

MANIFOLDS AND DUALITY

ANDREW RANICKI

- Classification of manifolds
- Uniqueness Problem
- Existence Problem
- Quadratic algebra
- Applications

Manifolds

- An n -dimensional manifold M^n is a topological space which is locally homeomorphic to $\mathbb{R}^n$.
 - compact, oriented, connected.
- Classification of manifolds up to homeomorphism.
 - For $n = 1$: circle
 - For $n = 2$:
sphere, torus, ..., handlebody.
 - For $n \geq 3$: in general impossible.

The Uniqueness Problem

- *Is every homotopy equivalence of n -dimensional manifolds*

$$f : M^n \rightarrow N^n$$

homotopic to a homeomorphism?

- For $n = 1, 2$: Yes.
- For $n \geq 3$: in general No.

The Poincaré conjecture

- *Every homotopy equivalence $f : M^3 \rightarrow S^3$ is homotopic to a homeomorphism.*

– Stated in 1904 and still unsolved!

- **Theorem**

($n \geq 5$: Smale, 1960, $n = 4$: Freedman, 1983)

Every homotopy equivalence $f : M^n \rightarrow S^n$ is homotopic to a homeomorphism.

Old solution of the Uniqueness Problem

- Surgery theory works best for $n \geq 5$.
 - From now on let $n \geq 5$.
- **Theorem**
(Browder, Novikov, Sullivan, Wall, 1970)
A homotopy equivalence $f : M^n \rightarrow N^n$ is homotopic to a homeomorphism if and only if two obstructions vanish.
- The 2 obstructions of surgery theory:
 1. In the topological K -theory of vector bundles over N .
 2. In the algebraic L -theory of quadratic forms over the fundamental group ring $\mathbb{Z}[\pi_1(N)]$.

Traditional surgery theory

- Advantage:
 - Suitable for computations.
- Disadvantages:
 - Inaccessible.
 - A complicated mix of topology and algebra.
 - Passage from a homotopy equivalence to the obstructions is indirect.
 - Obstructions are not independent.

Wall's programme

- “The theory of quadratic structures on chain complexes should provide a simple and satisfactory algebraic version of the whole setup.”
 - C.T.C.Wall, *Surgery on compact manifolds*, 1970
- Such a theory is now available.
 - Ranicki, *Algebraic L-theory and topological manifolds*, 1992

Siebenmann's theorem

- The kernel groups of a map $f : M \rightarrow N$ are the relative homology groups

$$K_r(x) = H_{r+1}(f^{-1}(x) \rightarrow \{x\}) \quad (x \in N) .$$

- Exact sequence

$$\begin{aligned} \cdots \rightarrow K_r(x) \rightarrow H_r(f^{-1}(x)) \rightarrow H_r(\{x\}) \\ \rightarrow K_{r-1}(x) \rightarrow \cdots . \end{aligned}$$

- $K_*(x) = 0$ for a homeomorphism f .
- **Theorem** (Siebenmann, 1972)
A homotopy equivalence $f : M^n \rightarrow N^n$ with

$$K_*(x) = 0 \quad (x \in N)$$

is homotopic to a homeomorphism.

New solution of the Uniqueness Problem

- The total surgery obstruction $s(f)$ of a homotopy equivalence $f : M^n \rightarrow N^n$ is the cobordism class of
 - the sheaf of $\mathbb{Z}$ -module chain complexes
 - with n -dimensional Poincaré duality
 - over N
 - with stalk homology $K_*(x)$ ($x \in N$).
- Cobordism and Poincaré duality are algebraic.
- **Theorem** *A homotopy equivalence f is homotopic to a homeomorphism if and only if $s(f) = 0$.*

Poincaré duality

- **Theorem** (Poincaré, 1895)

The homology and cohomology of a compact oriented n -dimensional manifold M are isomorphic:

$$H^{n-r}(M) \cong H_r(M) \quad (r = 0, 1, 2, \dots) .$$

- **Definition** (Browder, 1962)

An n -dimensional duality space X is a space with isomorphisms:

$$H^{n-r}(X) \cong H_r(X) \quad (r = 0, 1, 2, \dots) .$$

The Existence Problem

- *Is an n -dimensional duality space X homotopy equivalent to an n -dimensional manifold?*
 - For $n = 1, 2$: Yes.
 - For $n \geq 3$: in general No.

Old solution of the Existence Problem

- **Theorem**

(Browder, Novikov, Sullivan, Wall, 1970)

An n -dimensional duality space X is homotopy equivalent to an n -dimensional manifold if and only if 2 obstructions vanish.

- The 2 obstructions (as for Uniqueness):
 1. In the topological K -theory of vector bundles over X .
 2. In the algebraic L -theory of quadratic forms over the fundamental group ring $\mathbb{Z}[\pi_1(X)]$.
- Same (dis)advantages as for the old solution of the Uniqueness Problem.

The Theorem of Galewski and Stern

- The kernel groups $K_r(x)$ of an n -dimensional duality space X fit into the exact sequence

$$\begin{aligned} \cdots \rightarrow K_r(x) \rightarrow H^{n-r}(\{x\}) \rightarrow H_r(X, X \setminus \{x\}) \\ \rightarrow K_{r-1}(x) \rightarrow \cdots \end{aligned}$$

- $K_*(x) = 0$ for a manifold.

- **Theorem** (Galewski and Stern, 1977)

A polyhedral duality space X with

$K_(x) = 0$ ($x \in X$) (a homology manifold)*

is homotopy equivalent to a manifold.

New solution of the Existence Problem

- The total surgery obstruction $s(X)$ of and n -dimensional duality space X is the cobordism class of
 - the sheaf of $\mathbb{Z}$ -module chain complexes
 - with $(n - 1)$ -dimensional Poincaré duality
 - over X
 - with stalk homology $K_*(x)$ ($x \in X$).
- Cobordism and Poincaré duality are algebraic.
- **Theorem** *A duality space X is homotopy equivalent to a manifold if and only if $s(X) = 0$.*

Quadratic algebra

- Chain complexes with the homological properties of manifolds and duality spaces.
- An n -dimensional duality complex is a chain complex

$$C_n \xrightarrow{d} C_{n-1} \xrightarrow{d} C_{n-2} \rightarrow \dots \rightarrow C_0 \quad (d^2 = 0)$$

with isomorphisms

$$H^{n-r}(C) \cong H_r(C) \quad (r = 0, 1, 2, \dots) .$$

– generalized quadratic forms

- cobordism of duality complexes

Local and global duality complexes

X = connected space

- The global surgery group $L_n(\mathbb{Z}[\pi_1(X)])$ of Wall is the cobordism group of n -dimensional duality complexes of $\mathbb{Z}[\pi_1(X)]$ -modules.
 - Generalized Witt groups.
- The local surgery group $H_n(X; \mathbb{L}(\mathbb{Z}))$ is the cobordism group of n -dimensional duality complexes of $\mathbb{Z}$ -module sheaves over X .
 - Generalized homology with coefficients $L_*(\mathbb{Z})$.

The surgery exact sequence

- **Theorem** *The local and global surgery groups are related by the exact sequence*

$$\begin{aligned} \dots \rightarrow H_n(X; \mathbb{L}(\mathbb{Z})) &\xrightarrow{A} L_n(\mathbb{Z}[\pi_1(X)]) \\ &\rightarrow \mathbb{S}_n(X) \rightarrow H_{n-1}(X; \mathbb{L}(\mathbb{Z})) \rightarrow \dots \end{aligned}$$

- The assembly map A is the passage from local to global duality.
- The structure group $\mathbb{S}_n(X)$ is the cobordism group of $(n-1)$ -dimensional local duality complexes over X which are globally trivial.

The total surgery obstructions

- **Uniqueness:** the total surgery obstruction of a homotopy equivalence $f : M^n \rightarrow N^n$

$$s(f) \in \mathbb{S}_{n+1}(N) .$$

- $s(f)$ is the cobordism class of the n -dimensional globally trivial local duality complex with stalk homology the kernels $K_*(x)$ ($x \in N$).

- **Existence:** the total surgery obstruction of an n -dimensional duality space X

$$s(X) \in \mathbb{S}_n(X) .$$

- $s(X)$ is the cobordism class of the $(n - 1)$ -dimensional globally trivial local duality complex with stalk homology the kernels $K_*(x)$ ($x \in X$).

Topology and homotopy theory

- The difference between the topology of manifolds and the homotopy theory of duality spaces = the difference between the cobordism theories of the local and global duality complexes.

$$\begin{array}{ccc} \text{manifolds} & \longrightarrow & \text{local duality} \\ \downarrow & & \downarrow A \\ \text{duality spaces} & \longrightarrow & \text{global duality} \end{array}$$

- Converse of Poincaré duality:
A duality space with sufficient local duality is homotopy equivalent to a manifold.

The Novikov and Borel conjectures

- The Novikov conjecture on the homotopy invariance of the higher signatures is algebraic:
 - $A : H_*(B\pi; \mathbb{L}(\mathbb{Z})) \rightarrow L_*(\mathbb{Z}[\pi])$ is rationally injective, for every group π .
- The Borel conjecture on the existence and uniqueness of aspherical manifolds is algebraic:
 - $A : H_*(B\pi; \mathbb{L}(\mathbb{Z})) \rightarrow L_*(\mathbb{Z}[\pi])$ is an isomorphism if $B\pi$ is a duality space.
- The various solution methods can now be turned into algebra:
 - topology, geometry, analysis (C^* -algebra), index theorems,

Applications

- algebraic computations of the L -groups
 - number theory
- singular spaces
 - algebraic varieties
- differential geometry
 - hyperbolic geometry
- non-compact manifolds
 - controlled topology
- 3- and 4-dimensional manifolds