K_1(R[t]) \rightarrow K_1(R))$$

is an infinitely generated group of exponent a power of two.

- **Corollary** (DKR, 2008) For  $R = \mathbb{Z}[\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}]$

$$\widetilde{\text{Nil}}_0(R, R, R) = \widetilde{\text{Nil}}_0(R)$$

is an infinitely generated group of exponent a power of two.

- This is the first nontrivial computation of the type (A)  
codimension 1 splitting obstruction groups  $\widetilde{\text{Nil}}_*(R, B_1, B_2)$ .

## Codimension 1 transversality and splitting

- ▶ A map of finite CW complexes  $f : M \rightarrow X$  is **transverse** at a codimension 1 subcomplex  $Y \subset X$  if  $f$  is cellular and  $N = f^{-1}(Y) \subset M$  is a codimension 1 subcomplex.
- ▶ **Proposition** Every map is simple homotopic to a transverse map.
- ▶ A homotopy equivalence of finite CW complexes  $f : M \rightarrow X$  is **split** at a codimension 1 subcomplex  $Y \subset X$  if it is transverse and the restrictions

$$g = f|_N : N \rightarrow Y, \quad h = f|_{M \setminus N} : M \setminus N \rightarrow X \setminus Y$$

are also homotopy equivalences.

- ▶ The Whitehead torsion  $\tau(f) \in Wh(\pi_1(X))$  of a split  $f$  is

$$\tau(f) = \tau(h) - \tau(g) \in \text{im}(Wh(\pi_1(X \setminus Y)) \rightarrow Wh(\pi_1(X))) .$$

So  $[\tau(f)] \in \text{coker}(Wh(\pi_1(X \setminus Y)) \rightarrow Wh(\pi_1(X)))$  is an obstruction to codimension 1 splitting.

## The codimension 1 splitting theorem

- ▶ **Theorem** (Farrell-Hsiang (1968), Waldhausen (1969/78))  
 Let  $(X, Y)$  be a codimension 1 finite CW pair with  $X, Y$  connected and  $\pi_1(Y) \rightarrow \pi_1(X)$  injective.
- ▶ (i) The Whitehead group of  $X$  fits into an exact sequence

$$\begin{aligned} Wh(\pi_1(Y)) &\xrightarrow{i_1 - i_2} Wh(\pi_1(X \setminus Y)) \rightarrow Wh(\pi_1(X)) \\ &\xrightarrow{\partial} \widetilde{K}_0(\mathbb{Z}[\pi_1(Y)]) \oplus \widetilde{\text{Nil}}_0(\mathbb{Z}[\pi_1(Y)], B_1, B_2) \rightarrow \widetilde{K}_0(\mathbb{Z}[\pi_1(X \setminus Y)]) \end{aligned}$$

with  $B_j = \mathbb{Z}[\pi_1(X_j) \setminus \pi_1(Y)]$  ( $j = 1, 2$ ) in case (A).

- ▶ (ii) A homotopy equivalence  $f : M \rightarrow X$  from a finite CW complex  $M$  splits at  $Y \subset X$  if and only if

$$\begin{aligned} \tau(f) &\in \text{im}(Wh(\pi_1(X \setminus Y)) \rightarrow Wh(\pi_1(X))) \\ &= \ker(\partial : Wh(\pi_1(X)) \rightarrow \widetilde{K}_0(\mathbb{Z}[\pi_1(Y)]) \oplus \widetilde{\text{Nil}}_0(\mathbb{Z}[\pi_1(Y)], B_1, B_2)) . \end{aligned}$$

## The universal cover of a union $X = X_1 \cup_Y X_2$ I.

- ▶ The Bass-Serre tree  $T$  of an injective amalgamated free product  $G = G_1 *_H G_2$  has

$$T^{(0)} = [G : G_1] \cup [G : G_2], \quad T^{(1)} = [G : H].$$

- ▶ Let  $X = X_1 \cup_Y X_2$  be a finite CW complex with a type (A) codimension 1 subcomplex  $Y \subset X$  such that the morphisms

$$i_j : \pi_1(Y) \rightarrow \pi_1(X_j) \quad (j = 1, 2)$$

are injective, and  $\pi_1(X) = \pi_1(X_1) *_{\pi_1(Y)} \pi_1(X_2)$ .

- ▶ The universal cover of  $X$  is

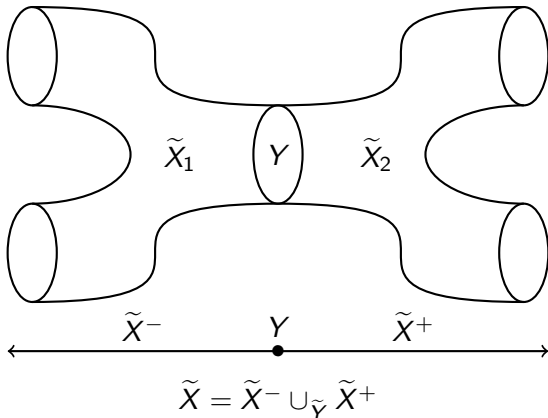
$$\tilde{X} = \bigcup_{g_1 \in [\pi_1(X) : \pi_1(X_1)]} g_1 \tilde{X}_1 \cup \bigcup_{h \in [\pi_1(X) : \pi_1(Y)]} h \tilde{Y} \cup \bigcup_{g_2 \in [\pi_1(X) : \pi_1(X_2)]} g_2 \tilde{X}_2$$

with  $\tilde{X}_1, \tilde{X}_2, \tilde{Y}$  the universal covers of  $X_1, X_2, Y$ .

**The universal cover of a union  $X = X_1 \cup_Y X_2$  II.**

$$\tilde{X}^+ = \tilde{X}_2 \cup \bigcup_{h_2 \in [G_2:H] \setminus \{H\}} h_2 \tilde{X}_1 \cup \bigcup_{h_1 \in [G_1:H] \setminus \{H\}, h_2 \in [G_2:H] \setminus \{H\}} h_2 h_1 \tilde{X}_2 \cup \dots$$

$$\tilde{X}^- = \tilde{X}_1 \cup \bigcup_{h_1 \in [G_1:H] \setminus \{H\}} h_1 \tilde{X}_2 \cup \bigcup_{h_1 \in [G_1:H] \setminus \{H\}, h_2 \in [G_2:H] \setminus \{H\}} h_1 h_2 \tilde{X}_1 \cup \dots$$



## The type (A) codimension 1 splitting obstruction I.

- ▶ Given a transverse map

$$f = f_1 \cup_g f_2 : M = M_1 \cup_N M_2 \rightarrow X = X_1 \cup_Y X_2$$

the kernel modules fit into an M-V exact sequence

$$\begin{aligned} \cdots \rightarrow \mathbb{Z}[\pi_1(X)] \otimes_{\mathbb{Z}[\pi_1(Y)]} K_r(N) \rightarrow \\ \mathbb{Z}[\pi_1(X)] \otimes_{\mathbb{Z}[\pi_1(X_1)]} K_r(M_1) \oplus \mathbb{Z}[\pi_1(X)] \otimes_{\mathbb{Z}[\pi_1(X_2)]} K_r(M_2) \\ \rightarrow K_r(M) \rightarrow \mathbb{Z}[\pi_1(X)] \otimes_{\mathbb{Z}[\pi_1(Y)]} K_{r-1}(N) \rightarrow \cdots \end{aligned}$$

- ▶  $f$  is a homotopy equivalence iff  $\pi_1$ -iso and  $K_*(M) = 0$ .
- ▶  $f$  is a split homotopy equivalence iff  $f_1, f_2, g$  are  $\pi_1$ -iso and  $K_*(M_1) = K_*(M_2) = K_*(N) = 0$ .
- ▶ Let  $\bar{X} = \tilde{X}/\pi_1(Y)$ ,  $\bar{X}_j = \tilde{X}_j/\pi_1(Y)$  so that  $\bar{X} = \bar{X}^- \cup_Y \bar{X}^+$  with  $\bar{X}_1 \subset \bar{X}^-$ ,  $\bar{X}_2 \subset \bar{X}^+$ . Similarly for  $\bar{M} = \bar{M}^- \cup_Y \bar{M}^+$ .

## The type (A) codimension 1 splitting obstruction II.

- **Lemma** (Waldhausen) (i) For  $n \geq 2$  a homotopy equivalence

$$f = f_1 \cup_g f_2 : M = M_1 \cup_N M_2 \rightarrow X = X_1 \cup_Y X_2$$

is simple homotopic to one concentrated in dimension  $n$ , with

$$K_r(M_1) = K_r(M_2) = K_r(N) = 0 \text{ for } r \neq n .$$

- (ii) The codimension 1 splitting obstruction of  $f$  is

$$\partial[\tau(f)] = ([P_1], [P_1, P_2, \rho_1, \rho_2]) \in \tilde{K}_0(R) \oplus \tilde{\text{Nil}}_0(R, B_1, B_2)$$

with  $R = \mathbb{Z}[\pi_1(Y)]$ ,  $B_j = \mathbb{Z}[\pi_1(X_j) \setminus i_j \pi_1(Y)]$  ( $j = 1, 2$ ), and

$$P_1 = K_{n+1}(\overline{M}^+, N), \quad P_2 = K_{n+1}(\overline{M}^-, N)$$

f.g. projective  $R$ -modules such that  $P_1 \oplus P_2 = K_n(N)$  is f.g. free and

$$[P_1] + [P_2] = [K_n(N)] = 0 \in \tilde{K}_0(R) .$$

## Semi-splitting

- ▶ Let  $f : M \rightarrow X$  is a homotopy equivalence of finite CW complexes, and let  $Y \subset X = X_1 \cup_Y X_2$  be a type (A) codimension 1 subcomplex. A homotopy equivalence

$$f = f_1 \cup_g f_2 : M = M_1 \cup_N M_2 \rightarrow X = X_1 \cup_Y X_2$$

is **semi-split** if

$$K_*(\overline{M}_2, N) = 0 .$$

- ▶ If  $f$  is concentrated in dimension  $n$  it follows from the exact sequence

$$0 \rightarrow K_{n+1}(\overline{M}_2, N) \rightarrow P_2 = K_{n+1}(\overline{M}^+, N) \xrightarrow{\rho_2}$$

$$B_2 \otimes_R P_1 = K_{n+1}(\overline{M}^+, \overline{M}_2) \rightarrow K_n(\overline{M}_2, N) \rightarrow 0$$

that  $f$  is semi-split if and only if  $\rho_2 : P_2 \rightarrow B_2 \otimes_R P_1$  is an isomorphism.

## The topological semi-splitting theorem

- ▶ **Theorem** Let  $X = X_1 \cup_Y X_2$  be a finite CW complex with a type (A) decomposition. If the group morphisms

$$i_1 : \pi_1(Y) \rightarrow \pi_1(X_1) , \quad i_2 : \pi_1(Y) \rightarrow \pi_1(X_2)$$

are injective then every homotopy equivalence  $f : M \rightarrow X$  of finite CW complexes is simple homotopic to one which semi-splits.

- ▶ **Idea of proof** As for the Nil-Nil theorem, realizing algebraic cell exchanges by geometric cell exchanges.

## Groups over $D_\infty$

- **Definition** A **group over  $D_\infty$**  is a group  $G$  with a surjection

$$p : G \rightarrow D_\infty = \mathbb{Z}_2 * \mathbb{Z}_2 = \langle t_1 \rangle * \langle t_2 \rangle .$$

- **Lemma 1**  $G$  is an amalgamated free product

$$G = G_1 *_H G_2$$

with  $G_i = p^{-1}(\langle t_i \rangle)$  for  $(i = 1, 2)$ , and

$$H = G_1 \cap G_2 = \ker(p) , \quad [G_i : H] = 2 .$$

- **Lemma 2**  $G$  has an index 2 subgroup  $\overline{G} = p^{-1}(\langle t_1 t_2 \rangle)$  which is an *HNN* extension

$$\overline{G} = H \rtimes_\alpha \mathbb{Z} , \quad ht = t\alpha(h)$$

with  $\mathbb{Z} = \langle t \rangle$  for any  $t \in p^{-1}(\langle t_1 t_2 \rangle)$  and

$$\alpha : H \rightarrow H ; \quad h \mapsto t^{-1}ht .$$

## Further results from the DKR paper I.

- ▶ The extension of the Nil-Nil-theorem to an arbitrary group  $G = G_1 *_H G_2$  over  $D_\infty = \mathbb{Z}_2 * \mathbb{Z}_2$

$$\begin{aligned} K_n(R[G]) &= K_n(i_1 \times i_2) \oplus \widetilde{\text{Nil}}_{n-1}(R[H], B_1, B_2) \\ &= K_n(i_1 \times i_2) \oplus \widetilde{\text{Nil}}_{n-1}(R[H], B_1 \otimes_{R[H]} B_2) \end{aligned}$$

with  $i_1 \times i_2 : R[H] \rightarrow R[G_1] \times R[G_2]$  and  $B_j = R[G_j \setminus H]$ .

- ▶ The extension of the transfer theorem to the index 2 subgroup  $\overline{G} = H \rtimes_\alpha \mathbb{Z} \subset G$ . The transfer

$$\begin{aligned} K_*(R[G]) &= K_*(i_1 \times i_2) \oplus \widetilde{\text{Nil}}_{*-1}(R[H], B_1, B_2) \\ \rightarrow K_*(R[\overline{G}]) &= K_*(1 - \alpha : R[H] \rightarrow R[H]) \\ &\quad \oplus \widetilde{\text{Nil}}_{*-1}(R[H], B_1 \otimes_{R[H]} B_2) \oplus \widetilde{\text{Nil}}_{*-1}(R[H], B_1 \otimes_{R[H]} B_2) \end{aligned}$$

is the diagonal embedding on the  $\widetilde{\text{Nil}}$ -groups.

## Further results from the DKR paper II.

- ▶ The extension of the Nil-Nil-theorem to  $(R, R)$ -bimodules  $B_1, B_2$  such that  $B_2$  is f.g. projective  $R$ -module and flat as a right  $R$ -module. The functors of exact categories

$$i : \text{Nil}(R, B_1 \otimes_R B_2) \rightarrow \text{Nil}(R, B_1, B_2) ;$$

$$(P, \nu) \mapsto (P, B_2 \otimes_R P, \nu, 1) ,$$

$$j : \text{Nil}(R, B_1, B_2) \rightarrow \text{Nil}(R, B_1 \otimes_R B_2) ;$$

$$(P_1, P_2, \rho_1, \rho_2) \mapsto (P_1, \rho_2 \rho_1)$$

induce isomorphisms

$$\widetilde{\text{Nil}}_*(R, B_1 \otimes_R B_2) \cong \widetilde{\text{Nil}}_*(R, B_1, B_2) .$$

- ▶ The reduction of the Farrell–Jones isomorphism conjecture in algebraic  $K$ -theory to the family of finite-by-cyclic groups, avoiding the need for virtually cyclic groups of infinite dihedral type.